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# ON THE RING OF INVARIANT POLYNOMIALS ON A SEMISIMPLE LIE ALGEBRA.<sup>1</sup>

By V. S. VARADARAJAN.

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1. We shall show in this note how a formula due to Harish-Chandra [1] may be used to obtain simple and elementary proofs of some results of Kostant [1] [2] concerning the algebra of invariant polynomials on a complex semisimple Lie algebra. Since we use Harish-Chandra's formula only in a very special case, we have included a simple proof of it in that special case, so as to make the present note self-contained.

2. Let  $\mathfrak{g}$  be a Lie algebra over the field  $\mathbf{C}$  of complex numbers. Let  $G$  denote the complex analytic adjoint group of  $\mathfrak{g}$  and let  $x \rightarrow \text{Ad}(x)$  denote the complex adjoint representation of  $G$  in  $\mathfrak{g}$ . For  $X \in \mathfrak{g}$  and  $x \in G$  we write  $X^x$  for  $\text{Ad}(x) \cdot X$ . A subset  $\Omega \subseteq \mathfrak{g}$  is said to be *invariant* if  $X \in \Omega$  implies  $X^x \in \Omega$  for all  $x \in G$ . A function  $f$ , defined on an invariant set  $\Omega$ , is said to be *invariant* if  $f(X^x) = f(X)$  for all  $X \in \Omega$  and  $x \in G$ . We write  $\mathfrak{S}$  for the algebra of all invariant polynomials on  $\mathfrak{g}$ . It is a well known theorem of Chevalley that if  $\mathfrak{g}$  is semisimple and  $l = \text{rank of } \mathfrak{g}$ , then  $\mathfrak{S}$  is generated by  $l$  homogeneous algebraically independent elements. If  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{g}$ , the restriction of  $\mathfrak{S}$  to  $\mathfrak{h}$  is an algebra isomorphism of  $\mathfrak{S}$  onto the algebra of all polynomials on  $\mathfrak{h}$  which are invariant under the Weyl group of  $(\mathfrak{g}, \mathfrak{h})$ . Let  $p_1, \dots, p_l$  denote  $l$  such homogeneous generators of  $\mathfrak{S}$  and let  $\nu_1, \dots, \nu_l$  be their respective degrees. Since the Weyl group is generated by reflexions, it follows from the work of Chevalley [1] and Shepherd and Todd [1] that the integers  $\nu_j$  are canonically determined by  $\mathfrak{S}$ ; in particular<sup>2</sup>

$$(1) \quad \nu_1 + \nu_2 + \dots + \nu_l = \frac{1}{2}(l + \dim \mathfrak{g}).$$

Kostant, in his paper [1] gave a description of the  $\nu_j$ 's in terms of the root structure of  $(\mathfrak{g}, \mathfrak{h})$ . To describe his result and also to set up notation for our subsequent discussion, we proceed as follows. An element  $X \in \mathfrak{g}$  is said to be *nilpotent* if  $\text{ad } X$  ( $Y \rightarrow [X, Y]$ ) is a nilpotent endomorphism of  $\mathfrak{g}$ .

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<sup>2</sup> In fact, it is shown in the paper of Shepherd and Todd [1] that  $(\nu_1 - 1) + \dots + (\nu_l - 1)$  is the number of reflexions in the Weyl group which is one half of the number of roots of  $(\mathfrak{g}, \mathfrak{h})$ . The relation (1) follows at once from this.

Let  $X_0 \neq 0$  be any nilpotent element of  $\mathfrak{g}$ . Then, a well known theorem of Jacobson and Morozov asserts the existence of nonzero elements  $H_0$  and  $Y_0$  in  $\mathfrak{g}$  such that

$$(2) \quad [H_0, X_0] = 2X_0, \quad [H_0, Y_0] = -2Y_0, \quad [X_0, Y_0] = H_0.$$

