

1. (10 pts) Prove that the set  $\{3X^2 + X + 1, X^2 - 2X - 2, 2X^2 - 3\}$  is a basis of  $P_2(\mathbb{R})$ .

Assume  $a(3X^2 + X + 1) + b(X^2 - 2X - 2) + c(2X^2 - 3) = 0$ .  
 WTS  $a = b = c = 0$ .

$$(3a+b+2c)X^2 + (a-2b)X + (a-2b-3c) = 0$$

$$\text{So } \begin{cases} 3a+b+2c=0 \\ a-2b=0 \\ a-2b-3c=0 \end{cases}$$

Subtracting the last two gives  $3c = 0$ , so  $c = 0$ .

Now  $3a+b=0$  and  
 $a-2b=0$ .

Multiplying the first of these by 2 and adding to the second gives  $7a = 0$ , so  $a = 0$ . Plugging this into the first equation gives  $b = 0$ .

Therefore the set is linearly independent.

Now since  $\dim(P_2(\mathbb{R})) = 3$ , and we have a linearly independent set with exactly 3 vectors, it is a basis.  $\square$

2. (10 pts) Let  $T : V \rightarrow W$  and  $S : W \rightarrow Z$  be linear transformations.  
 Prove that  $ST$  is one-to-one if and only if  $T$  is one-to-one and  $R(T) \cap N(S) = \{0\}$ .

$(\Rightarrow)$  Assume  $ST$  is one-to-one.

If  $T(x_1) = T(x_2)$  for some  $x_1, x_2 \in V$ , then  $S(T(x_1)) = S(T(x_2))$ , so  
 $ST(x_1) = ST(x_2)$ , so  $x_1 = x_2$  since  $ST$  is one-to-one.

Thus  $T$  is one-to-one.

Now let  $y \in R(T) \cap N(S)$ . Since  $y \in R(T)$ ,  $y = T(x)$  for some  
 $x \in V$ . But since  $y \in N(S)$ ,  $S(y) = 0$ , so  
 $S(T(x)) = 0$ , so  $ST(x) = 0$ . Thus  $x \in N(ST)$ ,  
 but  $N(ST) = \{0\}$  since  $ST$  is one-to-one. So  $x = 0$ ,  
 and thus  $y = T(x) = T(0) = 0$ .

Thus  $R(T) \cap N(S) \subseteq \{0\}$ . Obviously  $R(T) \cap N(S) \supseteq \{0\}$ ,  
 since both  $R(T)$  and  $N(S)$  are subspaces of  $W$ .  
 So  $\underline{R(T) \cap N(S) = \{0\}}$ .

$(\Leftarrow)$  Assume that  $T$  is one-to-one and  $R(T) \cap N(S) = \{0\}$ .

Let  $x \in N(ST)$ . Then  $ST(x) = 0$ , so  $S(T(x)) = 0$ ,

Let  $y = T(x)$ . So  $S(y) = S(T(x)) = 0$ , so  $y \in N(S)$ .

But since  $y = T(x)$ ,  $y \in R(T)$  also. Thus  $y \in R(T) \cap N(S)$ .  
 Therefore  $y = 0$ .

Now we have  $T(x) = 0$ , so  $x \in N(T)$ , but since  $T$  is  
 one-to-one,  $N(T) = \{0\}$ , so  $x = 0$ .

Thus  $N(ST) \subseteq \{0\}$ . Obviously  $N(ST) \supseteq \{0\}$  since  $N(ST)$  is  
 a subspace of  $V$ . So  $\underline{N(ST) = \{0\}}$ , and therefore  
 $\underline{ST \text{ is one-to-one}}$ .



3. (10 pts) Let  $T : V \rightarrow W$  be a linear transformation. Prove that  $T$  is one-to-one if and only if, for any linearly independent subset  $\{v_1, \dots, v_n\}$  of  $V$ , the set  $\{T(v_1), \dots, T(v_n)\}$  is linearly independent in  $W$ .

(Hint: For the  $(\Leftarrow)$  direction, try proof by contrapositive/contradiction.)

$(\Rightarrow)$  Assume  $T$  is one-to-one.

Let  $\{v_1, \dots, v_n\}$  be a linearly independent subset of  $V$ .

Suppose  $\sum_{i=1}^n a_i T(v_i) = 0$  for some scalars  $a_1, \dots, a_n$ .

