Lie Group, Lie Algebra and their Representations

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Recommended Books:

A. Kirillov - An introduction to Lie groups and Lie algebras
J-P. Serre - Complex semisimple Lie algebra
W. Fulton, J. Harris - Representation theory
Kirillov is the closest to what we will cover, Fulton-Harris is longer but with lots of example, which provides a good way to understand representation theory.
This course fit in especially well with Differential Geometry and Algebraic Topology.

Definition 1

A Lie group is a group which is also a smooth manifold

Example:

 $\overline{(\mathbb{R},+)}$ is a Lie group of dimension 1 $S^1 = \{z \in \mathbb{C} : |z| = 1\}$ under multiplication

Definition 2

The *n*-sphere $S^n = \{(x_0, ..., x_n)\} \in \mathbb{R}^{n+1} | x_0^2 + \dots + x_n^2 = 1 \}$ is an <u>*n*-manifold</u>

Many interesting Lie groups act on S^2

Example:

 $\overline{SO(3)} = \text{group of rotation in } \mathbb{R}^3 \text{ (this is non-abelian)} \\ PGL(2, \mathbb{C}) \text{ acts on } S^2 = \mathbb{C} \cup \{\infty\} \text{ as Mobius transformation} \\ \text{Here } SO(3) \subseteq PGL(2, \mathbb{C}) = GL(2, \mathbb{C}) / \left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \middle| a \in \mathbb{C}^{\times} \right\} \\ z \mapsto 2z, \text{ say, is in } PGL(2, \mathbb{C}) \text{ acting on } S^2 = \mathbb{C} \cup \{\infty\} \end{cases}$

Examples of Lie groups

- $(\mathbb{R}^n, +)$ any $n \in \mathbb{N}$ (or any finite dimensional real vector space)
- $\mathbb{R}^{\times} = \{x \in \mathbb{R} \mid x \neq 0\}$ under multiplication
- $\mathbb{C}^{\times} = \{x \in \mathbb{C} | x \neq 0\}$ under multiplication
- $GL(n, \mathbb{R}) = \{A \in M_n(\mathbb{R}) | \det A \neq 0\}$ under multiplication
- GL(V) General Linear group, where V is a finite dimensional vector space
- $SL(n, \mathbb{R}) = \{A \in M_n(\mathbb{R}) | \det A = 1\} = \{f : \mathbb{R}^n \to \mathbb{R}^n \text{ linear and preserves volume}\}$ (Special Linear group)
- O(n) (Orthogonal group)
- $Sp(2n, \mathbb{R})$ (Sympletic group)
- U(n) (Unitary group)
- SU(n) (Special Unitary group)

<u>Remark</u>: S^0, S^1, S^3 are the only spheres that are also Lie groups

Orthogonal group

$$O(n) = \{A \in M_n(\mathbb{R}) | AA^{\top} = 1\}$$

= $\{f : \mathbb{R}^n \to \mathbb{R}^n | f \text{ linear and preserves distances } \}$
= $\{f : \mathbb{R}^n \to \mathbb{R}^n | \langle f(x), f(y) \rangle = \langle x, y \rangle \; \forall x, y \in \mathbb{R}^n \}$

where the standard inner product on \mathbb{R}^n is

$$\langle (x_1,\ldots,x_n),(y_1,\ldots,y_n)\rangle = x_1y_1 + x_2y_2 + \cdots + x_ny_n \in \mathbb{R}$$

Elements of O(n) includes rotations and reflections

Note that det is a homomorphism det : $GL(n, \mathbb{R}) \to \mathbb{R}^{\times}$ and this restricts to det : $O(n) \to \{\pm 1\}$ since, $A \in O(n) \Rightarrow 1 = \det(1) = \det(AA^{\top}) = \det(A) \det(A^{\top}) = \det(A)^2$

Definition 3

Special orthogonal group

$$SO(n) = \{A \in M_n(\mathbb{R}) | AA^\top = 1, \det A = 1\}$$

Elements include rotations but not reflections (on \mathbb{R}^n) SO(n) is a subgroup of index 2 in O(n). In fact, O(n) has 2 connected component, the one containing 1 is SO(n)

Also note that $SO(2) \cong S^1$

Symplectic group

$$Sp(2n,\mathbb{R})=\{f:\mathbb{R}^{2n}\rightarrow\mathbb{R}^{2n}\,|w(x,y)=w(f(x),f(y))\forall x,y\in\mathbb{R}^{2n}\}$$

where w is a non-degenerate alternating bilinear form on \mathbb{R}^{2n} :

$$w((q_1,\ldots,q_n,p_1,\ldots,p_n),(q'_1,\ldots,q'_n,p'_1,\ldots,p'_n)) = \sum_{i=1}^n q_i p'_i - p_i q'_i$$

for some choice of basis.

<u>Remark</u>: Any non-degenerate alternating bilinear form w on \mathbb{R}^n must have n <u>even</u>, and after a change of basis, such a form is given by above formula

Example: $\overline{Sp(2n,\mathbb{R})} \subset SL(2n,\mathbb{R})$ $Sp(2,\mathbb{R}) = SL(2,\mathbb{R}) =$ the group of area preserving linear maps

Unitary group

$$U(n) = \{f : \mathbb{C}^n \to \mathbb{C}^n \text{ linear and preserves distance}\} (= GL(n, \mathbb{C}) \cap O(2n))$$

Definition 4

The standard inner product on \mathbb{C}^n is the nondegenerate positive definite Hermitian form

$$\langle (z_1,\ldots,z_n), (w_1,\ldots,w_n) \rangle = \sum_{i=1}^n z_1 \overline{w_1}$$

Note that the length of a vector $z \in \mathbb{C}^n (= \mathbb{R}^{2n})$ is $||z|| = \sqrt{\langle z, z \rangle}$ (as $z = x_i y, z\overline{z} = |z|^2 = x^2 + y^2$) So, we have

$$U(n) = \{f : \mathbb{C}^n \to \mathbb{C}^n \text{ linear } |\langle f(x), f(y) \rangle = \langle x, y \rangle \ \forall x, y \in \mathbb{C}^n \}$$
$$= \{A \in GL(n, \mathbb{C}) | AA^* = 1\} \qquad (A^* = \overline{A^\top})$$

Special Unitary group

The det of a unitary matrix gives a homomorphism det : $U(n) \to S^1 \subset \mathbb{C}^{\times}$

$$SU(n) = \ker \det |_{U(n)}$$

$$\begin{split} & \frac{\text{Example}}{U(1) = \{ z \in M_1(\mathbb{C}) | z\overline{z} = 1 \} = S^1 \\ & SU(2) = \left\{ \begin{pmatrix} a & b \\ -\overline{b} & \overline{a} \end{pmatrix} \middle| a, b \in \mathbb{C}, |a|^2 + |b|^2 = 1 \right\} \\ & \text{Wee see that } SU(2) \text{ is diffeomorphic to } S^3 = \{ (x_0, x_1, x_2, x_3) | x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1 \} \end{split}$$

<u>Remark</u>: S^0, S^1, S^3 are the only sphere that are also Lie groups

Some Basics of Smooth Manifold

Definition 5

A subset $M \subseteq \mathbb{R}^n$ is called <u>k-dimensional manifold</u> (in \mathbb{R}^n) if for every point $x \in M$, the following condition is satisfied:

(M) There is an oen set $U \ni x$, and open set $V \subset \mathbb{R}^n$, and a diffeomorphism $h: U \to V$ s.t.

$$h(U \cap M) = V \cap (\mathbb{R}^k \times \{0\}) = \{x \in V | x_{k+1} = \cdots x_n = 0\}$$

Definition 6

Let $U \subseteq \mathbb{R}^n$, n > 0 be an open set, a smooth function $f: U \to \mathbb{R}$ (or C^{∞}) if all partial derivatives

$$\frac{\partial^r}{\partial_{x_{i_1}}\cdots\partial_{x_{i_r}}}f\qquad(r\ge 0)$$

are defined and continuous on ${\cal U}$

For $U \subseteq \mathbb{R}^m$ open, a smooth mapping $f: U \to \mathbb{R}^n$ is a function s.t. $f = (f_1, \ldots, f_m)$, with $f_i: U \to \mathbb{R}$ smooth function

For $U, V \subseteq \mathbb{R}^n$, a diffeomorphism $f: U \to V$ of degree n is a smooth map (on \mathbb{R}^n) with a smooth inverse

The <u>derivative</u> $df|_x$ of a smooth map $f: U(\subseteq \mathbb{R}^m) \to \mathbb{R}^n$ at a point $x \in U$ is a linear map $\mathbb{R}^m \to \mathbb{R}^n$ given by matrix $(\frac{\partial f_i}{\partial x_i})$

$$\begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \cdots & \frac{\partial f_1}{\partial x_m} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \cdots & \frac{\partial f_1}{\partial x_1} \end{pmatrix} \begin{pmatrix} u_1 \\ \vdots \\ u_m \end{pmatrix} = \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$$

composite of the smooth maps is smooth, and $d(g \circ f)|_x = dg|_{f(x)} \circ df|_x$

Theorem 7 (Inverse Function Theorem)

Let $U \subset \mathbb{R}^n$ open, $f: U \to \mathbb{R}^n$ be a smooth map. Suppose $df|_x$ is an isomorphism, for some $x \in U$ Then $\exists V \subset U, V \ni x$, s.t. f(V) is open and f is a diffeomorphism from V to f(V)

Theorem 8 (Implicit Function Theorem)

Let $U \subseteq \mathbb{R}^m$ open, $f: U \to \mathbb{R}^n$ smooth map. Suppose $df|_x$ is surjective at a point $x \in U$ $(n \leq m)$ Then $\exists V \subseteq U, V \ni x$ and diffeomorphism $\phi: W \to V$ $(W \subseteq \mathbb{R}^n$ open), s.t.

$$f(\phi(x_1,\ldots,x_n)) = (x_1,\ldots,x_n)$$

Definition 9

A submersion is a smooth map which has derivatives being surjective everywhere

A <u>smooth submanifold</u> $X \subseteq \mathbb{R}^N$ of dimension n is a subset s.t. $\forall x \in X, \exists$ nbhd $U \ni x, U \subseteq \mathbb{R}^N$ and a submersion $F: U \to \mathbb{R}^{N-n}$ s.t. $X \cap U = F^{-1}(0) \subseteq U$

Example:

Claim: The sphere $S^n \subseteq \mathbb{R}^{n+1}$ is a smooth *n*-dimensional submanifold

Proof

 $S^n = F^{-1}(0)$, where

$$F: \mathbb{R}^{n+1} \to \mathbb{R}$$

$$(x_0, \dots, x_n) \mapsto x_0^2 + \dots + x_n^2 - 1$$

We have to check that F is a submersion at points $x \in S^n$:

 $dF = (2x_0, 2x_1, \dots, 2x_n)$ (row matrix)

This is surjective whenever $(x_0, \ldots, x_n) \neq (0, \ldots, 0) \Rightarrow$ surjective everywhere on S^n

Example:

 $\overline{X} = \{(x, y) \in \mathbb{R}^2 | xy = a\}$ is a smooth 1-dimensional submanifold for nonzero $a \in \mathbb{R}$, but not for a = 0, here we have:

$$F: \mathbb{R}^2 \to \mathbb{R}$$
$$(x, y) \mapsto xy - a$$

Example:

 $\overline{X} = \{x \in \mathbb{R} | x^2 = 0\}$ is an 0-dimensional submanifold of \mathbb{R} , but $x^2 : \mathbb{R} \to \mathbb{R}$ is not a submersion at 0. To prove that X is a 0-dimensional submanifold, you have to notice that $X = \{x \in \mathbb{R} | x = 0\}$

Definition 10

The tangent space to a smooth *n*-dimensional submanifold $X \subseteq \mathbb{R}^N$ at a point $x \in X$ (if we describe X as $X = F^{-1}(V)$ for some submersion $F: V \to \mathbb{R}^{N-n}$) is defined as:

$$T_x X = \ker(dF|_x : \mathbb{R}^N \to \mathbb{R}^{N-n})$$

This is an *n*-dimensional linear subspace of \mathbb{R}^N

Let $X \subset \mathbb{R}^N$ be a smooth *n*-dimensional submanifold.

A function $f: X \to \mathbb{R}$ is <u>smooth</u> \Leftrightarrow near each point $x \in X$, f is the restriction of a smooth function on an open nbhd of X in \mathbb{R}^N (0-dimensional submanifold of \mathbb{R}^N = discrete subset)

For submanifold $X \subseteq \mathbb{R}^M$, $Y \subseteq \mathbb{R}^N$ (dim=m, n resp.) a smooth map $f: X \to Y$ has <u>derivative</u> $df|_x: T_x X \to T_{f(x)} Y$ which is a linear map

A diffeomorphism between 2 submanifolds is a smooth map with smooth inverse.

<u>Fact</u>: (Hausdorff countable basis) Every smooth manifold is diffeomorphic to a submanifold of \mathbb{R}^N

For submanifold $X \subseteq \mathbb{R}^M, Y \subseteq \mathbb{R}^N$ (dim=m, n resp.), the product $X \times Y \subseteq \mathbb{R}^M \times \mathbb{R}^N = \mathbb{R}^{M+N}$ is a smooth submanifold. It has the product topology

Lie Group

Definition 11

A Lie group G is a smooth manifold which is also a group s.t.

multiplication :
$$G \times G \to G$$
 $(g,h) \mapsto gh$
inverse : $G \to G$ $g \mapsto g^{-1}$

are smooth maps. We have a point $1 \in G$ (the identity)

Note that a Lie group need not be connected. (0-dimensional submanifold of \mathbb{R}^N =discrete subset) In particular, we can view any group (say countable) as a 0-dimensional Lie group.

Lemma 12

Let G be a Lie group, G^0 be the connected component of G containing 1. Then $G^0 \leq G$ and G/G^0 is discrete (with the quotient topology)

Proof

multiplication : $G \times G \to G$ is continuous, so it maps connected space $G^0 \times G^0$ onto connected subset of G, which contains 1. $\Rightarrow G^0 \times G^0 \twoheadrightarrow G^0$ Likewise, inverse : $G^0 \twoheadrightarrow g^0$. Therefore, $G^0 \leq G$

 $- C_{a}: G \to G$

To show $G^0 \leq G$, need to show $\forall g \in G$ the map $\begin{array}{ccc} C_g : G & \to & G \\ x & \mapsto & gxg^{-1} \end{array}$ sends G^0 to G^0

Have C_g smooth \Rightarrow continuous, and $1 \mapsto 1$

 $\begin{array}{ccc} \Rightarrow & C_g : G^0 \twoheadrightarrow G^0 \\ \Rightarrow & G^0 \trianglelefteq G \end{array}$

We have, $\forall g \in G$ a diffeomorphism $\begin{array}{ccc} L_g: G & \to & G \\ & x & \mapsto & gx \end{array}$

(Can check that $L_{g^{-1}}$ is an inverse map, using that G is associative) Therefore, $L_g(G^0) = gG^0$ is the connected component of G containing g. We know that G is the <u>disjoint union</u> of some of these left cosets gG and G/G^0 is the set of cosets. To show that G/G^0 has discrete topology. I have to show that each component gG^0 is open in G. In fact, all connected component in any manifold are open subsets

Lemma 13

Let G be a connected Lie group, Then G is generated by a neighbourhood of $1 \in G$

Proof

Let N be an neighbourhood of $1 \in G$ Let $H \leq G$, generated by N $\Rightarrow H$ open in G because $\forall h \in H$ $hN \subseteq H$ and hN is an open subset of G containing hIn fact, H is also closed in G if $x \in G - H \Rightarrow xN \subseteq G - H$ (If $xn = h \in H$ for some $n \in N$, then $x = hn^{-1} \#$) So H is open and closed and contains $1 \Rightarrow H = G$ since G is connected

ref.: Armstrong, Basic Topology

Definition 14

A homomorphism $f: G \to H$ of Lie groups is a group homomorphism which is also smooth

Lemma 15

Let $f: G \to H$ be a homomorphism of connected Lie groups. Suppose that

$$df|_1: T_1G \twoheadrightarrow T_1H \tag{1}$$

Then $f: G \twoheadrightarrow H$

Proof

By the Implicit Function Theorem, f maps some neighbourhood of $1 \in G$ onto some neighbourhood of $1 \in H$, so f(G) contains the subgroup of H generated by this neighbourhood which is all of Hbecause H is connected

Example:

 $\overline{f:\mathbb{R}\to S^1}\subseteq\mathbb{C}^{\times}$ $i\mapsto e^{it}$ is a homomorphism of Lie groups (It's smooth, and it's a hom. because

f(s+t) = f(s)f(t)Its derivative at 1 is

$$\frac{d(e^{it})}{dt}\bigg|_{t=0} = ie^{it}|_{t=0} = i$$
(2)

which is an isomorphism $\mathbb{R} = T_0 \mathbb{R} \cong T_1 S^1 = i \mathbb{R} \subset \mathbb{C}$ So lemma applies and indeed $\mathbb{R} \twoheadrightarrow S^1$ In fact, $S^1 \cong \mathbb{R} / \mathbb{Z}$ where $2\pi \mathbb{Z} = \mathbb{Z} = \ker f$

Definition 16

A closed Lie subgroup H of a Lie group G is a closed submanifold of G which is a subgroup of G

Note that such a subgroup H is a Lie group. Indeed, multi: $H \times H \to H$ is just the restriction of multi: $G \times G \to G$ so it is also smooth, likewise for inverses.

Use this to prove that the classical groups actually are Lie groups

Example:

 $\overline{GL(n,\mathbb{R})}$. This is an open subset of $M_n \mathbb{R} = \mathbb{R}^{n^2}$ so it is a smooth n^2 -dimensional manifold. Multiplication of matrices is smooth (in fact, polynomial or mapping smooth)

$$\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} b_{11} & \cdots & b_{1n} \\ \vdots & \ddots & \vdots \\ b_{n1} & \cdots & b_{nn} \end{pmatrix} = \begin{pmatrix} a_{11}b_{11} + a_{12}b_{21} + \dots & \cdots \\ \vdots & \ddots & \vdots \\ \vdots & \ddots & \vdots \end{pmatrix}$$

is a smooth function. Inverse is a polynomial in entries of given matrix A and in $1/\det A$ which is a smooth function of $GL(n, \mathbb{R}) = \{A \mid \det A \neq 0\}$. For example,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \frac{1}{ad - bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

 $SL(n,\mathbb{R}) = \{A \in GL(n\mathbb{R}) \mid \det A = 1\}$ This is a closed Lie subgroup of $GL(n,\mathbb{R})$. Clearly it is a closed subgroup

To show: $SL(n, \mathbb{R})$ is a smooth submanifold of dimension $n^2 - 1$. It suffices to check that $SL(n, \mathbb{R})$ is a smooth submanifold near $1 \in G(n, \mathbb{R})$ using left translation (see notes for pictures)

It suffices to show that det : $GL(n, \mathbb{R}) \to \mathbb{R}^{\times}$ is a submersion near 1.

To do this, we see how det changes as you move from $1 \in GL(n, \mathbb{R})$. So look at $A = 1 + \epsilon B$, $B \in M_n \mathbb{R}$. We solve the equation

$$\det A = 1 \pmod{\epsilon^2}$$

We compute:

$$\det(1 + \epsilon B) = \det\left(1 + \epsilon \begin{pmatrix} b_{11} & \cdots \\ \vdots & \ddots \end{pmatrix}\right)$$
$$= (1 + \epsilon b_{11}) \cdots (1 + \epsilon b_{nn}) \pmod{\epsilon^2}$$
$$= 1 + \epsilon (b_{11} + \cdots + b_{nn}) \pmod{\epsilon^2}$$
$$\Rightarrow \ker(d(\det)|_1) = \{B \in M_n \mathbb{R} \mid \operatorname{tr}(B) = 0\}$$

This is a codimension 1 subspace of $M_n \mathbb{R}$ so det is a submersion at $1 \in GL(n, \mathbb{R})$, so $SL(n, \mathbb{R})$ is a closed Lie subgroup, and $\mathfrak{sl}(n) = T_1 SL(n, \mathbb{R}) = \{B \in M_n \mathbb{R} \mid \operatorname{tr}(B) = 0\}$ $\mathfrak{gl}(n) = M_n \mathbb{R} = T_1 GL(n, \mathbb{R})$ Example:

Orthogonal group O(n). Again this is a closed subgroup of $GL(n, \mathbb{R})$. To show that it is a smooth submanifold it suffice to check that near $1 \in GL(n, \mathbb{R})$. So we differentiate these equations for $O(n) \subset GL(n, \mathbb{R})$

So, for $B \in \mathfrak{gl}(n)$ we compute where is :

$$(1 + \epsilon B)(1 + \epsilon B)^t = 1 \pmod{\epsilon^2}$$
(3)

$$(1 + \epsilon B)(1 + \epsilon B)^t = 1 + \epsilon (B + B^t) \pmod{\epsilon^2}$$
(4)

 $F: GL(n, \mathbb{R}) \to \mathbb{R}^{n^2}$ We have $O(n) = F^{-1}(1)$ for some smooth mapping and we have computing $\ker(dF) = \{B \in \mathfrak{gl}(n) \mid B + B^t = 0\}$ $\Rightarrow \quad \dim_{\mathbb{R}}(\ker(dF)) = \dim(\text{zero diagonal matrix}) = \frac{n(n-1)}{2}$

So we would like to say that O(n) is the fibre of a smooth map $GL(n, \mathbb{R}) \to \mathbb{R}^{n^2 - (n(n-1)/2)} = \mathbb{R}^{n(n+1)/2}$ Can we define O(n) using only n(n+1)/2 equations?

Yes, since $\forall A \in GL(n, \mathbb{R}), AA^t$ is symmetric

So $AA^t = 1$ reduces to n(n+1)/2 equations.

So O(n) is a smooth submanifold of dimension n(n-1)/2 in $GL(n, \mathbb{R})$ and hence a closed Lie subgroup. Also $\mathfrak{so}(n) = T_1O(n) = \{B \in \mathfrak{gl}(n) | B^t = -B\}$

Example:

Unitary group $U(n) \subset GL(n, \mathbb{C})$. We just show that it is a smooth (real) submanifold of $GL(n, \mathbb{C})$ near 1

Differentiate the equation for $U(n) \subset GL(n, \mathbb{C})$ at 1: Write $A = 1 + \epsilon B$, $B \in \mathfrak{gl}(n, \mathbb{C}) = M_n \mathbb{C}$ Solve

$$(1 + \epsilon B)(1 + \epsilon B)^* = 1 \pmod{\epsilon^2}$$
$$(1 + \epsilon B)(1 + \epsilon B)^* = 1 + \epsilon(B + B^*) \pmod{\epsilon^2}$$

So $U(n) = F^{-1}(1) \subset GL(n, \mathbb{C})$ where

 $\ker(dF|_1) = \{B \in \mathfrak{gl}(n,\mathbb{C}) \mid B^* = -B\} = \{ \text{skew hermitian matrices} \} = i \{ \text{hermitian matrices} \}$ and $\mathfrak{gl}(n,\mathbb{C}) = \{ \text{hermitian} \} + \{ \text{skew-hermitian} \}$

Skew-hermitian matrix is
$$\begin{pmatrix} ia & z \\ -\bar{z} & ib \end{pmatrix}$$
 $a, b \in \mathbb{R}, z \in \mathbb{C}$

So $\dim_{\mathbb{R}}(\ker(dF|_1)) = (1/2) \dim_{\mathbb{R}} \mathfrak{gl}(n, \mathbb{C}) = n^2$ So I would like to define $U(n) \subset GL(n, \mathbb{C})$ by exactly $2n^2 - n^2 = n^2$ real equations.

Indeed, for any $A \in GL(n, \mathbb{C})$, AA^* is always hermitian (since $(AB)^* = B^*A^*$). So $AA^* = 1$ reduces to only n^2 real equations (say that the element of AA^* above diagonal are zeroes and the elements on diagonal, which are real =1)

So U(n) =fibre of submersion $GL(n, \mathbb{C}) \to \mathbb{R}^{n^2}$ so it is a closed Lie subgroup of $GL(n, \mathbb{C})$

Definition 17

For $A \in M_n(K)$, where $K = \mathbb{R}$ or \mathbb{C} , define the exponential of A by:

$$\exp(A) = \sum_{n=0}^{\infty} \frac{A^n}{n!} \in M_n K$$
(5)

To check that this series converges, define the <u>norm</u>:

$$||A|| := \sup_{\|x\|=1, x \in \mathbb{R}^n} ||Ax||$$
(6)

Clearly $||AB|| \leq ||A|| \cdot ||B||$ $\Rightarrow \forall A \in M_n(K), ||\frac{A^n}{n!}|| \leq \frac{||A||^n}{n!}$ and this series converges in $\mathbb{R} \quad \forall ||A||$. So the series of matrices converges absolutely.

Easy that $\exp: M_n \mathbb{R} \to M_n \mathbb{R}$ is smooth and $\exp: M_n \mathbb{C} \to M_n \mathbb{C}$ is complex analytic.