The representation  $Z \rightarrow \text{ad } Z$  of the three dimensional Lie algebra  $\mathbf{C} \cdot X_0 + \mathbf{C} \cdot H_0 + \mathbf{C} \cdot Y_0$  acting in  $\mathfrak{g}$  may be decomposed into its irreducible constituents. Let  $\lambda_1, \dots, \lambda_r$  be integers  $\geq 0$  such that the dimensions of these irreducible constituents are  $\lambda_1 + 1, \dots, \lambda_r + 1$ . Then  $r = \dim \mathfrak{z}_{X_0}$  where  $\mathfrak{z}_{X_0}$  is the centralizer of  $X_0$  i. e.,

$$(3) \quad \mathfrak{z}_{X_0} = \{X : X \in \mathfrak{g}, [X_0, X] = 0\}.$$

In general,  $r \geq l$ . If  $r = l$ ,  $X_0$  is said to be a *principal* nilpotent element. Of course,  $H_0$  and  $Y_0$  are not uniquely determined by  $X_0$ . However, given  $X_0$ , the Lie subalgebra  $\mathbf{C} \cdot X_0 + \mathbf{C} \cdot H_0 + \mathbf{C} \cdot Y_0$  is determined up to conjugacy by an element of  $G$  which fixes  $X_0$ . This shows that the numbers  $\lambda_1, \dots, \lambda_r$  are uniquely determined by  $X_0$ . It follows from the theory of representations of the three dimensional simple Lie algebra that the centralizer  $\mathfrak{z}_{Y_0}$  of  $Y_0$  and the range  $\mathfrak{g}_{X_0}$  of  $\text{ad } X_0$  are linearly independent and have  $\mathfrak{g}$  as their direct sum. It also follows from the same theory that  $\text{ad } H_0$  leaves both  $\mathfrak{g}_{X_0}$  and  $\mathfrak{z}_{Y_0}$  invariant, that  $\dim \mathfrak{z}_{Y_0} = r$ , and that there exists a basis  $\{Y'_1, \dots, Y'_r\}$  of  $\mathfrak{z}_{Y_0}$  such that  $[H_0, Y'_j] = -\lambda_j Y'_j$ ,  $j = 1, 2, \dots, r$ . Clearly, if  $U$  is any linear manifold complementary to  $\mathfrak{g}_{X_0}$  and invariant under  $\text{ad } H_0$ , one can find a basis  $\{Y_1, \dots, Y_r\}$  for  $U$  such that

$$(4) \quad [H_0, Y_j] = -\lambda_j Y_j, \quad j = 1, 2, \dots, r.$$

With these definitions we can describe Kostant's results. One of his main results in [1] is that if  $X_0$  is a principal nilpotent element, then the numbers  $1 + \frac{1}{2}\lambda_1, \dots, 1 + \frac{1}{2}\lambda_l$  associated with  $X_0$  coincide with the degrees of any  $l$  homogeneous algebraically independent generators of  $\mathfrak{S}$ . The expressions for these degrees in terms of the root structure of  $(\mathfrak{g}, \mathfrak{h})$  follow from this without much difficulty (cf. [1]). We are also concerned with another result of his (cf. [2]) which asserts that if we choose  $U$  to be a linear manifold complementary to  $\mathfrak{g}_{X_0}$  and invariant under  $\text{ad } H_0$  ( $X_0$  being a principal nilpotent), then the restriction of  $\mathfrak{S}$  to the affine  $l$ -plane  $X_0 + U$  is an algebra isomorphism of  $\mathfrak{S}$  onto the algebra of *all* polynomials on  $X_0 + U$ . The present note sketches an elementary method of obtaining these results from the theory of differential operators on  $\mathfrak{g}$ . It is also shown how the same ideas lead to the well-known

determination of the set of points of  $\mathfrak{g}$  at which the differentials  $dg (g \in \mathfrak{S})$  span an  $l$ -dimensional space.

**3.** Let  $\mathfrak{g}$  be an arbitrary Lie algebra over  $\mathbf{C}$  and  $X_0 \in \mathfrak{g}$ . We define  $\mathfrak{g}_{X_0}$  as the range of  $\text{ad } X_0$  and write  $U$  for a linear manifold complementary to  $\mathfrak{g}_{X_0}$ . Let  $r = \dim U$  and let  $\{Y_1, \dots, Y_r\}$  be a basis for  $U$ . For any function  $f$  defined on an open set containing  $X_0$ , let  $f^\sim$  be the function defined on an open neighborhood of the origin in  $\mathbf{C}^r$  given by

$$(5) \quad f^\sim(u_1, \dots, u_r) = f(X_0 + u_1 Y_1 + \dots + u_r Y_r).$$

LEMMA 1. *There exists an open set  $N$  containing the origin in  $\mathbf{C}^r$  such that the invariant set*

$$(6) \quad \Omega_N = \{(X_0 + u_1 Y_1 + \dots + u_r Y_r)^x : (u_1, \dots, u_r) \in N, x \in G\}$$

is open in  $\mathfrak{g}$ . The mapping  $f \rightarrow f^\sim$  is an algebra isomorphism of the algebra of invariant holomorphic functions on  $\Omega_N$  into the algebra of holomorphic functions on  $N$ .