Since  $T$  is linear,  $T(\sum_{i=1}^n a_i v_i) = 0$ , so  $\sum_{i=1}^n a_i v_i \in N(T)$ .

But since  $T$  is one-to-one,  $N(T) = \{0\}$ , so  $\sum_{i=1}^n a_i v_i = 0$ .

But now since  $\{v_1, \dots, v_n\}$  is linearly independent,  $a_1 = a_2 = \dots = a_n = 0$ .

Thus  $\{T(v_1), \dots, T(v_n)\}$  is linearly independent.

We have proved that if  $\{v_1, \dots, v_n\}$  is any lin. indep. subset of  $V$ , then  $\{T(v_1), \dots, T(v_n)\}$  is a lin. indep. subset of  $W$ .

$(\Leftarrow)$  Assume  $T$  is not one-to-one.

Then  $N(T) \neq \{0\}$ . Choose some  $x \in N(T)$  with  $x \neq 0$ .

Then the set  $\{x\}$  is linearly independent in  $V$ , but the set  $\{T(x)\}$  is just  $\{0\}$ , which is not linearly independent. (Any set containing  $0$  is linearly dependent.)

Therefore it is not true that for any lin. indep. set  $\{v_1, \dots, v_n\}$  of  $V$ ,  $\{T(v_1), \dots, T(v_n)\}$  is lin. indep. in  $W$ .

Now by contrapositive, if the above statement is true, then  $T$  must be one-to-one.

□

4. (10 pts) Let  $T : \mathbb{R}^3 \rightarrow P_3(\mathbb{R})$  be a linear transformation. Let

$$\beta = \{(1, 0, 0), (1, 1, 0), (1, 1, 1)\} \quad \text{and}$$

$$\gamma = \{2X^3 + X - 1, X^3 - 2X^2, 3X^2 + 5, X^2 - X + 3\}.$$

Suppose that

$$[T]_{\beta}^{\gamma} = \begin{pmatrix} 2 & 1 & 0 \\ -2 & 0 & 3 \\ 1 & -1 & -2 \\ 3 & 0 & -4 \end{pmatrix}.$$

Compute  $T(3, 1, 2)$ .

Let  $x = (3, 1, 2)$ .

$$(3, 1, 2) = a_1(1, 0, 0) + a_2(1, 1, 0) + a_3(1, 1, 1) = (a_1 + a_2 + a_3, a_2 + a_3, a_3)$$

$$\begin{cases} a_1 + a_2 + a_3 = 3 \\ a_2 + a_3 = 1 \\ a_3 = 2 \end{cases} \implies \begin{cases} a_1 = 2 \\ a_2 = -1 \\ a_3 = 2 \end{cases}$$

$$\text{So } [x]_{\beta} = \begin{pmatrix} 2 \\ -1 \\ 2 \end{pmatrix}$$

$$[T(x)]_{\gamma} = [T]_{\beta}^{\gamma} [x]_{\beta} = \begin{pmatrix} 2 & 1 & 0 \\ -2 & 0 & 3 \\ 1 & -1 & -2 \\ 3 & 0 & -4 \end{pmatrix} \begin{pmatrix} 2 \\ -1 \\ 2 \end{pmatrix} = \begin{pmatrix} 3 \\ 2 \\ -1 \\ -2 \end{pmatrix}$$

$$\text{So } T(x) = 3(2X^3 + X - 1) + 2(X^3 - 2X^2) - 1(3X^2 + 5) - 2(X^2 - X + 3)$$

$$= \boxed{8X^3 - 9X^2 + 5X - 14}$$

5. (10 pts) Define a linear operator on  $P_3(\mathbb{R})$  by

$$T(f) = f(-2)X^3 + f'.$$

Compute  $\det(T)$ .

Let  $\beta = \{1, X, X^2, X^3\}$ . We'll first compute  $[T]_\beta$ .

$$T(1) = X^3 \quad [T(1)]_\beta = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$T(X) = -2X^3 + 1 \quad [T(X)]_\beta = \begin{pmatrix} 1 \\ 0 \\ 0 \\ -2 \end{pmatrix}$$

$$T(X^2) = 4X^3 + 2X \quad [T(X^2)]_\beta = \begin{pmatrix} 0 \\ 2 \\ 0 \\ 4 \end{pmatrix}$$

$$T(X^3) = -8X^3 + 3X^2 \quad [T(X^3)]_\beta = \begin{pmatrix} 0 \\ 0 \\ 3 \\ -8 \end{pmatrix}$$

$$\text{So } [T]_\beta = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 1 & -2 & 4 & -8 \end{pmatrix}.$$

