

Torus actions, Morse homology, and the Hilbert scheme of points on affine space

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We formulate a conjecture on actions of the multiplicative group G_m in algebraic geometry. (Over the complex numbers, this group may be called \mathbf{C}^* .) In short, if G_m acts on a quasi-projective scheme U which is attracted as $t \rightarrow 0$ in G_m to a closed subset Y in U , then the inclusion $Y \rightarrow U$ should be an A^1 -homotopy equivalence (Conjecture 1.1). This is not obvious, in that the action of G_m on U usually does not extend to a morphism $A^1 \times U \rightarrow U$; compare Figure 1. We show that the inclusion $Y \rightarrow U$ over the complex numbers is at least a homotopy equivalence in the classical topology (Theorem 1.2). This extends work of Hausel and Rodriguez-Villegas on the case where U is smooth [14, Corollary 1.3.6]. We prove several other results in the direction of the conjecture, including a homotopy equivalence on real points (Theorem 5.1) and, when U is smooth, an A^1 -homotopy equivalence after a suitable suspension (Theorem 8.1). The proofs use the ideas of Morse homology, translated into algebraic geometry (Proposition 2.1).

We apply these results to the Hilbert scheme of points on affine space. The Hilbert scheme of points on an algebraic surface is smooth, and its Betti numbers were computed by Göttsche [12]. The Hilbert scheme of points on a higher-dimensional variety, even affine space A^n , is more mysterious. It has many irreducible components [17], and for $n \geq 16$ its singularities satisfy Murphy's law up to retraction [18]. Nonetheless, progress was recently made toward understanding the homotopy type (and even the A^1 -homotopy type) of $\text{Hilb}_d(A^n)$ for n large compared to d . In particular, in the limit where n goes to infinity, $\text{Hilb}_d(A^\infty)$ has the A^1 -homotopy type of the infinite Grassmannian $\text{Gr}_{d-1}(A^\infty) \simeq BGL(d-1)$ [16]. There are also corresponding stability theorems. In particular, over the complex

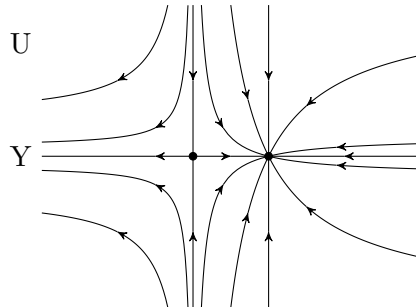


Figure 1: Example of a T -action on $U \cong \mathbf{P}^1 \times A^1$, $t([x_0, x_1], y) = ([x_0, tx_1], ty)$, with $Y = \mathbf{P}^1 \times 0$ shown as the horizontal line. The arrows point in the direction $t \rightarrow 0$. The fixed point set Y^T consists of two points.

numbers, the resulting homomorphism on integral cohomology,

$$H^*(BGL(d-1, \mathbf{C}), \mathbf{Z}) = \mathbf{Z}[c_1, \dots, c_{d-1}] \rightarrow H^*(\text{Hilb}_d(A^n), \mathbf{Z}),$$

is an isomorphism in degrees at most $2n - 2d + 2$ [16].

This paper considers another homotopical property of the Hilbert scheme $\text{Hilb}_d(A^n)$ for finite n . Namely, over the complex numbers, we show that $\text{Hilb}_d(A^n)$ (in the classical topology) has the homotopy type of $\text{Hilb}_d(A^n, 0)$, the (compact) subspace of schemes supported at the origin (Corollary 1.3). This result is deduced from Theorem 1.2 on the topology of G_m -actions. For example, it follows that the weight filtration on the rational cohomology $H^i(\text{Hilb}_d(A^n), \mathbf{Q})$ is concentrated in weights $\leq i$, since that holds for proper schemes over \mathbf{C} [9].

It remains open whether $\text{Hilb}_d(A^n, 0)$ is A^1 -homotopy equivalent to $\text{Hilb}_d(A^n)$, over the complex numbers or any other field. This would follow from our general Conjecture 1.1. We can say something about the unstable A^1 -homotopy type of these spaces, namely that $\text{Hilb}_d(A^n, 0)$ and $\text{Hilb}_d(A^n)$ are A^1 -connected (Theorems 6.1 and 6.3).

As a tool, we extend one of Bachmann's conservativity theorems, relating the motivic stable homotopy category to the derived category of motives along with realizations (Theorem 7.1).

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1 Main results, and a conjecture on G_m -actions in motivic homotopy theory

In this section, we formulate a general conjecture about actions of the multiplicative group $T = G_m$ in motivic homotopy theory. (For motivic homotopy theory as defined by Morel and Voevodsky, a reference is [24] and an introduction is [1].) Roughly, if T acts on a quasi-projective scheme U which is attracted as $t \rightarrow 0$ in T to a closed subset Y in U , then the inclusion $Y \rightarrow U$ should be an A^1 -homotopy equivalence (Conjecture 1.1). We show that (over the complex numbers) the inclusion $Y \rightarrow U$ is at least a homotopy equivalence in the classical topology (Theorem 1.2, proved in section 4).

Let $\text{Hilb}_d(A^n)$ be the quasi-projective scheme of zero-dimensional degree- d closed subschemes of affine space A^n over a field k . When k is the complex numbers, we deduce from Theorem 1.2 that $\text{Hilb}_d(A^n)$ has the homotopy type of $\text{Hilb}_d(A^n, 0)$, the (compact) subspace of schemes supported at the origin (Corollary 1.3).

Here is our general conjecture on actions of the multiplicative group. Let X be a projective scheme over a field k with an action of $T = G_m$. Suppose that there is a T -equivariant ample line bundle on X . Let Y be a T -invariant closed subset of X such that every point x in X with $\lim_{t \rightarrow \infty}(tx) \in Y$ is in Y . Suppose that the fixed point set Y^T is open in X^T . Let U be the subset of points x in X such that $\lim_{t \rightarrow 0}(tx)$ is in Y . We show in Lemma 3.1 that Y is contained in U and U is open in X .

Conjecture 1.1. The inclusion $Y \rightarrow U$ is an A^1 -homotopy equivalence (that is, an isomorphism in the A^1 -homotopy category $H(k)$).