*Proof.* We write  $\mathbf{u} = (u_1, \dots, u_r)$  to denote a typical point of  $\mathbf{C}^r$ . Let us consider the map

$$\psi: x, \mathbf{u} \rightarrow (X_0 + u_1 Y_1 + \dots + u_r Y_r)^x$$

of  $G \times \mathbf{C}^r$  into  $\mathfrak{g}$ .  $\psi$  is evidently holomorphic. A simple calculation shows that the differential  $d\psi$  is given by

$$(7) \quad d\psi_{x, \mathbf{u}}(Z, \mathbf{v}) = [Z, X_0 + u_1 Y_1 + \dots + u_r Y_r]^x + (v_1 Y_1 + \dots + v_r Y_r)^x;$$

here we identify the tangent space of the complex manifold  $G \times \mathbf{C}^r$  at  $x, \mathbf{u}$  canonically with  $\mathfrak{g} \times \mathbf{C}^r$ , and identify canonically the tangent space of  $\mathfrak{g}$  at any point of it with  $\mathfrak{g}$  itself. From (7) we have

$$(8) \quad d\psi_{1, \mathbf{0}}(Z, \mathbf{v}) = [Z, X_0] + v_1 Y_1 + \dots + v_r Y_r.$$

(8) Shows that  $d\psi_{1, \mathbf{0}}$  is surjective since  $\mathfrak{g} = \mathfrak{g}_{X_0} + U$ , and hence has full rank at  $(1, \mathbf{0})$ . By continuity, there exists an open set  $N$  containing  $\mathbf{0}$  in  $\mathbf{C}^r$  such that  $d\psi_{1, \mathbf{u}}$  has full rank for all  $\mathbf{u} \in N$ . Now, it follows from (7) that

$$d\psi_{x, \mathbf{u}} = \text{Ad}(x) \cdot d\psi_{1, \mathbf{u}}$$

so that  $d\psi_{x, \mathbf{u}}$  has full rank whenever  $(x, \mathbf{u}) \in G \times N$ . Consequently the image of  $G \times N$  under  $\psi$  is open in  $\mathfrak{g}$ . This image is obviously  $\Omega_N$ . This proves the first statement of the lemma. If  $f$  is invariant and holomorphic on  $\Omega_N$  and  $f^\sim = 0$  on  $N$ , then  $f = 0$  on  $\Omega_N$  by invariance. This proves the second statement.

**COROLLARY.** *Let  $\mathfrak{g}$  be semisimple and let  $p_1, \dots, p_l$  be  $l$  homogeneous algebraically independent generators of  $\mathfrak{S}$ . Then the polynomials  $p_1, \dots, p_l$  (on  $\mathbf{C}^r$ ) are algebraically independent.*

*Proof.* If  $Q$  is a complex polynomial in  $l$  variables and  $Q(p_1, \dots, p_l) = 0$ , then  $Q(p_1, \dots, p_l) = 0$  on  $\Omega_N$  and is hence identically zero. This implies that  $Q = 0$ .

It follows from the work of Harish-Chandra [1] that there exists an open set  $N$  containing  $\mathbf{0}$  in  $\mathbf{C}^r$  with the properties: (i) the set  $\Omega_N$ , defined in (6), is open (ii) if  $D$  is a holomorphic differential operator defined on  $\Omega_N$ , there is a holomorphic differential operator  $D^\sim$  defined on  $N$  such that for any invariant holomorphic function  $f$  on  $\Omega_N$ ,

$$(9) \quad (Df)(X_0 + u_1Y_1 + \dots + u_rY_r) = (D^\sim f^\sim)(u_1, \dots, u_r)$$

