

\* Cyclicity of adjoint Selmer groups  
and fundamental units

Haruzo Hida

Department of Mathematics, UCLA,  
Los Angeles, CA 90095-1555, U.S.A.

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Generalizing a result of Cho–Vatsal (Crelle, 2003), we prove cyclicity over the Hecke algebra of the adjoint Selmer group of each modular deformation of an induced irreducible representation of a finite order character  $\text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow \overline{\mathbb{F}}_p$  for a real quadratic field  $F$  under mild conditions.

## A conjecture.

Let  $\rho : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(W)$  be an odd absolutely irreducible Artin representation with coefficients in a complete discrete valuation ring  $W$  with finite residue field  $\mathbb{F}$  of characteristic  $p \nmid |\text{Im}(\rho)|h$  for the class number  $h$  of the splitting field of  $\text{Ad}(\rho)$ . Suppose  $\rho|_{\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)} = \begin{pmatrix} \epsilon & * \\ 0 & \delta \end{pmatrix}$  with unramified  $\delta$ . Let  $\bar{\rho} := \rho \bmod \mathfrak{m}_W$ .

**Conjecture:** *Suppose  $p > 3$  and  $\epsilon \not\equiv \delta \pmod{\mathfrak{m}_W}$ . For the minimal  $p$ -ordinary universal deformation  $\rho_{\mathbb{T}}$  of  $\bar{\rho}$  with values in  $\text{GL}_2(\mathbb{T})$  for the universal ring  $\mathbb{T}$ , Pontryagin dual of  $\text{Sel}(\text{Ad}(\rho_{\mathbb{T}}))^{\vee}$  is pseudo isomorphic to  $\mathbb{T}/(L_p)$  as  $\mathbb{T}$ -modules for a non-zero divisor  $0 \neq L_p \in \mathbb{T}$ .*

Here  $\text{Ad}(\rho_{\mathbb{T}})$  acts on  $\mathfrak{sl}_2(\mathbb{T})$  by  $x \mapsto \rho_{\mathbb{T}}(\sigma)x\rho_{\mathbb{T}}(\sigma)^{-1}$ . The algebra  $\mathbb{T}$  is an algebra over the Iwasawa algebra  $\Lambda = W[[\Gamma]] = W[[T]]$  (with  $t = 1+T$ ) by  $\det \rho_{\mathbb{T}}$  factoring through  $\Gamma := \text{Gal}(\mathbb{Q}_p(\mu_{p^\infty})/\mathbb{Q}_p(\mu_p))$ , and the element  $L_p \neq 0$  is the adjoint  $p$ -adic L-function  $L_p(\text{Ad}(\rho_{\mathbb{T}}))$  interpolating  $L(1, \text{Ad}(\rho_P))$  (for arithmetic points  $P \in \text{Spec}(\mathbb{T})$ ) up to units in  $\mathbb{T}$ .

## §0. Setting over a real quadratic field.

In this lecture, we deal with the case where  $\rho = \text{Ind}_F^{\mathbb{Q}} \varphi$  for a real quadratic field  $F$  and a character  $\varphi : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow W^\times$ .

Here is our setting:

- $F$ : a real quadratic field with discriminant  $D$  and a **fundamental unit**  $\varepsilon$ . Let  $\varsigma$  be the generator of  $\text{Gal}(F/\mathbb{Q})$ .
- Pick a character  $\varphi : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow \overline{\mathbb{Q}}^\times$  with mod  $p$  reduction  $\overline{\varphi}$  with values in  $\mathbb{F}$  (and put  $W = W(\mathbb{F})$ ). Let  $\varphi^-(\sigma) = \varphi(\sigma\tilde{\varsigma}\sigma^{-1}\tilde{\varsigma}^{-1})$  and  $\overline{\delta}(\sigma) = \overline{\varphi}(\tilde{\varsigma}\sigma\tilde{\varsigma}^{-1})$  for  $\tilde{\varsigma} \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  with  $\tilde{\varsigma}|_F = \varsigma$ .
- Let  $K^-/F$  be the maximal  $p$ -abelian anticyclotomic extension unramified outside  $p$ . Anticyclotomy means that  $\tilde{\varsigma}\sigma\tilde{\varsigma}^{-1} = \sigma^{-1}$  for  $\sigma \in \text{Gal}(K^-/F)$ . Let  $\Gamma_- := \text{Gal}(K^-/F) \cong \text{Gal}(K_{\mathfrak{p}}/F)$  (a finite group), where  $K_{\mathfrak{p}}$  is the maximal  $p$ -abelian extension unramified outside  $\mathfrak{p}$ .