Also, for ||A|| < 1 define the logarithm

$$\log(1+A) = \sum_{n=1}^{\infty} (-1)^{n+1} \frac{A^n}{n}$$
(7)

This converges for ||A|| < 1.

 \Rightarrow log is defined on the open ball of radius 1 around $1 \in M_n(K)$

Theorem 18 (1) For x in some neighbourhood of $0 \in M_n K$, $\log(\exp(x)) = x$. For X with ||X - 1|| < 1, $\exp(\log(X)) = X$

- (2) $\exp(x) = 1 + x + \cdots$. That is $\exp(0) = 1$ and $d \exp|_0 = \mathrm{id}_{M_n K}$
- (3) If xy = yx in M_nK , then $\exp(x+y) = \exp(x)\exp(y)$ In particular, $\exp(x)\exp(-x) = 1$ for any $x \in M_nK$. So $\exp(x) \in GL(n, K)$
- (4) For a fixed $x \in M_n K$, define a smooth map $\mathbb{R} \to GL(n, K)$ by $t \mapsto \exp(tx)$. Then $\exp((s+t)x) = \exp(sx) \exp(tx) \ \forall s, t \in K$. In other words, $t \mapsto \exp(tx)$ is a homomorphism of Lie groups.
- (5) The exponential map commutes with conjugation and transpose. That is $\exp(A \times A^{-1}) = A \exp(x) A^{-1}$ and $\exp(x)^t = \exp(x^t)$

Proof

- (1) follows from the fact that $\log(\exp(x)) = x$ for $x \in \mathbb{R}$, so that is true as an identity of formal power series. So it works for a matrix X
- (2)
- (3) Try to compute $\exp(x) \exp(y)$ for any $x, y \in M_n(K)$

$$\exp(x)\exp(y) = (1+x+x^2/2+\cdots)(1+y+y^2/2+\cdots)$$
(8)

$$= 1 + (x + y) + (x^{2}/2 + xy + y^{2}/2) + \cdots$$
(9)

and
$$\exp(x+y) = 1 + (x+y) + (x+y)^2/2 + \cdots$$
 (10)

$$= 1 + (x+y) + (x^{2} + xy + yx + y^{2})/2 + \cdots$$
(11)

If yx = yx, then $\exp(x + y) = \exp(x)\exp(y)$ is an identity of power series in commuting variables, say because it's true for $x, y \in \mathbb{R}$

- (4) follows from (3) because for any $x \in M_n K$, and any $s, t \in K$ sx and tx commute. So $\exp(sx + tx) = \exp(sx) \exp(tx)$
- (5) These follow from the power series for exp, using that $(AxA^{-1})^n = Ax^nA^{-1}$, and likelwise $(x^t)^n = (x^n)^t$

Definition 19

A one-parameter subgroup of a Lie group G is a homomorphism $\mathbb{R} \to G$ of Lie groups

The theorem gives, for any $x \in \mathfrak{gl}(n, \mathbb{R})$, a one-parameter subbgroup of $GL(n, \mathbb{R})$, $\mathbb{R} \to GL(n, \mathbb{R})$ with tangent vector at 0 is $x \in \mathfrak{gl}(n, \mathbb{R}) = T_1 GL(n, \mathbb{R})$

Theorem 20

For every classical group $G \subseteq GL(n, K)$ (to be listed), G is a closed Lie subgroup of GL(n, K). In fact, if we let $\mathfrak{g} = T_1G$, then exp gives diffeomorphism, for some neighbourhood U of 1 in GL(n, K) and \mathfrak{u} of 0 in $\mathfrak{gl}(n, K), U \cap G \rightleftharpoons_{\exp}^{\log} \rightleftharpoons \mathfrak{u} \cap \mathfrak{g}$

The classical groups:

(1) Compact (real) groups: SO(n), U(n), SU(n), Sp(n)

- (2) GL(n, K), SL(n, K), SO(n, K), O(n, K); for $K = \mathbb{R}$ or \mathbb{C}
- (3) Real Lie group: $Sp(2n, \mathbb{R})$
- (4) Complex Lie gorup: $Sp(2n, \mathbb{C})$

Example:

 $\overline{O(n,\mathbb{C})}$ = subgroup of $GL(n,\mathbb{C})$ preserving the symmetric \mathbb{C} -bilinear form:

$$\langle (z_1,\ldots,z_n),(w_1,\ldots,w_n)\rangle = \sum z_i w_i$$

 $Sp(2n, \mathbb{C}) =$ subgroup of $GL(2n, \mathbb{C})$ preserving the standard \mathbb{C} -symplectic (i.e. alternating nondegenerate) form:

$$w((z_1,\ldots,z_{2n}),(w_1,\ldots,w_{2n})) = (z_1w_{n+1} - z_{n+1}w_1) + (z_2w_{n+2} - z_{n+2}w_2) + \cdots$$

Compact symplectic group

Sp(n):=subgroup of GL(n, H) preserving distance on $H^n = \mathbb{R}^{4n}$. Here the quaternions $H = \mathbb{R} \ 1 \oplus \mathbb{R} \ i \oplus \mathbb{R} \ j \oplus \mathbb{R} \ k$ determined by $i^2 = k^2 = j^2 = -1$ and ij = k (etc.).

Say we define an *H*-vector space *V* to be a right *H*-module, $va \in V$ for $a \in H$. For example $H^n = \{(z_1, \ldots, z_n)^\top \mid z_i \in H\}$ is an *H*-vector space. $GL(n, H) := \{$ invertible *H*-linear maps $H^n \to H^m \} \subseteq M_n(H)$

Warning: det only defined for matrices uses a <u>commutative</u> ring.

Why are O(n), U(n), Sp(n) compact?

 $O(n) = \{ \text{ matrix with column } i = A(e_i) \mid A(e_1), \dots, A(e_n) \in \mathbb{R}^n \text{ orthonormal} \} \subseteq M_n \mathbb{R} = \mathbb{R}^{n^2}$ is a closed bounded subset and hence compact

 $U(n) = GL(n, \mathbb{C}) \cap O(2n)$ which is closed subset of O(2n) hence compact.

 $Sp(n) = GL(n, H) \cap O(4n) \subset GL(4n, \mathbb{R})$ a closed subset of O(4n), so Sp(n) is compact

Proof of Theorem 20 in a few cases:

 $\frac{SL(n,\mathbb{R}):}{\operatorname{tr}(x)=0} \text{ Claim that: for } x \in \mathfrak{gl}(n,\mathbb{R}), \text{ near } 0, \exp(x) \in SL(n,\mathbb{R}) \Leftrightarrow x \in \mathfrak{sl}(n,\mathbb{R}) := \{x \in \mathfrak{gl}(n) \mid \operatorname{tr}(x)=0\}.$

Use Jordan canonical form: For any $x \in M_n \mathbb{C}$, x is conjugate (over \mathbb{C}) to an upper-triangular matrix.

So
$$\exp(x)$$
 is conjugate (over \mathbb{C}) to $\begin{pmatrix} e^{a_1} & * \\ & \ddots & \\ 0 & & e^{a_n} \end{pmatrix}$.

In particular,

$$\det \exp(x) = e^{a_1} \cdots e^{a_n} \tag{12}$$

 $= e^{a_1 + \cdots a_n} \tag{13}$

 $= \exp(\operatorname{tr}(x)) \tag{14}$

So,

$$\exp(x) \in SL(n,\mathbb{R}) \quad \Leftrightarrow \quad \det \exp(x) = 1 \tag{15}$$

$$\Leftrightarrow \exp(\operatorname{tr}(x)) = 1 \Leftrightarrow \operatorname{tr}(x) \in 2\pi i \mathbb{Z}$$
(16)

For x near 0, this happens $\Leftrightarrow \operatorname{tr}(x) = 0$

Definition 21

<u>vector field</u> V on a smooth manifold M assigns to every point $p \in M$ a tangent vector $v_p \in T_pM$ s.t. in any coordinate chart, it has the form

$$v = \sum_{i=1}^{n} f_i(p) \frac{\partial}{\partial x_i} \tag{17}$$

where f_1, \ldots, f_n are smooth functions $M \to \mathbb{R}$ (see picture)

Here we write $\frac{\partial}{\partial x_1}, \ldots, \frac{\partial}{\partial x_n}$ for the standard basis to $T_p \mathbb{R}^n$ for every $p \in \mathbb{R}^n$

Two ways to think of tangent vectors at $p \in M$:

- (1) A smooth curve $c : \mathbb{R} \to M$ has a tangent vector $c'(t) \in T_{c(t)}M$
- (2) Differentiate a smooth function F on M in the direction of tangent vector $X \in T_p M$ at point p (one definition: pick a curve c with c'(0) = X and then define $X(f) = \frac{d}{dt}|_{t=0} f(c(t))$)

We can identify T_pM with the space of "derivation at p", $X : C^{\infty}(M) \to \mathbb{R}$, \mathbb{R} -linear, s.t. $X(fg) = f(p)X(g) + X(f)g(p) \in \mathbb{R}$

In particular, in some coordinates, $\frac{\partial}{\partial x_1}\Big|_p, \dots, \frac{\partial}{\partial x_n}\Big|_p$ are derivation at p

Theorem 22 (Existence and Uniqueness for ODEs)

Let M be a smooth manifold, X a vector field on $M, p \in M$.

Then $\forall a < 0, b > 0$, $\exists \underline{\text{at most one}}$ curve $c : (a, b) \to M$ s.t. c(0) = p and $c'(t) = X_{c(t)} \in T_{c(t)}M$ Also, c(t) exists on <u>some</u> open interval around 0, the maximal interval might or might not be \mathbb{R} . If M is compact then c(t) is defined $\forall t \in \mathbb{R}$

Theorem 23

Let G be a Lie group, $x \in T_1G$. Then $\exists !$ one parameter subgroup $f : \mathbb{R} \to G$ s.t. f'(0) = x

Proof

(see picture)

Suppose we have such a f. We know that $\forall t, t_0 \in \mathbb{R}$, $f(t + t_0) = f(f_0)f(t) \in G$ For $t_0 \in \mathbb{R}$, and think if t near 0. Then $f(t + t_0) = L_{f(t_0)}f(t) \in G$ Differentiate this w.r.t. t at t = 0 gives:

 $f'(t_0) = dL_{f(t_0)}(x) \in T_{f(x_0)}G$, since $f'(0) = x \in T_1G$ so define a <u>left-invariant vector field</u> X on G by: $\forall g \in G$, take the tangent vector $X_g := (dL_g)(x) \in T_gG$

So f(t) must be the unique solution to the ODE: $f(0) = 1 \in G$ and $f'(t) = X_{f(t)} \in T_{f(t)}G \ \forall t \in (a,b) \subseteq \mathbb{R}$

One checks that a solution to the ODE is a one-parameter subgroup.

Suppose we have defined $f : [0,T] \to G$ with f(s+t) = f(s)f(t) for $s, t, s+t \in [0,T]$. Then we can define f on [T,2T] by f(T+t) = f(T)f(t) for $t \in [0,T]$. (see picture) Repeat process.

Definition 24

Let G be a Lie group. Then the exponential map $\exp : \mathfrak{g} \to G$ (where $\mathfrak{g} = T_1G$) is defined by

$$\exp(x) = f(1) \tag{18}$$

where $f : \mathbb{R} \to G$ is the unique one-parameter subgroup with $f'(0) = x \in \mathfrak{g}$ (This is smooth, by theorems on ODEs) Notice that for $t \in \mathbb{R}$, $\exp(tx) = f(t)$. That is, $t \mapsto \exp(tx)$ is the unque one-parameter subgroup $\mathbb{R} \to G$ with tangent vector x at time 0.

For $G = GL(n, \mathbb{R})$ it follows that this map is the same as the matrix exponential

$$\exp:\mathfrak{gl}(n,\mathbb{R}) \to GL(n,\mathbb{R}) \tag{19}$$

More generally, let H < G be a closed Lie subgroup of Lie group G. For x near 0 in \mathfrak{g} , $\exp(x) \in H \Leftrightarrow x \in \mathfrak{h}$ (see picture)

<u>Remark</u>: $d \exp |_0 : \mathfrak{g} \to T_1 G = \mathfrak{g}$ is the identity map, so \exp gives a diffeomorphism from a neighbourhood of 0 in \mathfrak{g} to a neighbourhood of 1 in G for any Lie group.

Lemma 25

For any connected Lie group G, G is generated by the subset $\exp(\mathfrak{g}) \subset G$

Proof

By Inverse Function Theorem, since $d \exp |_0$ =identity on \mathfrak{g} , $\exp(\mathfrak{g})$ contain a neighbourhood of 1 in G. Since G is connected, this generates G as a group.

Corollary 26

Let G, H be Lie groups, G connected. Let $\alpha, \beta : G \to H$ be homomorphisms s.t. $d\alpha|_1 = d\beta|_1 : \mathfrak{g} \to \mathfrak{h}$. Then $\alpha = \beta$

Proof

For any $x \in \mathfrak{g}$, then $t \mapsto f(\exp(tx))$ is a one-parameter subgroup $f : \mathbb{R} \to H$. The tangent vector to this one-parameter subgroup in H is $df|_1(x) \in \mathfrak{h}$, so $f(\exp(tx)) = \exp(tdf|_1(x))$. Since α and β have the same derivative at 1, we have $\alpha(\exp(tx)) = \beta(\exp(tx)) \ \forall t \in \mathbb{R}, x \in \mathfrak{g}$. So,

Since α and β have the same derivative at 1, we have $\alpha(\exp(tx)) = \beta(\exp(tx)) \ \forall t \in \mathbb{R}, x \in \mathfrak{g}$. So, $\alpha = \beta$ on $\exp(\mathfrak{g}) \subset G$. So $\alpha = \beta$ on all of G.

Example

- For G abelian Lie group, exp is "globally well-behave". It is a group homomorphism exp : $\mathfrak{g} \to G$, it is surjective, and it is a covering map (See Armstrong, Basic Topology)
- $G = S^1$, then $\exp: \mathfrak{g} \to S^1$ is the map $\mathbb{R} \to S^1$ $t \mapsto e^{it}$

For G nonabelian, exp need not be a covering map even if it is surjective.

Example $G = Sp(1) = \{z \in H \cong \mathbb{R}^4 \mid |z| = 1\} \cong S^3$ group under multiplication. $\mathfrak{g} \to \overline{G} = S^3$ sends all vectors of length π to the point -1; all vectors of length 2π to 1 etc.

Let G be a Lie group. We have a smooth map $f: U \times U \to V$ where $0 \in U \subseteq V \subseteq \mathfrak{g}$ are open subsets of $\mathfrak{g} = T_1G$ s.t. $\exp(f(x, y)) = \exp(x) \exp(y) \in G$

This satisfies f(0, y) = y and f(x, 0) = x $\forall x, y \in \mathfrak{g}$. So the Taylor series for f at $(0, 0) \in \mathfrak{g} \times \mathfrak{g}$ begins:

$$f(x,y) = x + y + f_2(x,y) + f_3(x,y) + \cdots$$
(20)

In general $f_2(x, y) = \sum a_{ij} x_i x_j + \sum b_{ij} x_i y_j + \sum c_{ij} y_i y_j = \sum b_{ij} x_i y_j$ In this case, $f_2(x, y)$ is a bilinear map $\mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$

Definition 27

The Lie bracket $[,]: \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ is defined by $f_2(x, y) = \frac{1}{2}[x, y]$. We have

 $f(x,x) = \exp^{-1}(\exp(x)\exp(x))$ (21)

$$= \exp^{-1}(\exp(2x)) = 2x$$
(22)

(More generally, $\exp(sx) \exp(tx) = \exp((s+t)x)$ $\forall s, t \in \mathbb{R}, x \in \mathfrak{g}$) Therefore, [x, x] = 0 $\forall x \in \mathfrak{g}$. This defines [,] is alternating. As a result, [x, y] = -[y, x] $\forall x, y \in \mathfrak{g}$ **Proof**: 0 = [x + y, x + y] = [x, x] + [x, y] + [y, x] + [y, y] \Box The Lie bracket measures the <u>non-commutativity</u> of G, in a nbhd of $1 \in G$. In particular, if G is abelian, then [,] is 0

Example: Compute the Lie bracket for $G = GL(n, \mathbb{R})$ Here $[,] : \mathfrak{gl}(n) \times \mathfrak{gl}(n) \to \mathfrak{gl}(n)$, we have

$$f(x,y) = \log(\exp(x)\exp(y))$$
(23)

$$= \log((1+x+\frac{x^2}{2}+\cdots)(1+y+\frac{y^2}{2}+\cdots))$$
(24)

$$= \log(1 + \left[(x+y) + (\frac{x^2}{2} + xy + \frac{y^2}{2}) + \cdots \right])$$
(25)

$$= \left[(x+y) + (\frac{x^2}{2} + xy + \frac{y^2}{2}) + \cdots \right] - \left[\frac{(x+y)^2}{2} + \cdots \right] + \cdots$$
(26)

$$= x + y + \frac{1}{2}(xy - yx) + \dots$$
 (27)

So the Lie bracket on $\mathfrak{gl}(n)$ is

$$[x,y] = xy - yx \tag{28}$$

We can use this formula to compute the Lie bracket for closed Lie subgroups $G \subseteq GL(n)$. For $x, y \in \mathfrak{g} \subseteq \mathfrak{gl}(n)$, xy need not be in \mathfrak{g} , but xy - yx will be in \mathfrak{g} , and that is $[x, y] \in \mathfrak{g}$

<u>Remark</u>: If G is a complex Lie group, then \mathfrak{g} is a complex vector space, and $[,]: \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ is \mathbb{C} -bilinear and alternating.

Other ways to think of the Lie bracket:

$$\exp(sx)\exp(ty)\exp(sx)^{-1}\exp(ty)^{-1} = \exp\{st[x,y] + \cdots\}$$

(for $s, t \in \mathbb{R}$ near $0, x, y \in \mathfrak{g}$). Can check from definition on [,]. Yet another way,

$$\exp(sx)\exp(ty)\exp(sx)^{-1}=\exp\{ty+st[x,y]+\cdots\}$$

Lemma 28

For any homomorphism $f : G \to H$ of Lie groups, $df|_1 : \mathfrak{g} \to \mathfrak{h}$ is compatible (commute) with Lie brackets:

$$[df|_{1}(x), df|_{1}(y)] = df|_{1}[x, y] \quad \forall x, y \in \mathfrak{g}$$
(29)

Proof

Easy, using that $f(\exp(tx)) = \exp(t \cdot df|_1(x))$

Definition 29

A representation V of a Lie group G is a vector space over $K = \mathbb{R}$ or \mathbb{C} with a smoth map $G \times V \to V$ s.t.:

- (1) $(gh)(x) = g(h(x)) \quad \forall g, h \in G, x \in V$ (definition of group action on a set)
- (2) $1(x) = x \quad \forall x \in V$
- (3) $\forall g \in G, x \mapsto gx$ is a linear map $V \to V$

Note: these maps $x \mapsto gx$ are in GL(V), so we can think of a representation as a homomorphism of Lie groups $G \to GL(V)$

Example:

We could have every $g \in G$ act as identity on V, a <u>trivial representation</u> of G. In particular, $V = \mathbb{C}$ is the trivial complex representation of G

Example:

 $\overline{GL(n,\mathbb{R})}$ has an obvious representation on \mathbb{R}^n the standard representation. So any subgroup of $GL(n,\mathbb{R})$ say O(n), has a standard representation on $\overline{\mathbb{R}^n}$

Example:

For any Lie group G and any $g \in G$, conjugation: $C_g: G \to G$ $h \mapsto ghg^{-1}$ is an isomorphism of Lie groups. The derivative of C_g is a linear map

$$\operatorname{Ad}(g) := dC_g|_1 : \mathfrak{g} \xrightarrow{\sim} \mathfrak{g}$$

$$\tag{30}$$

Lemma 30

 $\operatorname{Ad}:G\to GL(\mathfrak{g})$ is a linear representation, called adjoint, of G

Proof

 $C_{gh} = C_g C_h \quad \forall g, h \in G$ Taking derivatives shows that $\operatorname{Ad}(gh) = \operatorname{Ad}(g) \operatorname{Ad}(h)$

Since C_g is a group homomorphism $G \to G$, by Lemma 28, we have:

$$\operatorname{Ad}(g)[x,y] = [\operatorname{Ad}(g)(x), \operatorname{Ad}(g)(y)] \in \mathfrak{g} \quad \forall g \in G, x, y \in \mathfrak{g}$$
(31)

Example:

For $\overline{G} = GL(n, \mathbb{R})$ the adjoint representation of GL(n) of n-dimensional is:

$$g \in GL(n, \mathbb{R}), x \in \mathfrak{gl}(n) \quad \operatorname{Ad}(g)(x) = gxg^{-1} \in \mathfrak{gl}(n)$$
(32)

The formula (31) can be checked by hand (exercise) in this case that For $g \in GL(n), x, y \in \mathfrak{gl}(n)$ $g[x, y]g^{-1} = [gxg^{-1}, gyg^{-1}]$

Note that the adjoint representation measures the non-commutativity of G. If G is abelian, then the adjoint representation is trivial.

Lemma 31

Let G be any Lie group Let $\mathrm{ad}: \mathfrak{g} \to \mathfrak{gl}(\mathfrak{g}) = \mathrm{End}(\mathfrak{g})$ be the derivative at 1 of the adjoint representation of G. Then $\forall x, y \in \mathfrak{g}$

$$\operatorname{ad}(x)(y) = [x, y] \in \mathfrak{g}$$
(33)

Proof

For $g \in G, y \in \mathfrak{g}$, we have

$$\operatorname{Ad}(g)(y) = \left. \frac{d}{dt} \right|_{t=0} g \exp(ty) g^{-1}$$
(34)

Therefore, $\forall x, y \in \mathfrak{g}$,

$$\operatorname{ad}(x)(y) = \left. \frac{d}{ds} \right|_{s=0} \operatorname{Ad}(\exp(sx))(y) \tag{35}$$

$$= \left. \frac{d}{ds} \right|_{s=0} \frac{d}{dt} \left|_{t=0} \exp(sx) \exp(ty) \exp(sx)^{-1} \right|$$
(36)

$$= \left. \frac{d}{ds} \right|_{s=0} \frac{d}{dt} \left|_{t=0} \exp(ty + st[x, y] + \cdots) \right|$$
(37)

$$= [x, y] \tag{38}$$

I know that $\operatorname{Ad} : G \to GL(\mathfrak{g})$ is a Lie group homomorphism.

Therefore, by Lemma 28, the linear map $\mathrm{ad} : \mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$ must preserve Lie brackets: $\mathrm{ad}[x, y] = [\mathrm{ad} x, \mathrm{ad} y] \in \mathfrak{gl}(\mathfrak{g})$

But I know how to compute the Lie bracket in $\mathfrak{gl}(V)$.

$$\operatorname{ad}[x, y] = [\operatorname{ad} x, \operatorname{ad} y] = (\operatorname{ad} x)(\operatorname{ad} y) - (\operatorname{ad} y)(\operatorname{ad} x) \in \mathfrak{gl}(\mathfrak{g})$$
(39)

$$\Rightarrow \quad \forall x, y, z \in \mathfrak{g} \qquad \operatorname{ad}[x, y](z) = (\operatorname{ad} x)(\operatorname{ad} y)(z) - (\operatorname{ad} y)(\operatorname{ad} x)(z) \tag{40}$$

That is,

$$[[x, y], z] = [x, [y, z]] - [y, [x, z]]$$

$$(41)$$

$$= -[[y, z], x] + [y, [z, x]]$$
(42)

$$= -[[y, z], x] - [[z, x], y]$$
(43)

Theorem 32 (The Jacobi identity)

For any lie group G, any $x, y, z \in \mathfrak{g} := T_1G$, we have a Lie bracket $[,] : \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ s.t.

$$[[x, y], z] + [[y, z], x] + [[z, x], y] = 0$$

Proof

This can be proved directly from the power series f(x, y) s.t.:

$$\exp(f(x,y)) = \exp(x) \exp(y) f(x,y) = x + y + \frac{1}{2}[x,y] + f_3(x,y) + \cdots$$

Associativity of this operation, i.e. f(f(x,y),z) = f(x, f(y,z)) implies the Jacobi identity (xy)z = x(yz) in $G \Rightarrow$ Jacobi identity in \mathfrak{g} Failure of xy = yx in $G \Rightarrow$ failure of $[\ , \]$ in \mathfrak{g} $xyx^{-1}y^{-1} = (yxy^{-1}x^{-1})^{-1} \Rightarrow [x,y] = -[y,x]$ in \mathfrak{g}

Definition 33

Let k be any field. A Lie algebra over k is a k-vector space \mathfrak{g} with an alternating k-bilinear form $[,]: \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ which satisfies the Jacobi identity

For any Lie group G, $\mathfrak{g} := T_1 G$ is a Lie algebra over \mathbb{R} For any complex Lie group G, $\mathfrak{g} := T_1 G$ is a complex Lie algebra.