To compute  $\det(T) = \det([T]_\beta)$ , we expand along the first column, to get

$$\begin{aligned} \det(T) &= 0 \cdot \det \begin{pmatrix} \cdot & \cdot & \cdot \end{pmatrix} - 0 \cdot \det \begin{pmatrix} \cdot & \cdot & \cdot \end{pmatrix} + 0 \cdot \det \begin{pmatrix} \cdot & \cdot & \cdot \end{pmatrix} - 1 \cdot \det \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{pmatrix} \\ &= -1 \cdot 6 = \boxed{-6} \end{aligned}$$

6. (10 pts) Let  $V$  be a finite-dimensional vector space, and let  $T$  be an invertible linear operator on  $V$ .

- (a) (5 pts) If  $x$  is a nonzero vector in  $V$  and  $\lambda$  a nonzero scalar, prove that  $\lambda$  is an eigenvalue of  $T$  corresponding to the eigenvector  $x$  if and only if  $\lambda^{-1}$  is an eigenvalue of  $T^{-1}$  corresponding to the eigenvector  $x$ .

$\lambda$  is an eigenvalue of  $T$  corresponding to the eigenvector  $x$

$$\Leftrightarrow T(x) = \lambda x$$

$$\Leftrightarrow T^{-1}(T(x)) = T^{-1}(\lambda x)$$

$$\Leftrightarrow x = T^{-1}(\lambda x) = \lambda T^{-1}(x)$$

$$\Leftrightarrow \lambda^{-1} x = T^{-1}(x)$$

$\Leftrightarrow \lambda^{-1}$  is an eigenvalue of  $T^{-1}$  corresponding to the eigenvector  $x$ .

□

- (b) (5 pts) Use part (a) to prove that if  $T$  is diagonalizable, so is  $T^{-1}$ .

Assume  $T$  is diagonalizable. By a theorem from class (Theorem 5.1) there exists a basis  $\beta = \{v_1, \dots, v_n\}$  of  $V$  consisting of eigenvectors of  $T$ . By part (a), any eigenvector of  $T$  is also an eigenvector of  $T^{-1}$ . Thus  $\beta$  is a basis of  $V$  consisting of eigenvectors of  $T^{-1}$ . So by the same theorem mentioned above,  $T^{-1}$  is diagonalizable.

□

7. (20 pts) Let  $\langle \cdot, \cdot \rangle$  be the standard inner product (the dot product) on  $\mathbb{R}^3$ . Let  $y = (2, 0, 1)$ . Define a linear operator  $T$  on  $\mathbb{R}^3$  by

$$T(x) = \langle x, y \rangle y + 2x \quad \text{for any } x \in \mathbb{R}^3.$$

- (a) (5 pts) Let  $\gamma$  be the standard ordered basis for  $\mathbb{R}^3$ . Compute  $[T]_\gamma$ .

$$\gamma = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$$

$$T(1, 0, 0) = \langle (1, 0, 0), (2, 0, 1) \rangle (2, 0, 1) + 2(1, 0, 0) = (4, 0, 2) + (2, 0, 0) = (6, 0, 2)$$

$$[T(1, 0, 0)]_\gamma = \begin{pmatrix} 6 \\ 0 \\ 2 \end{pmatrix}$$

$$T(0, 1, 0) = \langle (0, 1, 0), (2, 0, 1) \rangle (2, 0, 1) + 2(0, 1, 0) = (0, 2, 0)$$

$$[T(0, 1, 0)]_\gamma = \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix}$$

$$T(0, 0, 1) = \langle (0, 0, 1), (2, 0, 1) \rangle (2, 0, 1) + 2(0, 0, 1) = (2, 0, 3)$$

$$[T(0, 0, 1)]_\gamma = \begin{pmatrix} 2 \\ 0 \\ 3 \end{pmatrix}$$

$$[T]_\gamma = \begin{pmatrix} 6 & 0 & 2 \\ 0 & 2 & 0 \\ 2 & 0 & 3 \end{pmatrix}$$

- (b) (6 pts) Find the eigenvalues of  $T$ . State the algebraic multiplicity of each eigenvalue.