Assume

- $p \nmid h_F$  for the class number  $h_F$  of  $F$  and  $(p) = \mathfrak{p}\mathfrak{p}^s$  in  $O$  with  $\mathfrak{p} \neq \mathfrak{p}^s$  for the generator  $\varsigma$  of  $\text{Gal}(F/\mathbb{Q})$ .

§1. **Cyclicity theorem.** Write  $f$  for the prime-to- $p$ -conductor of  $\bar{\varphi}$  and put  $N = DN_{F/\mathbb{Q}}(\mathfrak{c})$  (the level). For the conductor  $\mathfrak{c}$  of  $\varphi$ , we suppose  $f|\mathfrak{c}|fp$ . Suppose

- (H1)  $f|\mathfrak{c}|cp$  and  $N_{F/\mathbb{Q}}(\mathfrak{c})$  is square-free (so,  $N$  is cube-free),
- (H2)  $p$  is prime to  $N \prod_{l|N} (l-1)$  for prime factors  $l$  of  $N$ ,
- (H3)  $\varphi^-$  has order at least 3 with  $\varphi^-(\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)) \neq \{1\}$ ,
- (H4) the class number  $h_F$  of  $F$  is prime to  $p$ .

We describe a proof of

**Theorem A:** *Under (H1–4), if the class number  $h_{F(\varphi^-)}$  of the splitting field  $F(\varphi^-)$  of  $\varphi^-$  is prime to  $p$ ,  $\text{Sel}(Ad(\rho_{\mathbb{T}}))^{\vee} \cong \mathbb{T}/(L_p)$  as  $\mathbb{T}$ -modules for a non-zero divisor  $L_p \in \mathbb{T}$ .*

Note that  $\Gamma_-$  is a finite cyclic  $p$ -group (under (H4)), and we see

$$W[\Gamma_-] \cong \Lambda/(\langle \varepsilon \rangle - 1) \quad \text{for } \langle \varepsilon \rangle := t^{\log_p(\varepsilon)/\log_p(1+p)}.$$

§2. **Cyclicity and Hecke algebra.** The cyclicity follows from a **ring theoretic assertion** on the big ordinary Hecke algebra  $\mathfrak{h}$  as  $\text{Spec}(\mathbb{T})$  is a **connected component** of  $\text{Spec}(\mathfrak{h})$ . We identify the Iwasawa algebra  $\Lambda = W[[\Gamma]]$  with the one variable power series ring  $W[[T]]$  by  $\Gamma \ni \gamma = (1 + p) \mapsto t = 1 + T \in \Lambda$ . Take a Dirichlet character  $\psi : (\mathbb{Z}/Np\mathbb{Z})^\times \rightarrow W^\times$ , and consider the big ordinary Hecke algebra  $\mathfrak{h}$  (over  $\Lambda$ ) of prime-to- $p$  level  $N$  and the character  $\psi$ . We just mention here the following three facts about  $\mathfrak{h}$  which has  $\mathbb{T}$  as a local factor:

- $\mathfrak{h}$  is an algebra flat over the Iwasawa (weight) algebra  $\Lambda := W[[T]]$  **interpolating**  $p$ -ordinary Hecke algebras of level  $Np^{r+1}$ , of weight  $k + 1 \geq 2$  and of character  $\epsilon\psi\omega^{-k}$ , where  $\epsilon : \mathbb{Z}_p^\times \rightarrow \mu_{p^r}$  ( $r \geq 0$ ) and  $k \geq 1$  vary. If  $N$  is cube-free,  $\mathfrak{h}$  is a **reduced** algebra;
- Each prime  $P \in \text{Spec}(\mathfrak{h})$  has a unique Galois representation

$$\rho_P : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\kappa(P)), \quad \text{Tr} \rho_P(\text{Frob}_l) = T(l) \pmod{P} \quad (l \nmid Np)$$

for the residue field  $\kappa(P)$  of  $P$ ;

- $\rho_P|_{\text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)} \cong \begin{pmatrix} \epsilon_P & * \\ 0 & \delta_P \end{pmatrix}$  with unramified quotient character  $\delta_P$ .

**§3. Ring theoretic setting.** Since  $\mathbb{T}$  is universal among  $p$ -ordinary deformations of  $\bar{\rho} := \rho \bmod \mathfrak{m}_W$  with certain extra properties insensitive to the twist  $\rho \mapsto \rho \otimes \chi$  for  $\chi = \left(\frac{F/\mathbb{Q}}{\cdot}\right)$ ,  $\mathbb{T}$  has an **algebra involution**  $\sigma$  over  $\Lambda$  coming from the twist. For any ring  $A$  with an involution  $\sigma$ , we put  $A_{\pm} = A^{\pm} := \{x \in A \mid \sigma(x) = \pm x\}$ . Then  $A_+ \subset A$  is a subring and  $A_-$  is an  $A_+$ -module.