for  $(u_1, \dots, u_r) \in N$ ,  $f^\sim$  being defined by (5). Actually, we do not need the construction of  $D^\sim$  except in a very simple case for which a special argument can be given. Suppose that  $\mathfrak{g}$  is semisimple,  $X_0 \neq 0$  any nilpotent element and that  $H_0, Y_0$  are chosen to satisfy (2). Let  $U$  be a linear manifold complementary to  $\mathfrak{g}_{X_0}$ , the range of  $\text{ad } X_0$ , and invariant under  $\text{ad } H_0$ . Let  $Y_1, \dots, Y_r$  be a basis for  $U$  such that the relations (4) are satisfied. Let  $N_0$  be any open set containing  $\mathbf{0}$  in  $\mathbf{C}^r$ . For  $D$  we take the vector field  $E$  which assigns to each point  $X \in \mathfrak{g}$  the tangent vector  $X$ .

**LEMMA 2.** *With the notation as above,*

$$(10) \quad E^\sim = \sum_{j=1}^r (1 + \frac{1}{2}\lambda_j)u_j \frac{\partial}{\partial u_j},$$

*i. e.,  $E^\sim$  is a differential operator on  $N_0$  satisfying (9).*

*Proof.* This follows from Harish-Chandra's theory [1] (see Lemma 30). We include however a simple proof, for the sake of completeness. We use the notation of Lemma 1 and its proof. For any holomorphic function  $f$  on  $\Omega_{N_0}$ , let  $f^\psi$  be the function on  $G \times N_0$  given by

$$f^\psi(x, \mathbf{u}) = f((X_0 + u_1Y_1 + \dots + u_rY_r)^x).$$

Now, putting  $x = 1$  in (7) we get

$$d\psi_{1, \mathbf{u}}(Z, \mathbf{v}) = [Z, X_0 + u_1Y_1 + \dots + u_rY_r] + (v_1Y_1 + \dots + v_rY_r).$$

We now choose  $Z = \frac{1}{2}H_0, v_j = (1 + \frac{1}{2}\lambda_j)u_j, 1 \leq j \leq r$ . For this choice of  $Z$  and  $\mathbf{v}$  we find, using (4), that

$$(11) \quad d\psi_{1,\mathbf{u}}(Z, \mathbf{v}) = X_0 + u_1 Y_1 + \cdots + u_r Y_r.$$

If we now notice that

$$(d\psi_{1,\mathbf{u}}(Z, \mathbf{v})f)(X_0 + u_1 Y_1 + \cdots + u_r Y_r) = ((Z, \mathbf{v})f^\psi)(1, \mathbf{u}),$$

we obtain, using (11),

$$\left( \left( \frac{1}{2} H_0, \sum_{j=1}^r (1 + \frac{1}{2} \lambda_j) u_j \frac{\partial}{\partial u_j} \right) f^\psi \right) (1, \mathbf{u}) = (Ef)(X_0 + u_1 Y_1 + \cdots + u_r Y_r).$$

Assume now that  $f$  is *invariant* on  $\Omega_N$ . Then  $f^\psi(x, \mathbf{u}) = f^\sim(\mathbf{u})$  is independent of  $x$ , and hence we conclude from the last equation that for all  $\mathbf{u} \in N$ ,

$$\left( \sum_{j=1}^r (1 + \frac{1}{2} \lambda_j) u_j \frac{\partial}{\partial u_j} f^\sim \right) (\mathbf{u}) = (Ef)(X_0 + u_1 Y_1 + \cdots + u_r Y_r).$$

In view of (9), this proves (10). This completes the proof of Lemma 2.

The formula (10) is central in our analysis. We shall always assume that the  $Y_j$ 's and  $\lambda_j$ 's are so numbered that

$$\lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_r.$$

**THEOREM 1.** *Let  $\mathfrak{g}$  be a semisimple Lie algebra over  $\mathbf{C}$  and let  $X_0$  be a principal nilpotent element, so that  $r = l$ . Let  $p_1, \cdots, p_l$  be  $l$  homogeneous algebraically independent generators of  $\mathfrak{S}$ , of degrees  $\nu_1, \cdots, \nu_l$  respectively, and let these be so numbered that  $\nu_1 \leq \nu_2 \leq \cdots \leq \nu_l$ . Then*