Note that, if we pick a basis e_1, \ldots, e_n for a Lie algebra \mathfrak{g} over k, \mathfrak{g} is determined by the n^3 different numbers $a_{ijk} \in k$, the <u>structure constants</u>:

$$[e_i, e_j] = \sum_{k=1}^n a_{ijk} e_k \qquad 1 \le i, j, k \le n$$
(44)

These numbers satisfy some simple conditions, alternating and Jacobi identity.

Definition 34

A homomorphism of Lie algebras $f : \mathfrak{g} \to \mathfrak{h}$ over k is a k-linear map s.t.

$$f[x,y] = [f(x), f(y)] \in \mathfrak{h} \qquad \forall x, y \in \mathfrak{g}$$

$$\tag{45}$$

If $f: G \to H$ is a homomorphism of Lie groups, then $df|_1: \mathfrak{g} \to \mathfrak{h}$ is a homomorphism of Lie algebra over \mathbb{R}

Definition 35

A Lie subalgebra \mathfrak{h} of a Lie algebra \mathfrak{g} is a k-linear subspace $\mathfrak{h} \subset \mathfrak{g}$ s.t.

$$[\mathfrak{h},\mathfrak{h}]\subset\mathfrak{h}\tag{46}$$

(that is, $[x, y] \in \mathfrak{h} \quad \forall x, y \in \mathfrak{h}$) If $H \leq G$ is a closed Lie subgroup, then T_1H is a Lie subalgebra of T_1G

Definition 36

An <u>ideal</u> \mathfrak{h} in a Lie algebra \mathfrak{g} is a k-linear subspace $\mathfrak{h} \subset \mathfrak{g}$ s.t.

$$[\mathfrak{g},\mathfrak{h}]\subset\mathfrak{h}\tag{47}$$

If $H \leq G$ is a normal closed Lie subgroup of a Lie group, then \mathfrak{h} is an ideal in \mathfrak{g} (Adjoint representation, $\operatorname{Ad}(g) : \mathfrak{g} \to \mathfrak{g}$ preserves the linear subspace $\mathfrak{h} \subset \mathfrak{g}$, i.e. \mathfrak{h} is an ideal of \mathfrak{g})

Lemma 37

Let $f : \mathfrak{g} \to \mathfrak{h}$ be any homomorphism of Lie algebra over a field k. Then ker f is an ideal in \mathfrak{g} , and $\mathfrak{g} / \ker(f) \subset \mathfrak{h}$ is a Lie subalgebra of \mathfrak{h}

Conversely, if $\mathfrak{a} \subset \mathfrak{g}$ is any ideal, then $\mathfrak{g}/\mathfrak{a}$ is a Lie algebra in a natural way.

Proof

f is a k-linear map, so $\ker(f) = \{x \in \mathfrak{g} | f(x) = 0 \in \mathfrak{h}\} \subset \mathfrak{g}$ is a k-linear subspace. If $x \in \ker(f)$ and $y \in \mathfrak{g}$, then

$$f[x, y] = [f(x), f(y)] = [0, f(y)] = 0$$

So $[x, y] \in \ker(f)$. That is, $\ker(f)$ is an ideal

If $\mathfrak{a} \subset \mathfrak{g}$ is an ideal, let $x, y \in \mathfrak{g} / \mathfrak{a}$. Let $\tilde{x}, \tilde{y} \in \mathfrak{g}$ s.t. they maps to x, y under $\mathfrak{g} \to \mathfrak{g} / \mathfrak{a}$. Then, $[x, y] := [\tilde{x}, \tilde{y}] \in \mathfrak{g} \mod \mathfrak{a}$ This is well defined in $\mathfrak{g} / \mathfrak{g}$ because \mathfrak{g} is an ideal

This is well-defined in $\mathfrak{g} / \mathfrak{a}$ because \mathfrak{a} is an ideal

Alternating and Jacobi identity on $\mathfrak{g}\,/\,\mathfrak{a}$ are immediate from \mathfrak{g}

Theorem 38

Let G be any Lie group, and let $\mathfrak{h} \subset \mathfrak{g}$ be any Lie subalgebra. Then $\exists ! H$ connected Lie group with a homomorphism $H \to G$ which is an injective immersion and with $T_1H = \mathfrak{h} \subset \mathfrak{g}$

Definition 39

A smooth map of manifolds, $f: M \to N$ is an <u>immersion</u> if $df|_x: T_x M \to T_{f(x)} N$ is injective $\forall x \in M$

Example:

There is an immersion $\mathbb{R} \to \mathbb{R}^2$ with image: (see notes for pictures)

Even if an immersion is injective, it needs not be a homeomorphism onto its image $f(M) \subset N$ (with the subspace topology)

Example 2(see notes)

Example 3

There is a homeomorphism of Lie groups $f : \mathbb{R} \to (S^1)^2 = \mathbb{R}^2 / \mathbb{Z}^2$ which is an injective immersion but with $f(\mathbb{R}) \subset (S^1)^2$ not closed and $f : \mathbb{R} \to f(\mathbb{R})$ is not a homeomorphism

Some one-parameter subgroup $\mathbb{R} \to \mathbb{R}^2 / \mathbb{Z}^2$ is given by f(t) = (t, at) where $a \in \mathbb{R}$ If $a \in \mathbb{Q}$, then $f(\mathbb{R}) \cong S^1$ and it's a closed Lie subgroup of $(S^1)^2$ If $a \notin \mathbb{Q}$, then $f : \mathbb{R} \to (S^1)^2$ is an injective immersion, but $f(\mathbb{R})$ not closed in $(S^1)^2$

 $f(\mathbb{R})$ looks like: (see notes for picture) $f(\mathbb{R})$ is dense in $(S^1)^2$ (not closed)

Sketch Proof of theorem

This is proved in:

M. Spuak, A comprehensive introduction to differential geometry

F. Warner, Foundations of differential manifolds and Lie groups

Part III Differential Geometry course later this term

Given a subalgebra $\mathfrak{h} \subset \mathfrak{g}$ this determines what T_xH should be for any $x \in H$. We must have $T_xH = (dL_x|_1)(\mathfrak{h}) \subseteq T_x(G)$. So H is tangent to a "smooth distribution" $S_x \subset T_xG \quad \forall x \in G$. The assumption that \mathfrak{h} is a Lie subalgebra is exactly the hypothesis for "Frobenius Theorem", which ensures the existence of an immersed connected "submanifold" with the given tangent space everywhere.

This manifold H (through 1) is unique if you take it to be maximal. One checks that it is a subgroup.

Theorem 40

Let G be a simply connected Lie group G, H any Lie group. Then there is a one-to-one correspondence between Lie group homomorphism $G \to H$ and Lie algebra homomorphism $\mathfrak{g} \to \mathfrak{h}$. i.e. (and more explicitly)

$$\{f: G \to H \text{ Lie group hom.}\} \leftrightarrow \{df|_1: \mathfrak{g} \to \mathfrak{h} \text{ Lie algebra hom.}\}$$

Proof

Roughly:

We know that a homomorphism $f: G \to H$ determines a Lie algebra homomorphism $df|_1 : \mathfrak{g} \to \mathfrak{h}$. We have shown that any homomorphism $\alpha : \mathfrak{g} \to \mathfrak{h}$ of Lie algebra comes from a homomorphism of Lie groups using that G is simply connected

Idea: α gives a Lie subalgebra of $\mathfrak{g} \times \mathfrak{h}$, namely the graph of α , $\Gamma_{\alpha} = \{(x, \alpha(x)) \in \mathfrak{g} \times \mathfrak{h} | x \in \mathfrak{g}\}$. So this correspond to some connected Lie group K with an injective immersion $K \hookrightarrow G \times H$

One checks that $K\cong G$ and $G\to G\times H$ is the graph of a homomorphism $G\to H$

In details: Given $f : \mathfrak{g} \to \mathfrak{h}$ a Lie group homomorphism. The graph of $f, \Gamma_f := \{(x, f(x)) : x \in \mathfrak{g}\}$ is a Lie subalgebra of $\mathfrak{g} \times \mathfrak{h}, [(g_1, h_1), (g_2, h_2)] = ([g_1, g_2], [h_1, h_2])$ (Note that [(g, 0), (0, h)] = 0).

So there is a connected Lie group K with an injective immersion and with Lie algebra= $\mathfrak{k} \subseteq \mathfrak{g} \times \mathfrak{h}$, $\mathfrak{k} \cong \mathfrak{g}$

So the composition $K \hookrightarrow G \times H \to G$ induces an isomorphism on tangent space at 1. Therefore, (by Example Sheet 1), $K \to G$ is a covering map. But G is simply connected, so $K \cong G$. So we get our homomorphism $G \to H$

Corollary 41

Two simply connected Lie groups are isomorphism iff their Lie algebras are isomorphic

\mathbf{Proof}

 $\Leftarrow: \quad \text{If } f: \mathfrak{g} \xrightarrow{\sim} \mathfrak{h} \text{ isomorphic as Lie algebra, then both } f \text{ and } f^{-1} \text{ come from homomorphism } G \to H$

and $H \to G$ (Here, G, H are simply connected Lie groups with those Lie algebras). You can check that both compositions $G \to H \to G$ and $H \to G \to H$ are the identity \Box

Theorem 42 (Ado's Theorem)

Every finite dimensional Lie algebra \mathfrak{g} over \mathbb{R} can be embedded as a Lie subalgebra of $\mathfrak{gl}(n,\mathbb{R})$ for some $n < \infty$

(c.f.: Fulton-Harris, Appendix C)

Theorem 43

Every finite dimensional real Lie algebra \mathfrak{g} is the Lie algebra of a unique simply connected Lie group G. Also, every finite dimensional complex Lie algebra is the Lie algebra of a unique simply connected complex Lie group.

Proof

Use Ado's Theorem. Given that, we have $\mathfrak{g} \subseteq \mathfrak{gl}(n, \mathbb{R})$ Therefore, there is a connected Lie group G with Lie algebra \mathfrak{g} and an injective immersion $G \hookrightarrow GL(n, \mathbb{R})$ Therefore the universal cover G is the simply connected Lie group we want. \Box

Can we describe all the connected Lie groups with a given Lie algebra \mathfrak{g} ?

Let \tilde{G} be the simply connected Lie group with Lie algebra \mathfrak{g} . Then any connected Lie group with Lie algebra \mathfrak{g} has the form $G = \tilde{G}/Z$ for some discrete central subgroup $Z \subseteq \tilde{G}$

Example:

Describe all *n*-dimensional connected abelian Lie groups. Here $\mathfrak{g} \cong \mathbb{R}^n$ with [,] = 0Here $\tilde{G} = (\mathbb{R}^n, +)$. What are the discrete subgroups $Z \subseteq \tilde{G}$? (see picture)

We have $Z \cong \mathbb{Z}^a$ for some $0 \le a \le n$. Then $\tilde{G}/Z \cong (S^1)^a \times \mathbb{R}^{n-a}$ as a Lie group $G = \tilde{G}/Z$

Example:

What are all the connected Lie groups with Lie algebra $\mathfrak{su}(2)$?

One is $SU(2) \cong S^3 \cong Sp(1)$, hence is simply connected.

 $Z(SU(2)) = \left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \in SU(2) \right\} = \left\{ \pm 1 \right\} \subseteq SU(2)$

So the possible connected groups with Lie algebra $\mathfrak{su}(2)$ are SU(2) and $SU(2)/\{\pm 1\} = PSU(2) = SO(3)$

The isomorphism $SU(2)/\{\pm 1\} \xrightarrow{\sim} SO(3)$ is given by the adjoint representation $SU(2) \to GL(\mathfrak{su}(2)) \cong GL(\mathbb{R}^3)$

Image=SO(3), kernel= $Z(SU(2)) = \{\pm 1\}$

More generally, for any <u>connected</u> Lie group G,

$$\ker(\operatorname{Ad}: G \to GL(\mathfrak{g})) = Z(G) = \operatorname{centre}(G) = \{g \in G | gh = hg \quad \forall h \in G\}$$

Definition 44

A (finite dimensional) representation V of a Lie algebra \mathfrak{g} over a field k, also called a $\underline{\mathfrak{g}}$ -module, is a k-vector space together with a Lie algebra homomorphism $\rho : \mathfrak{g} \to \mathfrak{gl}(V) = \operatorname{End}_k(V)$ Equivalently, ρ gives a bilinear map $\mathfrak{g} \times V \to V$ which satisfies

$$[u,v](x) = u(v(x)) - v(u(x)) \quad \forall u,v \in \mathfrak{g}, x \in V$$

Remark:

In this sense, a representation of Lie group is finite dimensional by definition. But the definition of a representation of a Lie algebra makes sense even for V infinite dimensional

Example: $\operatorname{ad}:\mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$ is a representation of \mathfrak{g} , the adjoint representation

Given a real representation V of a Lie group G. V is also a representation of the Lie algebra \mathfrak{g} . Explicitly, this representation of \mathfrak{g} is given by:

$$u(x) = \frac{d}{dt}\Big|_{t=0} \underbrace{\exp(tu)}_{G}(x) \in V \qquad u \in \mathfrak{g}, x \in V$$

Conversely, let G be a simply connected Lie group. Then a finite dimensional representation V of \mathfrak{g} comes from a unique representation of G

{f.d. real repn $\rho : \mathfrak{g} \to \mathfrak{gl}(V)$ } \leftrightarrow {f.d. real repn $\rho : G \to GL(V)$ }

By the commutativity of exponential map and the representation of \mathfrak{g} . Explicitly,

$$\rho(\underbrace{\exp(u)}_{G})(x) = x + \rho(u)(x) + \frac{\rho(u)^{2}}{2!}(x) + \cdots \quad u \in \mathfrak{g}, x \in V$$
$$= \underbrace{\exp(\rho(u))}_{G} \in GL(V)(x)$$

Also, for a complex Lie group G, complex analytic representation of G give representations of the Lie algebra \mathfrak{g} over \mathbb{C} , and this is an equivalence for finite dimensional representations if G is simply connected.

$$\{ \text{f.d. } \mathbb{C} \text{ analytic repn } \rho : G \to GL(V) \} \quad \leftrightarrow \quad \{ \text{f.d. } \mathbb{C} \text{-repn } \rho : \mathfrak{g} \to \mathfrak{gl}(V) \} \quad G \text{ simply connected} \\ \\ \{ \mathbb{C} \text{ analytic repn } \rho : G \to GL(V) \} \quad \rightsquigarrow \quad \{ \mathbb{C} \text{-repn } \rho : \mathfrak{g} \to \mathfrak{gl}(V) \}$$

Example:

Complex analytic representations of $SL(2,\mathbb{C})$ are equivalent to finite dimensional representations of $\mathfrak{sl}(2,\mathbb{C})$

Indeed, $SL(2, \mathbb{C})$ is simply connected, , because $S^3 = SU(2) \hookrightarrow SL(2, \mathbb{C})$ ($SL(2, \mathbb{C})$ is dimension 3 over \mathbb{C}) is a homotopy equivalence.

Let V be a complex representation of a real Lie group G. Then we have a homomorphism of real Lie groups $G \to GL(V) \Rightarrow$ gives a homomorphism of real Lie algebras $\mathfrak{g} \to \mathfrak{gl}(n, \mathbb{C})$

But this is equivalent to a representation of the complex Lie algebra $\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$

We can describe this as $\mathfrak{g} \oplus i \mathfrak{g}$, with \mathbb{C} acting in the obvious way. It is a complex Lie algebra (define [,] to be \mathbb{C} -bilinear). If dim_{\mathbb{R}} $\mathfrak{g} = n$, then dim_{\mathbb{C}}($\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$) = n

$$\boxed{\mathbb{C}\text{-repn of }\underline{\text{real }G}} \rightsquigarrow \boxed{\mathbb{g} \to \mathfrak{gl}(n,\mathbb{C})} \leftrightarrow \boxed{\mathbb{C}\text{-repn of }\underline{\text{complex }} \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{g} \oplus i \mathfrak{g}}$$

Example:

Complex representations of the compact Lie group SU(2)

 \leftrightarrow complex representation of the real Lie algebra $\mathfrak{su}(2)$

 \leftrightarrow representations of the complex Lie algebra $\mathfrak{su}(2) \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{sl}(2,\mathbb{C})$

Proof

 $\begin{aligned} \mathfrak{su}(2) &= \{A \in M_2 \mathbb{C} \,|\, \mathrm{tr}(A) = 0, A + A^* = 0\}\\ i \mathfrak{su}(2) &= \{A \in M_2 \mathbb{C} \,|\, \mathrm{tr}(A) = 0, A^* = A\}\\ \mathfrak{sl}(2, \mathbb{C}) &= \mathfrak{su}(2) \oplus i \mathfrak{su}(2) \end{aligned}$

Representation of the Lie algebra $\mathfrak{sl}(2,\mathbb{C})$

$$\mathfrak{sl}(2,\mathbb{C}) = \{A \in M_2 \mathbb{C} \,|\, \mathrm{tr}(A) = 0\}$$

A basis for $\mathfrak{sl}(2)$ as a \mathbb{C} vector space is:

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

We compute the Lie brackets:

$$\begin{array}{rcl} [h,e] &=& \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ &=& \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} \\ &=& \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix} \\ [h,e] &=& 2e \end{array}$$

We compute that [h, f] = -2f and [e, f] = h

 \Rightarrow

Let V be any finite dimensional representation of $\mathfrak{sl}(2\mathbb{C})$. That is: we have $e, f, h \in \operatorname{End}(V)$ which satisfy

$$egin{array}{rcl} [h,e] &=& he-eh=2e \ [h,f] &=& -2f \ [e,f] &=& h \end{array}$$

Idea: Divide up V according to eigenspaces with respect to h. If $V \neq 0$, then (since we are over \mathbb{C}) h has some eigenvector

that is, $\exists x \in V, x \neq 0$ and $hx = \lambda x$ for some $\lambda \in \mathbb{C}$. What can we say about ex and $fx \in V$? We know that, for example: $hex - ehx = 2ex \ hex - ehx = hex - e(\lambda x) = h(ex) - \lambda(ex)$ Thus

$$h(ex) = (\lambda + 2)ex$$

That is, ex is the $(\lambda + 2)$ -eigenspace for h

Likewise, using that [h, f] = -2f, we find that

$$h(fx) = (\lambda - 2)fx$$

i.e. f maps the λ -eigenspace for h into the $(\lambda - 2)$ -eigenspace for h

Notice that for any $x \neq 0$ an h-eigenvector with weight λ (=eigenvalue for h), then

ex has weight $\lambda + 2$

 e^2x has weight $\lambda + 4$, etc.

But since V is finite dimensional, h has only finitely many eigenvalues on V. Therefore, $e^r x$ must be 0 for some $r \ge 1$

Likewise, $f^r x$ must be 0 for some $r \ge 1$

Definition 45

A highest weight vector x in a representation of $\mathfrak{sl}(2,\mathbb{C})$ is a vector $x \neq 0$ in V which is an h-eigenvector (so $hx = \lambda x$ for some $\lambda \in \mathbb{C}$) and ex = 0

If $V \neq 0$ is a finite dimensional representation of $\mathfrak{sl}(2)$, then V contains a highest weight vector, we have shown.

Let x be a highest weight vector in a finite dimensional representation of $\mathfrak{sl}(2)$, with weight $\lambda \in \mathbb{C}$. We know that $hx = \lambda x$ and ex = 0

What can we say about fx? It is weight $\lambda - 2$ that is : $h(fx) = (\lambda - 2)fx$ What is efx? We know that $[e, f] = h \in \mathfrak{sl}(2)$,hence in End(V) Therefore, efx - fex = hx. But fex = 0 as ex = 0 and $hx = \lambda x$ So e(fx) = hxNext, what can we say about f^2x ? We know that $h(f^2x) = (\lambda - 4)(f^2x)$ What is $e(f^2x)$? It is some vector of weight $\lambda - 2$. We use that [e, f] = h again:

$$ef^{2}x = fe(fx) + h(fx)$$

= $f(\lambda x) + (\lambda - 2)fx$
= $(2\lambda - 2)fx$

One more step: What is $e(f^3x)$? Again, use [e, f] = h

$$ef^{3}x = fef^{2}x - hf^{2}x$$

= $f((2\lambda - 2)fx) + (\lambda - 4)f^{2}x$
= $(3\lambda - 6)f^{2}x$

Summary:

 $f^r x$ has weight $\lambda - 2r$ for some $r \ge 0$ $e(fx) = \lambda x$ $e(f^2 x) = (2\lambda - 2)fx$ $e(f^3 x) = (3\lambda - 6)f^2 x$ etc. By induction, we show that for $r \ge 1$,

$$e(f^{r}x) = (r\lambda - 2(1 + 2 + \dots + (r - 1))) - f^{r-1}x$$

= $(r\lambda - r(r - 1))f^{r-1}x$
= $r(\lambda - r + 1)f^{r-1}x$

Say $f^{r+1}x$ is the first element that becomes 0. Then, $x, fx, f^2x, \ldots, f^rx$ are all nonzero in V. They are all *h*-eigenvectors with different eigenvalues, namely, $\lambda, \lambda - 2, \ldots, \lambda - 2r \in \mathbb{C}$ Therefore, x, fx, \ldots, f^rx are linearly independent in V. Let $S \subset V$ be the \mathbb{C} -linear subspace they

Therefore, $x, fx, \ldots, f'x$ are linearly independent in V. Let $S \subset V$ be the C-linear subspace they span.

Then $S \subseteq V$ is a subrepresentation of V for $\mathfrak{sl}(2)$

Definition 46

Let V be a representation of a Lie algebra \mathfrak{g} over k. Then a subrepresentation $S \subseteq V$ (or \mathfrak{g} -submodule) is a k-linear subspace s.t. $ux \in S \quad \forall u \in \mathfrak{g}, x \in s$.

Definition 47

An irreducible representation V of a Lie algebra \mathfrak{g} is a representation s.t. $V \neq 0$ and V contains no \mathfrak{g} -submodules $0 \subsetneq S \subsetneq V$

Suppose that V is a finite dimensional irreducible representation of $\mathfrak{sl}(2)$. Let x be a highest weight vector in V. Then the subspace $S = \mathbb{C}\{x, fx, \dots, f^rx\} \subset V$ is equal to V

What can we say about the weight $\lambda \in \mathbb{C}$ of the highest weight vector x?

Theorem 48

The weight of a highest weight vector for a finite dimensional irreducible representation of $\mathfrak{sl}(2,\mathbb{C})$ is a natural number

Proof

We use that $e(f^{r+1}x) = (r+1)(\lambda - r)f^r x$, but $f^{r+1}x = 0$ $\Rightarrow \quad 0 = (r+1)(\lambda - r)f^r x \in V$ where $f^r x \neq 0 \in V$ $\Rightarrow \quad \text{must have } (r+1)(\lambda - r) = 0 \in \mathbb{C}$ Here $r \in \{0, 1, 2, \ldots\} = \mathbb{N}_0$ $\Rightarrow \quad r+1 \neq 0 \in \mathbb{C} \quad \Rightarrow \quad \lambda = r$

Notice that the representation of $\mathfrak{sl}(2)$ given by the above formulae, for any $r \in \mathbb{N}$, are completely determined by the number $\lambda(=r \text{ in later formulae})$ That is, this representation has basis:

$$x, fx, f^2x, \dots, f^\lambda x$$

The formula we wrote describe how $\mathfrak{sl}(2)$ acts in this basis

Theorem 49

The finite dimensional irreducible representation V of $\mathfrak{sl}(2,\mathbb{C})$ are classified up to isomorphism by one number $\lambda \in \mathbb{N}$, the weight of a highest weight vector (unique up to scalars in V) in V. (Here $\dim_{\mathbb{C}} V_{\lambda} = \lambda + 1$)

How do these irreducible representations of $\mathfrak{sl}(2)$ arises in nature?

There is the standard representation $V \cong \mathbb{C}^2$ of the group $SL(2,\mathbb{C})$.

