

## NOTE

### Trees Associated with the Motzkin Numbers

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We consider plane rooted trees on  $n + 1$  vertices without branching points on odd levels. The number of such trees is equal to the Motzkin number  $M_n$ . We give a bijective proof of this statement. © 1996 Academic Press, Inc.

## 1

Let  $\mathcal{P}_n$  be the set of all plane rooted trees on  $n + 1$  unlabeled vertices with edges oriented from the root (see [1]). We say that a vertex  $v$  in a tree  $T \in \mathcal{P}_n$  is a *branching point* if at least two edges in  $T$  go from  $v$ . The *level* of a vertex  $v$  is the number of edges in the shortest path between  $v$  and the root. Let  $\mathcal{E}_n \subset \mathcal{P}_n$  denote the set of plane trees without branching points on odd levels. By  $\mathcal{M}_n \subset \mathcal{P}_n$  denote the set of plane trees with at most two edges going from every vertex.

The *Motzkin number*  $M_n$  is the number of elements in  $\mathcal{M}_n$ . The generating function  $M(x) = 1 + \sum_{n \geq 0} M_n x^{n+1}$  satisfies the following functional equation (see [1–3]):

$$M(x) = 1 + xM(x) + x^2M^2(x).$$

This equation gives a recurrence relation for the Motzkin numbers.

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Note that  $|\mathcal{P}_n|$  is the *Catalan number*  $C_n = (1/(n+1))\binom{2n}{n}$  (see [1, 3]).

**THEOREM 1.**  $|\mathcal{E}_n| = M_n$ .

**THEOREM 2.** *The number of trees  $T \in \mathcal{E}_n$  with  $k+1$  vertices on even levels is equal to  $\binom{n}{2k} C_k$ .*

We get the known formula  $M_n = \sum_{k \geq 0} \binom{n}{2k} C_k$  (see [2, 4]).

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Our proofs of Theorems 1 and 2 are based on a bijection  $\rho: \mathcal{E}_n \rightarrow \mathcal{M}_n$ . Let  $\mathcal{B}_n$  be the set of binary trees with  $n$  unlabeled vertices (see [1]). There is a simple bijection  $\phi: \mathcal{P}_n \rightarrow \mathcal{B}_n$  (see e.g. [1, 3]). This bijection is clear from an example on Fig. 1.

Denote  $\mathcal{EB}_n = \phi(\mathcal{E}_n)$  and  $\mathcal{MB}_n = \phi(\mathcal{M}_n)$ . Then a tree  $T$  is an element of  $\mathcal{MB}_n$  if and only if there are no chains of two left edges in  $T$ . We say that *level* of a vertex  $v$  in a binary tree is the number of right edges in the shortest path between the root and  $v$ . Then a binary tree  $T$  is an element of  $\mathcal{EB}_n$  if and only if there are no left edges in  $T$  which go from an odd level vertex. Let  $\tau: \mathcal{B}_n \rightarrow \mathcal{B}_n$  be the involution which exchanges left and right edges in a tree  $T \in \mathcal{B}_n$ .

Now construct a bijection  $\eta: \mathcal{EB}_n \rightarrow \tau(\mathcal{MB}_n)$ . Let the map  $\eta$  changes all right edges in  $T \in \mathcal{EB}_n$  going from an odd level vertex to left edges. Then  $\eta(T)$  does not have chains of two rights edges, otherwise, one of the edges in such a chain goes from an odd level vertex.

Conversely, construct the inverse map  $\eta^{-1}: \tau(\mathcal{MB}_n) \rightarrow \mathcal{EB}_n$ . Let  $T \in \tau(\mathcal{MB}_n)$ . For every right edge  $(v, u)$  in  $T$  such that  $u$  has a child  $w$  (then  $(u, w)$  should be a left edge) we change  $(u, w)$  to a right edge. It is not difficult to see that we get a tree from  $\mathcal{EB}_n$  and this map is the inverse to  $\eta$ .

Hence  $\eta$  is a bijection between  $\mathcal{EB}_n$  and  $\tau(\mathcal{MB}_n)$ . Now  $\rho = \phi^{-1} \circ \tau \circ \eta \circ \phi$  is a bijection between  $\mathcal{E}_n$  and  $\mathcal{M}_n$ . See Fig. 2, as an example. We have proved Theorem 1.

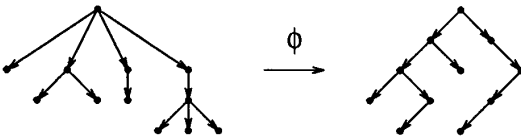
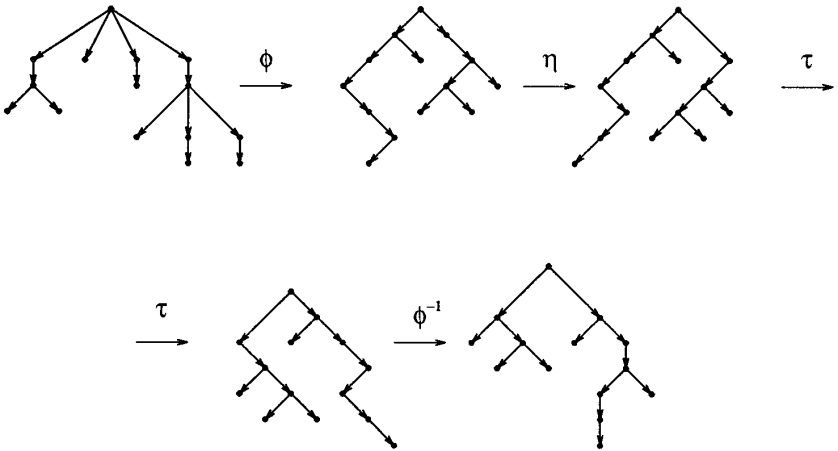


FIG. 1. Bijection  $\phi: \mathcal{P}_n \rightarrow \mathcal{B}_n$ .

FIG. 2. Bijection  $\rho: \mathcal{E}_n \rightarrow \mathcal{M}_n$ .

The proof of Theorem 2 is based on the following property of the bijection  $\rho$ : The number of vertices on even levels of a plane tree  $T \in \mathcal{E}_n$  is equal to the number of end points in the plane tree  $\rho(T) \in \mathcal{M}_n$ . Indeed, let a tree  $T \in \mathcal{E}_n$  have  $k + 1$  vertices on even levels. Then  $\phi(T)$  contains  $k + 1$  vertices which do not have a left child and which are either end points or lie on an even level. The bijection  $\eta$  maps these vertices to the vertices of  $(\eta \circ \phi)(T)$  which do not have a left child. And  $\phi^{-1} \circ \tau$  maps them to the end points of  $\rho(T)$ .

On the other hand, it is known (see [4]) that the number of trees  $T \in \mathcal{M}_n$  with  $k + 1$  end points is equal to  $\binom{n}{2k} C_k$ . This completes the proof of Theorem 2.

*Remark.* The bijection  $\rho$  is an “unlabeled analogue” of a bijection from [5]. In this sense, the sequence of Motzkin numbers is an “unlabeled analogue” of the numbers of up-down (alternating) permutations.

## REFERENCES

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