The assumption that X has a T -equivariant ample line bundle is automatic if X is normal [29, Theorem 1.6].

Over the complex numbers, the proof of Theorem 1.2 shows that Y is an *attracting set* for the T -action on X , in the terminology of topological dynamics, and U is the *basin of attraction* for Y [22, section 1]. To say that Y is an attracting set means that there is a neighborhood N_1 of Y (in the classical topology) for which the images $t(N_1)$ converge to Y , meaning that for every neighborhood N_2 of Y , there is an $r > 0$ such that $t(N_1) \subset N_2$ for all $t \in \mathbf{C}^*$ with $|t| < r$.

The conjecture would be useful for motivic homotopy theory, since G_m -actions occur everywhere. When U is smooth, both Y and U are unions of affine bundles over the connected components of Y^T , by Białynicki-Birula [6]. But even then, we only know how to prove that $Y \rightarrow U$ is an A^1 -homotopy equivalence after a suitable suspension (Theorem 8.1). Regardless of whether U is smooth, the conjecture would be clear if the T -action on U extended to a morphism

$$A^1 \times U \rightarrow U,$$

since $0 \times U$ would map into Y ; but in general there is no such morphism. Even the T -action on Y need not extend to a morphism $A^1 \times Y \rightarrow Y$: consider the case where Y is \mathbf{P}^1 with the standard action of T , where $\lim_{t \rightarrow 0} tx = 0$ if $x \neq \infty$ but $\lim_{t \rightarrow 0} t(\infty) = \infty$.

Another way to describe the same situation is Drinfeld’s analog of the Białynicki-Birula decomposition for singular varieties, although we will not use that explicitly in what follows. Namely, Drinfeld defines an algebraic space Y^+ , the “attractor” of Y , as the space of T -equivariant morphisms $A^1 \rightarrow Y$; roughly speaking, a point of Y^+ is a point x of Y together with a limit point $\lim_{t \rightarrow 0} tx$. Drinfeld shows that $Y^+ \rightarrow Y$ is bijective for Y proper over k , although usually not an isomorphism [10, Proposition 1.4.11]. For example, if $Y = \mathbf{P}^1$ with the standard T -action, then the space Y^+ is the disjoint union of A^1 and the point at infinity. In a sense, the difficulty for Conjecture 1.1 is that the action of T on U does not extend to an action of the multiplicative monoid A^1 . The action of T on U^+ does extend to an action of A^1 ; but that does not obviously help, because the morphism $U^+ \rightarrow U$ is usually not a homotopy equivalence.

As evidence for Conjecture 1.1 in the singular case, we prove the following weaker statement in section 4. Theorem 1.2 was proved in the case where U is smooth by Hausel and Rodriguez-Villegas [14, Corollary 1.3.6].

Theorem 1.2. *Under the assumptions of Conjecture 1.1 with base field \mathbf{C} , the inclusion $Y \rightarrow U$ is a homotopy equivalence (in the classical topology).*

Corollary 1.3. *Over the complex numbers, the inclusion from $\mathrm{Hilb}_d(A^n, 0)$ to $\mathrm{Hilb}_d(A^n)$ (in the classical topology) is a homotopy equivalence.*

Proof. (Corollary 1.3) Let $X = \mathrm{Hilb}_d(\mathbf{P}^n)$ and $Y = \mathrm{Hilb}_d(A^n, 0)$. The idea is to use the action of the multiplicative group T (that is, \mathbf{C}^*) on $\mathrm{Hilb}_d(\mathbf{P}^n)$, coming from the action of T on \mathbf{P}^n by $t([x_0, \dots, x_n]) = [x_0, tx_1, \dots, tx_n]$. (We identify A^n with the open subset $x_0 \neq 0$ in \mathbf{P}^n .) Here X has a $GL(n+1)$ -equivariant

ample line bundle by construction. (Namely, Grothendieck constructed the Hilbert scheme as a closed subscheme of the Grassmannian of subspaces of the vector space of homogeneous polynomials of sufficiently high degree, sending a closed subscheme $S \subset \mathbf{P}^n$ to the linear subspace of polynomials that vanish on S [20, section I.1]. The standard ample line bundle $O(1)$ on the Grassmannian is $GL(n+1)$ -equivariant.) In particular, X has a T -equivariant ample line bundle.

The open subset $U \subset X$ of 0-dimensional schemes that converge as $t \in T$ approaches 0 to a subscheme supported at $[1, 0, \dots, 0]$ is exactly $\text{Hilb}_d(A^n)$. The action of T on U need not extend to a morphism $A^1 \times U \rightarrow U$ (or even $A^1 \times Y \rightarrow Y$). Nonetheless, the desired homotopy equivalence follows from Theorem 1.2. \square

Remark 1.4. The action of T on $Y = \text{Hilb}_d(A^n, 0)$ does not extend to a morphism $A^1 \times Y \rightarrow Y$ in any case where $Y \neq Y^T$. For example, for $Y = \text{Hilb}_3(A^2, 0)$, the point $S_a := \{x = ay^2, y^3 = 0\}$ in Y has $\lim_{t \rightarrow 0} t(S_a) = Z := \{x^2 = 0, xy = 0, y^2 = 0\}$ for any $a \neq 0 \in \mathbf{C}$, whereas the point $S_0 := \{x = 0, y^3 = 0\}$ in Y is fixed by T and hence has $\lim_{t \rightarrow 0} t(S_0) = S_0$.

2 G_m -actions and broken trajectories

We show here that for an action of the multiplicative group T on a projective scheme, every limit of T -orbits is a *broken trajectory*, meaning a chain of T -orbits that connect a finite sequence of T -fixed points. This is analogous to fundamental results in Morse homology. Namely, given a smooth function on a closed Riemannian manifold satisfying some mild conditions, every limit of gradient flow lines is a broken trajectory, meaning a chain of gradient flow lines that connect a finite sequence of critical points [5, Theorem 4.9, Definition 4.10]. For a T -action on a smooth complex projective variety, one can in fact deduce the results here from those in Morse homology, applied to a Hamiltonian function for the T -action. Instead, we give a direct proof over any field. It turns out that smoothness is irrelevant.