$$(12) \quad \nu_j = 1 + \frac{1}{2} \lambda_j \quad (j = 1, 2, \cdots, l).$$

*Proof.* To start with, we work with an arbitrary nilpotent element  $X_0 \neq 0$ , not necessarily principal. Since  $E p_j = \nu_j p_j$ , we have, by (9) and (10),  $E^\sim p_j^\sim = \nu_j p_j^\sim$ . For any vector  $\mathbf{m} = (m_1, \cdots, m_r)$  with non-negative integral components, let  $M(\mathbf{m})$  be the monomial  $u_1^{m_1} \cdots u_r^{m_r}$ . Then

$$E^\sim(M(\mathbf{m})) = \left\{ \sum_{j=1}^r (1 + \frac{1}{2} \lambda_j) m_j \right\} \cdot M(\mathbf{m}).$$

This shows that for any  $j$ ,  $p_j^\sim$  is a linear combination of those  $M(\mathbf{m})$  for which

$$(13) \quad \sum_{k=1}^r (1 + \frac{1}{2} \lambda_k) m_k = \nu_j.$$

In general,  $r \geq l$ . We claim that

$$(14) \quad \nu_j \geq 1 + \frac{1}{2} \lambda_j \quad j = 1, 2, \cdots, l.$$

Suppose that for some  $j \leq l$ ,  $v_j < 1 + \frac{1}{2}\lambda_j$ . Then

$$(15) \quad v_s < 1 + \frac{1}{2}\lambda_t$$

for  $s \leq j \leq t$ . Comparing (15) with (13) we find that for any  $s \leq j$ ,  $p_s \sim$  is a polynomial only of the variables  $u_1, \dots, u_{j-1}$ . Since this is true for  $s = 1, 2, \dots, j$ , we conclude that  $p_1 \sim, \dots, p_j \sim$  are algebraically dependent, thus contradicting the corollary to Lemma 1. We thus have (14) for all  $j = 1, 2, \dots, l$ .

We now assume that  $X_0$  is principal. Then,  $r = l$  and  $\sum_{j=1}^l (\lambda_j + 1) = \dim \mathfrak{g}$ , as the numbers  $\lambda_1 + 1, \dots, \lambda_l + 1$  are the dimensions of the subspaces into which  $\mathfrak{g}$  splits under the action of  $\mathbf{C} \cdot X_0 + \mathbf{C} \cdot H_0 + \mathbf{C} \cdot Y_0$ . Thus, using (1), we find

$$\sum_{j=1}^l (1 + \frac{1}{2}\lambda_j) = \sum_{j=1}^l v_j.$$

Theorem 1 now follows at once from (14).

We shall now take up the second result of Kostant mentioned in § 2.

**THEOREM 2.** *Let  $\mathfrak{g}$  be a semisimple Lie algebra,  $X_0$  a principal nilpotent element and let  $U$  be a linear manifold complementary to  $\mathfrak{g}_{X_0}$  and invariant under  $\text{ad } H_0$ . Then, the restriction of  $\mathfrak{S}$  to the affine  $l$ -plane  $X_0 + U$  is an algebra isomorphism of  $\mathfrak{S}$  onto the algebra of all polynomials on  $X_0 + U$ .*

*Proof.* Choose a basis  $\{Y_1, \dots, Y_l\}$  for  $U$  satisfying (4). Let us use the notation of Theorem 1. Let  $k_1 < k_2 < \dots < k_m$  ( $m \leq l$ ) be the distinct elements of the set  $\{v_1, \dots, v_l\}$  and let

$$(16) \quad B_s = \{j: v_j = k_s\} \quad (s = 1, 2, \dots, m).$$

Since  $E \sim p_j \sim = v_j p_j \sim$ , we use (13) and (12) to conclude that  $p_j \sim$  is a linear combination of those monomials  $M(\mathbf{m})$  for which  $m_{1\nu_1} + \dots + m_{l\nu_l} = v_j$ . If  $v_j = k_s$ , then this shows that  $p_j \sim$  is a polynomial only of the  $u_i$ 's for which  $i \in \bigcup_{t \leq s} B_t$ , and furthermore, that the  $u_i$ 's with  $i \in B_s$  enter *linearly* in the expression for  $p_j \sim$ . In other words,