- For the ideal  $I := \mathbb{T}(\sigma - 1)\mathbb{T}$  of  $\mathbb{T}$  generated by  $\mathbb{T}_-$  (the “−” eigenspace), we have a canonical  $\Lambda$ -algebra isomorphism

$$\mathbb{T}/I \cong W[\Gamma_-]$$

of Cho–Vatsal, where the  $\Lambda$ -algebra structure is given by sending  $u \in \Gamma$  naturally into  $u \in O_{\mathfrak{p}}^{\times} = \mathbb{Z}_p^{\times}$  and then projecting the local Artin symbol  $\tau = [u, F_{\mathfrak{p}}] = [u, \mathbb{Q}_p] \in \Gamma$  to  $\sqrt{\tau \tilde{\zeta} \tau^{-1} \tilde{\zeta}^{-1}} = \tau^{(1-s)/2} \in \Gamma_-$ . By this we have  $\mathbb{T}/I \cong W[\Gamma_-] \cong \Lambda/(\langle \varepsilon \rangle - 1)$ .

**Question:** *Under what condition, we have  $\mathbb{T} \cong \Lambda[\sqrt{\langle \varepsilon \rangle - 1}]$ ?*  
 The condition  $p \nmid h_F$  is necessary for this by Cho–Vatsal.

## §4. Known structure of $\mathbb{T}$ .

- The fixed points

$$\mathrm{Spec}(\mathbb{T})^{\sigma=1} \cong \mathrm{Spec}(\mathbb{T}/\mathbb{T}(\sigma - 1)\mathbb{T}) = \mathrm{Spec}(\mathbb{T}/I)$$

is therefore isomorphic to  $\mathrm{Spec}(W[\Gamma_-])$ ; note that  $\mathbb{T} \neq W[\Gamma_-]$  as  $W[\Gamma_-]$  has finite rank over  $W$ , while  $\mathbb{T}$  is free of finite rank over  $\Lambda$ .

- Since  $\mathbb{T} \neq W[\Gamma_-]$ ,  $\sigma$  is non-trivial on  $\mathbb{T}$ .
- The ring  $\mathbb{T}$  is reduced (as  $N$  is cube-free).

Plainly  $\mathbb{T}$  is stable under  $\sigma$ , but

$$\mathrm{Spec}(\mathbb{T})^{\sigma=1} \text{ has codimension } 1 \text{ in } \mathrm{Spec}(\mathbb{T}),$$

which does not therefore contain an irreducible component.

**§5. Galois deformation theory.** By irreducibility of  $\bar{\rho}$ , we have a Galois representation

$$\rho_{\mathbb{T}} : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{T}) \quad \text{with } \text{Tr}(\rho_{\mathbb{T}}(\text{Frob}_l)) = T(l)$$

for all primes  $l \nmid Np$ . By the celebrated  $R = \mathbb{T}$  theorem of Taylor–Wiles, the couple  $(\mathbb{T}, \rho_{\mathbb{T}})$  is universal among deformations  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(A)$  satisfying

$$(D1) \quad \rho \bmod \mathfrak{m}_A \cong \bar{\rho} := \text{Ind}_F^{\mathbb{Q}} \bar{\varphi}.$$

$$(D2) \quad \rho|_{\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)} \cong \begin{pmatrix} \epsilon & * \\ 0 & \delta \end{pmatrix} \text{ with } \delta \text{ unramified and } \bar{\delta} = (\delta \bmod \mathfrak{m}_A).$$

$$(D3) \quad \det(\rho)|_{I_l} = \psi_l \text{ for the } l\text{-part } \psi_l \text{ of } \psi \text{ for each prime } l|N.$$

$$(D4) \quad \det(\rho)|_{I_p} \equiv \psi|_{I_p} \bmod \mathfrak{m}_A \quad (\Leftrightarrow \epsilon|_{I_p} \equiv \psi|_{I_p} \bmod \mathfrak{m}_A).$$

By the  $R = \mathbb{T}$  theorem and a theorem of Mazur,

$$\begin{aligned} I/I^2 &= \Omega_{\mathbb{T}/\Lambda} \otimes_{\mathbb{T}} \mathbb{T}/I \cong \text{Sel}(Ad(\text{Ind}_F^{\mathbb{Q}} \Phi))^{\vee} \\ \Omega_{\mathbb{T}/\Lambda} &\cong \text{Sel}(Ad(\rho_{\mathbb{T}}))^{\vee}, \end{aligned}$$

and principality of  $I$  implies cyclicity, where  $\Phi : \text{Gal}(\bar{\mathbb{Q}}/F) \rightarrow W[\text{Gal}(K_p/F)]^{\times} \cong W[\Gamma_-]^{\times}$  is a character sending  $\sigma$  to  $\varphi(\sigma)\sigma|_{K_p}$ .