Proposition 2.1. *Let X be a projective scheme over a field k with an action of $T = G_m$. Suppose that there is a T -equivariant ample line bundle on X . Then every limit of T -orbit closures in X (in the Chow variety of effective 1-cycles on X) is a broken trajectory, that is, a chain of T -orbits (with some positive multiplicities) connecting some T -fixed points.*

In more detail: let C be a smooth curve over k with a morphism $f: C \rightarrow X$, not mapping into X^T . Composing $f_T: T \times C \rightarrow T \times X$ with the action of T gives a morphism $T \times C \rightarrow X$, which extends to a morphism $\mathbf{P}^1 \times (C - Z) \rightarrow X$ for some 0-dimensional closed subset Z in C . This gives a morphism e from $C - Z$ to the Chow variety of 1-cycles on X , which extends to all of C by properness of Chow varieties. Then for each k -point c in C (possibly in Z), $e(c)$ is a broken trajectory over k , meaning the sum of T -orbits (with some positive multiplicities) of points y_1, \dots, y_n in $X(k)$ that connect T -fixed points x_0, \dots, x_n in $X(k)$. More precisely, $\lim_{t \rightarrow 0} t(y_i) = x_{i-1}$ and $\lim_{t \rightarrow \infty} t(y_i) = x_i$ for each $1 \leq i \leq n$.

If the image of $f: C \rightarrow X$ is contained in X^T , then the morphism e to the Chow variety of 1-cycles is constant (equal to zero as a 1-cycle). The proposition would still be true in that case if suitably interpreted: namely, any limit of T -fixed points in X is a T -fixed point.

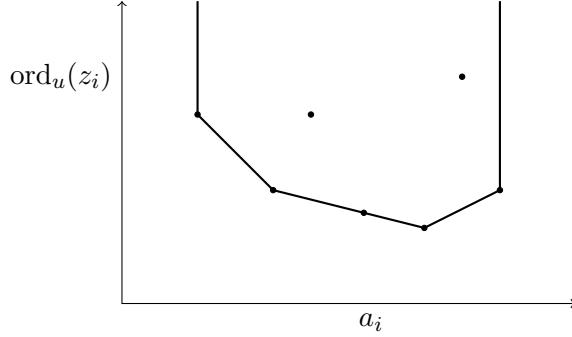


Figure 2: Newton polygon of the pairs $(a_i, \text{ord}_u(z_i))$

Proof. There is a T -equivariant embedding of X into the projective space $P(V)$ for some representation V of T . Given that, we can assume that $X = P(V)$; this greatly simplifies the situation. Then T acts on $X = \mathbf{P}^r$ by $t([z_0, \dots, z_r]) = [t^{a_0} z_0, \dots, t^{a_r} z_r]$ for some integers a_i . We can assume that $a_0 \leq \dots \leq a_r$.

Composing $f: C \rightarrow X$ with the action of T on X gives a morphism $T \times C \rightarrow X$, which can be viewed as a rational map $G: \mathbf{P}^1 \times C \dashrightarrow X$ over k . Since X is proper over k , G becomes a morphism $W \rightarrow X$, where W is a surface obtained by blowing up $\mathbf{P}^1 \times C$ finitely many times at closed points. In particular, G restricts to a morphism $\mathbf{P}^1 \times (C - Z) \rightarrow X$ for some 0-dimensional closed subset Z of X .

Because C is normal and all fibers of $W \rightarrow C$ have dimension 1, the fibers of $W \rightarrow C$ form a well-defined family of effective 1-cycles on W , and hence they give a morphism from C to the Chow variety of 1-cycles on W [20, Theorem I.3.17]. Pushing cycles forward makes the Chow variety covariantly functorial under arbitrary morphisms [20, Theorem I.6.8]. Therefore, the morphism $W \rightarrow X$ gives a morphism e from C to the Chow variety of 1-cycles on X .

For each k -point c in C (possibly in Z), $e(c)$ is an effective 1-cycle in X whose support S is the image under G of the inverse image of c in W . Let us describe this image using power series. The completed local ring of C at c is isomorphic to the power series ring $k[[u]]$. So the curve $f: C \rightarrow X = \mathbf{P}^r$ near c is given by some power series $[z_0(u), \dots, z_r(u)]$ with $z_i(u) \in k[[u]]$, not all zero. Every point in the inverse image of c in W is contained in some curve in W that meets the open set $T \times (C - Z)$ in W . After completion, this curve determines a finite extension F of the field $k((u))$, along with an F -point of $T \times (C - Z)$ over the given $k((u))$ -point of C . Therefore, the support S of the limit 1-cycle $e(c)$, viewed as a subset of $X(\bar{k})$, is the set of all \bar{k} -points in X that can be written as

$$p = \lim_{u \rightarrow 0} [g(u)^{a_0} z_0(u), \dots, g(u)^{a_r} z_r(u)]$$

for some g in the algebraic closure $\overline{k((u))}$, $g \neq 0$.

This limit point depends mainly on the rational number $b := \text{ord}_u(g)$. The situation is described by the Newton polygon of the pairs $(a_i, \text{ord}_u(z_i))$ in $\mathbf{Z} \times (\mathbf{Z} \cup \infty)$, as in Figure 2. (Here $\text{ord}_u(z_i) = \infty$ if $z_i(u)$ is identically zero.)

Namely, let I be the set of numbers $i \in \{0, \dots, r\}$ such that $ba_i + \text{ord}_u(z_i)$ reaches its minimum value. (That is, let l be the unique line of slope $-b$ that meets the Newton polygon but not the region above it; then I corresponds to the points

$(a_i, \text{ord}_u(z_i))$ that lie on l .) Then we compute that the limit point p defined above has all coordinates zero except the i th coordinate for elements $i \in I$. Replacing g by another function with the same value of b (that is, multiplying g by a unit $h(u)$) just replaces p by $h(0)(p)$, another point in the same T -orbit as p .