Therefore, any $\lambda \in \mathbb{N}$, $S^{\lambda}V$ (the λ th symmetric power) is also a representation of $SL(2, \mathbb{C})$ Here, if V has \mathbb{C} -basis $e_1, e_2, S^{\lambda}V$ means the \mathbb{C} -vector space of homogeneous polynomials of degree λ

Here, if V has C-basis $e_1, e_2, S^{\wedge}V$ means the C-vector space of homogeneous polynomials of degree λ in e_1, e_2 . That is:

$$S^{\lambda}V = \{a_0e_1^{\lambda} + a_1e_1^{\lambda-1}e_2 + \dots + a_{\lambda}e_2^{\lambda}\}$$

If $f \in SL(2, \mathbb{C})$

$$f(e_1^a e_2^{\lambda - a}) = f(e_1)^a f(e_2)^{\lambda - a} \in S^{\lambda} V$$

This representation, as a representation of $\mathfrak{sl}(2,\mathbb{C})$ is the irreducible representation we described

Tensor Product

Theorem 50

For any vector spaces V, W over a field k, there is a vector space $V \otimes_k W$ (the tensor product) with a k-bilinear map $f: V \times W \to A$, \exists ! linear map $g: V \otimes_k W \to A$ with $f = (V \times W \to V \otimes_k W \xrightarrow{g} A)$

Proof

See commutative algebra (Part III)/representation theory (Part II)

Example:

If V has a basis e_1, \ldots, e_m and W has a basis f_1, \ldots, f_n , then $V \otimes_k W$ has a basis $e_i \otimes f_j$, $1 \leq i \leq m, 1 \leq j \leq n$. So $\dim_k(V \otimes_k W) = (\dim_k V)(\dim_k W)$ So every element of $V \otimes W$ can be written as $\sum a_{ij}e_i \otimes f_j$, $a_{ij} \in k$ <u>Note</u>: Some element can be written $v \otimes w$ for a simple $v \in V$, $w \in W$ Not-so-related-notes: Compare the direct sum:

$$V \oplus W = \{(v, w) | v \in V, w \in W\}$$

here, $\dim_k (V \oplus W) = \dim_k V + \dim_k W$

Example: $\overline{V^* \otimes_k W} = \{k \text{ linear maps } V \to W\}$ if V is finite dimensional. A linear map correspond to a "symbol" $f \otimes w$ where $f \in V^* = \text{Hom}_k(V, k) \Leftrightarrow$ it has rank ≤ 1 (Here $f \otimes w \in V^* \otimes W$ corresponds to the linear map $V \to W, x \mapsto f(x)w \in W$ $(f(x) \in k)$)

Symmetric Products and Exterior Products

Definition 51

Let V be a k-vector space, $a \in \mathbb{N}$, Then the <u>a-th symmetric power</u> $S^a V = \text{Sym}^a V$ is the quotient space

$$V \otimes_k \cdots \otimes_k V / (v_1 \otimes \cdots \otimes v_a = v_{\sigma(1)} \otimes \cdots \otimes v_{\sigma(a)}), \sigma \in S_a$$

which is a k-vector space

Write $v_1v_2\cdots v_a$ for the image of $v_1\otimes\cdots\otimes v_a$ in S^aV . If V has a k-basis e_1,\ldots,e_n , then S^aV is the space of homogeneous polynomial of degree a in e_1,\ldots,e_n .

We compute that
$$\dim_k S^a V = \binom{n+a-1}{a}$$

Definition 52

For a k-vector space $V, a \ge 0$, the a-th exterior power of V is

$$\bigwedge^{a} V := V \otimes_{k} \cdots \otimes_{k} V / (v_{1} \otimes \cdots \otimes v_{a} = \operatorname{sgn}(\sigma) v_{\sigma(1)} \otimes \cdots \otimes v_{\sigma(a)}), \sigma \in S_{a}$$

which is a k-vector space

Here, for example: $v \wedge v = 0 \quad \forall v \in V$ and $v \wedge w = - \wedge v \quad \forall v, u \in V$ If V has a basis e_1, \ldots, e_n , then $\wedge^a V$ has a k-basis $e_{i_1} \wedge \cdots e_{i_a}$ if $1 \leq i_1 < \cdots < i_a \leq n$ So $\dim_k \bigwedge^a V = \binom{n}{a}$

If V, W are representations of any Lie group G, then $S^a V, \wedge^a V$ and $V \otimes_k W$ are also representations of G (G acts by $g(v \otimes w) = gv \otimes gw$, etc)

Let V, W be representations of a Lie group G.

Then $V \otimes_k W$ is a representation of G, hence a representation of the Lie algebra \mathfrak{g} . How does $u \in \mathfrak{g}$ act on $V \otimes W$? We have

$$u(v \otimes w) = \frac{d}{dt} \bigg|_{t=0} \exp(tu)(v \otimes w)$$

= $\frac{d}{dt} \bigg|_{t=0} (1 + tu + \cdots)(v \otimes w)$
= $(1 + tu + \cdots)(v) \otimes (1 + tu = \cdots)(w)$
= $v \otimes +t(uv \otimes w + v \otimes uw) + O(t^2)$

So $u \in \mathfrak{g}$ acts on $V \otimes W$ by the Leibniz rule:

$$u(v \otimes w) = uv \otimes w + v \otimes uw$$

If V, W are any representation of a Lie algebra \mathfrak{g} over a field (representation could be infinite dimensional) then the Leibniz rule defines a representation of \mathfrak{g} on $V \otimes_k W$

Likewise, for a representation V of a Lie algebra \mathfrak{g} over a field, $S^a V$ is a representation of \mathfrak{g} given by

$$u(v_{1}\cdots v_{a}) = (uv_{1})(v_{2}\cdots v_{a}) + v_{1}(uv_{2})\cdots v_{a} + \cdots + v_{1}\cdots v_{a-1}(uv_{a})$$

for $u \in \mathfrak{g}, v_1, \cdots, v_a \in V$.

Likewise, action of \mathfrak{g} on $\bigwedge^n V$ is given by,

$$u(v_1 \wedge \dots \wedge v_a) = (uv_1) \wedge v_2 \wedge \dots \wedge v_a + \dots + v_1 \wedge \dots \wedge v_{a-1} \wedge (uv_a)$$

Example:

How does the Lie algebra $\mathfrak{sl}(2,\mathbb{C})$ act on S^aV , where $V \cong \mathbb{C}^2$ is the standard representation? We can write how e, f, h act on the basis $e_1^a, e_1^{a-1}e_2, \cdots, e_1e_2^{a-1}, e_2^a$ We have

$$e(e_{1}) = 0, e(e_{2}) = e_{1}$$

$$f(e_{1}) = e_{2}, f(e_{2}) = 0$$

$$h(e_{1}) = e_{1}, h(e_{2}) = -e_{2}$$

$$\Rightarrow \quad h(e_{1}^{i}e_{2}^{a-i}) = i(he_{1})e_{1}^{i-1}e_{2}^{a-i} + (a-i)e_{1}^{i}h(e_{2})e_{2}^{a-i-1}$$

$$= (i - (a-i))e_{1}^{i}e_{2}^{a-i}$$

$$= (2i - a)e_{1}^{i}e_{2}^{a-i} \qquad (0 \le i \le a)$$

$$\Rightarrow \begin{cases} e_{1}^{a} & \text{ is in weight } a \\ e_{1}^{a-1}e_{2} & \text{ is in weight } a - 2 \\ \vdots \\ e_{2}^{a} & \text{ is in weight } -a \end{cases}$$

Example Sheet 2: compute action of e and f, you use that e_1^a is the highest weight vector, up to scalars, so $S^a V \cong$ the irreducible representation of $\mathfrak{sl}(2)$ of the highest weight $a, a \in \mathbb{N}$

Definition 53

If $S \subseteq V$ is a g-submodule then V/S is also a representation of g, the quotient representation.

Definition 54

Let \mathfrak{g} be a Lie algebra over a field, and let V, W be two \mathfrak{g} -modules. Then a $\underline{\mathfrak{g}}$ -linear map $f: V \to W$ (or a homomorphism of representation of \mathfrak{g}) is a k-linear map such that $f(ux) = uf(x) \in W, u \in \mathfrak{g}, x \in V$ We say $V \cong W$ if there is a \mathfrak{g} -linear map $V \to W$ which is bijective

- **Lemma 55 (Schur's Lemma)** (1) Let \mathfrak{g} be a Lie algera \mathfrak{g} over a field k, V, W irreducible representation of \mathfrak{g} . If $V \not\cong W$, then $\operatorname{Hom}_{\mathfrak{g}}(V, W) = {\mathfrak{g}$ -linear maps $V \to W = 0$
 - (2) Let $k = \mathbb{C}$, let V be a finite dimensional irreducible representation of \mathfrak{g} over \mathbb{C} . Then $\operatorname{Hom}_{\mathfrak{g}}(V, V) \cong \mathbb{C} \cdot 1_V$

Proof

(1) Let f: V → W be a g-linear map. Suppose f ≠ 0. Then f(V) ⊆ W is a g-submodule and non-zero. So f(V) = W since W is irreducible. Likewise, ker(f) ⊆ V is a g-submodule, and it is not equal to V. So ker(f) = 0
So f: V → W is a g-linear isomorphism #

(2) What can we say about Hom_g(V, V) for an irreducible representation V of g? One shows that Hom_g(V, V) is a division algebra over k (that is every f ≠ 0 has an inverse) Suppose that k = C, and V is irreducible and finite dimensional. Let f : V → V be a nonzero g-linear map. Know that ∃x ∈ V, x ≠ 0 s.t. f(x) = λx some λ ∈ C Look at f - λ1_V ∈ Hom_g(V, V) We know that this g-linear map sends x ≠ 0 in V to 0. So f - λ1_V is not isomorphism, it must be 0, so f = λ ⋅ 1_V

Corollary 56

Let \mathfrak{g} be an abelian Lie algebra over \mathbb{C} . Then every finite dimensional irreducible representation of \mathfrak{g} is 1-dimensional. The 1-dimensional representation of \mathfrak{g} are corresponding to the linear maps $\mathfrak{g} \to \mathbb{C}$

Proof

Let V be a finite dimensional irreducible representation. Then $\operatorname{Hom}_{\mathfrak{g}}(V, V) = \mathbb{C} \operatorname{1}_{V}$ by Schur's Lemma. But for any $u \in \mathfrak{g}$, we have for any $v \in \mathfrak{g}, x \in V$ uv(x) - vu(x) = [u, v](x) = 0(x) = 0So $u \in \operatorname{Hom}_{\mathfrak{g}}(V, V)$ so every element of \mathfrak{g} acts by scalars on V So every k-linear subspace of V is \mathfrak{g} -invariant. Since V is irreducible, $\dim_{\mathbb{C}} V = 1$ \checkmark

1-dimensional representation of $\mathfrak{g} \leftrightarrow$ homomorphism of Lie algebra $\mathfrak{g} \rightarrow \mathfrak{gl}(1,\mathbb{C}) = \mathbb{C} \leftrightarrow$ a \mathbb{C} -linear map $\mathfrak{g} \rightarrow \mathbb{C}$, because \mathfrak{g} is abelian

Definition 57

A finite dimensional representation of a Lie algebra \mathfrak{g} is <u>completely reducible</u> if $V \cong V_1 \oplus \cdots \oplus V_r$ with V_i irreducible representations of \mathfrak{g} , for some $r \ge 0$

For any finite dimensional representation V of \mathfrak{g} , we can always find sub- \mathfrak{g} -moddules

$$0 = V_0 \subset V_1 \subset V_2 \subset \cdots \subset V_r = V$$

s.t. V_i/V_{i-1} are irreducible. This need NOT imply that $V \cong \bigoplus V_i/V_{i-1}$

Example: Let \mathfrak{g} be the 1-dimensional Lie algebra over $\mathbb{C}, \mathfrak{g} = \mathbb{C} e$. Then a representation of \mathfrak{g} is exactly a vector space V with an endomorphism $e: V \to V$. We know how to classify such representations (Jordan Normal Form) in some basis for V



Look at $S = \mathbb{C}\{e_1, e_2\}$. That is an *e*-invariant subspace of V and two such matrices are conjugate \Leftrightarrow they are the same up to reordering the Jordan block

So a representation of the Lie algebra $\mathbb{C} e$ is completely reducible $\Leftrightarrow e \in \text{End}(V)$ is diagonalizable

More generally, if a representation V of a Lie algebra \mathfrak{g} has \mathfrak{g} -invariant subspace S, then (in a suitable basis for V) $\mathfrak{g} \to \operatorname{End}(V) = M_n \mathbb{C}$ maps into

$$\left(\begin{array}{c|c} A & \ast \\ \hline 0 & \ast \end{array}\right)$$

with $A \ a \ \dim S \times \dim S$ matrix

If $V = V_1 \oplus V_2$ as a representation of \mathfrak{g} , then (in some basis for V) the representation $\mathfrak{g} \to \operatorname{End}(V)$ maps into

$$\left(\begin{array}{c|c} A & \ast \\ \hline 0 & B \end{array}\right)$$

with A a dim $V_1 \times \dim V_1$ matrix, B a dim $V_2 \times \dim V_2$ matrix

Theorem 58

Every finite dimensional representation of $\mathfrak{sl}(2,\mathbb{C})$ is completely reducible. Therefore representations of the groups $SL(2,\mathbb{C})$ and complex representations of SU(2) are completely reducible.

Proof

We will show that the complex representations of SU(2) are completely reducible, that implies the statement on $\mathfrak{sl}(2,\mathbb{C})$. More generally, we have the following theorem.

Theorem 59

For any compact Lie group G all its real or complex representations are completely reducible

Proof

(We will consider the \mathbb{C} -case, proof for the real is similar)

Let V be a complex representation of a compact Lie group G. We will show that V is <u>unitary</u>, that is: \exists a positive definite <u>hermitian form</u> \langle , \rangle on V s.t.

$$\langle gx, gy \rangle = \langle x, y \rangle \qquad \forall x, y \in V, g \in G$$

(Recall properties of hermitian form:

(1) $\langle x, y \rangle : V \times V \to \mathbb{C}$ which is \mathbb{C} -linear in x and conjugate-linear in y

(2) $\langle x, y \rangle = \overline{\langle y, x \rangle} \in \mathbb{C}$ (3) Positive definite) If V is a unitary representation of G, let $S \subseteq V$ be a G-invariant subspace. Then $S^{\perp} \subseteq V$, $S^{\perp} = \{x \in V | \langle x, y \rangle = 0 \ \forall y \in S\}$ is also a G-subspace of V. Because \langle , \rangle is positive definite, $V = S \oplus S^{\perp}$. Repeating the process we see that V is a direct sum of irreducible representation

To prove that every \mathbb{C} -representation of a compact Lie group G is unitary, we <u>average</u> For an oriented *n*-manifold M, let $w \in \Omega^n(M)$ be a smooth *n*-form. (So, at every $p \in M, w \in \bigwedge^n(T_p^*M)$)

In local coordinates,

$$w = f(x_1, \dots, x_n) dx_1 \wedge \dots \wedge dx_n$$

If g is compactly supported smooth for $g: M \to \mathbb{R}$, then we can define

$$\int_M gw \in \mathbb{R}$$

In local coordinates, this is

$$\int gfdx_1\cdots dx_n$$

On a compact Lie group G let w be any non-zero element of $\bigwedge^{n'}(\mathfrak{g}^*) \cong \mathbb{R}$ This extends uniquely to a right-invariant n-form w on G

Use this to integrate all smooth functions on G, because G is compact Because w is right-invariant, we have

$$\int_{g\in G} f(g)w = \int_{g\in G} f(gh)w \qquad \forall h\in G$$

Let V be a complex representation of a compact Lie group G Let \langle , \rangle_0 be a positive definite hermitain form on V Define a hermitian form on V by

$$\langle x,y\rangle = \int_G \langle gx,gy\rangle_0 w(g) \quad \forall x,y \in V$$

THis is a hermitian form on V. It is positive definite because the integral of a positive form is positive. Finally,

$$\langle hx, hy \rangle = \int_G \langle ghx, ghy \rangle_0 w(g) = \int_G \langle gx, gy \rangle_0 w(g) = \langle x, y \rangle$$

1	

Therefore, for any finite dimensional representation of $\mathfrak{sl}(2,\mathbb{C})$, h is always diagonalizable (=semisimple) in $\operatorname{End}(V)$

Also e, f are always <u>nilpotent</u> on V (That is, $e^N = 0$ and $f^N = 0$ for some N > 0) This is somehow related to the fact that

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \text{ is daigonalizable in } M_2 \mathbb{C}$$
$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \text{ and } f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \text{ nilpotent } (N = 2)$$

Definition 60

The <u>character</u> of a representation V of $\mathfrak{sl}(2,\mathbb{C})$ is

$$\chi(V) = \sum_{j \in \mathbb{Z}} (\dim V_j) t^j \in \mathbb{Z}[t, t^{-1}]$$

where

$$V_j$$
 = weight-*j* subspace of V
= { $x \in V | hx = jx$ }

(We know the eigenvalues of h on V are in \mathbb{Z})

Easy Fact: The character of a representation of $\mathfrak{sl}(2,\mathbb{C})$ determine the representation up to isomorphism

Example: If V is a representation of $\mathfrak{sl}(2)$ with $\chi(V) = t^{-2} + 3 + t^2$. What is V? Let A be the 2-dimensional standard representation of $\mathfrak{sl}(2)$, then

$$\chi(S^m A) = t^{-m} + t^{-m+2} + \dots + t^{m-2} + t^m$$

and $\chi(V \oplus W) = \chi(V) + \chi(W)$ and $\chi(V \otimes_{\mathbb{C}} W) = \chi(V)\chi(W)$ Answer to question: $V = S^2 A \oplus (\text{some representation with character } 2)$ $= S^2 A \oplus \mathbb{C} \oplus \mathbb{C} \text{ (where } \mathbb{C} = S^0 A)$

Theorem 61 (Clebsch-Gordon)

For any $a, b \in \mathbb{N}$, $a \leq b$, we have

$$S^{a}V \otimes S^{b}V \cong S^{a+b}V \oplus S^{a+b-2}V \oplus \dots \oplus S^{a-b}V$$
(48)

as representation of $\mathfrak{sl}(2,\mathbb{C})$ (or the group $SL(2,\mathbb{C})$ or SU(2))

Proof

Compute the character of the left side

$$\chi_{S^aV}(t) = t^{-a} + t^{-a+2} + \dots + t^a$$

Want to know what does

$$(t^{-a} + t^{-a+2} + \dots + t^a)(t^{-b} + t^{-b+2} + \dots + t^b)$$

equals to.

Note that all weights in $S^a V \otimes S^b V$ are $\cong a + b \mod 2$ (see pictures in handwritten notes)

Nilpotent and Solvable Lie Algebras

Definition 62

An <u>abelian</u> Lie algebra \mathfrak{g} over a field k is a Lie algebra with [,] = 0

Definition 63

For a Lie algebra \mathfrak{g} over k. The commutator subalgebra $[\mathfrak{g},\mathfrak{g}]$ (or derived algebra of \mathfrak{g}) is the k-linear subspace spanned by $[x, y], x \in \mathfrak{g}, y \in \mathfrak{g}$ Then $[\mathfrak{g},\mathfrak{g}]$ is an ideal of the quotient Lie algebra $\mathfrak{g}^{ab} := \mathfrak{g}/[\mathfrak{g},\mathfrak{g}]$ is abelian the <u>abelianization</u> of \mathfrak{g}

Definition 64

Let \mathfrak{g} be a Lie algebra over a field k. The <u>derived series</u> of \mathfrak{g} is defined by $Z^0 \mathfrak{g} = \mathfrak{g}$ and

$$Z^{j+1}\mathfrak{g} = [Z^j\mathfrak{g}, Z^j\mathfrak{g}]$$

for $j \geq 0$. Clearly,

$$\mathfrak{g} = Z^0 \mathfrak{g} \supset Z^1 \mathfrak{g} \supset Z^2 \mathfrak{g} \supset \cdots$$

Definition 65

 \mathfrak{g} is <u>solvable</u> if $Z^j \mathfrak{g} = 0$ for some $j \ge 0$

Lemma 66

A Lie algebra is solvable \Leftrightarrow there is a sequence of Lie subalgebras

$$0 \subset \mathfrak{g}_1 \subset \mathfrak{g}_2 \subset \cdots \subset \mathfrak{g}_r = \mathfrak{g}$$

s.t. \mathfrak{g}_i is an ideal in \mathfrak{g}_{i+1} and $\mathfrak{g}_{i+1} / \mathfrak{g}_i$ is abelian

Also any Lie subalgebra and any quotient Lie algebra of a solvable Lie algebra is solvable

Example 67

The set of upper triangular matrices $\mathfrak{b} \subset \mathfrak{gl}(n)$ form a solvable Lie algebra

Proof

Let $x, y \in \mathfrak{b}$. Then $[x, y] = xy - yx \in \mathfrak{u} = \{\text{strictly upper triangular matrices}\} \subset \mathfrak{gl}(n)$ Let e_{ij} = the matrix with 1 in row *i* and column *j* and 0 otherwise for $1 \le i, j \le n$ We have $e_{ij}e_{kl} = \delta_{jk}e_{il}$ where

$$\delta_{jk} = \begin{cases} 1 \text{ if } j = k\\ 0 \text{ if } j \neq k \end{cases}$$

 \mathbf{So}

 $[e_{ij}, e_{kl}] = \delta_{jk} e_{il} - \delta_{li} e_{kj}$ $e_{ij} \in \mathfrak{b} \Leftrightarrow i \leq j \quad \text{and} \quad e_{ij} \in \mathfrak{u} \Leftrightarrow i < j$ For $r \geq 0$, let \mathfrak{u}_r =span of the matrices e_{ij} with $i + r \leq j$ So $\mathfrak{u}_0 = \mathfrak{b}, \mathfrak{u}_1 = \mathfrak{u}$, etc. Then we compute that $[\mathfrak{u}_i, \mathfrak{u}_i] \subset \mathfrak{u}_{i+i}$ So $[\mathfrak{u}_1,\mathfrak{u}_1] \subset \mathfrak{u}_2$ $[\mathfrak{u}_2,\mathfrak{u}_2] \subset \mathfrak{u}_4$ etc. and so \mathfrak{b} (and \mathfrak{u}) are solvable

Here $\mathfrak{b}(\mathbb{C})$ is the Lie algebra of the complex Lie group $B = \{\text{upper triangular matrix}\} = \{\text{upper triangular matrix}\}$ traingular matrix with diagonal entries in $\mathbb{C}^{\times} \} \subset GL(n, \mathbb{C})$ Also $\mathfrak{u}(\mathbb{C})$ is the Lie algebra of the complex Lie group $U = \{ upper triangular matrix with diagonal \}$

entries being 1}

Remark. B =a Borel subalgebra in $GL(n, \mathbb{C})$ U = a group of nilpotent matrices

Example:

 $\mathfrak{g} = \mathfrak{sl}(2,\mathbb{C})$ is NOT solvable since $[\mathfrak{g},\mathfrak{g}] = \mathfrak{g}$ (since [e,f] = h, [h,e] = 2e, [h,f] = -2f)

Definition 68

The <u>lower central series</u> of a Lie algebra \mathfrak{g} over a field k is $Z_0 \mathfrak{g} = \mathfrak{g}$ and

$$Z_{j+1}\mathfrak{g} = [\mathfrak{g}, Z_j\mathfrak{g}]$$

for $j \ge 0$. \mathfrak{g} is nilpotent if $Z^j \mathfrak{g} = 0$ for some $j \ge 0$

Lemma 69

A Lie algebra \mathfrak{g} is nilpotent \Leftrightarrow there is sequence of ideals in \mathfrak{g}

$$0 \subset \mathfrak{g}_1 \subset \mathfrak{g}_2 \subset \cdots \subset \mathfrak{g}_n = \mathfrak{g}$$

s.t. \mathfrak{g}_{j+1} is central in $\mathfrak{g}/\mathfrak{g}_j \forall j$ Equivalently, $\mathfrak{g}/\mathfrak{g}_j$ is a <u>central extension</u> of $\mathfrak{g}/\mathfrak{g}_{j+1}$ (We saw \mathfrak{g} is a <u>central extension</u> of \mathfrak{h} if there is a central ideal $\mathfrak{z} \subset \mathfrak{g}$ such that $\mathfrak{h} \cong \mathfrak{g}/\mathfrak{z}$)

Definition 70

The <u>centre</u> of a Lie algebra \mathfrak{g} is

$$Z(\mathfrak{g}) = \{ x \in \mathfrak{g} \mid [x, y] = 0 \ \forall y \in \mathfrak{g} \}$$

$$\tag{49}$$

Remark. If G is a Lie group then $Z(\mathfrak{g})$ is the Lie algebra of Z(G)An ideal $\mathfrak{z} \subset \mathfrak{g}$ is <u>central</u> if $\mathfrak{z} \subset Z(\mathfrak{g})$ (also, \mathfrak{z} central $\Rightarrow [\mathfrak{g}, \mathfrak{z}] = 0$)

Example:

The Lie algebra \mathfrak{u} of strictly upper triangular matrices in $\mathfrak{gl}(n)$ is nilpotent, because $\mathfrak{u} = \mathfrak{u}_1$, $[\mathfrak{u}_1, \mathfrak{u}_1] \subset \mathfrak{u}_2$, $[\mathfrak{u}_1, \mathfrak{u}_2] \subset \mathfrak{u}_3$ and so on (see previous example) whereas $\mathfrak{b} \subset \mathfrak{gl}(n)$ is NOT nilpotent for $n \geq 2$

Lemma 71

Any Lie subalgebra and any quotient Lie algebra of a nilpotent Lie algebra is nilpotent

Example 72

Classify all Lie algebras \mathfrak{g} over \mathbb{C} of dimension ≤ 2 up to isomorphism

 $\underline{\dim}_{\mathbb{C}} \mathfrak{g} = 1$: Let e_1 be a basis for \mathfrak{g} as a \mathbb{C} -vector space. We have $[e_1, e_1] = 0$ So there is only one 1-dimensional Lie algebra over \mathbb{C} up to isomorphism

$$\mathfrak{g}\cong\mathbb{C}=\mathfrak{u}\subset\mathfrak{gl}(2)$$

(\mathfrak{u} is the set of 2x2 strictly upper triangular matrices in \mathbb{C})