## §6. Ring theoretic theorem.

**Theorem B:** *Suppose (H1–4). Then if the class number  $h_{F(\varphi^-)}$  of  $F(\varphi^-) = \overline{\mathbb{Q}}^{\text{Ker}(\varphi^-)}$  is prime to  $p$ , the following **equivalent** statements hold true:*

- (1) *The rings  $\mathbb{T}$  and  $\mathbb{T}_+$  are both local complete intersections free of finite rank over  $\Lambda$ .*
- (2) *The  $\mathbb{T}$ -ideal  $I = \mathbb{T}(\sigma - 1)\mathbb{T} \subset \mathbb{T}$  is principal and is generated by a non-zero-divisor  $\theta \in \mathbb{T}_-$  with  $\theta^2 \in \mathbb{T}_+$ , and  $\mathbb{T} = \mathbb{T}_+[\theta]$  is free of rank 2 over  $\mathbb{T}_+$ .*

The implication (1) $\Rightarrow$ (2) follows from the lemma in the following slide.

## §7. A key duality lemma

Here is a simplest case of the theory of dualizing modules by Grothendieck, Hartshorne and Kleiman (exploited by Cho–Vatsal):

**Lemma 1** (Key lemma). *Let  $S$  be a  $p$ -profinite Gorenstein integral domain and  $A$  be a reduced Gorenstein local  $S$ -algebra free of finite rank over  $S$ . Suppose*

- *$A$  has a ring involution  $\sigma$  with  $A_+ := \{a \in A \mid \sigma(a) = a\}$ ,*
- *$A_+$  is Gorenstein,*
- *$\text{Frac}(A)/\text{Frac}(A_+)$  is étale quadratic extension.*
- *$\mathfrak{d}_{A/A_+}^{-1} := \{x \in \text{Frac}(A) \mid \text{Tr}_{A/A_+}(xA) \subset A_+\} \supsetneq A$ ,*

*Then  $A$  is free of rank 2 over  $A_+$  and  $A = A_+ \oplus A_+\theta$  for an element  $\theta \in A$  with  $\sigma(\theta) = -\theta$ .*

To see (1) $\Rightarrow$ (2) of Theorem B, we apply the lemma to  $A = \mathbb{T}$ .

## §8. Sketch of Theorem B $\Rightarrow$ Theorem A.

Assuming (1), by Key lemma,  $\mathfrak{d}_{\mathbb{T}/\mathbb{T}_+} = (\theta)$  for a non-zero divisor  $\theta \in \mathbb{T}$ . By “ $R = T$ ” theorem, we see that  $\mathbb{T}/I \cong W[\Gamma_-]$ . Then by a theorem of Mazur, we have

$\Omega_{\mathbb{T}/\Lambda} \cong \text{Sel}(Ad(\rho_{\mathbb{T}}))^{\vee}$  and  $I/I^2 \cong \Omega_{\mathbb{T}/\Lambda} \otimes_{\mathbb{T}} W[\Gamma_-] (\cong \text{Sel}(\text{Ind}_F^{\mathbb{Q}} \Phi)^{\vee})$ , where  $\Phi : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow W[\Gamma_-]^{\times}$  is the universal character deforming  $\varphi$  unramified outside  $\mathfrak{cp}$ . Since  $I/I^2 = (\theta)/(\theta^2)$  is cyclic, by Nakayama’s lemma,  $\Omega_{\mathbb{T}/\Lambda} \cong \text{Sel}(Ad(\rho_{\mathbb{T}}))^{\vee}$  has one generator over  $\mathbb{T}$ . Later we will see the annihilator of the generator is a principal ideal  $(L_p)$  for a non-zero divisor  $L_p \in \mathbb{T}$ .

The proof of the adjoint class number formula by Wiles and myself (Pune IISER lecture notes Chap. 6) shows  $L_p = L_p(Ad(\rho_{\mathbb{T}}))$  up to units for the adjoint  $p$ -adic  $L$ -function  $L_p(Ad(\rho_{\mathbb{T}})) \in \mathbb{T}$ .