For all but finitely many rational numbers b , the limit point p above belongs to a set $\{x_0, x_1, \dots, x_n\}$ of T -fixed points, these being indexed by the vertices of the Newton polygon. (For these values of b , all nonzero coordinates i in the set I above have the same weight a_i , which means that p is a T -fixed point.) For the remaining n values of b , corresponding to the non-vertical edges of the Newton polygon, the limit point can be anywhere in the T -orbit of a certain point y_i in X with $\lim_{t \rightarrow 0} t(y_i) = x_{i-1}$ and $\lim_{t \rightarrow \infty} t(y_i) = x_i$. Here the points y_1, \dots, y_n (and hence the points x_0, x_1, \dots, x_n) can be taken to be k -points of X , by choosing the function $g \in \overline{k((u))}$ with a given value of $\text{ord}_u(g) \in \mathbf{Q}$ to lie in a totally ramified extension of $k((u))$, for example in $k((u^{1/e}))$ for a positive integer e . \square

3 Openness

We now prove that the subset U attracted in Y in Conjecture 1.1 is open in X .

Lemma 3.1. *As in Conjecture 1.1, let X be a projective scheme over a field k with an action of $T = G_m$. Suppose that there is a T -equivariant ample line bundle on X . Let Y be a T -invariant closed subset of X such that every point x in X with $\lim_{t \rightarrow \infty} t(x) \in Y$ is in Y . Suppose that the fixed point set Y^T is open in X^T . Let U be the subset of points x in X such that $\lim_{t \rightarrow 0} t(x)$ is in Y . Then Y is contained in U , and U is open in X .*

Proof. Because Y is a T -invariant closed subset of X , Y is contained in U .

Clearly U is a constructible subset of X ; in Drinfeld's notation from section 1 above, U is the image in X of some connected components of X^+ , those whose limit as $t \rightarrow 0$ is in Y^T . (By assumption, Y^T is a union of some connected components of X^T .) It suffices to prove that U is open in X after replacing k by its algebraic closure. If U is not open in X , then there is a morphism f from a smooth curve C to X with a k -point $c \in C$ such that $f(c) \in U$ and $f(d) \notin U$ for all $d \neq c$ in C . Thus $\lim_{t \rightarrow 0} t(f(d)) \in X^T - Y^T$ for $d \neq c$, whereas $\lim_{t \rightarrow 0} t(f(c)) \in Y^T$.

By Proposition 2.1, the limit of the T -orbit closures of the points $f(d)$ as d approaches c is a broken trajectory containing $f(c)$. By what we have said about $f(d)$, this broken trajectory ends (in the $t \rightarrow 0$ direction) at a point in $X^T - Y^T$. But this broken trajectory also contains $f(c)$ and hence the point $\lim_{t \rightarrow 0} t(f(c))$. Therefore, there is a broken trajectory from $\lim_{t \rightarrow 0} t(f(c)) \in Y^T$ down (in the $t \rightarrow 0$ direction) to a point in $X^T - Y^T$. This contradicts our assumption on Y , namely that Y is a T -invariant closed subset such that every point x in X with $\lim_{t \rightarrow \infty} t(x) \in Y$ is in Y . We have shown that U is open in X . \square

4 Proof of Theorem 1.2

Proof. To recall the assumptions: we have a projective scheme X over \mathbf{C} with an action of $T = G_m$, and there is a T -equivariant ample line bundle on X . We have a T -invariant closed subscheme Y of X such that every point x in X with

$\lim_{t \rightarrow \infty}(tx) \in Y$ is in Y , and the fixed point set Y^T is open in X^T . Let U be the subset of points x in X such that $\lim_{t \rightarrow 0}(tx)$ is in Y ; then U is Zariski open in X , and Y is contained in U , by Lemma 3.1. We want to show that the inclusion $Y \rightarrow U$ is a homotopy equivalence in the classical topology.

Lemma 4.1. *Let q_1, q_2, \dots be a sequence of complex points in X that converge to a T -fixed point w . Let t_1, t_2, \dots be a sequence in \mathbf{C}^* that converges to zero in \mathbf{C} . Then any limit point of the sequence $t_i(q_i)$ in X lies in a broken trajectory “below w .” That is, such a limit point belongs to the union of the T -orbits of some points y_1, \dots, y_n in X and some T -fixed points $x_0, \dots, x_n = w$ such that $\lim_{t \rightarrow 0} t(y_i) = x_{i-1}$ and $\lim_{t \rightarrow \infty} t(y_i) = x_i$ for each $1 \leq i \leq n$.*

Proof. We largely follow the proof of Proposition 2.1. Choose a T -equivariant embedding of X into $P(V)$ for some representation V of T . We can write the action of T on $\mathbf{P}^r = P(V)$ by $t([z_0, \dots, z_r]) = [t^{a_0} z_0, \dots, t^{a_r} z_r]$ with $a_0 \leq \dots \leq a_r$. After passing to a subsequence, we can assume that the points q_1, q_2, \dots all have the same lowest weight a_j of a nonzero coefficient. On the locally closed subset K in X of points with this lowest weight, the G_m -action $G_m \times K \rightarrow K$ extends to a morphism $f: A^1 \times K \rightarrow \overline{K}$, by inspection. Here \overline{K} denotes the closure of K in X .

By assumption, the points (t_i, q_i) in $A^1 \times K$ converge to the point $(0, w)$ in $A^1 \times \overline{K}$. The rational map $f: A^1 \times \overline{K} \dashrightarrow \overline{K}$ becomes a morphism after some blow-up $M \rightarrow A^1 \times \overline{K}$ that is an isomorphism over the complement of $0 \times (\overline{K} - K)$. So any limit point of the sequence $t_i(q_i)$ in X is equal to $f(m)$ for some point m in M over $(0, w) \in A^1 \times \overline{K}$. In particular, we can choose a smooth algebraic curve with a morphism to M that goes through m and meets the open set $G_m \times K$.

Thus, by considering the completion of this curve at the point that maps to m , we have power series $g(u) \neq 0 \in \mathbf{C}[[u]]$ and $z(u) \in X(\mathbf{C}((u)))$ such that $g(0) = 0$, $\lim_{u \rightarrow 0} z(u) = w$, and $\lim_{u \rightarrow 0}(g(u))(z(u))$ is the given limit point in X . The proof of Proposition 2.1 showed that the limit of the closures of T -orbits of $z(u)$ as u approaches 0 is a broken trajectory in X , which clearly contains w as one of the T -fixed points x_0, \dots, x_n , say $w = x_j$. Moreover, since $g(0) = 0$ (so that $b := \text{ord}_u(g) > 0$), the explicit calculation of $\lim_{u \rightarrow 0}(g(u))(z(u))$ in \mathbf{P}^r shows that this limit point is “below w ”, that is, in the union of $x_0, \dots, x_j = w$ and the T -orbits that connect them. \square

We continue the proof of Theorem 1.2. By the triangulation of real semialgebraic sets, there is a triangulation of X with Y as a subcomplex [15, section 1]. Therefore, Y has arbitrarily small simplicial regular neighborhoods N in X , and for these the inclusion $Y \rightarrow N$ is a homotopy equivalence [27, chapter 3]. Let N be a (compact) regular neighborhood of Y contained in U .