 $\frac{\dim_{\mathbb{C}} \mathfrak{g} = 2}{\text{Then } [e_1, e_2]} = 0, \ [e_1, e_2] = a_1 e_1 + a_2 e_2 \ (a_1, a_2 \in \mathbb{C}), \ [e_2, e_2] = 0 \ (\text{and } [e_2, e_1] = -a_1 e_1 - a_2 e_2)$

<u>Case 1</u>: If $a_1 = a_2 = 0$, then \mathfrak{g} is the 2-dimensional abelian Lie algebra,

$$\mathfrak{g} = \mathbb{C}^2 \cong \mathbb{C} imes \mathbb{C}$$

(it iss the Lie algebra of the complex Lie group $(\mathbb{C}^2, +)$ or $(\mathbb{C}^{\times})^2$ for example)

 $\underline{\text{Case } 2}$:

Suppose \mathfrak{g} not abelian. Then $\dim_{\mathbb{C}}[\mathfrak{g},\mathfrak{g}] = 1$ Let e_1 be a basis for $[\mathfrak{g},\mathfrak{g}]$ and let e_2 be any other basis element for \mathfrak{g} Then $[e_1, e_2] = ae_1$ where $0 \neq a \in \mathbb{C}$

By changing e_2 to a nonzero multiple, we can arrange to have $[e_1, e_2] = e_1$ So there is at most one non-abelian Lie algebra over \mathbb{C} up to isomorphism This IS a Lie algebra since it is the Lie algebra of matrices $\left\{ \begin{pmatrix} * & * \\ 0 & 0 \end{pmatrix} \right\} \subset \mathfrak{gl}(2)$ which is the Lie algebra of the group of

$$G = \left\{ \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} : a \in \mathbb{C}^{\times}, b \in \mathbb{C} \right\}$$

We compute $\mathfrak{g} = \mathbb{C}\{e_{11}, e_{12}\}$ and $[e_{11}, e_{12}] = \delta_{11}e_{12} - \delta_{21}e_{11} = e_{12}$ This Lie algebra \mathfrak{g} is solvable because $D^1 \mathfrak{g} = [\mathfrak{g}, \mathfrak{g}] = \mathbb{C} e_{12}$ and $Z^2 \mathfrak{g} = [\mathbb{C} e_{12}, \mathbb{C} e_{12}] = 0$ But \mathfrak{g} is NOT nilpotent because: $Z_1 \mathfrak{g} = [\mathfrak{g}, \mathfrak{g}] = \mathbb{C} e_{12}$ $Z_2 \mathfrak{g} = [\mathfrak{g}, \mathbb{C} e_{12}] = \mathbb{C} e_{12}$ So $Z_j \mathfrak{g} \neq 0 \quad \forall j$ i.e. \mathfrak{g} is not nilpotent

Example:

 \mathfrak{u} =set of strictly upper triangular 3×3 matrices, called the <u>Heinsenberg</u> Lie algebra is the smallest nilpotent but not abelian Lie algebra

Here $\mathfrak{u} = \mathbb{C}\{e_{12}, e_{23}, e_{13}\}$ with $[e_{12}, e_{23}] = e_{13}$ $[e_{12}, e_{13}] = 0$ $[e_{23}, e_{13}] = 0$

Lemma 73

Let \mathfrak{g} be a Lie algebra over a field k. Let $\mathfrak{a}, \mathfrak{b}$ be solvable ideals in \mathfrak{g} . Then $\mathfrak{a} + \mathfrak{b} = \{x + y | x \in \mathfrak{a}, y \in \mathfrak{b}\}$ is a solvable ideal in \mathfrak{g}

Proof

Clearly $\mathfrak{a} + \mathfrak{b}$ is an ideal, have an isomorphism of Lie algebras:

$$\mathfrak{a} / \mathfrak{a} \cap \mathfrak{b} \xrightarrow{\sim} (\mathfrak{a} + \mathfrak{b}) / \mathfrak{b}$$

LHS is solvable since $\mathfrak a$ is solvable, and RHS is a Lie algebra Since $\mathfrak b$ is solvable, $\mathfrak a + \mathfrak b$ is solvable

Definition 74

The <u>radical</u> of a Lie algebra \mathfrak{g} (finite dimensional over k), denote $rad(\mathfrak{g})$ is the maximal solvable ideal in \mathfrak{g}

Definition 75

A Lie algebra \mathfrak{g} is semisimple if $rad(\mathfrak{g}) = 0$

Definition 76

A Lie algebra \mathfrak{g} is simple if \mathfrak{g} is not abelian and the only ideal in \mathfrak{g} are 0 and \mathfrak{g}

Lemma 77

A simple Lie algebra \mathfrak{g} is semisimple

Proof

If $\operatorname{rad}(\mathfrak{g}) \neq 0$, then $\mathfrak{g} = \operatorname{rad}(\mathfrak{g})$. So \mathfrak{g} is solvable. We have $[\mathfrak{g}, \mathfrak{g}] = 0$ or \mathfrak{g} . We have $[\mathfrak{g}, \mathfrak{g}] \neq 0$ because \mathfrak{g} is not abelian. And $[\mathfrak{g}, \mathfrak{g}] \neq \mathfrak{g}$ because we assumed \mathfrak{g} was solvable (and we knew $\mathfrak{g} \neq 0$)

 $\begin{array}{l} \underline{\text{Examples of simple Lie algebra:}} \ \mathfrak{sl}(n,\mathbb{C}) \ \text{for } n \geq 2 \\ \mathfrak{sp}(2n,\mathbb{C}) \ \text{for } n \geq 1 \\ \mathfrak{so}(n,\mathbb{C}) \ \text{for } n = 3 \ \text{or } n \geq 5 \end{array}$

To check that a Lie algebra \mathfrak{g} is simple, it is equivalent to check that \mathfrak{g} not abelian and the adjoint representation of \mathfrak{g} is irreducible

(Recall that ad(x)(y) = [x, y], for $x, y \in \mathfrak{g}$)

For example, for $\mathfrak{sl}(2)$, the adjoint representation $\mathfrak{sl}(2) \cong S^2(V)$, $V = \mathbb{C}^2$, which is irreducible The exceptional cases:

 $\mathfrak{sl}(1,\mathbb{C}) = 0$, $\mathfrak{so}(2,\mathbb{C}) \cong \mathbb{C}$, which is abelian (so not simple) This is due to

$$SO(2, \mathbb{C}) \cong \mathbb{C}^{\times}$$
$$\cup | \qquad \cup |$$
$$SO(2) = S^{1}$$

and $\mathfrak{so}(4,\mathbb{C}) \cong \mathfrak{so}(3,\mathbb{C}) \times \mathfrak{so}(3,\mathbb{C}) \cong \mathfrak{sl}(2,\mathbb{C}) \times \mathfrak{sl}(2,\mathbb{C})$ (since $SO(4,\mathbb{C}) \cong SL(2,\mathbb{C}) \times SL(2,\mathbb{C})/\{(1,1),(-1,-1)\})$

Note that for any Lie algebra $\mathfrak{g}, \mathfrak{g}/\operatorname{rad}(\mathfrak{g})$ is semisimple

$$\begin{array}{ccc} \mathfrak{g} & \xrightarrow{\pi} & \mathfrak{g} / \operatorname{rad}(\mathfrak{g}) \\ \cup | & & \cup | \\ \pi^{-1}(I) & I \end{array} \Rightarrow I = 0$$

 $\pi^{-1}(I)$ (Solvable ideal in $\mathfrak{g}) \to I$ solvable ideal $\Rightarrow I = 0$

Dual Representation

Let V be a representation of a group G. Is V^* a representation of G?

Given $g \in G$, we have a linear map $g : V \to V$, hence a linear map $g^* : V^* \to V^*$, $g^*(f)(x) = f(g(x)) \quad \forall x \in V$ We have $(gh)^* = h^*g^*$ We define a representation of G on V^* by $g \mapsto (g^*)^{-1} \in GL(V^*)$

in terms of a basis for V, the dual representation to a representation $G \xrightarrow{\rho} GL(n,k)$ is $G \to GL(n,k) \to GL(n,k)$, $A \mapsto (A^t)^{-1}$ because the matrix for f^* is f^t , $((AB)^t)^{-1} = (A^t)^{-1}(B^t)^{-1}$ so this is a representation

By taking derivatives, you find if V is a representation of a Lie algebra \mathfrak{g} over k, V^* is a representation of \mathfrak{g} , by :

$$(uf)(x) = -f(ux) \subset k \qquad u \in \mathfrak{g}, f \in V^*, x \in V$$

Example:

If V and W are representations of a Lie algebra \mathfrak{g} then $\operatorname{Hom}(V, W)$ is a representation of \mathfrak{g} , by $\operatorname{Hom}(V, W) = V^* \otimes W$ namely,

$$v \otimes w \mapsto (\phi : v \mapsto \alpha(v)w)$$

for a linear map $f \in \operatorname{Hom}(V, W)$ and $u \in \mathfrak{g}$

$$(uf)(v) = -f(uv) + uf(v) \in W \qquad v \in V$$

We see that the subspace $\operatorname{Hom}(V, W)^{\mathfrak{g}}$ is exactly the space $\operatorname{Hom}_{\mathfrak{g}}(V, W)$ of \mathfrak{g} -linear maps $V \to W$

Definition 78

For any representation V of a group G, the space V^G of <u>invariant</u> is $\{x \in V | gx = x \ \forall g \in G\}$ For a representation V of a Lie algebra \mathfrak{g} the space of \mathfrak{g} -invariant is

$$V^{\mathfrak{g}} := \{ x \in V | ux = 0 \ \forall u \in \mathfrak{g} \}$$

Likewise, given a representation V of a Lie algebra \mathfrak{g} we can write the action of \mathfrak{g} on the space $(V \otimes V)^* = V^* \otimes V^*$ of bilinear forms on V. The result is a bilinear form $B(\cdot, \cdot)$ on V is \mathfrak{g} -invariant $\Leftrightarrow B(ux, y) + B(x, uy) = 0 \ \forall x, y \in V$

Example: Let \langle , \rangle be the standard representation bilinear form on \mathbb{C}^n . Then a element $A \in \mathfrak{gl}(n\mathbb{C})$ preserves \langle , \rangle

$$\begin{array}{ll} \Leftrightarrow & \underbrace{\langle Ax, y \rangle}_{\langle x, A^t y \rangle} + \langle x, Ay \rangle = 0 & x, y \in \mathbb{C}^n \\ \Leftrightarrow & A + A^t = 0 \\ \Leftrightarrow & A \in \mathfrak{so}(n, \mathbb{C}) \end{array}$$

Definition 79

Let V be a representation of a Lie algebra \mathfrak{g} over a field k. The <u>trace form</u> associated to V is the symmetric bilinear form on \mathfrak{g} defined by

$$B_V(x,y) = \operatorname{tr}(\rho(x)\rho(y)) \in k \quad x, y \in \mathfrak{g}$$

where $\rho : \mathfrak{g} \to \mathfrak{gl}(V)$ is the given bilinear maps $V \to V$, $GL(V) = \{\text{linear isom } V \to V\}$ This is symmetric because $\operatorname{tr}(AB) = \operatorname{tr}(BA)$ for all $A, B : V \to V$ linear

Definition 80

The Killing form of a Lie algebra \mathfrak{g} over k is the trace form $B_{\mathfrak{g}} = K$ associated to the adjoint representation, i.e.

$$K(x,y) = \operatorname{tr}(\underbrace{\operatorname{ad}(x)\operatorname{ad}(y)}_{\in \mathfrak{gl}(\mathfrak{g})})$$

Lemma 81

Let V be a finite dimensional representation of a Lie algebra. Then the trace form B_V on \mathfrak{g} is (ad-)invariant, i.e.

$$B_V(\mathrm{ad}(u)\cdot(x), y) + B_V(x, \mathrm{ad}(u)\cdot(y)) = 0$$

Proof

We have to show that for any $x, y \in \mathfrak{g}$

$$B_V(u(x), y) + B_V(x, u(y)) = 0 \ \forall u \in \mathfrak{g}$$

(u(x) = (ad u)(x)) i.e. we want to show $B_V([u, x], y) + B_V(x, [u, y]) = 0$ i.e. want to show that:

$$\operatorname{tr}(\rho([u,x])\rho(y)) + \operatorname{tr}(\rho(x)\rho([u,y])) = 0$$

We know that $\rho([u, x]) = \rho(u)\rho(x) - \rho(x)\rho(u)$ because ρ is a representation of \mathfrak{g} on V, so LHS is

$$tr(\rho(u)\rho(x)\rho(y) - \rho(x)\rho(u)\rho(y) + \rho(x)\rho(u)\rho(y) - \rho(x)\rho(y)\rho(u))$$

=
$$tr(\rho(u)\rho(x)\rho(y) - \rho(x)\rho(y)\rho(u))$$

=
$$0$$

In particular, the Killing form on any Lie algebra \mathfrak{g} is ad-invariant

Lemma 82

Let \mathfrak{a} be any ideal in a Lie algebra \mathfrak{g} . Then the Killing form of \mathfrak{g} restricted to \mathfrak{a} is a Killing form of \mathfrak{a}

Proof

We have to show that for any $x, y \in \mathfrak{a}$

$$\operatorname{tr}_{\mathfrak{q}}((\operatorname{ad} x)(\operatorname{ad} y)) = \operatorname{tr}_{\mathfrak{q}}((\operatorname{ad} x)(\operatorname{ad} y))$$

Choose a basis for \mathfrak{g} as a vector space starting with a basis for \mathfrak{a} Then for $x \in \mathfrak{a}$, $\operatorname{ad} x \in \operatorname{Hom}_k(\mathfrak{g}, \mathfrak{g})$ has the form (see notes)

(since \mathfrak{a} is an ideal)

Therefore, for $x, y \in \mathfrak{a}$, $(\operatorname{ad} x)(\operatorname{ad} y)$ acting on \mathfrak{g} should be

$$\left(\begin{array}{c|c} * & * \\ \hline 0 & 0 \end{array}\right)$$

which is easily observed $\operatorname{tr}_{\mathfrak{a}}(\operatorname{ad} x)(\operatorname{ad} y) = \operatorname{tr}_{\mathfrak{g}}(\operatorname{ad} x)(\operatorname{ad} y)$

Remark. For any Lie algebra \mathfrak{g} over a field k, the ker(ad : $\mathfrak{g} \to \mathfrak{gl}(\mathfrak{g}) = Z(\mathfrak{g})$ (the map is $x \mapsto (y \mapsto [x, y])$)

So $\mathfrak{g}/Z(\mathfrak{g}) \hookrightarrow \mathfrak{gl}(n)$, where $n = \dim \mathfrak{g}$

So Ado's Theorem is obvious for \mathfrak{g} with $Z(\mathfrak{g}) = 0$ such as semisimple Lie algebras.

This also applies to some non-semisimple Lie algebra, such as the 2-dimensional nonabelian Lie algebra \mathfrak{g} :

$$\mathfrak{g} = \begin{pmatrix} * & * \\ 0 & 0 \end{pmatrix}, \quad [e_{11}, e_{12}] = e_{12}$$

and we compute that $Z(\mathfrak{g}) = 0$

Recall: the Killing form on a Lie algebra is $K(x, y) = \operatorname{tr}_{\mathfrak{g}}((\operatorname{ad} x)(\operatorname{ad} y)) \in k$ This is an ad-invariant symmetric bilinear, form on \mathfrak{g}

Example: For \mathfrak{g} abelian, the Killing form is 0. More generally, if \mathfrak{g} is nilpotent, then the Killing form is 0: we have the lower central series:

 $\mathfrak{g}=Z_0\,\mathfrak{g}\supset Z_1\,\mathfrak{g}\supset\cdots\supset Z_r\,\mathfrak{g}=0$

where $Z_{j+1} \mathfrak{g} = [\mathfrak{g}, Z_j \mathfrak{g}]$ So for any $x \in \mathfrak{g}$, $(\operatorname{ad} x)(Z_j \mathfrak{g}) \subset Z_{j+1} \mathfrak{g}$ So $(\operatorname{ad} x)(\operatorname{ad} y)$ is nilpotent: $\mathfrak{g} \to \mathfrak{g}$, so K(x, y) = 0 on \mathfrak{g} nilpotent.

For \mathfrak{g} solvable, K(x, y) can be non-zero Example:

$$\mathfrak{g} = \begin{pmatrix} * & * \\ 0 & 0 \end{pmatrix}, \quad [e_{11}, e_{12}] = e_{12}$$

Here, $(\operatorname{ad} e_{11})(e_{11}) = b$ $(\operatorname{ad} e_{11})(e_{12}) = e_{12}$ $(\operatorname{ad} e_{12})(e_{11}) = -e_{12}$ $(\operatorname{ad} e_{12})(e_{12}) = 0$ We compute that $K(e_{11}, e_{11}) = 1, K(e_{11}, e_{12}) = 0, K(e_{12}, e_{12}) = 0$

Theorem 83 (Cartan's criterion for solvable Lie algebras)

A Lie algebra \mathfrak{g} over a field k with char k = 0 is solvable $\Leftrightarrow K(\mathfrak{g}, [\mathfrak{g}, \mathfrak{g}]) = 0$

(Proof ommitted)

Lemma 84

Let \mathfrak{g} be a Lie algebra over a field k, $\mathfrak{a} \leq \mathfrak{g}$ an ideal. Then \mathfrak{a}^{\perp} (with respect to the Killing form) is an ideal

Proof

Use that the Killing form is ad-invariant

$$K(\underbrace{[x,y]}_{\in\mathfrak{a}},z)+K(y,[x,z])=0 \quad \forall x,y,z\in\mathfrak{g}$$

Let $x \in \mathfrak{g}, y \in \mathfrak{a}, z \in \mathfrak{a}^{\perp}$ Then first term is 0 so we have 0 = K(y, [x, z])So $[x, z] \in \mathfrak{a}^{\perp}$ (since $y \in \mathfrak{a}$ is arbitrary) So \mathfrak{a}^{\perp} is an ideal in \mathfrak{g}

Corollary 85 (Cartan's criterion for semisimple Lie algebra)

Let char k=0. A Lie algebra \mathfrak{g} over k is semisimple \Leftrightarrow K nondegenerate on \mathfrak{g}

\mathbf{Proof}

 $\begin{array}{ll} K \text{ nondegenerate means that } K(x,y) = 0 \ \forall y \quad \Rightarrow \quad x = 0 \\ \Rightarrow \quad (K \text{ nondegenerate } \Leftrightarrow \mathfrak{g}^{\perp} = 0) \end{array}$

 \Rightarrow :

First suppose \mathfrak{g} is semisimple. By lemma, \mathfrak{g}^{\perp} is an ideal in \mathfrak{g}

Also, the Killing form of $\mathfrak g$ restricts to 0 on $\mathfrak g^\perp$

So the Killing form of \mathfrak{g}^{\perp} is 0 (by a previous lemma).

By Cartan's criterion for solvable Lie algebra, \mathfrak{g}^{\perp} is solvable. Since \mathfrak{g} is semisimple, $\mathfrak{g}^{\perp} = 0$ That is, the Killing form on \mathfrak{g} is nondegenerate

Conversely, suppose \mathfrak{g} is <u>not</u> semisimple, so $rad(\mathfrak{g}) \neq 0$

Lemma 86

If \mathfrak{a} is an ideal in a Lie algebra \mathfrak{g} , then $[\mathfrak{a}, \mathfrak{a}]$ is also an ideal in \mathfrak{g}

Proof

For any $x \in \mathfrak{g}, y, z \in \mathfrak{a}$, we have

$$[x,[y,z]] = -[y,\underbrace{[z,x]}_{\in\mathfrak{a}}] - [z,\underbrace{[x,y]}_{\in\mathfrak{a}}] \in [\mathfrak{a},\mathfrak{a}]$$

 $\Rightarrow \quad [\operatorname{rad}(\mathfrak{g}), \operatorname{rad}(\mathfrak{g})] = Z^1 \operatorname{rad}(\mathfrak{g}) \text{ is an ideal in } \mathfrak{g}$

as is $Z^2 \mathfrak{g}, Z^3 \mathfrak{g}, \ldots$ (these are terms in derived series)

 $\Rightarrow \mathfrak{g}$ contains a nonzero <u>abelian</u> ideal \mathfrak{a}

We will show that $\mathfrak{a} \subset \mathfrak{g}^{\perp}$ so Killing form is degenerate.

Pick a basis for \mathfrak{g} over k that starts with a basis for abelian ideal \mathfrak{a} . Then for any $x \in \mathfrak{a}$

$$\operatorname{ad} x = \left(\begin{array}{c|c} 0 & * \\ \hline 0 & 0 \\ \dim \mathfrak{g} & 0 \\ \dim \mathfrak{g} - \dim \mathfrak{a} \end{array} \right) \qquad \operatorname{ad} y = \left(\begin{array}{c|c} * & * \\ \hline 0 & * \end{array} \right)$$
$$\Rightarrow \quad (\operatorname{ad} x)(\operatorname{ad} y) = \left(\begin{array}{c|c} 0 & * \\ \hline 0 & 0 \end{array} \right)$$
$$\Rightarrow \quad K(x, y) = \operatorname{tr}(\operatorname{ad} x)(\operatorname{ad} y) = 0$$

Corollary 87

Every semisimple Lie algebra \mathfrak{g} over a field k of characteristic 0 is a product of simple Lie algebra $\mathfrak{g} \cong \mathfrak{g}_1 \times \cdots \times \mathfrak{g}_r$

Proof

Let $\mathfrak{a} \leq \mathfrak{g}$ be an ideal. We know that \mathfrak{a}^{\perp} is also an ideal in \mathfrak{g} so $\mathfrak{a} \cap \mathfrak{a}^{\perp}$ is an ideal in \mathfrak{g} . The Killing form of \mathfrak{g} is 0 on $\mathfrak{a} \cap \mathfrak{a}^{\perp}$

By a previous lemma, the Killing form of the Lie algebra $\mathfrak{a} \cap \mathfrak{a}^{\perp}$ is 0 By Cartan's criterion, $\mathfrak{a} \cap \mathfrak{a}^{\perp}$ is solvable. Since \mathfrak{g} is semisimple, we have $\mathfrak{a} \cap \mathfrak{a}^{\perp} = 0$ (By conunting dimensions) $\mathfrak{g} = \mathfrak{a} \oplus \mathfrak{a}^{\perp}$ as a vector space Notice that $[\mathfrak{a}, \mathfrak{a}^{\perp}] = 0$ because \mathfrak{a} and \mathfrak{a}^{\perp} are both ideals in \mathfrak{g} So $\mathfrak{g} \cong \mathfrak{a} \times \mathfrak{a}^{\perp}$ as a Lie algebra By induction on dimension of $\mathfrak{g}, \mathfrak{g}$ is product of simple Lie algebras

Example:

Semisimple and Nilpotent elements

Definition 88

Let \mathfrak{g} be a Lie algebra over a field k

An element $x \in \mathfrak{g}$ is called <u>semisimple</u> if the linear map $\operatorname{ad} x : \mathfrak{g} \to \mathfrak{g}$ is diagonalizable (=semisimple) An element $x \in \mathfrak{g}$ is called <u>nilpotent</u> if $\operatorname{ad} x$ is nilpotent

Example:

For $\mathfrak{g} = \mathfrak{gl}(n, \mathbb{C})$ (or $\mathfrak{sl}(n, \mathbb{C})$) $x \in \mathfrak{gl}(n, \mathbb{C})$ is semisimple or nilpotent in this sense if and only if $x : \mathbb{C}^n \to \mathbb{C}^n$ is diagonalizable or nilpotent.