**(2) $\Rightarrow$ (1) of Theorem B:** We have  $I = (\theta) \subset \mathbb{T}$  and  $I_+ = (\theta^2) \subset \mathbb{T}_+$ . Note that  $\mathbb{T}/(\theta) \cong W[\Gamma_-] \cong \mathbb{T}_+/(\theta^2)$ . Since  $\theta$  is a non-zero divisor, the two rings  $\mathbb{T}$  and  $\mathbb{T}_+$  are local complete intersections since  $W[\Gamma_-]$  is a local complete intersection.

## §9. Presentation of $\mathbb{T}$ for the proof of (1) of Theorem B.

To see a possibility of applying the key lemma to  $\mathbb{T}/\mathbb{T}_+$ , we like to lift  $\mathbb{T}$  to a power series ring  $\mathcal{R} = \Lambda[[T_1, \dots, T_r]]$  with an involution  $\sigma_\infty$  such that  $\mathcal{R}^+ := \{x \in \mathcal{R} \mid \sigma_\infty(x) = x\}$  is Gorenstein and that  $(\mathcal{R}/\mathfrak{A}, \sigma_\infty \bmod \mathfrak{A}) \cong (\mathbb{T}, \sigma)$  for an ideal  $\mathfrak{A}$  stable under  $\sigma_\infty$ .

Taylor and Wiles (with an improvement by Diamond and Fujiwara) found a pair  $(\mathcal{R} := \Lambda[[T_1, \dots, T_r]], (S_1, \dots, S_r))$  with a regular sequence  $S := (S_1, \dots, S_r) \subset \Lambda[[T_1, \dots, T_r]]$  such that

$$\Lambda[[T_1, \dots, T_r]] / (S_1, \dots, S_r) \cong \mathbb{T}$$

by their Taylor–Wiles system argument.

We need to lift  $\sigma$  somehow to an involution  $\sigma_\infty \in \text{Aut}(\mathcal{R})$  and show also that  $\mathcal{R}^+$  is Gorenstein. If further  $\mathcal{R} \cdot \mathcal{R}^- = (\theta_\infty)$ , the image  $\theta \in \mathbb{T}^-$  of  $\theta_\infty$  in  $\mathbb{T}$  generates  $I$  as desired.

**§10. Taylor–Wiles method.** Taylor–Wiles found an integer  $r > 0$  and an infinite sequence of  $r$ -sets  $\mathcal{Q} := \{Q_m | m = 1, 2, \dots\}$  of primes  $q \equiv 1 \pmod{p^m}$  such that for **the local ring**  $\mathbb{T}^{Q_m}$  of  $\bar{\rho}$  of the Hecke algebra  $\mathfrak{h}^{Q_m}$  of tame-level  $N_m = N \prod_{q \in Q_m} q$ . The pair  $(\mathbb{T}^{Q_m}, \rho_{\mathbb{T}^{Q_m}})$  is universal among deformation satisfying (D1–4) but **ramification at  $q \in Q_m$  is allowed**. Then  $\rho \mapsto \rho \otimes \chi$  induces an involution  $\sigma_{Q_m}$ .

Actually they work with  $\mathbb{T}_{Q_m} = \mathbb{T}^{Q_m} / (t - \gamma^k) \mathbb{T}^{Q_m}$  ( $t = 1 + T$ ,  $\gamma = 1 + p \in \Gamma$ ; the weight  $k + 1$  Hecke algebra of weight  $k + 1 \geq 2$  fixed). The product inertia group  $I_{Q_m} = \prod_{q \in Q_m} I_q$  acts on  $\mathbb{T}_{Q_m}$  by the  $p$ -abelian quotient  $\Delta_{Q_m}$  of  $\prod_{q \in Q_m} (\mathbb{Z}/q\mathbb{Z})^\times$ . We choose an ordering of primes  $Q_m = \{q_1, \dots, q_r\}$  and a generator  $\delta_{i, m(n)}$  of the  $p$ -Sylow group of  $(\mathbb{Z}/q_i\mathbb{Z})^\times$ . The sequence  $\mathcal{Q}$  is chosen so that for a given integer  $n > 0$ , we can find  $m = m(n) > n$  so that we have ring projection maps  $R_{n+1} \rightarrow R_n := \mathbb{T}_{Q_{m(n)}} / (p^n, \delta_{i, m(n)}^{p^n} - 1)_i$ , and  $R_\infty = \varprojlim_n R_n \cong W[[T_1, \dots, T_r]]$  and  $S_i = \varprojlim_n (\delta_{i, m(n)} - 1)$ .