Consider the submonoid $(0, 1]$ of $T = \mathbf{C}^*$. It would be convenient to have $(0, 1] \cdot N \subset N$, but it is not obvious that we can arrange that. Instead, we argue as follows. I claim that each point $w \in Y^T$ has a neighborhood N_1 in U such that $t(N_1) \subset N$ for all $t \in (0, 1]$. If not, then there would be a sequence q_i in U converging to w such that for each positive integer j , $(0, 1] \cdot q_j$ is not contained in N . So there is a sequence $t_i \in (0, 1]$ such that $t_i(q_i)$ is not in N . The sequence t_i must converge to zero; otherwise, a subsequence of $t_i(q_i)$ would converge to the T -fixed point w in Y (and hence infinitely many of those points would be in N).

After passing to subsequences, we can assume that $t_i(q_i)$ converges to a point v in $X - \text{int}(N)$, hence not in Y . By Lemma 4.1, v belongs to the union of some finite chain of T -orbits going “down” from w , meaning the T -orbits of some points y_1, \dots, y_n in X and some T -fixed points $x_0, \dots, x_n = w$ such that $\lim_{t \rightarrow 0} t(y_i) = x_{i-1}$ and $\lim_{t \rightarrow \infty} t(y_i) = x_i$. By our assumption that all points x in X with $\lim_{t \rightarrow \infty} tx \in Y$ are in Y , it follows that v is in Y , a contradiction. Thus we have proved the claim that each point $w \in Y^T$ has a neighborhood N_1 in U such that $t(N_1) \subset N$ for all $t \in (0, 1]$.

More generally, for each point $x \in U$ (not just in Y^T), there is a real number $a \in (0, 1]$ and a neighborhood N_1 of x in U such that $t(N_1) \subset N$ for all $t \in (0, a]$. That follows from the previous statement applied to the point $y = \lim_{t \rightarrow 0} t(x) \in Y^T$.

Therefore, for every compact subset K of U , there is a real number $a \in (0, 1]$ such that $t(K) \subset N$ for all $t \in (0, a]$. Equivalently, $K \subset a^{-1}(N)$. In particular, there is a real number $c > 1$ such that the compact neighborhood N of Y is contained in the interior of $c(N)$. It also follows that U is the union of the subsets $c^j(N)$ over all $j \geq 0$.

Since the inclusion $Y \rightarrow N$ is a homotopy equivalence, so is the inclusion $Y \rightarrow c^j(N)$ for each integer j . Therefore, each of the inclusions $c^j(N) \rightarrow c^{j+1}(N)$ is also a homotopy equivalence. Since $c^j(N)$ is a closed subset contained in the interior of $c^{j+1}(N)$, the union of these subsets (namely, U) has the colimit topology. Since this is a filtered colimit, the colimit U is equivalent to the homotopy colimit, and so the inclusion $N \rightarrow U$ is a homotopy equivalence. Since the inclusion $Y \rightarrow N$ is also a homotopy equivalence, we conclude that $Y \rightarrow U$ is a homotopy equivalence. \square

5 The real case

Theorem 5.1. *Under the assumptions of Conjecture 1.1 with base field \mathbf{R} , the inclusion $Y(\mathbf{R}) \rightarrow U(\mathbf{R})$ is a homotopy equivalence.*

Proof. This is similar to the complex case (Theorem 1.2). In particular, Lemma 4.1 holds by the same proof over \mathbf{R} in place of \mathbf{C} , using that Proposition 2.1 expresses any limit of T -orbits of \mathbf{R} -points as the union of a finite chain of T -orbits of \mathbf{R} -points. Given that, the proof of Theorem 1.2 applies verbatim (using a regular neighborhood of $Y(\mathbf{R})$ inside $U(\mathbf{R})$) to show that the inclusion $Y(\mathbf{R}) \rightarrow U(\mathbf{R})$ is a homotopy equivalence. \square

6 A^1 -connectedness of the Hilbert scheme

Hartshorne showed that the Hilbert scheme of projective space over a field k (of subschemes with a given Hilbert polynomial) is connected [13]. In particular, $\text{Hilb}_d(\mathbf{P}^n)$ is connected for every $n \geq 1$ and $d \geq 0$. The argument was sharpened by Reeves and Pardue [26, 25]. Reeves and Pardue showed that for an infinite field k , any two k -points of $\text{Hilb}_d(\mathbf{P}^n)$ can be connected by a chain of affine lines over k . By Morel’s results (Lemma 6.2 below), it follows that $\text{Hilb}_d(\mathbf{P}_k^n)$ is A^1 -connected for k infinite.

We now show that $\text{Hilb}_d(A^n)$ and $\text{Hilb}_d(A^n, 0)$ are A^1 -connected over an infinite field k . This seems to be harder for $\text{Hilb}_d(A^n, 0)$, because (for $d > 1$) this space contains no smooth subschemes of A^n . When $n \geq d$, the A^1 -connectedness of these

Hilbert schemes can be proved using the ideas of [16], but here we want the results for all n and d .