$$(17) \quad p_j \sim = \sum_{j' \in B_s} a_{jj'} u_{j'} + Q_j \quad (j \in B_s)$$

where the  $a_{jj'}$  are constants and  $Q_j$  is a polynomial only of those  $u_i$ 's with  $i \in \bigcup_{t \leq s-1} B_t$ . Let  $A_s$  denote the matrix  $(a_{jj'})_{j, j' \in B_s}$ . Then a trivial computation yields the formula



cient now to prove that  $dp_i^0$  vanishes on  $U$  i. e.,  $(\partial/\partial u_j)(p_i^\sim)(\mathbf{0}) = 0$  for  $j = 1, 2, \dots, r$ . Suppose for some  $j_0$ ,  $(\partial/\partial u_{j_0})(p_i^\sim)(\mathbf{0}) \neq 0$ . Since  $E^\sim p_i^\sim = v_i p_i^\sim$ ,  $p_i^\sim$  is a linear combination of the monomials  $u_1^{m_1} \cdots u_r^{m_r}$  with  $m_1(1 + (\lambda_1/2)) + \cdots + m_r(1 + (\lambda_r/2)) = v_i$ , and the monomial  $u_{j_0}$  must appear in this expression with a nonzero coefficient. But then  $v_i = (1 + (\lambda_{j_0}/2))$  from which we conclude easily that  $(\text{ad } X_0)^{2v_i-2} = (\text{ad } X_0)^{\lambda_{j_0}} \neq 0$ . This shows that  $X_0$  must be principal, a contradiction (cf. Kostant [1] Corollary 5.4, Lemma 9.1).

Our final theorem also due to Kostant [2] determines the set of all points of  $\mathfrak{g}$  at which the differentials  $dp_1, \dots, dp_l$  are linearly independent. We recall that for any  $A \in \mathfrak{g}$ , the dimension of the centralizer  $\mathfrak{z}_A$  of  $A$  is  $\geq l$ . Let  $\mathfrak{M}$  be the set of all  $A \in \mathfrak{g}$  with  $\dim \mathfrak{z}_A = l$ .  $\mathfrak{M}$  is a dense open set of  $\mathfrak{g}$ .  $\mathfrak{M}$  is clearly invariant.

**THEOREM 3.** *If  $A \in \mathfrak{g}$ , the differentials  $dp_1, \dots, dp_l$  are linearly independent at  $A$  if and only if  $A \in \mathfrak{M}$ .*

*Proof.* We begin by introducing the well known decomposition  $A = H + X$  where  $H$  is semisimple,<sup>3</sup>  $X$  is nilpotent and  $[H, X] = 0$ .  $H$  and  $X$  are uniquely determined by  $A$ ,  $\mathfrak{z}_A = \mathfrak{z}_H \cap \mathfrak{z}_X$  and the Lie algebra  $\mathfrak{z}_H$  is reductive. The derived algebra  $\mathfrak{z}_H' = [\mathfrak{z}_H, \mathfrak{z}_H]$  is semisimple and  $X \in \mathfrak{z}_H'$ . It is known (Kostant [2], Proposition 13) that  $A \in \mathfrak{M}$  if and only if either  $X = 0$  and  $H$  is regular, or  $H$  is non regular and  $X$  is a principal nilpotent of  $\mathfrak{z}_H'$ . This said, we come to the proof of Theorem 3. We shall assume that  $A \notin \mathfrak{M}$  and prove that  $dp_1, \dots, dp_l$  are linearly dependent at  $A$ . If  $A$  is nilpotent, the corollary to Theorem 2 already proves this; in this case, the space spanned by the differentials  $dg(g \in \mathfrak{S})$  at  $A$  is of dimension  $< l$ . Suppose next that  $A \notin \mathfrak{M}$  but  $H \neq 0$ . Then  $H$  is non regular and  $X$  is not principal in  $\mathfrak{z}_H'$ . Let  $dp_i^0$  be the linear functional on  $\mathfrak{g}$  corresponding to the covector defined by  $dp_i$  at  $A$ , and write

$$\mathfrak{N} = \{Z : Z \in \mathfrak{g}, dp_i^0(Z) = 0 \text{ for } i = 1, 2, \dots, l\}.$$