## §11. Lifting involution.

Write  $\mathcal{D}_q$  for the local version of the deformation functor associated to (D1–4) adding a fixed determinant condition

(det)  $\det(\rho) = \nu^k \psi$  for the chosen  $k \geq 2$ ;

so, the  $Q_m$ -ramified universal ring is given by  $\mathbb{T}_{Q_m}$ .

Write  $\overline{\mathcal{S}}_n$  for the image of  $W[[S]]$  for  $S = (S_1, \dots, S_r)$  in  $R_n$ . We can add the involution to this projective system. Write  $\sigma_n$  for the involution of  $R_n$  induced by  $\sigma_{Q_{m(n)}}$  to the Taylor-Wiles system, and get the lifting  $\sigma_\infty \in \text{Aut}(R_\infty)$ . We can normalize the variable

$$\{T_1, \dots, T_r\} = \{T_1^+, \dots, T_{d_+}^+\} \sqcup \{T_1^-, \dots, T_{d_-}^-\}$$

so that  $\sigma_\infty(T_j^\pm) = \pm T_j^\pm$  (thus  $r = d_+ + d_-$ ). Then we can further lift involution to  $\mathcal{R} = \Lambda[[T_1^+, \dots, T_{d_+}^+, T_1^-, \dots, T_{d_-}^-]]$  as  $\mathcal{R}/(t - \gamma^k) = R_\infty$  for  $t = 1 + T$ .

## §12. Tangent space of $\mathbb{T}$ .

Let  $Y^-(\phi)$  (resp.  $Y_{sp}^-(\phi)$ ) for a character  $\phi : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow W^\times$  be the  $\phi$ -eigenspace of the Galois group of the maximal  $p$ -abelian extension of the composite  $K^-F(\phi)$  **unramified outside  $\mathfrak{p}$  with total splitting of  $\mathfrak{p}^s$  (resp. with total splitting at all prime factors of  $\mathfrak{p}^s N$ )**. The tangent space

$$t_{\mathbb{T}_{Q_m}/W} = \text{Hom}(\mathfrak{m}_{\mathbb{T}_{Q_m}} / (\mathfrak{m}_{\mathbb{T}_{Q_m}}^2 + \mathfrak{m}_W), \mathbb{F})$$

is a Selmer group  $\text{Sel}(Ad)$  for  $Ad = Ad(\bar{\rho}) = \mathfrak{sl}_2(\mathbb{F}) \cong \chi \oplus \text{Ind}_F^{\mathbb{Q}} \varphi^-$ . The involution  $\sigma$  acts on  $t_{\mathbb{T}_{Q_m}/W}$  and writing  $t_{\mathbb{T}_{Q_m}/W}^\pm$  for the “ $\pm$ ” eigenspace of  $\sigma$ , we have  $d_+ = \dim t_{\emptyset/W}^+$  and

$$t_{\mathbb{T}_{\emptyset}/W}^+ = \text{Sel}(\chi) = \text{Hom}(Cl_F, \mathbb{F}) = 0$$

by a generalization of a result of Cho–Vatsal to the case  $f \neq 1$ :

$$t_{\mathbb{T}_{Q_m}/W}^- = \text{Sel}(\text{Ind}_F^{\mathbb{Q}} \varphi^-) = \text{Hom}_{W[\Gamma_-]}(Y^-(\varphi^-), \mathbb{F}).$$

**§13. Dual Selmer groups as an index set for  $Q_m$ .**

The index set of  $Q_m$  is any choice of  $\mathbb{F}$ -basis of a “dual” Selmer group. Regard  $\mathcal{D}_q(\mathbb{F}[\epsilon])$  for the dual number  $\epsilon$  as a subspace of  $H^1(\mathbb{Q}_q, Ad)$  in the standard way: Thus we have the orthogonal complement  $\mathcal{D}_q(\mathbb{F}[\epsilon])^\perp \subset H^1(\mathbb{Q}_q, Ad^*(1))$  under Tate local duality. The dual Selmer group  $\text{Sel}^\perp(Ad^*(1))$  is given by

$$\text{Sel}^\perp(Ad^*(1)) := \text{Ker}(H^1(\mathbb{Q}^{(Np)}/\mathbb{Q}, Ad^*(1)) \rightarrow \prod_{l|Np} \frac{H^1(\mathbb{Q}_l, Ad^*(1))}{\mathcal{D}_l(\mathbb{F}[\epsilon])^\perp}),$$

where  $\mathbb{Q}^{(Np)}/\mathbb{Q}$  is the maximal extension of  $\mathbb{Q}$  inside  $\overline{\mathbb{Q}}$  unramified outside  $Np$  and  $\infty$ .