Theorem 6.1. *Let k be an infinite field, $n \geq 1$, $d \geq 0$. Then $\text{Hilb}_d(A^n)$ is A^1 -connected over k .*

Proof. We use the following result of Morel's:

Lemma 6.2. *Let X be a separated scheme of finite type over a field k such that X has a k -point. Suppose that for every separable finitely generated field extension F of k , any two F -points of X can be connected by a chain of affine lines $A_F^1 \rightarrow X_F$. Then X is A^1 -connected.*

Proof. For $m \geq 0$, Morel showed that an A^1 -local pointed simplicial Nisnevich sheaf X over k is m -connected if and only if the fiber $X(F)$ is m -connected for every separable finitely generated field extension F of k [23, Lemma 6.1.3]. Also, for a simplicial sheaf X , $\pi_0(X) \rightarrow \pi_0^{A^1}(X)$ is a surjection of Nisnevich sheaves [24, section 2, Corollary 3.22]. In particular, for a separated scheme X of finite type over k , $X(F) \rightarrow \pi_0^{A^1}(X)(F)$ is surjective for every separable finitely generated field extension F over k . This implies the lemma. \square

By Lemma 6.2, it suffices to show that for an infinite field k , any two k -points of $U := \text{Hilb}_d(A^n)$ can be connected by a chain of affine lines $A_k^1 \rightarrow U_k$. So let S be any k -point of U . That is, S is a closed subscheme of A^n over k of dimension zero and degree d . We use a ‘‘Gröbner degeneration,’’ as follows. Let c be a large positive integer, and consider the action of $T := G_m$ on A^n by

$$t(x_1, \dots, x_n) = (t^c x_1, t^{c^2} x_2, \dots, t^{c^n} x_n).$$

Then $S_2 := \lim_{t \rightarrow 0} t(S)$ exists in U . It is a closed subscheme supported at the origin in A^n , and it is fixed by this T -action. That is, the defining ideal I of S_2 as a subscheme of A_k^n is homogeneous with respect to the weights (c, c^2, \dots, c^n) on x_1, \dots, x_n . Taking c big enough compared to d and n , it follows that I is generated by monomials. By construction, we can connect S to S_2 by an affine line over k .

Since S_2 has dimension 0 and is defined by monomials, it is smoothable, using Hartshorne's *proof by distraction*; a specific reference is [8, Proposition 4.15]. We need the more precise information given by the proof, as follows. Let $I = (x^{M_1}, \dots, x^{M_r})$ be the minimal set of monomial generators for the ideal I . We use multi-index notation, so $x^{M_i} = \prod_{j=1}^n x_j^{M_{ij}}$. Consider the following flat family of ideals in $k[x_1, \dots, x_n]$ parametrized by affine space A^d : for a point (a_0, \dots, a_{d-1}) in A^d , take the ideal J_a in $k[x_1, \dots, x_n]$ generated by the elements

$$f_i := \prod_{j=1}^n (x_j - a_0)(x_j - a_1) \cdots (x_j - a_{M_{ij}-1}).$$

The initial ideal of J_a (with respect to any monomial order compatible with the grading, say the graded reverse lexicographic order) is I ; so we have a flat family. This defines a morphism $A^d \rightarrow \text{Hilb}_d(A^n)$ over k , with the origin mapping to the given monomial scheme S_2 . When a_0, \dots, a_{d-1} are distinct elements of k , the subscheme Z_a of A^n defined by J_a contains d distinct k -points: namely, for each of the

d monomials x^L not in I , Z_a contains the k -point $(a_{L_1}, \dots, a_{L_n})$. Since the scheme Z_a has degree d , it must be smooth over k , equal to those d k -points in A^n .

Since k is infinite, it follows that we can connect S_2 by an affine line in $\text{Hilb}_d(A^n)$ to a scheme S_3 which consists of d distinct k -points in A^n . If $n \geq 2$, since the condition for two points to be equal in A^n has codimension at least 2, it is easy to connect S_3 by a chain of affine lines over k to a fixed arrangement S_4 of d distinct k -points in A^n . Thus $\text{Hilb}_d(A^n)$ is A^1 -connected when $n \geq 2$. It is also A^1 -connected when $n = 1$, since $\text{Hilb}_d(A^1) \cong A^d$. \square

Theorem 6.3. *Let k be an infinite field, $n \geq 1$, $d \geq 0$. Then $\text{Hilb}_d(A^n, 0)$ is A^1 -connected over k .*

Proof. By Lemma 6.2, it suffices to show that for every infinite field k , any two k -points of $Y := \text{Hilb}_d(A^n, 0)$ can be connected by a chain of affine lines over k . For lack of a direct proof, we will reduce this to Theorem 6.1.

Let $X = \text{Hilb}_d(\mathbf{P}^n)$, $U = \text{Hilb}_d(A^n)$, and $T = G_m$. Consider the action of T on X coming from the action of T by scaling on A^n . Then Y is a T -invariant closed subset of U , and $\lim_{t \rightarrow 0} t(x)$ exists in Y for each point x in U . Clearly we can connect any k -point x in Y to this limit point by an affine line in Y , and the limit point is fixed by T . So it suffices to show that any two k -points p, q in Y^T can be connected by a chain of affine lines in Y .

We know by the proof of Theorem 6.1 that p and q can be connected by a chain of affine lines in U . So it suffices to show that for any morphism $f: A^1 \rightarrow U$ over k , we can connect $\lim_{t \rightarrow 0} t(f(0))$ to $\lim_{t \rightarrow 0} t(f(1))$ by a chain of affine lines in Y .

Composing f with the action of T on U gives a morphism $T \times A^1 \rightarrow U$ over k , which can be viewed as a rational map $\mathbf{P}^1 \times \mathbf{P}^1 \dashrightarrow X$ over k . Since X is proper over k , this map becomes a morphism after blowing up the domain finitely many times at closed points. It follows that $g(s) := \lim_{t \rightarrow 0} t(f(s))$ defines a morphism $g: A^1 - Z \rightarrow Y$ for some 0-dimensional closed subset Z of A^1 . Since Y is proper over k , g extends to a morphism $g: A^1 \rightarrow Y$. As a result, for any two k -points s_1, s_2 in $A^1 - Z$, $\lim_{t \rightarrow 0} t(f(s_1))$ and $\lim_{t \rightarrow 0} t(f(s_2))$ can be connected by an affine line in Y .

There remains the case where 0 or 1 is in Z . It suffices to show that for any k -point s_0 in Z (which will be 0 or 1 for us), the point $z_0 := \lim_{t \rightarrow 0} t(f(s_0))$ can be connected by a chain of affine lines in Y to $g(s_0)$.

By Proposition 2.1, the T -orbits of the points $f(s)$ (for $s \in A^1 - Z$) converge as s approaches s_0 to a “broken trajectory” containing $f(s_0)$. This means the union of T -orbits of points y_1, \dots, y_n in $X(k)$ that connect T -fixed points x_0, \dots, x_n in $X(k)$, in the sense that $\lim_{t \rightarrow 0} t(y_i) = x_{i-1}$ and $\lim_{t \rightarrow \infty} t(y_i) = x_i$.