Definition 89

A Lie subalgebra $\mathfrak{t} \subset \mathfrak{g}$ is <u>toral</u> if it is abelian and consists of semisimple elements

Example:

$$\mathfrak{g} = \mathfrak{gl}(n,\mathbb{C}) \quad \mathfrak{t} = \left\{ \begin{pmatrix} a_1 & 0 \\ & \ddots & \\ 0 & & a_n \end{pmatrix} \middle| a_i \in \mathbb{C} \right\} \text{ is a toral subalgebra}$$

Here $\mathfrak t$ is the Lie algebra of the complex (multiplicative) Lie group

$$T = \begin{cases} \begin{pmatrix} a_1 & 0 \\ & \ddots & \\ 0 & a_n \end{pmatrix} \middle| a_i \in \mathbb{C}^{\times} \end{cases} \cong (\mathbb{C}^{\times})^n \\ \begin{pmatrix} a_1 & 0 \\ & \ddots & \\ 0 & a_n \end{pmatrix} \begin{pmatrix} b_1 & 0 \\ & \ddots & \\ 0 & b_n \end{pmatrix} = \begin{pmatrix} a_1 b_1 & 0 \\ & \ddots & \\ 0 & & a_n b_n \end{pmatrix} \in T$$

In dealing with complex subgroups of $GL(n, \mathbb{C})$, a <u>torus</u> means a group $\cong (\mathbb{C}^{\times})^a$ some $a \ge 0$

Lemma 90

Let V be a finite dimensional vector space. Let $S \subset End(V)$ be a set of commuting semisimple linear

maps $V \to V$. Then we can simultaneously diagonalize all the maps in S. Equivalently,

$$V = \bigoplus_{\lambda: S \to \mathbb{C}} V(\lambda)$$

where $V(\lambda) = \{x \in V | s(x) = \lambda(s)x \ \forall s \in S\}$

Proof

Say $S = \{s_1, s_2, \ldots\}$ We know that s_1 is diagonalizable, so $V = \bigoplus_{\lambda_1 \in \mathbb{C}} V(\lambda_1), V(\lambda_1) = \{x \in V | s_1(x) = \lambda_1 x\}$ Since s_2 commutes with s_1, s_2 maps each s_1 -eigenspace $V(\lambda_1)$ into itself So $s_2 : V(\lambda_1) \to V(\lambda_1)$ is diagonalizable for $\lambda_1 \in \mathbb{C}$ so $V = \bigoplus_{\lambda_1, \lambda_2 \in \mathbb{C}} V(\lambda_1, \lambda_2)$ where $V(\lambda_1, \lambda_2) = \{x \in V | s_1(x) = \lambda_1 x \ s_2(x) = \lambda_2 x\}$ etc.

Remark. The trace form on $\mathfrak{gl}(n,\mathbb{C})$ associate to the standard representation $\langle x,y\rangle = \operatorname{tr}(xy)$, is a nondegenerate symmetric bilinear form on $\mathfrak{gl}(n,\mathbb{C})$ It has

The Killing form on $\mathfrak{sl}(n,\mathbb{C})$ is equal to $2n \operatorname{tr}(xy)$

Theorem 91

Let \mathfrak{g} be a complex semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ a toral subalgebra. Let \langle , \rangle be a nondegnerate ad-invariant symmetric bilinear form on \mathfrak{g} (e.g. the Killing form). Then

- (1) $\mathfrak{g} = \bigoplus_{\alpha \in \mathfrak{t}^*} \mathfrak{g}_{\alpha}$ where $\mathfrak{g}_{\alpha} = \{x \in \mathfrak{g} | \forall y \in \mathfrak{t} [y, x] = \alpha(y)x\}$ (the " α -eigenspace" for \mathfrak{t} acting on \mathfrak{g}). In particular, $\mathfrak{t} \subset \mathfrak{g}_0$ (will soon show they are in fact equal)
- (2) $[\mathfrak{g}_{\alpha},\mathfrak{g}_{\beta}] \subset \mathfrak{g}_{\alpha+\beta} \quad \forall \alpha,\beta \in \mathfrak{t}^*$
- (3) If $\alpha + \beta \neq 0$, then \mathfrak{g}_{α} and \mathfrak{g}_{β} are orthogonal with respect to \langle , \rangle .
- (4) $\forall \alpha \in \mathfrak{t}^*$, the bilinear form restricts to a nondegenerate $\mathfrak{g}_{\alpha} \times \mathfrak{g}_{\alpha} \to \mathbb{C}$

Proof

(1) For each $y \in \mathfrak{t}$, ad y is a semisimple linear map $\mathfrak{g} \to \mathfrak{g}$ and all these linear maps commute (because $0 = \operatorname{ad}[x, y] = [\operatorname{ad} x, \operatorname{ad} y]$ for $x, y \in \mathfrak{t}$ abelian) So we can simultaneously diagonalize \mathfrak{g} with respect to all of \mathfrak{t}

Easy to see that the eigenvalues $\alpha : \mathfrak{t} \to \mathbb{C}$ of any basis element of \mathfrak{g} must be linear, that is, $\alpha \in \mathfrak{t}^*$

(2) Let $\alpha, \beta \in \mathfrak{t}^*, y \in \mathfrak{g}_{\alpha}, z \in \mathfrak{g}_{\beta}, x \in \mathfrak{t}$. Then

$$\begin{split} [x, [y, z]] &= -[y, [z, x]] - [z, [x, y]] \\ &= [y, [x, z]] - [z, [x, y]] \\ &+ [y, \beta(x)z] - [z, \alpha(x)y] \\ &= (\beta(x) + \alpha(x))[y, z] \\ &= (\alpha + \beta)(x)[y, z] \end{split}$$

so $[y, z] \in \mathfrak{g}_{\alpha+\beta}$

(3) Use that \langle , \rangle is ad-invariant

$$\langle [x,y],z \rangle + \langle y,[x,z] \rangle = 0 \quad \forall x,y,z \in \mathfrak{g}$$

Let $x \in \mathfrak{t}, y \in \mathfrak{g}_{\alpha}, z \in \mathfrak{g}_{\beta}$. Then

$$0 = \langle \alpha(x)y, z \rangle + \langle y, \beta(x)z \rangle$$
$$= (\alpha(x) + \beta(x))\langle y, z \rangle$$

so if $\langle y, z \rangle \neq 0$, then we must have $(\alpha + \beta)(x) = 0 \ \forall x \in \mathfrak{t}$. That is, $\alpha + \beta = 0 \in \mathfrak{t}^*$

(4) This follows from \langle , \rangle being nondegenerate on \mathfrak{g} together with (3)

Definition 92

A Cartan subalgebra in a complex semisimple Lie algebra is a maximal toral subalgebra

Lemma 93

Let $\mathfrak{t} \subset \mathfrak{g}$ be a Cartan subalgebra. Then \mathfrak{t} is equal to its own centralizer in \mathfrak{g} (hence \mathfrak{g}_0)

$$\mathfrak{g}_0 = Z_\mathfrak{g}(\mathfrak{t}) = \{ x \in \mathfrak{g} \mid [x, y] = 0 \ \forall y \in \mathfrak{t} \}$$

(Proof omitted)

Thus, for any Cartan subalgebra \mathfrak{t} in a \mathbb{C} -semisimple Lie algebra \mathfrak{g} , we have

$$\mathfrak{g}=\mathfrak{t}\oplus igoplus_{0
eqlpha\in\mathfrak{t}^*}\mathfrak{g}_lpha$$

because $\mathfrak{g}_0 = \mathfrak{t}$. Remind again:

$$\mathfrak{g}_{\alpha} = \{ x \in \mathfrak{g} \, | [h, x] = \alpha(h) x \; \forall h \in \mathfrak{t} \}$$

This is called the <u>root space of decomposition</u> of \mathfrak{g} . The eigenspaces $\mathfrak{g}_{\alpha} \neq 0$ with $\alpha \neq 0 \in \mathfrak{t}^*$ are called the <u>root spaces</u>. The $0 \neq \alpha \in \mathfrak{t}^*$ with $\mathfrak{g}_{\alpha} \neq 0$ are called the <u>roots of \mathfrak{g} </u>. Write $R \subset \mathfrak{t}^*$ be the set of roots of \mathfrak{g}

Example:

Let $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{C})$ and let \mathfrak{t} =the space of diagonal matrices in $\mathfrak{g} = \{(a_1, \ldots, a_n) \in \mathbb{C}^n | a_1 + \cdots + a_n = 0\}$ This is a toral subalgebra. Claim that this is a Cartan subalgebra

To see that, conjugate the eigenspace decomposition of \mathfrak{g} with respect to \mathfrak{t} We use that for $i \neq j, [e_{ii}, e_{ij}] = e_{ij}$

Therefore, for any diagonal matrix $y = (y_1, \ldots, y_n)$

$$[y, e_{ij}] = (y_i - y_j)e_{ij}$$

Define a linear function $\epsilon_1, \ldots, \epsilon_n \in \mathfrak{t}^*$ by

$$\epsilon_i(y_1,\ldots,y_n)=y_i$$

Then the above calculation shows that for $i \neq j$, $e_{ij} \in \mathfrak{g}_{\epsilon_i - \epsilon_j}$. Thus

$$\mathfrak{g} = \mathfrak{t} \oplus \bigoplus_{i
eq j} \underbrace{\mathfrak{g}_{\epsilon_i - \epsilon_j}}_{\mathbb{C} \cdot e_{ij}}$$

 $\begin{aligned} \epsilon_i - \epsilon_j &\neq 0 \in \mathfrak{t}^* \ \forall i \neq j \quad \Rightarrow \quad t = \mathfrak{g}_0 \\ \Rightarrow \quad Z_{\mathfrak{g}}(\mathfrak{t}) = \mathfrak{t}, \text{ i.e. } \mathfrak{t} \text{ is a Cartan subalgebra.} \end{aligned}$ We have found the root-space decomposition of $\mathfrak{sl}(n, \mathbb{C})$

See what this is for $\mathfrak{sl}(2,\mathbb{C})$ Here $\mathfrak{t} = \mathbb{C} \cdot \begin{pmatrix} 1 \\ & -1 \end{pmatrix}$

$$\mathfrak{sl}(2,\mathbb{C}) = \mathbb{C} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

where $\mathbb{C} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \mathfrak{g}_{\epsilon_2 - \epsilon_1} = \mathfrak{g}_{-2\epsilon_1}$ $\mathbb{C} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \mathfrak{t}$ $\mathbb{C} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \mathfrak{g}_{\epsilon_1 - \epsilon_2} = \mathfrak{g}_{2\epsilon_1}$

(Note: On $\mathfrak{t} \subset \mathfrak{sl}(n), \epsilon_1 + \ldots + \epsilon_n = 0 \in \mathfrak{t}^*$, so in $\mathfrak{sl}(2, \mathbb{C}), \epsilon_2 = -\epsilon_1 \in \mathfrak{t}^* = (\mathbb{C} \cdot h)^*$) These just the same as formulae:

$$[h, f] = -2f$$
 $[h, h] = 0$ $[h, e] = 2e$

Lemma 94

 $\mathfrak{sl}(n,\mathbb{C})$ is simple for $n \geq 2$

Proof

It is not abelian, because $[e_{12}, e_{21}] = e_{12}e_{21} - e_{21}e_{12} = e_{11} - e_{22} \neq 0 \in \mathfrak{sl}(n, \mathbb{C})$ We have to show that any nonzero ideal $\mathfrak{a} \trianglelefteq \mathfrak{sl}(n, \mathbb{C})$ must equal $\mathfrak{sl}(n, \mathbb{C})$ We know, in particular, that $[\mathfrak{t}, \mathfrak{a}] \subset \mathfrak{a}$ That implies $\mathfrak{a} = (a_n \mathfrak{t}) \oplus$ (the subspace spanned by some set of e_{ij} 's, $i \neq j$)

Claim that $\mathfrak{a} \cap \mathfrak{t} \neq 0$. If not, $\mathfrak{a} \supset e_{ij}$ some $i \neq j$, So \mathfrak{a} contains

$$[e_{ij}, e_{ji}] = e_{ii} - e_{jj}$$

So $\mathfrak{a} \cap \mathfrak{t} \neq 0$ Next for any $k \notin \{i, j\}$, we have

$$[e_{ii} - e_{jj}, e_{ik}] = e_{ik}$$

So \mathfrak{a} contains e_{ik} and hence \mathfrak{a} contains

$$[e_{ik}, e_{ki}] = e_{ii} - e_{ki}$$

Therefore \mathfrak{a} contains \mathfrak{t} (\mathfrak{t} is spanned by $e_{11} - e_{22}, e_{11} - e_{33}, \ldots, e_{11} - e_{nn}$) Therefore, for any $i \neq j$, \mathfrak{a} contains

$$[\underbrace{e_{ii} - e_{jj}}_{\in \mathfrak{t} \subset \mathfrak{sl}(n)}, e_{ij}] = e_{ij} - [e_{jj}, e_{ij}] = 2e_{ij}$$

So $\mathfrak{a} = \mathfrak{sl}(n, \mathbb{C})$. That is, $\mathfrak{sl}(n, \mathbb{C})$ is simple

Let \mathfrak{g} be a complex semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ a Cartan subalgebra. Let \langle , \rangle be an invariant nondegenerate symmetric bilinear form on \mathfrak{g} We know that $\langle , \rangle : \mathfrak{g}_{\alpha} \times \mathfrak{g}_{-\alpha} \to \mathbb{C}$ is nondegenerate for all $\alpha \in \mathfrak{t}^*$ For $\alpha = 0$, this gives the \langle , \rangle is nondegenerate on \mathfrak{t}

We can use this form to identify

$$\begin{array}{rcl} \mathfrak{t} &\cong & \mathfrak{t}^* \\ x &\mapsto & (y\mapsto \langle x,y\rangle\in\mathbb{C}) \end{array} \end{array}$$

For $\alpha \in \mathfrak{t}^*$, write the corresponding element of \mathfrak{t} as $H_{\alpha} \in \mathfrak{t}$, i.e.

$$\alpha(x) = \langle H_{\alpha}, x \rangle \qquad \forall x \in \mathfrak{t}$$

Lemma 95

Let $e \in \mathfrak{g}_{\alpha}, f \in \mathfrak{g}_{-\alpha}$. Then $[e, f] = \langle e, f \rangle H_{\alpha}$

Proof

It will suffice to show that $\forall x \in \mathfrak{t}$, $\langle x, [e, f] \rangle = \langle x, \langle e, f \rangle H_{\alpha} \rangle$. The right side here is $\langle e, f \rangle \alpha(x)$ Use that \langle , \rangle on \mathfrak{g} is ad-invariant

Lemma 96

Let $\alpha \in R \subset \mathfrak{t}^*$. Then

- (1) $\langle \alpha, \alpha \rangle \neq 0$ (Equivalently, $\langle H_{\alpha}, H_{\alpha} \rangle \neq 0$)
- (2) Let $\alpha \in R$. Let $e \in \mathfrak{g}_{\alpha}, f \in \mathfrak{g}_{-\alpha}$ s.t. $\langle e, f \rangle = \frac{2}{\langle \alpha, \alpha \rangle}$. Also, let $h_{\alpha} = \frac{2H_{\alpha}}{\langle \alpha, \alpha \rangle} \in \mathfrak{t}$. Then $\alpha(h_{\alpha}) = 2$ and the elements $e, f, h_{\alpha} \in \mathfrak{g}$ satisfy the relation defining $\mathfrak{sl}(2, \mathbb{C})$. Denote this Lie subalgebra $\mathfrak{sl}(2, \mathbb{C})_{\alpha} \subset \mathfrak{g}$.

Proof

(1) Assume that $\langle \alpha, \alpha \rangle = 0 \in \mathbb{C}$. Then $\alpha(H_{\alpha}) = 0$. We know that $\langle , \rangle : \mathfrak{g}_{\alpha} \times \mathfrak{g}_{-\alpha} \to \mathbb{C}$ is nondegenerate and $\mathfrak{g}_{\alpha} \neq 0$, so there are elements $e \in \mathfrak{g}_{\alpha}, f \in \mathfrak{g}_{-\alpha}$ with $\langle e, f \rangle \neq 0$. Let $h = [e, f] (\in \mathfrak{g}_0 = \mathfrak{t}) = \langle e, f \rangle H_{\alpha} (\neq 0)$.

Claim that h, e, f span a Lie subalgebra of \mathfrak{g} . Indeed, we have

$$[h,e] = \alpha(h)e = 0$$

$$[h,f] = \alpha(h)f = 0$$

Look at the action of $\operatorname{ad} h$ on \mathfrak{g} , it is diagonalizable, so

$$\mathfrak{g} = igoplus_{c \in \mathbb{C}} \mathfrak{g}_c$$

where $\mathfrak{g}_c = \{x \in \mathfrak{g} \mid [h, x] = cx\}$ How do ad e and ad f act on \mathfrak{g} ? Because e and f commute with h, e and f map each subspace \mathfrak{g}_c into itself for all $c \in \mathbb{C}$ We have h = [e, f] as endomorphism on \mathfrak{g}_c for each $c \in \mathbb{C}$ Therefore $\operatorname{tr}(h|_{\mathfrak{g}_c}) = 0$ But h acts by multiplication by c on \mathfrak{g}_c So, if $\mathfrak{g}_c \neq 0$, then we must have c = 0That means that $h \in Z(\mathfrak{g})$. But \mathfrak{g} is semisimple, so h = 0 #

(2) $\alpha(h_{\alpha}) = \frac{2\alpha(H_{\alpha})}{\langle \alpha, \alpha \rangle} = \frac{2\langle \alpha, \alpha \rangle}{\langle \alpha, \alpha \rangle} = 2$ $(\langle \alpha, \alpha \rangle = \alpha(H_{\alpha}) = \langle H_{\alpha}, H_{\alpha} \rangle)$ We know that

$$[e, f] = \langle e, f \rangle H_{\alpha}$$
$$= \frac{2H_{\alpha}}{\langle \alpha, \alpha \rangle}$$
$$= h_{\alpha}$$
and
$$[h_{\alpha}, e] = \alpha(h_{\alpha})e = 2e$$
and
$$[h_{\alpha}, f] = \alpha(h_{\alpha})f = -2f$$

Lemma 97

Let α be a root, and let $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset \mathfrak{g}$ be the Lie subalgebra spanned by $e \in \mathfrak{g}_{\alpha}, f \in \mathfrak{g}_{-\alpha}$ and h_{α} as above.

Consider the linear subspace of \mathfrak{g}

$$V = \mathbb{C} \cdot h_{\alpha} \oplus \left(\bigoplus_{0 \neq k \in \mathbb{Z}} \mathfrak{g}_{k\alpha} \right) \subset \mathfrak{g}$$

Then V is an irreducible representation of $\mathfrak{sl}(2\mathbb{C})_{\alpha}$, and $\dim_{\mathbb{C}}\mathfrak{g}_{\alpha}=1$

Proof

Here $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset \mathfrak{g}$ acts on \mathfrak{g} by the adjoint representation. We have to show that $\operatorname{ad} e, \operatorname{ad} f$ and $\operatorname{ad} h_{\alpha}$ map V to itself. We have

$$(ad e)(\mathfrak{g}_{k\alpha}) \in \mathfrak{g}_{(k+1)\alpha} (ad e)(\mathfrak{g}_{-\alpha}) = \langle e, f \rangle H_{\alpha} \in \mathbb{C} \cdot h_{\alpha}$$

by Lemma 95.

Same argument show that $(\operatorname{ad} f)(V) \subset V$

Because $h_{\alpha} = [e, f], h_{\alpha}$ also maps V into itself

So $V \subset \mathfrak{g}$ is a representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$

What are its weight? The weight of a vector $x \in \mathfrak{g}_{k\alpha}$ (w.r.t. $h_{\alpha} \in \mathfrak{t}$) is $k\alpha(h_{\alpha}) = 2k$

(So $V \cong (S^0 A)^{\oplus a_0} \oplus (S^2 A)^{\oplus a_2} \oplus \cdots$ where $A \cong \mathbb{C}^2$ is the standard representation of $\mathfrak{sl}(2,\mathbb{C})$) And the 0-th weight space of V is 1-dimensional

$\operatorname{ch}(S^0 V)$	=			\bullet^0		
$\operatorname{ch}(S^2V)$	=		\bullet^{-2}	\bullet^0	\bullet^2	
$ch(S^4V)$	=	\bullet^{-4}	\bullet^{-2}	\bullet^0	\bullet^2	•4

So V is irreducible, as a representation of $\mathfrak{sl}(2,\mathbb{C})$. So all (nonzero) weight spaces of V are 1dimensional. Since $\mathfrak{g}_{\alpha} \neq 0$, dim_{\mathbb{C}} $\mathfrak{g}_{\alpha} = 1$

Detour: Semidirect Products

Let $N \trianglelefteq G$ be a normal subgroup of a group

We say that G is a semidirect product $G = H \ltimes N$ if there is a subgroup $H \leq G$ that maps isomorphically to G/N

$$1 \to N \to G \to G/N \to 1$$

Conversely, given groups H and N, what do we need to define a group $G = H \ltimes N$? Given a semidirect product group, we get a homomorphism

$$\begin{array}{rcl} H & \to & \operatorname{Aut}(N) \\ h & \mapsto & (n \mapsto hnh^{-1}) \end{array}$$

Conversely, given H, N a homomorphism $\phi : H \to \operatorname{Aut}(N)$, define a semidirect product group $G = H \ltimes N$

$$(h_1n_1) \cdot (h_2n_2) = \underbrace{(h_1h_2)}_{\in H} \underbrace{(h_2^{-1}n_1h_2n_2)}_{=\phi(h_2)(n_1)n_2 \in N}$$

Example:

The group of isometries of \mathbb{R}^n is $O(n) \ltimes \mathbb{R}^n$ (isometries that fixes $0 \ltimes$ translation) The group of affine translations of \mathbb{R}^n is $GL(n, \mathbb{R}) \ltimes \mathbb{R}^n$

The homomorphism $GL(n,\mathbb{R})\to \operatorname{Aut}(\mathbb{R}^n)$ is the obvious one

Example:

The group

$$\left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} : a \in \mathbb{C}^{\times}, b \in \mathbb{C} \right\}$$

is a semidirect product $\mathbb{C}^{\times}\ltimes\mathbb{C}$

Lemma 98

Let \mathfrak{g} be a complex semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ a Cartan subalgebra, $\alpha \in R$ a root (i.e, $\alpha \in \mathfrak{t}^*, \mathfrak{g}_{\alpha} \neq 0, \alpha \neq 0$)

Then the Lie subalgebra $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset \mathfrak{g}$ and the element $\alpha^{\vee} = h_{\alpha} \in \mathfrak{t}$ are independent of the choice of nondegenerate invariant symmetric bilinear form on \mathfrak{g}

Proof

We know that \mathfrak{g}_{α} and $\mathfrak{g}_{-\alpha}$ are 1-dimensional so $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{-\alpha}] \subset \mathfrak{t}$ has dimension ≤ 1 and in fact it has dimension being 1 as we showed. The $\mathfrak{sl}(2, \mathbb{C})_{\alpha}$ is

$$\mathfrak{sl}(2,\mathbb{C})_{lpha}=\mathfrak{g}_{lpha}\oplus[\mathfrak{g}_{lpha},\mathfrak{g}_{-lpha}]\oplus\mathfrak{g}_{-lpha}$$

which clearly does not depend on choice of \langle , \rangle .

The element α^{\vee} is the unique element of $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{-\alpha}]$ s.t. $\alpha(\alpha^{\vee}) = 2$

Remark. The equation $\alpha(\alpha^{\vee}) = 2$ means that ad α^{\vee} acts on \mathfrak{g}_{α} by multiplication by 2, i.e.

$$[\alpha^{\vee}, x] = 2x \quad \forall x \in \mathfrak{g}_{\alpha}$$

The element $\alpha^{\vee} \in \mathfrak{t}$ associate to a root $\alpha \in \mathfrak{t}^*$ is called the <u>coroot associated to α </u>

Example:

Let $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{C})$, \mathfrak{t} = diagonal matrices in $\mathfrak{sl}(n, \mathbb{C})$ Any element of \mathfrak{t} can be written by $(y_1, \ldots, y_n) \in \mathbb{C}^n$ with $y_1 + \cdots + y_n = 0$. The roots are $\epsilon_i - \epsilon_j \in \mathfrak{t}^*$ for $i \neq j$ where $\epsilon_n(y_1, \ldots, y_n) = y_i$ (we have $\epsilon_1 + \ldots + \epsilon_n = 0$ in \mathfrak{t}^*)

That means $[(y_1, \ldots, y_n), e_{ij}] = (y_i - y_j)e_{ij} \ (i \neq j)$ The coroot $\alpha_{ij}^{\vee} = e_{ii} - e_{jj}$ because that is the unique element of $[\mathbb{C} e_{ij}, \mathbb{C} e_{ji}]$ s.t. $(\epsilon_i - \epsilon_j)(e_{ii} - e_{jj}) = 2$ That means that $(f =)e_{ji}, (h =)e_{ii} - e_{jj}, (e =)e_{ij}$ satisfy the relations in $\mathfrak{sl}(2, \mathbb{C})$

Theorem 99 (Structure of complex semisimple Lie algebra)

Let \mathfrak{g} be a \mathbb{C} semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ a Cartan subalgebra. Let $\mathfrak{g} = \mathfrak{t} \oplus (\bigoplus_{\alpha \in R} \mathfrak{g}_{\alpha})$ be the root space decomposition. Let \langle , \rangle be a nondegenerate symmetric bilinear form on \mathfrak{g} . Then

- (1) R spans \mathfrak{t}^* as a \mathbb{C} -vector space
- (2) For each root α , \mathfrak{g}_{α} is 1-dimensional
- (3) For any two roots α, β , the number

$$n_{\alpha\beta} = \frac{2\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle}$$

is an integer

(4) For any $\alpha \in R$, the <u>reflection</u> s_{α} on \mathfrak{t}^* is defined by

$$s_{\alpha}(x) = x - \frac{2\langle \alpha, x \rangle}{\langle \alpha, \alpha \rangle} x$$

(this makes sense since $\langle \alpha, \alpha \rangle \neq 0$) For any root $\beta \in R, s_{\alpha}(\beta)$ is a root

(5) For any root α , if $c\alpha$ is also a root $(c \in \mathbb{C})$, then $c = \pm 1$

(6) For any roots $\alpha, \beta \neq \pm \alpha$, then subspace

$$V = \bigoplus_{n \in \mathbb{Z}} \mathfrak{g}_{\beta + n\alpha}$$

is an <u>irreducible</u> representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset \mathfrak{g}$

(7) If α, β are root s.t. $\alpha + \beta$ is also a root, then $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] = \mathfrak{g}_{\alpha+\beta}$ (Of course, if $\alpha + \beta \neq 0$ and not a root then $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] = 0$)

Proof

- (1) Suppose there was an element $h \in \mathfrak{t}$ which $\alpha(h) = 0$ for all roots α ; we want to show that h = 0. The assumption means that $\operatorname{ad} h$ acts by 0 on $\mathfrak{g}_{\alpha} \quad \forall \alpha \in \mathbb{R}$. It also acts by 0 on \mathfrak{t} , so $\operatorname{ad} h = 0$ that is $h \in \mathbb{Z}(\mathfrak{g})$. But \mathfrak{g} semisimple, so $\mathbb{Z}(\mathfrak{g}) = 0$, so h = 0
- (2) Proved
- (3) Consider \mathfrak{g} as a representation of $\mathfrak{sl}(2,\mathbb{C})_{\beta} \subset \mathfrak{g}$. The weight for this $\mathfrak{sl}(2)$, i.e. for for $\beta^{\vee} \in \mathfrak{t}$, of \mathfrak{g}_{α} is $\alpha(\beta^{\vee})$. But we know the weights of any finite dimensional representation of $\mathfrak{sl}(2)$ are in \mathbb{Z} , so $\alpha(\beta^{\vee}) \in \mathbb{Z}$. We define

$$\beta^{\vee} = \frac{2H_{\beta}}{\langle \beta, \beta \rangle}$$

where $\alpha(H_{\beta}) = \langle \alpha, \beta \rangle$. So $n_{\alpha\beta} \in \mathbb{Z}$.