$$\begin{aligned} \det \begin{pmatrix} 6-\lambda & 0 & 2 \\ 0 & 2-\lambda & 0 \\ 2 & 0 & 3-\lambda \end{pmatrix} &= (2-\lambda) \det \begin{pmatrix} 6-\lambda & 2 \\ 2 & 3-\lambda \end{pmatrix} \\ &= (2-\lambda)((6-\lambda)(3-\lambda)-4) \\ &= (2-\lambda)(\lambda^2 - 9\lambda + 14) \\ &= (2-\lambda)(\lambda-2)(\lambda-7) \\ &= -(\lambda-2)^2(\lambda-7) \end{aligned}$$

Eigenvalues are 2 (with alg. multiplicity 2)  
and 7 (with alg. multiplicity 1)

- (c) (6 pts) For each eigenvalue  $\lambda$  of  $T$ , find a basis of the eigenspace  $E_\lambda$  of  $T$ , and state the geometric multiplicity of  $\lambda$ .

$$\lambda = 2: \begin{pmatrix} 4 & 0 & 2 \\ 0 & 0 & 0 \\ 2 & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \iff x_3 = -2x_1$$

Eigenvectors  $\begin{pmatrix} 1 \\ 0 \\ -2 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$   
for example.

Basis for $E_2$ : $\left\{ \begin{pmatrix} 1 \\ 0 \\ -2 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\}$
Geometric multiplicity 2

$$\lambda = 7: \begin{pmatrix} -1 & 0 & 2 \\ 0 & -5 & 0 \\ 2 & 0 & -4 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \iff x_1 = 2x_3, x_2 = 0$$

Eigenvector  $\begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}$  for example

Basis for $E_7$ : $\left\{ \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix} \right\}$
Geometric multiplicity 1

- (d) (3 pts) Is  $T$  diagonalizable? If so, write down a basis  $\beta$  of  $\mathbb{R}^3$  such that  $[T]_\beta$  is diagonal, and write down  $[T]_\beta$ . If  $T$  is not diagonalizable, explain why not.

Yes.

If  $\beta = \left\{ \begin{pmatrix} 1 \\ 0 \\ -2 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix} \right\}$  then  $[T]_\beta = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 7 \end{pmatrix}$

8. (10 pts) Let  $V$  be an inner product space. Let  $S$  be a subset of  $V$ , and let

$$W = \{v \in V \mid \langle v, x \rangle = 0 \quad \forall x \in S\}.$$

(In other words,  $W$  is the set of all vectors  $v \in V$  that are perpendicular to *every* vector in  $S$ .)

- (a) (6 pts) Prove that  $W$  is a subspace of  $V$ .

$$1. \langle 0, x \rangle = 0 \quad \forall x \in S, \text{ so } 0 \in W$$

$$2. \text{ Let } v_1, v_2 \in W, \text{ so } \langle v_1, x \rangle = 0 \text{ and } \langle v_2, x \rangle = 0 \quad \forall x \in S.$$

$$\text{Then } \langle v_1 + v_2, x \rangle = \langle v_1, x \rangle + \langle v_2, x \rangle = 0 + 0 = 0 \quad \forall x \in S,$$

so  $v_1 + v_2 \in W$ . Thus  $W$  is closed under addition.

$$3. \text{ Let } v \in W \text{ and let } a \text{ be a scalar. Since } v \in W,$$

$$\langle v, x \rangle = 0 \quad \forall x \in S, \text{ so } \langle av, x \rangle = a \langle v, x \rangle = a \cdot 0 = 0 \quad \forall x \in S.$$

Thus  $av \in W$ . Hence  $W$  is closed under scalar multiplication.

Since  $W$  contains  $0$  and is closed under addition and scalar multiplication,  $W$  is a subspace of  $V$ .  $\square$

- (b) (4 pts) Prove that if  $S$  is a subspace of  $V$ , then  $S \cap W = \{0\}$ .

Assume  $S$  is a subspace of  $V$ . Then obviously  $0 \in S$  and  $0 \in W$  (by part a), so  $0 \in S \cap W$ . Thus  $S \cap W \neq \emptyset$ .

Now let  $v \in S \cap W$ . Since  $v \in W$ ,  $\langle v, x \rangle = 0 \quad \forall x \in S$ .

But since  $v \in S$  as well, this means in particular that  $\langle v, v \rangle = 0$ , so  $\|v\|^2 = 0$ , so  $\|v\| = 0$ , so  $v = 0$ .

Thus  $S \cap W \subseteq \{0\}$ , so  $S \cap W = \{0\}$ .  $\square$