Both the k -point $z_0 = \lim_{t \rightarrow 0} t(f(s_0))$ and the k -point $g(s_0)$ lie in this union of T -orbit closures in X , and both are in the closed subset Y . We know that every point x in X with $\lim_{t \rightarrow \infty} t(x) \in Y$ is in Y . Therefore, all the orbit closures that connect z_0 to $g(s_0)$ are in Y . So these two points can be connected by affine lines over k in Y , as we want. \square

7 Conservativity for the motivic stable homotopy category

Extending one of Tom Bachmann’s results, we prove the following conservativity theorem, relating the motivic stable homotopy category with the derived category of motives along with real realizations. Thanks for Bachmann for his suggestions. This result will be used in the proof of Theorem 8.1.

Theorem 7.1. *Let k be a finitely generated field of characteristic zero (that is, a finitely generated extension field of \mathbf{Q}). Let A be a compact object in $SH(k)$ such that $M(A) = 0$ in $DM(k)$ and for every embedding of k into \mathbf{R} , $H_*(A(\mathbf{R}), \mathbf{Z}[1/2]) = 0$. Then $A = 0$.*

If k has no real embedding, Theorem 7.1 just says that $M(A) = 0$ in $DM(k)$ implies $A = 0$ in $SH(k)$.

Proof. Bachmann showed (in particular) that if A is a compact object in $SH(k)$ such that $M(A) = 0$ in $DM(k)$ and for every σ in the space $\text{Sper}(k)$ of orderings of k , $M_\sigma[1/2](A) = 0$ in $D(\mathbf{Z}[1/2])$, then $A = 0$ [2, Theorem 33]. When σ comes from an embedding of k into \mathbf{R} , $M_\sigma[1/2](A)$ is the complex that computes the singular homology of the corresponding real realization of A , $H_*(A(\mathbf{R}), \mathbf{Z}[1/2])$ [2, Remark 1]. It remains to show that we only need to consider orderings that come from real embeddings of k .

We use the following property of the space $\text{Sper}(k)$ of orderings of k [11, Lemma 1.6]. The topology on $\text{Sper}(k)$ is defined by taking the sets $\{\sigma : a >_\sigma 0\}$ for $a \in k$ as a sub-basis for the topology. This makes $\text{Sper}(k)$ into a compact Hausdorff totally disconnected space.

Lemma 7.2. *Let k be a finitely generated field of characteristic zero. Then the set of archimedean orderings of k is dense in the topological space $\text{Sper}(k)$ of orderings of k , and every archimedean ordering comes from an embedding of k into \mathbf{R} .*

Given that, we are done if we can show that the support in $X := \text{Sper}(k)$ of a compact object in $SH(k)$ is open as well as closed. This is related to the general fact that for a tensor triangulated category K , the support of an object of K in the Balmer spectrum $\text{Spc}(K)$ is closed and its complement is quasi-compact [4, Proposition 2.14]. However, we will argue more directly.

We use that the functors M_σ come from a functor from $SH(k)$ to the derived category of sheaves $D(X, \mathbf{Z}[1/2])$, which takes compact objects to compact objects. Indeed, by [2, Lemma 21], the functor from $SH(k)$ to Witt motives $DM_W(k, \mathbf{Z}[1/2])$ is monoidal; so it takes rigid objects to rigid objects, and the rigid objects coincide with the compact objects in these categories. (Some people say “strongly dualizable” rather than “rigid”.) Furthermore, $DM_W(k, \mathbf{Z}[1/2])$ is equivalent to $D(X, \mathbf{Z}[1/2])$ [2, Lemma 26 and proof of Theorem 30].

A compact object in $D(X, \mathbf{Z}[1/2])$ is a perfect complex; that is, it is locally isomorphic to a bounded complex of finitely generated projective $\mathbf{Z}[1/2]$ -modules. (Proof: since X is compact, Hausdorff, and totally disconnected, every open subset of X is a union of clopen subsets (or equivalently, quasi-compact open subsets). It follows that every compact object in $D(X, \mathbf{Z}[1/2])$ is a summand in $D(X, \mathbf{Z}[1/2])$ of a bounded complex of sheaves which are finite direct sums of sheaves of the

form $j_!(\mathbf{Z}[1/2]_U)$, with $j: U \hookrightarrow X$ the inclusion of a quasi-compact open subset [28, Lemma 094C]. Clearly such a summand is a perfect complex of $\mathbf{Z}[1/2]$ -modules on X .)

Because sections of the sheaf $\mathbf{Z}[1/2]$ on $X = \mathrm{Sper}(k)$ are locally constant, the support of a perfect complex on X is open as well as closed. \square

8 G_m -actions on smooth varieties and motivic homotopy theory

We now consider 1.1 in the special case where U is smooth. (One example where this applies is the inclusion from $\mathrm{Hilb}_d(A^2, 0)$ to $\mathrm{Hilb}_d(A^2)$.) For U smooth, we show that the inclusion $Y \rightarrow U$ becomes an A^1 -homotopy equivalence after suspending by $S^{3,1} = S^2 \wedge G_m$. It follows that Y and U have many invariants in common, such as motivic homology and cohomology, l -adic cohomology, and so on. On the other hand, it remains open whether the Nisnevich sheaf $\pi_0^{A^1}$ is the same for Y and U , and likewise for $\pi_1^{A^1}$. At least for $\pi_0^{A^1}$, one might hope to imitate the proof of Theorem 6.3.

Theorem 8.1. *Under the assumptions of Conjecture 1.1 with base field k of characteristic zero, and assuming that U is smooth over k , the inclusion $Y \rightarrow U$ becomes an A^1 -homotopy equivalence after suspending by $S^{3,1} = S^2 \wedge G_m$.*

Proof. We can assume that U is connected, by arguing separately for each connected component of U . Next, by equivariant resolution of singularities (using that k has characteristic zero), we can assume that X (as well as U) is smooth over k , while still having a T -action [21, Proposition 3.9.1].