(4) Consider the subspace of \mathfrak{g} defined by

$$V = \bigoplus_{n \in \mathbb{Z}} \mathfrak{g}_{\beta + n\alpha}$$

This is a representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$ (Clear, since *e* in this $\mathfrak{sl}(2)$ lives in \mathfrak{g}_{α} , *f* lives in $\mathfrak{g}_{-\alpha}$) For any finite dimensional representation of $\mathfrak{sl}(2,\mathbb{C})$, its weights are symmetric but 0. (*x* weight $\Rightarrow -x$ weight)

We know that $\mathfrak{g}_{\beta} \neq 0$ and the weight of $\alpha^{\vee}(= h_{\beta})$ on \mathfrak{g}_{β} is $\beta(\alpha^{\vee})$.

More generally, the weight of $\mathfrak{g}_{\beta+n\alpha}$ wrt α^{\vee} is $\beta(\alpha^{\vee}) + 2n$

 $\Rightarrow -\beta(\alpha^{\vee})$ must also be weight in this representation V of $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$

$$\Rightarrow \quad \mathfrak{g}_{\beta-\beta(\alpha^{\vee})\alpha} \neq 0$$

- $\Rightarrow \beta \dot{\beta}(\alpha^{\vee})\alpha$ is a root
- (5) Consider the subspace of \mathfrak{g}

$$V = \bigoplus_{0 \neq n \in \mathbb{Z}} \mathfrak{g}_{n\alpha} \oplus \mathbb{C} \cdot \alpha^{\vee}$$

We showed that this is an irreducible representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$ But $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset V$ So $\mathfrak{sl}(2,\mathbb{C})_{\alpha} = V$ So if α a root and $n\alpha$ is a root with $n \in \mathbb{Z}$, then $n = \pm 1$ Suppose α and $c\alpha$ are roots $(c \in \mathbb{C}^*)$ Then $n_{\alpha,c\alpha} \in \mathbb{Z}$ That is $c \in (1/2)\mathbb{Z}$ and $1/c \in (1/2)\mathbb{Z}$ So $c \in \{\pm 1, \pm \frac{1}{2}, \pm 2\}$ We have excluded ± 2 and that also excludes $\pm \frac{1}{2}$

- (6) Look at the weights of V as a representation of sl(2, C)_α i.e. the eigenvalues wrt α[∨] ∈ t. These weights are β(α[∨]) + 2n.
 So the weights of V as a representation of sl(2, C)_α are all ≡ β(α[∨]) mod 2
 Also, all the weight spaces have dimensional ≤ 1. These implies that V is irreducible
- (7) Know that $[\mathfrak{g}_{\alpha},\mathfrak{g}_{\beta}] \subseteq \mathfrak{g}_{\alpha+\beta}$. (LHS is subspace of 1-dimensional space, RHS is 1-dimensional space)

Want to show that $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] \neq 0$ Look at the subspace of \mathfrak{g} :

$$V = \bigoplus_{0 \neq n \in \mathbb{Z}} \mathfrak{g}_{\beta + n\alpha}$$

This is an irreducible representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$. We are given that $\mathfrak{g}_{\beta} \neq 0$ and $\mathfrak{g}_{\beta+\alpha} \neq 0$. So V has non-zero weight spaces with the weights $\beta(\alpha^{\vee})$ and $\beta(\alpha^{\vee}) = 2$

In particular, if the weight spaces V_k and V_{k+2} are not 0 ($k \in \mathbb{Z}$), then $e : V_k \to V_{k+2}$ is not the zero map. That means that $e \in \mathfrak{g}_{\alpha}$ has $\operatorname{ad} e : \mathfrak{g}_{\beta} \to \mathfrak{g}_{\beta+\alpha}$ NOT the zero map. That is, $[\mathfrak{g}_{\alpha},\mathfrak{g}_{\beta}] \neq 0$

Lemma 100

Let \mathfrak{g} be a complex semisimple Lie algebra

- (1) $\mathfrak{t} \subseteq \mathfrak{g}$ a Cartan subalgebra. Let $\mathfrak{t}_{\mathbb{R}} \subseteq \mathfrak{t}$ be the real vector space spanned by the coroots $\alpha^{\vee}, \alpha \in \mathbb{R}$ Then $\mathfrak{t} = \mathfrak{t}_{\mathbb{R}} \oplus i \mathfrak{t}_{\mathbb{R}} = \mathfrak{t}_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$, and the Killing form of \mathfrak{g} is real and positive definite on $\mathfrak{t}_{\mathbb{R}}$
- (2) Let $\mathfrak{t}_{\mathbb{R}}^* = \mathbb{R}$ -vector space spanned by root in \mathfrak{t}^* . Then $\mathfrak{t}^* = \mathfrak{t}_{\mathbb{R}}^* \oplus i \mathfrak{t}_{\mathbb{R}}^*$ and the form on \mathfrak{t}^* corresponds to the Killing form of \mathfrak{g} on \mathfrak{t} is positive definite on $\mathfrak{t}_{\mathbb{R}}^*$

Proof

(1) Let $h \in \mathfrak{t}_{\mathbb{R}}$ so $h = \sum_{\alpha \in \mathbb{R}} c_{\alpha} \alpha^{\vee} c_{\alpha} \in \mathbb{R}$. Then using the killing form

$$\langle h, h \rangle = \operatorname{tr}_{\mathfrak{g}}((\operatorname{ad} h)(\operatorname{ad} h))$$

Here

$$\mathfrak{g}=\mathfrak{t}\oplus igoplus_{lpha\in\mathbb{R}}\mathfrak{g}_{lpha}$$

So $\langle h, h \rangle = \sum_{\alpha \in \mathbb{R}} \alpha(h)^2$ But $\alpha(h) \in \mathbb{R}$ because $\alpha(\beta^{\vee}) \in \mathbb{Z}$ for all roots α, β $(\alpha(\beta^{\vee}) = n_{\alpha\beta} = 2 \frac{\leq \alpha, \beta \geq}{\langle \beta, \beta \rangle})$ so $\langle h, h \rangle$ is real and ≥ 0 and if it is 0 then $\alpha(h) = 0$ \forall roots α . That implies h = 0 i.e. the Killing form is positive definite on $\mathfrak{t}_{\mathbb{R}}$ So the Killing form of \mathfrak{g} is negative definite on $i \mathfrak{t}_{\mathbb{R}}$. So $\mathfrak{t}_{\mathbb{R}} \cap i \mathfrak{t}_{\mathbb{R}} = 0$. But the coroots span \mathfrak{t} as a complex vector space, so $\mathfrak{t} = \mathfrak{t}_{\mathbb{R}} + i \mathfrak{t}_{\mathbb{R}}$. That is $\mathfrak{t} = \mathfrak{t}_{\mathbb{R}} \oplus i \mathfrak{t}_{\mathbb{R}}$

(2) Follows from (1)

Example: $\overline{\mathfrak{g}} = \mathfrak{sl}(n, \mathbb{C}), \mathfrak{t} = \text{diagonal matrices} \subseteq \mathfrak{g}$ Then $\mathfrak{t}_{\mathbb{R}} = \text{real diagonal matrices of trace } 0 = \text{Lie algebra of } (\mathbb{R}^*)^{n-1}$

Definition 101

A root system R is a finite set of nonzero element in a real vector space E with inner product s.t.

- (1) R spans E as a real vector space
- (2) $\forall \alpha, \beta \in R$,

$$n_{\alpha\beta} := \frac{2\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \in \mathbb{Z}$$

(3) For every root $\alpha \in R$, the reflection

$$s_{\alpha}(x) = x - \frac{2\langle \alpha, x \rangle}{\langle \alpha, \alpha \rangle} \alpha$$

 $(\alpha: E \to E)$ maps the set R of roots into itself

A root system is <u>reduced</u> if when α is a root, $c\alpha$ is a root for some $c \in \mathbb{R}$, then $c = \pm 1$

Important Example:

For $\mathfrak{g} \in \mathbb{C}$ -semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ a Cartan subalgebra, let $E = \mathfrak{t}_{\mathbb{R}}^*$ with the (dual of) the Killing form of \mathfrak{g} . Then the set of roots $R \subset E$ is a root system

Definition 102

Given a root system $R \subset E$, the coroot α^{\vee} corresponds to a root $\alpha \in E$ is

$$\alpha^{\vee} := \frac{2\alpha}{\langle \alpha, \alpha \rangle}$$

Then $n_{\alpha\beta} = \langle \alpha, \beta^{\vee} \rangle$, and the reflection s_{α} on E is

$$s_{\alpha}(x) = x - \langle \alpha^{\vee}, x \rangle \alpha$$

There is a geometric way to understand $n_{\alpha\beta}$:

Let $p_{\alpha}: E \to E$ be the orthogonal projection onto $\mathbb{R} \cdot \alpha \subset E$, then $p_{\alpha}(\beta) = (n_{\alpha\beta}/2) \cdot \alpha$. So $n_{\alpha\beta} \in \mathbb{Z}$ means that for all roots α, β , if project β orthogonally to $\mathbb{R} \cdot \alpha$, then have $\beta \in \mathbb{Z} \frac{\alpha}{2}$

Example:

The root system of $\mathfrak{sl}(n,\mathbb{C})$ is the A_{n-1} root system Look at the A_2 root system (corresponds to $\mathfrak{sl}(3,\mathbb{C})$)

Definition 103

The Weyl group W of a root system is the subgroup of GL(E) generated by the reflections $s_{\alpha}, \alpha \in R$

Lemma 104

- (1) The Weyl group W is a finite subgroup of O(E), and $R \subset E$ is invariant under the action of W
- (2) For $w \in W, \alpha \in R$

$$ws_{\alpha}w^{-1} = s_{w(\alpha)} \in W$$

Proof

- (1) Clearly $W \subset O(E)$, because any reflection s_{α} is in O(E). Clearly, W(R) = R. Any element $w \in W$ acts on R by some permutation, and there are only finitely many permutations of R. But if $w \in W$ acts as the identity on R, then $w = 1 \in GL(E)$, because R spans E
- (2) Clearly $ws_{\alpha}w^{-1}$ is a reflection in O(E). And $ws_{\alpha}w^{-1}(w(\alpha)) = ws_{\alpha}(\alpha) = -w(\alpha)$. So $ws_{\alpha}w^{-1}$ must equal $s_{w(\alpha)}$

Lemma 105

Let $R \subset E$ be a root system and let $\alpha, \beta \in R$ s.t. $\alpha \notin \mathbb{R} \cdot \beta$. After switching α and β if necessary, can assume $|\alpha| \leq |\beta|$, $(|\alpha| = \sqrt{\langle \alpha, \alpha \rangle})$ By changing β to $-\beta$ if necessary, can assume that $\langle \alpha, \beta \rangle \leq 0$

Then one of the following holds

- (1) $\langle \alpha, \beta \rangle = 0$. Thus α, β are a angle $\pi/2$
- (2) $n_{\alpha\beta} = -1$ and $\langle \alpha, \alpha \rangle = \langle \beta, \beta \rangle$. Here α, β are at angle $2\pi/3$
- (3) $n_{\alpha\beta} = -2$ and $\langle \alpha, \alpha \rangle = \frac{1}{2} \langle \beta, \beta \rangle$. Here α, β are at angle $3\pi/4$
- (4) $n_{\alpha\beta} = -3$ and $\langle \alpha, \alpha \rangle = \frac{1}{3} \langle \beta, \beta \rangle$. Here α, β are at angle $5\pi/6$

Proof

Since $\langle \alpha, \beta \rangle = 0$, $n_{\alpha\beta}$ are integers ≤ 0 . But

$$n_{\alpha\beta}n_{\beta\alpha} = \frac{2\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \frac{2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \\ = \frac{4\langle \alpha, \beta \rangle^2}{\langle \alpha, \alpha \rangle \langle \beta, \beta \rangle} \le 4 \quad \text{(by Cauchy Schwarz)}$$

One possibility is (1) $\langle \alpha, \beta \rangle = 0$ So we can assume $n_{\alpha\beta}$, $n_{\beta\alpha}$ are integers ≤ 0 . Also, since $\beta \notin \mathbb{R} \cdot \alpha$. We have strict map in Cauchy-Schwarz, so $n_{\alpha\beta}n_{\beta\alpha} \leq 3$ Also $|n_{\alpha\beta}| \leq |n_{\beta\beta}|$ because α is the shorter root So $n_{\alpha\beta} = -1$ and $n_{\beta\alpha} \in \{-1, -2, -3\}$

Definition 106

<u>Rank</u> of a root system $R \subset E$ is dim_{\mathbb{R}} E

Theorem 107

Any reduced rank-2 root system is isomorphic to $A_1 \times A_1$, A_2 , C_2 or G_2

Proof

Let R be a rank-2 root system. Choose roots $\alpha, \beta \notin \mathbb{R} \cdot \alpha$ s.t. $angle(\alpha, \beta)$ is as small as possible. Easy to see that $\langle \alpha, \beta \rangle \ge 0$.

Apply lemma to $\alpha, \gamma := -\beta$

We find the possible lengths and angles between α, γ

By applying reflections in α, γ , we find that $R \supset (A_1 \times A_1, A_2, C_2 \text{ or } G_2)$ root system in cases (1)-(4) in previous lemma

 \nexists other roots in R, otherwise we would have two roots at a smaller angle than between α and β

Remark. (1) For any roots α, β in a root system R,

$$n_{\alpha\beta}n_{\beta\alpha} = \frac{4\langle \alpha, \beta \rangle^2}{\langle \alpha, \alpha \rangle \langle \beta, \beta \rangle} = 4\cos^2\theta$$

where θ = angle between α and β

Suppose $\langle \alpha, \beta \rangle \leq 0, \alpha \notin \mathbb{R} \cdot \beta, |\alpha| \leq |\beta|$, we showed that $n_{\alpha\beta}n_{\beta\alpha} = 0, 1, 2$ or 3 (see picture) so $\cos \theta = 0, \frac{-1}{2}, \frac{-\sqrt{2}}{2}$ or $\frac{-\sqrt{3}}{2}$.

(2) For these rank-2 root systems, the Weyl group is the dihedral group of order 4(≅ Z /2 × Z /2), 6(≅ S₃), 8 or 12
In general, the dihedral group of order 2n is a semidirect product Z /2 × Z /n (Z /n the normal subgroup)

Positive roots and simple roots

Definition 108

Let R be a root system in a Euclidean space E. Pick an element $v \in E$ with $\langle v, \alpha \rangle \neq 0$ for all roots α . Then we call the set of positive roots $R_+ \subset R$

$$R_{+} = \{ \alpha \in R | \langle \alpha, v \rangle > 0 \}$$

Otherwise, <u>negative roots</u>. Clearly, $R = R_+ \sqcup R_-$ and $R_- = -R_+$ Fix a set of positive roots R_+

Definition 109

A root $\alpha \in R$ is simple if it is positive and it is not a sum of two positive roots. Write $\Pi \subset R_+$ for the set of simple roots. Clearly, every positive root can be written

$$\alpha = \sum_{i=1}^{l} n_i \alpha_i \quad n \in \mathbb{N}, \quad \alpha_1, \dots, \alpha_l \text{ simple roots}$$

 $\langle v, \alpha + \beta \rangle = \underbrace{\langle v, \alpha \rangle}_{>0} + \underbrace{\langle v, \beta \rangle}_{>0}$

Lemma 110

For any two simple roots $\alpha \neq \beta$, $\langle \alpha, \beta \rangle \leq 0$

Proof

Suppose $\langle \alpha, \beta \rangle > 0$ Ten α and $-\beta$ must be positioned as in one of the rank-2 root system with possibilities (see pictures)

In all these cases, $\beta - \alpha$ is again a root So either $\beta - \alpha$ is a positive root or a negative root. If $\beta - \alpha \in R_+$, then β is not simple, if $\alpha - \beta \in R_+$, then α not simple

Theorem 111

Let R be a root system, R_+ a set of positive roots. Then the corresponding simple roots form a basis for E, as a \mathbb{R} -vector space

Proof

Clearly, the simple roots span E, because every positive root in R can be written $\sum n_i \alpha_i$, $n_i \in \mathbb{N}$ where $\alpha_1, \ldots, \alpha_l$ are the simple roots; so the negative roots can be written $\sum n_i \alpha_i$, $n_i \in \mathbb{Z}$, $n_i \leq 0$, so $\alpha_1, \ldots, \alpha_l$ span E.

We show that $\alpha_1, \ldots, \alpha_l$ are \mathbb{R} -linear independent. If not, we can write

$$\sum_{i\in S} c_i \alpha_i = \sum_{i\in T} d_i \alpha_i$$

where $S \cap T = \emptyset$, $c_i > 0, d_i > 0$, and at least one of S, T is nonempty First notice that $w \in E$ is not 0, because $\langle v, w \rangle > 0$ So we know that $\langle w, w \rangle > 0$ But we have $\langle w, w \rangle = \langle \sum_{i \in S} c_i \alpha_i, \sum_{i \in T} d_i \alpha_i \rangle \leq 0$ because $c_i, d_i > 0$ and $\langle \alpha_i, \alpha_j \rangle \leq 0$ for $i \neq j$. Contradiction So the simple roots form a basis for E

Definition 112

The <u>rank</u> of a root system $R \subset E$ is $\dim_{\mathbb{R}} E$. So number of simple roots $= \operatorname{rk}(R)$

The <u>rank</u> of a \mathbb{C} -semisimple Lie algebra \mathfrak{g} is the \mathbb{C} -dimension of a Cartan subalgebra

In fact, let G be a semisimple complex Lie group. Then any two Cartan subalgebras $\mathbb{C}^l \subset \mathfrak{g}$ are conjugate by some element of G

So G (or \mathfrak{g}) has a well-defined root system (up to isomorphism).

Remark. Any two sets of positive roots in a a root system R are equivalent by some element of the Weyl group W

Dynkin Diagram:

Let R be a root system $\subset E$. Let R^+ be a set of positive roots. The <u>Dynkin diagram</u> of R is a graph with one vertex for each simple roots and with edges:

Remark. Let $\mathfrak{g}_1, \mathfrak{g}_2$ are \mathbb{C} -semisimple Lie algebras. Let $\mathfrak{t}_1, \mathfrak{t}_2$ be Cartans in $\mathfrak{g}_1, \mathfrak{g}_2$, then $\mathfrak{t}_1 \times \mathfrak{t}_2$ is a Cartan in $\mathfrak{g}_1 \times \mathfrak{g}_2$.

$$(\mathfrak{g}_1 \times \mathfrak{g}_2 = \begin{pmatrix} \mathfrak{g}_1 & 0\\ 0 & \mathfrak{g}_2 \end{pmatrix})$$
 The root system of $\mathfrak{g}_1 \times \mathfrak{g}_2$ is $R = R_1 \sqcup R_2 \subset E_1 \oplus E_2$, where $\langle E_1, E_2 \rangle$

In general, the Dynkin diagram of the product of two root systems is the <u>disjoint union</u> of the two Dynkin diagrams

Exercise:

 $\mathfrak{sl}(2,\mathbb{C}) \leftrightarrow A_1 \leftrightarrow \text{Dynkin diagram with 1 vertex}$ $\mathfrak{sl}(2,\mathbb{C}) \times \mathfrak{sl}(2,\mathbb{C}) \leftrightarrow \text{Dynkin diagram with 2 vertices}$ And show the Dynkin diagram of other rank-2 root system

Exercise:

 $\mathfrak{sl}(n\mathbb{C}) \leftrightarrow \operatorname{root} \operatorname{system} A_{n-1} = \{\epsilon_i - \epsilon_j | i \neq j\} \subset \mathbb{R}^{n-1} = \{a_1\epsilon_1 + \dots + a_n\epsilon_n | a_1 + \dots + a_n = 0\}$ because: use the restriction of \mathbb{R}^{n-1} of the standard inner product. Let $v = a_1\epsilon_1 + \dots + a_n\epsilon_n$ where $a_1 > a_2 > \dots > a_n$. Then the positive roots are $\epsilon_i - \epsilon_j$, $1 \leq i \leq j \leq n$

The simple roots are $\epsilon_1 - \epsilon_2, \epsilon_2 - \epsilon_3, \dots, \epsilon_{n-1} - \epsilon_n$ With our inner product $\langle \alpha_i, \alpha_j \rangle = 2$ for $i = 1, \dots, n-1$, and

 \Rightarrow

$$\langle \alpha_i, \alpha_j \rangle = \begin{cases} 0 & |i-j| \ge 2 \\ -1 & |i-j| = 1 \\ 2 & i = j \end{cases}$$

$$n_{\alpha_i \alpha_j} = \begin{cases} 0 & |i-j| \ge 2 \\ -1 & |i-j| = 1 \\ 2 & i = j \end{cases}$$

 $\mathfrak{sl}(n,\mathbb{C})$ has root system of type A_{n-1} , and the Weyl group of A_{n-1} is the symmetric group S_n . Simple roots = $\{\epsilon_1 - \epsilon_2, \epsilon_2 - \epsilon_3, \ldots, \epsilon_{n-1} - \epsilon_n\} \subset \mathbb{R}^{n-1}$ The reflection $s_{\epsilon_i - \epsilon_j}$ for $i \neq j$, switches i and j coordinates in $\mathbb{R}^{n-1} \subset \mathbb{R}^n$

Root system C_n of $\mathfrak{sp}(2n, \mathbb{C}), n \geq 1$

 $Sp(2n, \mathbb{C}) = \{A \in GL(2n, \mathbb{C}) | AJA^T = J\} \text{ where } J = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$ The Lie algebra $\mathfrak{sp}(2n, \mathbb{C}) = \{A \in \mathfrak{gl}(2n, \mathbb{C}) | AJ + JA^T = 0\}$ We compute that $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{sp}(2n, \mathbb{C}) \Leftrightarrow B \text{ and } C \text{ are symmetric and } D = -A^T$ A Cartan subalgebra of $\mathfrak{sp}(2n, \mathbb{C})$ consists of the diagonal matrices in $\mathfrak{sp}(2n, \mathbb{C})$, that is :

$$y = \operatorname{diag}(y_1, \dots, y_n, -y_1, \dots, -y_n) \quad y_i \in \mathbb{C}$$

One computes how such a diagonal matrix acts on $\mathfrak{sp}(2n, \mathbb{C})$. You find that the roots are $\pm y_i \pm y_j \quad \forall i \neq j$, $1 \leq i, j \leq n$ and $\pm 2y_i$ for $1 \leq i \leq n$

(Excellent Exercise: Check this)

Can work out the coroots.