Then  $r = \dim_{\mathbb{F}} \text{Sel}^\perp(Ad^*(1))$  and choosing a basis  $[c_j] \in \text{Sel}^\perp(Ad^*(1))$  of Selmer classes,  $q_j \in Q_m$  satisfies  $c_j|_{\text{Frob}_{q_j} \widehat{\mathbb{Z}}}$  gives non-trivial local cohomology class.



### §14. Interpretation of the dual Selmer group.

Define  $Q_m^\pm := \{q \in Q_m \mid \chi(q) = \pm 1\}$ . Then if  $S_q$  is the variable in  $W[[S]]$  coming from  $q \in Q_m^\pm$ , then  $\sigma(s_q) = s_q^{\pm 1}$  for  $s_q := 1 + S_q$ .

Since  $Ad = \bar{\chi} \oplus \text{Ind}_F^{\mathbb{Q}} \bar{\varphi}^-$ ; so, for  $Ad^*(1) = Ad(\bar{\rho})(1)$ ,

$$\text{Sel}^\perp(Ad^*(1)) = \text{Sel}^\perp(\bar{\chi}(1)) \oplus \text{Sel}^\perp(\text{Ind}_F^{\mathbb{Q}}(\bar{\varphi}^-(1))),$$

$$\text{Sel}^\perp(\text{Ind}_F^{\mathbb{Q}}(\bar{\varphi}^-(1))) \cong \text{Hom}_{W[\Gamma_-]}(Y_{sp}^-(\varphi^- \omega), \mathbb{F}),$$

$$\text{Sel}^\perp(\bar{\chi}(1)) \cong \text{Hom}(O^\times, \mathbb{F}) \quad (\text{Kummer theory under } p \nmid h_F).$$

The choice of  $q_j$  with  $c_j(\text{Frob}_{q_j}) \neq 0$  forces us that  $Q_m^+$  is indexed by a basis of  $\text{Sel}^\perp(\bar{\chi}(1))$  and  $Q_m^-$  is indexed by a basis of  $\text{Sel}^\perp(\text{Ind}_F^{\mathbb{Q}}(\bar{\varphi}^-(1)))$ ; so,

$$r_+ := \dim \text{Sel}^\perp(\bar{\chi}(1)) = |Q_m^+| \quad \text{and}$$

$$r_- := \dim \text{Sel}^\perp(\text{Ind}_F^{\mathbb{Q}}(\bar{\varphi}^-(1))) = |Q_m^-| \leq d_- \quad \text{by } \sigma(s_q) = s_q^{\pm 1}.$$

### §15. Determination of the dual induced Selmer group.

Write  $\mathfrak{R}$  for the integer ring of  $F(\varphi^-)$ . For simplicity, assume that  $\mathbb{F} = \mathbb{F}_p$ . If  $\phi \in \text{Hom}_{W[\Gamma_-]}(Y_{sp}^-(\varphi^- \omega), \mathbb{F}_p)$ , by Kummer theory (and  $p \nmid h_{F(\varphi^-)}$ ),

$$\overline{\mathbb{Q}}^{\text{Ker}(\phi)}[\mu_p] = F[\mu_p][\sqrt[p]{\epsilon}] \quad \text{for } \epsilon \in \mathfrak{R}[\frac{1}{p}]^\times$$

with the modulo  $p$ -power class  $[\epsilon]$  in the  $(\varphi^-)^{-1}$ -eigenspace:

$$[\epsilon] \in (\mathfrak{R}[\frac{1}{p}]^\times / (\mathfrak{R}[\frac{1}{p}]^\times)^p)[(\overline{\varphi}^-)^{-1}].$$

Since  $F(\varphi^-)$  is a CM field and  $\varphi^-(c) = -1$  for complex conjugation  $c$ ,  $\mathfrak{R}^\times \otimes_{\mathbb{Z}} \mathbb{F}$  does not have  $(\varphi^-)^{-1}$ -eigenspace as  $\varphi^-$  is a totally odd character. The quotient  $p$ -divisor group  $(\mathfrak{R}[\frac{1}{p}]^\times / \mathfrak{R}^\times) \otimes_{\mathbb{Z}} \mathbb{F}$  neither have  $\overline{\varphi}^-$  eigenspace as  $\overline{\varphi}^-(\text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)) \neq 1$ . Thus if  $p \nmid h_{F(\varphi^-)}$ ,

$$r_- = \dim \text{Sel}(\text{Ind}_F^{\mathbb{Q}} \overline{\varphi}^-(1)) = \dim \text{Hom}_{W[\Gamma_-]}(Y_{sp}^-(\varphi^- \omega), \mathbb{F}) = 0.$$