We first show that the inclusion $Y \rightarrow U$ induces an isomorphism in the derived category of motives $DM(k)$, $M(Y) \rightarrow M(U)$. Namely, since X is smooth over k , we have the Białynicki-Birula decomposition, as follows. The fixed point set X^T is smooth over k . Write Z_1, \dots, Z_m for the connected components of X^T . For each i , let $Z_i^+ = \{x \in X : \lim_{t \rightarrow 0} tx \in Z_i\}$ and $Z_i^- = \{x \in X : \lim_{t \rightarrow \infty} tx \in Z_i\}$ be the stable and unstable manifolds of Z_i . Then the action of T gives morphisms $Z_i^+ \rightarrow Z_i$ and $Z_i^- \rightarrow Z_i$ which are affine-space bundles [6].

Karpenko showed that this geometric decomposition gives a direct-sum decomposition of Chow motives over k [19, Theorem 6.5], [7, Theorem 3.5]:

$$M(X) \cong \bigoplus_{i=1}^m M(Z_i)\{a_i\},$$

where $a_i := \dim(Z_i^+) - \dim(Z_i)$. (Here $\mathbf{Z}\{1\}$ denotes the Lefschetz motive, with $M(\mathbf{P}^1) = \mathbf{Z}\{0\} \oplus \mathbf{Z}\{1\}$.) This implies another decomposition $M(X) \cong \bigoplus_{i=1}^m M(Z_i)\{b_i\}$, where $b_i := \dim(Z_i^-) - \dim(Z_i)$, by inverting the T -action on X . Here

$$a_i + \dim(Z_i) + b_i = n,$$

by considering the action on T on the tangent space to X at a point of Z_i .

The category of Chow motives is a full subcategory of the derived category of motives, $DM(k)$: the thick subcategory generated by smooth projective schemes over k tensored with $\mathbf{Z}\{a\} = \mathbf{Z}(a)[2a]$ for integers a [30]. Every scheme X of finite type over k has a motive $M(X)$ and a compactly supported motive $M^c(X)$ in

$DM(k)$. We can assume that Z_1, \dots, Z_m are ordered in such a way that the closure of Z_i^- is contained in $X_i := \cup_{j \leq i} Z_j^-$. Karpenko's argument shows that the exact triangle

$$M^c(X_{i-1}) \rightarrow M^c(X_i) \rightarrow M^c(Z_i^-) \cong M(Z_i)\{b_i\}$$

in $DM(k)$ is split [19, Theorem 6.5, part (a)]. (Indeed, his splitting on Chow groups is defined by an element of $CH_{\dim(Z_i)+b_i}(Z_i \times X_i)$, and that is precisely $\text{Hom}(M(Z_i)\{b_i\}, M^c(X_i))$ since Z_i is smooth and proper over k .) In particular, it follows that

$$M(X_j) \cong \bigoplus_{i=1}^j M(Z_i)\{b_i\}$$

for each $1 \leq j \leq m$, and its open complement $X - X_j$ satisfies

$$M^c(X - X_j) \cong \bigoplus_{i=j+1}^m M(Z_i)\{b_i\}$$

(Here X_j need not be smooth, but it is proper over k , and so its motive $M(X_j)$ is the same as its compactly supported motive $M^c(X_j)$.)

In the notation of Conjecture 1.1, we can assume that the closed subset Y of X is equal to X_r for some $r \leq m$. So $M(Y) \cong \bigoplus_{i=1}^r M(Z_i)\{b_i\}$. Likewise, the open subset U is the union of the subsets Z_i^+ with $i \leq r$. By the splitting in $DM(k)$ above, applied to the inverse action of T on X , we have

$$M^c(U) \cong \bigoplus_{i=1}^r M(Z_i)\{a_i\}.$$

Since U is smooth of dimension n over k , it follows that

$$\begin{aligned} M(U) &\cong M^c(U)^*\{n\} \\ &\cong \bigoplus_{i=1}^r M(Z_i)^*\{n - a_i\} \\ &\cong \bigoplus_{i=1}^r M(Z_i)\{n - a_i - \dim(Z_i)\} \\ &\cong \bigoplus_{i=1}^r M(Z_i)\{b_i\}. \end{aligned}$$

Thus $M(Y)$ is isomorphic to $M(U)$ in $DM(k)$. More precisely, the inclusion $Y \rightarrow U$ induces an isomorphism $M(Y) \rightarrow M(U)$. To see this, one checks from Karpenko's construction of the splittings that for $i, j \in \{1, \dots, r\}$, the composition $M(Z_i)\{b_i\} \rightarrow M(Y) \rightarrow M(U) \rightarrow M(Z_j)\{b_j\}$ is the identity for $i = j$ and zero if $i < j$.

The schemes Y, U, X with T -action are defined over some finitely generated subfield of k . So we can assume that the field k is finitely generated over \mathbf{Q} . Apply Theorem 7.1 to the cofiber $A = \Sigma^\infty(U/Y)$ in $SH(k)$. We showed above that the motive of A in $DM(k)$ is zero. Also, for every real embedding of k , the real realization of A is zero in the stable homotopy category, by Theorem 5.1. (It may be that k has no real embedding.) Therefore, $A = 0$ in $SH(k)$. That is, the inclusion $Y \rightarrow U$ induces an isomorphism in $SH(k)$.

Again using that k has characteristic zero, Bachmann showed that the \mathbf{P}^1 -suspension functor $Q = \Sigma_{\mathbf{P}^1}^\infty: H(k)_* \rightarrow SH(k)$ is conservative on A^1 -simply connected spaces which can be written as homotopy colimits of spaces $X_+ \wedge G_m$ with $X \in \text{Sm}_k$ [3, Theorem 1.3].

The S^2 -suspension of every space in $H(k)_*$ is A^1 -simply connected. Therefore, $S^2 \wedge G_m \wedge Y_+ \rightarrow S^2 \wedge G_m \wedge U_+$ is a pointed A^1 -homotopy equivalence. \square

Theorem 8.1 can be slightly strengthened if in addition Y and U are A^1 -connected. In that case, their S^1 -suspensions are A^1 -simply connected, and so $\mathbf{P}^1 \wedge Y_+ \rightarrow \mathbf{P}^1 \wedge U_+$ is a pointed A^1 -homotopy equivalence, using that $\mathbf{P}^1 = S^{2,1} = S^1 \wedge G_m$.

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