Since the  $p_i$  are constant on the orbit  $A^G$  we conclude as before that  $\mathfrak{g}_A \subseteq \mathfrak{N}$ . On the other hand, the polynomials  $g_i(Z \rightarrow p_i(H + Z), Z \in \mathfrak{z}_H')$  on  $\mathfrak{z}_H'$  are invariant under the adjoint group of  $\mathfrak{z}_H'$ , so that, the fact that  $X$  is not principal in  $\mathfrak{z}_H'$  implies that  $dg_1, \dots, dg_l$  span a subspace of dimension  $< \text{rank } \mathfrak{z}_H'$  at  $X$ .  $\mathfrak{z}_H'$  being the derived algebra of  $\mathfrak{z}_H$ ,  $\mathfrak{z}_H$  is known to be the direct sum of its center and  $\mathfrak{z}_H'$ ; in particular,  $\dim \mathfrak{z}_H' = \text{rank } \mathfrak{z}_H' = \dim \mathfrak{z}_H - l$ . In other words,

<sup>3</sup> i. e.,  $\text{ad } H$  is a semisimple endomorphism of  $\mathfrak{g}$ .

$$(19) \quad \dim(\mathfrak{N} \cap \mathfrak{z}_{H'}) > \dim \mathfrak{z}_H - l.$$

Hence, as

$$\dim(\mathfrak{N}) \geq \dim(\mathfrak{g}_A + (\mathfrak{N} \cap \mathfrak{z}_{H'})) \geq \dim \mathfrak{g}_A + \dim(\mathfrak{N} \cap \mathfrak{z}_{H'}) - \dim(\mathfrak{g}_A \cap \mathfrak{z}_H),$$

we have,

$$(20) \quad \dim \mathfrak{N} > \dim \mathfrak{g}_A + \dim \mathfrak{z}_H - l - \dim(\mathfrak{g}_A \cap \mathfrak{z}_H).$$

We now notice that  $\mathfrak{g}$  is the direct sum of  $\mathfrak{g}_H$  and  $\mathfrak{z}_H$  as  $\text{ad } H$  is semisimple, and that  $\text{ad } A$  leaves both of them invariant as  $[A, H] = 0$ . Thus  $\mathfrak{g}_A$  is the direct sum of  $\mathfrak{g}_A \cap \mathfrak{z}_H$  and  $\mathfrak{g}_A \cap \mathfrak{g}_H$ , and in particular,  $\mathfrak{g}_A \cap \mathfrak{z}_H = (\text{ad } A)[\mathfrak{z}_H]$ . This shows that

$$\dim(\mathfrak{g}_A \cap \mathfrak{z}_H) = \dim \mathfrak{z}_H - \dim(\mathfrak{z}_A \cap \mathfrak{z}_H) = \dim \mathfrak{z}_H - \dim \mathfrak{z}_A.$$

Substituting in (20) and noting that  $\dim \mathfrak{g}_A + \dim \mathfrak{z}_A = \dim \mathfrak{g}$ , we obtain the inequality

$$(21) \quad \dim \mathfrak{N} > \dim \mathfrak{g} - l.$$

(21) proves that  $dp_1, \dots, dp_l$  are dependent at points of  $\mathfrak{g} - \mathfrak{M}$ .

We now use Theorem 2 and its notation. Since the restriction of  $\mathfrak{S}$  on  $X_0 + U$  is surjective, it follows that  $dp_1, \dots, dp_l$  are linearly independent at the points of  $X_0 + U$  and thus at the points of  $(X_0 + U)^G$ . By the first half of the theorem,  $(X_0 + U)^G \subseteq \mathfrak{M}$ . Moreover Theorem 2 implies that the map, which assigns to each orbit in  $(X_0 + U)^G$  the point of  $\mathbf{C}^l$  composed of the values of  $p_1, \dots, p_l$  on that orbit, has for range the *whole* of  $\mathbf{C}^l$ , while it is well-known that this map is bijective on the set of all orbits of  $\mathfrak{M}$  itself (Kostant [2], Theorem 2). Hence we infer that  $(X_0 + U)^G = \mathfrak{M}$ . This proves that  $dp_1, \dots, dp_l$  are linearly independent precisely at the points of  $\mathfrak{M}$ . Theorem 3 is completely proved.

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