## §16. Determination of the dual Selmer group of $\chi$ .

Write  $O$  for the integer ring of  $F$ . First assume that  $\mathbb{F} = \mathbb{F}_p$ . If  $[c] \in \text{Sel}_{\emptyset}^{\perp}(\overline{\chi}(1))$ , taking  $\Psi = c|_{\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}(\chi\omega))}$ , for  $\mathbb{Q}(\Psi) = \overline{\mathbb{Q}}^{\text{Ker}(\Psi)}$ , the definition of the Selmer group tells us, by Kummer theory and  $p \nmid h_F$ ,

$$\mathbb{Q}(\Psi)[\mu_p] = \mathbb{Q}[\mu_p][\sqrt[p]{\epsilon}]$$

for the fundamental unit  $\epsilon$ . Thus we conclude

$$r_+ = \dim_{\mathbb{F}} \text{Sel}(\overline{\chi}(1)) = 1.$$

Since  $d_+ + d_- = r_+ + r_- = 1$  and  $d_- > 0$  (as  $\sigma$  is non-trivial over  $\mathbb{T}$ ), we conclude  $d_- = 1$ .

## §17. QED.

Let  $I_\infty = R_\infty(\sigma - 1)R_\infty$ ,  $I^Q = \mathbb{T}^Q(\sigma - 1)\mathbb{T}^Q$ . Then  $R_\infty = W[[T_-]]$  with  $S_+ \in W[[T_-^2]]$  and  $\mathcal{R} = \Lambda[[T_-]]$  with  $S_+ \in \Lambda[[T_-^2]]$ . The image of  $T_-$  in  $\mathbb{T}$  gives  $\theta$  in Theorem B. By  $\mathbb{T}/I \cong W = \Lambda/(\langle \varepsilon \rangle - 1)$ , we get  $\mathbb{T} = \Lambda[[T_-]]/(S_+)$ ,  $\mathbb{T}_+ = \Lambda[[T_-^2]]/(S_+)$  and

$$\Omega_{\mathbb{T}/\Lambda} \otimes_{\mathbb{T}} W[\Gamma_-] = I/I^2 \cong (\theta)/(\theta)^2 \cong \Lambda/(\langle \varepsilon \rangle - 1).$$

This tells us that  $\mathbb{T}$  is only ramified over  $\mathbb{T}_+$  for the prime factor of  $(\langle \varepsilon \rangle - 1)$  which supports my conjecture:  $\mathbb{T} \stackrel{?}{=} \mathbb{T}_+[\sqrt{\langle \varepsilon \rangle - 1}]$  under (H1-4), generalizing a result of Cho-Vatsal who treated the case  $f = 1$ .

By Nakayama's lemma,  $\Omega_{\mathbb{T}/\Lambda}$  is also cyclic.

§18.  $(L_p)$  is the different of  $\mathbb{T}/\Lambda$ .

Note that the ideal  $(T_- - \theta) \supset (S_+)$  in  $\mathbb{T}[[T_-]] = \Lambda[[T_-]] \otimes_{\Lambda} \mathbb{T}$ .  
Write

$$(T_- - \theta)\mathcal{L}_p = S_+ \quad (\mathcal{L}_p \in \mathbb{T}[[T_-]])$$

with  $L_p := (\mathcal{L}_p \bmod (T_- - \theta)) \in \mathbb{T}$ . Then  $\mathcal{L}_p dT_- + (T_- - \theta)d\mathcal{L}_p = dS_+$ , and from the commutative diagram with exact rows

$$\begin{array}{ccccc} (S_+)/ (S_+)^2 & \xrightarrow{d} & \Omega_{\mathbb{T}[[T_-]]/\Lambda} \otimes_{\mathbb{T}[[T_-]]} \mathbb{T} & \xrightarrow{\twoheadrightarrow} & \Omega_{\mathbb{T}/\Lambda} \\ \wr \downarrow & & \wr \downarrow & & \parallel \downarrow \\ \mathbb{T}dS_+ & \xrightarrow{L_p} & \mathbb{T}dT_- & \xrightarrow{\twoheadrightarrow} & \Omega_{\mathbb{T}/\Lambda}, \end{array}$$

we conclude  $\Omega_{\mathbb{T}/\Lambda} \cong \mathbb{T}/(L_p)$  for  $L_p = L_p(\text{Ad}(\rho_{\mathbb{T}}))$ .

In an appendix of a paper by Mazur–Roberts, Tate computed also the different  $\mathfrak{d}_{\mathbb{T}/\Lambda}$  and showed  $\mathfrak{d}_{\mathbb{T}/\Lambda} = (L_p)$ .