Killing form on \mathfrak{g} restricts to a nonzero multiple of the standard symmetric bilinear form on $\mathfrak{t}_{\mathbb{R}}^* \cong \mathbb{R}^n$ So the reflection $s_{y_i-y_j}$ for $i \neq j$ switches coordinates i and j on a point in $\mathbb{R}^n = \mathfrak{t}_{\mathbb{R}}^*$ The reflection $s_{y_i+y_j}$ switches coordinates i and j and changes their signs:

$$s(y_1,\ldots,s_n)=(y_1,\ldots,-y_j,\ldots,-y_i,\ldots,y_n)$$

The reflection $s_{\pm 2y_i}$ changes the sign of the *i*-th coordinate in \mathbb{R}^n So the Weyl group of the C_n root system is the semidirect product $S_n \ltimes (\mathbb{Z}/2)^n \subset O(n)$

The positive roots are $y_i \pm y_j$ for $1 \le i < j \le n$ and $2y_i$ for $1 \le i \le n$ The standard choice of simple roots are $y_1 - y_2, y_2 - y_3, \ldots, y_{n-1} - y_n, 2y_n$ So the C_n Dynkin diagram is:

Example: $C_2 =$

 $W = S_2 \ltimes (\mathbb{Z}/2)^2 \cong$ dihedral group of order 8

Root system B_n of $\mathfrak{so}(2n+1,\mathbb{C})$

It is easiest to describe $SO(2n + 1, \mathbb{C})$ as the subgroup of $GL(2n + 1, \mathbb{C})$ preserving the symmetric bilinear form defined by C with entry (i, 2n + 1 - i) as 1 and everywhere else 0. The corresponding bilinear form \mathbb{C}^{2n+1} is

$$\langle (x_1, \dots, x_{2n+1}), (y_1, \dots, y_{2n+1}) \rangle = x_1 y_{2n+1} + x_2 y_{2n} + \dots + x_{2n+1} y_{2n+1}$$

Here, the Cartan subalgebra of $\mathfrak{so}(2n+1,\mathbb{C})$ is the diagonal matrices in $\mathfrak{so}(2n+1,\mathbb{C})$

Here $\mathfrak{so}(2n+1,\mathbb{C}) = \{A \in \mathfrak{gl}(2n+1,\mathbb{C}) | AC + CA^T = 0\}$ Cartan $\mathfrak{t} = \{(y_1, \ldots, y_n, 0, -y_n, \ldots, -y_1)\} | y_1, \ldots, y_n \in \mathbb{C}\}$ The roots are $\{\pm y_i \pm y_j | i \neq j, 1 \leq i, j \leq n\} \cup \{y_i | 1 \leq i \leq n\}$ So the Weyl group $W(B_n) = S_n \ltimes (\mathbb{Z}/2)^n \subset O(n)$ A standard set of simple roots is

$$\{y_1 - y_2, y_2 - y_3, \dots, y_{n-1} - y_n, y_n\}$$

So the Dynkin diagram for $B_n \leftrightarrow \mathfrak{so}(2n+1,\mathbb{C})$

Root system D_n of $\mathfrak{so}(2n, \mathbb{C})$

Again, easier to denote this using the symmetric bilinear form CA Cartan subalgebra \mathfrak{t} =diagonal matrices in \mathfrak{g} = {diag $(y_1, \ldots, y_n, -y_n, \ldots, -y_1)$ } The roots are: { $\pm y_i \pm y_j, i \neq j, 1 \leq i, j \leq n$ }

So Weyl group $W = S_n \ltimes (\mathbb{Z}/2)^{n-1}$ A standard choice of simple roots is

$$\{y_1 - y_2, y_2 - y_3, \dots, y_{n-1} - y_n, y_{n-1} + y_n\}$$

So the D_n Dynkin diagram:

Theorem 113

The following classification are equivalent

- (1) Complex semisimple Lie algebras up to isomorphism
- (2) Reduced root systems
- (3) Dynkin diagrams of root system

In this correspondence simple Lie algebra \leftrightarrow Irreducible reduced root systems \leftrightarrow connected Dynkin diagram.

The possible Dynkin diagrams are:

 $A_n, n \ge 1$:

 $B_n, n \ge 2$:

 $C_n, n \ge 2$:

 $D_n, n \ge 2$:





```
E_7:
```

E_8 :

Sketch proof:

One part is pure Euclidean geometry:

Show that Dynkin diagram of a reduced irreducible root system is one of these graphs.

Indeed, consider the unit vertices $v_1, \ldots, v_n \in E \cong \mathbb{R}^n$ in the directions of the simple roots.

Then v_1, \ldots, v_n are linearly independent, and the different ones are at angle $\pi/2, 2\pi/3, 3\pi/4$ or $5\pi/6$ That alone implies that the corresponding Coxeter diagram (Dynkin diagram without arrows) is one of these listed

Then the Dynkin diagram of R must be given by some choice of directions on or So the Dynkin diagram of R must be one listed.

We know that A_n, B_n, C_n, D_n correspond to complex semisimple Lie algebras.

One can write down root system correspond to the G_2, F_4, E_6, E_7, E_8 Dynkin diagrams (see Example Sheet 3). But why do they come from simple Lie algebras?

The complex simple group G_2 =group of automorphisms of the octonions $\mathbb{O} \otimes_{\mathbb{R}} \mathbb{C}$, where \mathbb{O} = the real 8-dimensional non-associative division algebra, defined by Cayley. That implies that $G_2(\mathbb{C}) \subset GL(7,\mathbb{C})$ (because $1 \in \mathbb{O}$ is fixed)

It is harder to describe the 5 exceptional Lie algebras, because they do not have low-dimensional representations.

G	$\dim(G)$	$\dim_{\mathbb{C}}(\text{smallest nontrivial repn of } G)$
G_2	14	7
F_4	53	26
E_6	78	27
E_7	133	56
E_8	248	248
(~		

(Classical Lie algebra of dimension N has a nontrivial representation of dimension $\sim \sqrt{N}$)

Existence and Uniqueness of semisimple Lie algebra with given root system or Dynkin diagram Serre's relations

(defining the semisimple Lie algebra with a given Dynkin diagram)

Given a Dynkin diagram with l vertices define a complex Lie algebra \mathfrak{g} as the quotient of the free Lie algebra on generators

 $H_1,\ldots,H_l,E_1,\ldots,E_l,F_1,\ldots,F_l$

modulo the relations to be shown later

Given a number n, the free Lie algebra F_n has the property:

Hom_{Lie alg.}
$$(F_n, \mathfrak{g}) = \underbrace{\mathfrak{g} \times \cdots \times \mathfrak{g}}_{n \text{ times}}$$

That is, F_n is generated by *n* elements x_1, \ldots, x_n . You can define it as the *k*-vector space spanned by all possible irreducibles

 $[[x_1, [x_2, x_3]], x_4]$

The free Lie algebra is graded:

$$F_n = k^n \oplus \bigwedge^2 (k^n) \oplus ()_{\deg 3} \oplus \cdots$$

 $(k^n = k\{x_1, \ldots, x_n\} \text{ and } [x_i, x_j] \in \bigwedge{}^2(k^n) \ i < j)$

We will have simple roots $\alpha_1, \ldots, \alpha_l$ in our semisimple Lie algebra \mathfrak{g} ;

$$\begin{aligned} H_i &= \alpha_i^{\vee} \quad \in \quad \mathfrak{t} \quad \subset \quad \mathfrak{g} \\ E_i &\in \mathfrak{g}_{\alpha_i} \qquad F_i \in \mathfrak{g}_{-\alpha_i} \\ &\text{s.t.} \quad [E_i, F_i] = \alpha_i^{\vee} (=H_i) \end{aligned}$$

So the modulo relation (Serre's relation) required above is:

where $n_{ji} = n_{\alpha_j \alpha_i} = \alpha_j(\alpha_i^{\vee}) \in \mathbb{Z}$ and further:

$$[H_i, F_j] = -n_{ji}F_j$$

(ad E_i)^{1- n_{ji}} (E_j) = 0 $\forall i \neq j$
(ad F_i)^{1- n_{ji}} (F_j) = 0 $\forall i \neq j$

Here $n_{ji} \in \{0, -1, -2, -3\}$ as shown by the Dynkin diagram, so $(1 - n_{ji}) \in \{1, 2, 3, 4\}$

Why are these relations true in semisimple Lie algebra \mathfrak{g} ? It will suffice to show that $\alpha_j + (1 - n_{ji})\alpha_i$ is not a root in \mathfrak{t}^* (it is clearly not 0) This is because this expression is the reflection $s_{\alpha_i}(\alpha_j - \alpha_i)$; we know $\alpha_j - \alpha_i$ is not a root, and we know that the set of roots is preserved by the Weyl group

Example:

 G_2

The picture shows that $(\operatorname{ad} E_1)^4(E_2) = 0$, because $\alpha_2 + 4\alpha_1 \notin R$, i.e. $\mathfrak{g}_{\alpha_2+4\alpha_1} = 0$

Compact Lie groups and complex semisimple groups

Definition 114

Let G be a connected compact Lie group. We say that a connected complex Lie group $G_{\mathbb{C}}$ is the complexification of G if \exists inclusion $G \hookrightarrow G_{\mathbb{C}}$ s.t. $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$ and $\pi_1(G) \cong \pi_1(G_{\mathbb{C}})$

Example:

 $\overline{\mathbb{C}^*}$ is the complexification of S^1 (here $\pi_1 \cong \mathbb{Z}$, because $S^1 \cong \mathbb{R} / \mathbb{Z}$ and $\mathbb{C}^* \cong \mathbb{C} / \mathbb{Z}$) More generally $GL(n, \mathbb{C})$ is the complexification of U(n) since $\mathfrak{gl}(n, \mathbb{C}) = (\text{skew-hermitian matrices}) \oplus i \{\text{skew-hermitian matrices}\}$ and $\pi_1 GL(n, \mathbb{C}) \cong \pi_1 U(n) \cong \mathbb{Z}$

Example:

 $\overline{SU(n)_{\mathbb{C}}} \cong SL(n, \mathbb{C}) \ (\pi_1 = 1)$ $SO(n)_{\mathbb{C}} \cong SO(n, \mathbb{C}) \ (\pi_1 \cong \mathbb{Z}/2)$ $Sp(n)_{\mathbb{C}} \cong Sp(2n, \mathbb{C}) \ (\pi_1 = 1)$ $(Sp(n) = O(4n) \cap GL(n, \mathbb{H}) \subset GL(4n, \mathbb{R})$ $Sp(n) = U(2n) \cap Sp(2n, \mathbb{C}) \subset GL(2n, \mathbb{C}))$

Definition 115

A connected complex Lie group is <u>reductive</u> if it is the complexification of some compact Lie group

Example:

 $\overline{\mathbb{C} \text{ is not}}$ reducitive because any compact subgroup of \mathbb{C} is $\{0\}$

Corollary 116 (Weyl's Unitary Trick)

The $\mathbb C$ analytic representations of any complex reductive group are completely reducible

Proof

We are given a compact Lie group G with complexification $= G_{\mathbb{C}}$

Complex representations of the real Lie algebra ${\mathfrak g}$ are equivalent to representations of the complex Lie algebra ${\mathfrak g}_{\mathbb C}$

So complex representation of the universal cover \widetilde{G} are equivalent to the complex analytic representations of $\widetilde{G}_{\mathbb{C}}$. I know that $G = \widetilde{G}/Z$ and $G_{\mathbb{C}} = \widetilde{G}_{\mathbb{C}}/Z$, because $Z = \pi_1 G = \pi_1 G_{\mathbb{C}}$. So complex representations of G are equivalent to complex analytic representations of $G_{\mathbb{C}}$. The first ones are completely reducible, so are the second ones

Theorem 117

(1) Every connected complex semisimple group is reductive

(2) A compact connected Lie group is determined up to isomorphism by its complexification

(Proof omitted)

Corollary 118

The finite dimensional representations of a complex semisimple Lie algebra ${\mathfrak g}$ are completely reducible

Proof

Let $G_{\mathbb{C}}$ be the corresponding simply connected complex Lie group

By above theorem (1), $G_{\mathbb{C}}$ is reductive, so its representations are completely reducible. They are equivalent to finite dimensional representations of \mathfrak{g}

In particular, \exists ! simply connected compact Lie group with given Dynkin diagram Most we have seen:

 $A_n: SU(n+1)$ $B_n: Spin(2n+1) =$ simply connected double cover of SO(2n+1) $C_n: Sp(n)$ $D_n: Spin(2n)$ But there are also simply connected compact Lie group of type G_2, F_4, E_6, E_7, E_8

Example:

The compact Lie group G_2 is $\operatorname{Aut}(\mathbb{O})$ (recall \mathbb{O} is octonions over \mathbb{R})

Example:

 $\overline{(\text{Complex analytic})}$ representations of \mathbb{C}^* are direct sums of 1-dimensional representations, by completely reducibility + Schur's Lemma.

$$\mathbb{C}^* = \mathbb{C} / 2\pi i \,\mathbb{Z}$$

A 1-dimensional representation of \mathbb{C}^* is a homomorphism $\mathbb{C}^* \to GL(1,\mathbb{C}) = \mathbb{C}^*$

Here $a \in \mathbb{C}$ gives a homomorphism $\mathbb{C}^* \to \mathbb{C}^* \Leftrightarrow a \in \mathbb{Z}$

So the 1-dimensional representations of \mathbb{C}^* are $\mathbb{C}^* \to \mathbb{C}^*$ $z \mapsto z^a$ for some $a \in \mathbb{Z}$

Remark. For a compact connected Lie group G, the inclusion $G \hookrightarrow G_{\mathbb{C}}$ is a homotopy equivalence.

Example: $\overline{S^1 \hookrightarrow \mathbb{C}^{\times}}, \quad U(n) \hookrightarrow GL(n, \mathbb{C})$ Prove that $GL(n, \mathbb{C})$ deformation retracts onto U(n), using Gram-Schmidt

Low-dimensional isomorphism of classical groups

Example: $SL(2,\mathbb{C}) \cong Sp(2,\mathbb{C}), SO(3,\mathbb{C}) \cong SL(2,\mathbb{C})/\{\pm\}$ because all have Dynkin Diagram (one vertex no edge)

 $SL(n, \mathbb{C})$ = subgroup of $GL(n, \mathbb{C})$ preserving a nonzero element of $\bigwedge^n V^*$ where $V \cong \mathbb{C}^n$ $Sp(n, \mathbb{C})$ = subgroup of $GL(2n, \mathbb{C})$ preserving a nondegenerate element of $\bigwedge^{2n} V^*$ where $V \cong \mathbb{C}^{2n}$ The homomorphism $SL(2, \mathbb{C}) \to SO(3, \mathbb{C})$ given by the representation $S^2V, V \cong \mathbb{C}^2$.

If you have symplectic forms on V and W, you get a nondegenerate symmetric form on $V \otimes W$, hence on S^2V and $\bigwedge^2 V$

 $D_2 \cong A_1 \times A_1$: • • (2 vertices, no edge)

 $\Rightarrow SO(4,\mathbb{C}) = SL(2,\mathbb{C}) \times SL(2,\mathbb{C}) / \{(1,1),(-1,-1)\}$

Proof: If V_1 and V_2 are the standard representations of two copies of $SL(2, \mathbb{C})$, then $SL(2, \mathbb{C}) \times SL(2, \mathbb{C})$ acts on $V_1 \otimes V_2$ preserving a symmetric form

 $C_2 = B_2 \cong$

 $\Rightarrow Sp(4, \mathbb{C})/\{\pm 1\} \cong SO(5, \mathbb{C})$ **Proof**: Let V=the standard representation of $Sp(4, \mathbb{C}), V \cong \mathbb{C}^4$. $\Rightarrow Sp(4, \mathbb{C}) \text{ acts on } \bigwedge^2 V \cong \mathbb{C}^6, \text{ and } \bigwedge^2 V \cong \mathbb{C} \oplus M_5$ $\Rightarrow \text{ get homomorphism } Sp(4, \mathbb{C}) \to SO(5, \mathbb{C}).$ By counting dimensions, this is surjective.

Finally, $D_3 \cong A_3$:

So $SL(4, \mathbb{C})/\{\pm 1\} \cong SO(6, \mathbb{C})$ $(\pi_1(SO(6, \mathbb{C})) = \mathbb{Z}/2)$ <u>Exercise</u>: A proof in terms of linear algebra

Representation Theory of complex semisimple Lie algebra

Let V be a finite dimensional representation of \mathfrak{g} . Let $\mathfrak{t} \subset \mathfrak{g}$ be a Cartan subalgebra. For each root $\alpha \in R$, we have a copy of $\mathfrak{sl}(2,\mathbb{C}) \subset \mathfrak{g}$ (denoted $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$ before) and we can view V as a representation of this $\mathfrak{sl}(2,\mathbb{C})$. We know that the coroot $\alpha^{\vee} \in \mathfrak{sl}(2,\mathbb{C})_{\alpha}$ acts diagonalizably on V

Moreover, all coroot α^{\vee} are in \mathfrak{t} an abelian Lie algebra so they all commute in their action on V. So we can simultaneously diagonalise V wrt all $\alpha^{\vee} \in \mathfrak{t}$

So all of \mathfrak{t} acts diagonalizably on V

$$V = \bigoplus_{\lambda \in \mathfrak{t}^*} V_{\lambda} \qquad (\lambda \text{-weight spaces})$$

where $V_{\lambda} = \{x \in V | h(x) = \lambda(h)x \forall h \in \mathfrak{t}\}$ Moreover, for each $\alpha \in R$, the weights of V wrt $\alpha^{\vee} \in \mathfrak{sl}(2, \mathbb{C})_{\alpha}$ must be integers (Theorem 48) That means that if $V_{\lambda} \neq 0$, then $\lambda(\alpha^{\vee}) \in \mathbb{Z}$

Definition 119

The weight lattice P of \mathfrak{g} is $\{\lambda \in \mathfrak{t}^* | \lambda(\alpha^{\vee}) \in \mathbb{Z} \ \forall \alpha \in R\}$ The root lattice Q of \mathfrak{g} is the \mathbb{Z} -submodule of \mathfrak{t}^* spanned by the roots α

We know that $Q \subset P$ because $\alpha(\beta^{\vee}) \in \mathbb{Z} \ \forall \alpha, \beta \in R$ Let $l = \operatorname{rank} \mathfrak{g} = \dim_{\mathbb{C}} \mathfrak{t}$ Then $Q \cong \mathbb{Z}^l$ because the roots span $\mathfrak{t} \cong \mathbb{C}^l$ as a complex vector space and $P \cong \mathbb{Z}^l$ and it contains Q as a subgroup of finite index. We can describe P as

$$P = \{\lambda \in \mathfrak{t}^* \mid \lambda(\alpha_1^{\vee}) \in \mathbb{Z}, \dots, \lambda(\alpha_l^{\vee}) \in \mathbb{Z}\}$$

where $\alpha_1, \ldots, \alpha_l$ are the simple roots

So Q must have <u>finite index</u> in P, because the weights of a finite dimensional representation of $\mathfrak{sl}(2)$ are in \mathbb{Z} , we have

$$V = \bigoplus_{\lambda \in P} V_{\lambda}$$

Example: For $\mathfrak{sl}(2,\mathbb{C}), P \cong \mathbb{Z}, Q \cong 2\mathbb{Z} \subset \mathbb{Z}$

$$\bullet_{-3} \quad \bigodot_{-2} \quad \bullet_{-1} \quad \bigodot_{0} \quad \bullet_{1} \quad \bigodot_{2} \quad \bullet_{3}$$

 $P = \{\bullet\} \cup Q = \{\bullet\} \cup \{\odot\}$ For $\mathfrak{sl}(n, \mathbb{C}), Q = \{\sum_{i=1}^{n} a_i \epsilon_i | a_i \in \mathbb{Z}, \sum a_i = 0\}$

The weight lattice is

$$P = \mathbb{Z}^n / \mathbb{Z}(1, 1, \dots, 1) = \left\{ \sum_{i=1}^n a_i \epsilon_i \Big| a_i \in \mathbb{Z} \right\} / (\epsilon_1 + \dots + \epsilon_n = 0)$$

One sees that $P/Q \cong \mathbb{Z}/n$

In terms of the group $G = SL(n, \mathbb{C})$ Let $T \subset SL(n, \mathbb{C})$ be the maximal torus $\cong (\mathbb{C}^{\times})^{n-1}$ with Lie algebra \mathfrak{t} . Then

$$P = \operatorname{Hom}(T, \mathbb{C}^{\times}) \cong \mathbb{Z}^{n-1}$$
$$Q \cong \operatorname{Hom}(T/Z(G), \mathbb{C}^{\times})$$

where $Z(SL(n, \mathbb{C})) \cong \mu_n(\mathbb{C})$ (group of *n*-th roots of unity)

Notice that any representation of $SL(n, \mathbb{C})$ has weights in P. But the adjoint representation of $SL(n, \mathbb{C})$ has weights in $Q \subset P$

Definition 120

The adjoint group with a given semisimple Lie algebra \mathfrak{g} is G/Z(G) for any group G with Lie algebra \mathfrak{g}

We have $V = \bigoplus_{\lambda \in P} V_{\lambda}$ Easy to check that for $e \in \mathfrak{g}_{\alpha}$, $e(V_{\lambda}) \subset V_{\lambda+\alpha}$ Therefore, for any $\lambda \in P$ and any root α ,

$$\bigoplus_{n\in\mathbb{Z}}V_{\lambda+n\alpha}$$

is an $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$ -subrepresentation of V

Use that the character of a finite dimensional representation of $\mathfrak{sl}(2)$ are invariant under sign change, $\mathfrak{t} \mapsto \mathfrak{t}^{-1}$

That means that dim $V_{\lambda} = \dim V_{s_{\alpha}(\lambda)}$ for every root α , because $s_{\alpha}(\lambda) = \lambda - \lambda(\alpha^{\vee})\alpha$

Definition 121

The <u>character</u> of any finite dimensional representation of \mathfrak{g} is

$$\operatorname{ch}(V) = \sum_{\lambda \in P} n_{\lambda} e^{\lambda} \in \text{ the group ring } \mathbb{Z}[P] \cong \mathbb{Z}[\mathbb{Z}^{l}]$$

Here, we say that $e^{\lambda}e^{\mu} = e^{\lambda+\mu}$ for $\lambda, \mu \in P \cong \mathbb{Z}^l, e^0 = 1, n_{\lambda} = \dim_{\mathbb{C}} V_{\lambda}$

Corollary 122

The character of any finite dimensional representation of \mathfrak{g} is invariant under the Weyl group.

Definition 123

The fundamental weights $w_1, \ldots w_l$ of \mathfrak{g} are the elements of \mathfrak{t}^* s.t. $w_i(\alpha_j^{\vee}) = \delta_{ij}$ Easy to see that $P = \mathbb{Z} w_1 \oplus \cdots \oplus \mathbb{Z} w_l$ Then $\mathbb{Z}[P] \cong \mathbb{Z}[e^{w_1}, (e^{w_1})^{-1}, \ldots, e^{w_l}, (e^{w_l})^{-1}]$

e.g. character of a representation of $\mathfrak{sl}(3,\mathbb{C})$ correspond to A_2

Definition 124

A highest weight vector $x \in V$ is a nonzero element $x \in V_{\lambda}$ for some $\lambda \in P$ s.t. $e(x) = 0 \quad \forall e \in \mathfrak{g}_{\alpha}$ with α a positive root

Clearly, every nonzero finite dimensional representation V of \mathfrak{g} contains a highest weight vector. Start with $x \in V_{\lambda}, x \neq 0$. If $e(x) \neq 0$ for some $e \in \mathfrak{g}_{\alpha}, \alpha \in \mathbb{R}^+$, then look at $e(x) \in V_{\lambda+\alpha}$ Repeat

Lemma 125

Let V be a finite dimensional representation of a complex semisimple Lie algebra (Fix $\mathfrak{t} \subset \mathfrak{g}, R^+ < R$) Let $x \in V$ be a highest weight vector. Then

$$M := \sum_{\substack{f_i \in \mathfrak{g}_\alpha \\ \alpha \in R_-, r \ge 0}} ef_1 \cdots f_r(x) \subset V$$

is an irreducible subrepresentation of V

Proof

First show that M is a sub- \mathfrak{g} -module of V. Clear that $f(M) \subset M$ if $f \in \mathfrak{g}_{\alpha}, \alpha \in R_{-}$ If $x \in V_{\lambda}$ then $f_1 \cdots f_r(x) \in V_{\lambda+\alpha_1+\cdots\alpha_r}$ $(\alpha_1, \ldots, \alpha_r \text{ negative roots})$ We show, for $e \in \mathfrak{g}_{\alpha}$ with α^+ that $e(f_1 \cdots f_r(x)) \in M$ by induction on r, true for r = 0 since e(x) = 0If true for r - 1, then

$$ef_1 \cdots f_r(x) = [e, f_1]f_2 \cdots f_r(x) + f_1 \underbrace{ef_2 \cdots f_r(x)}_{\in M \text{ by induction}}$$

Here $[e, f_1] \in \mathfrak{g}_{\alpha}$ for $\alpha \in R_+$ or R_- or $\alpha = 0$, and we are done in all cases by induction. So M is a sub- \mathfrak{g} -module of V

If M is not irreducible then $M = M_1 \oplus M_2$ for some non-zero \mathfrak{g} -modules. We would have $\mathbb{C} x = M_\lambda = (M_1)_\lambda \oplus (M_2)_\lambda$ So x is in one of M_1 or M_2 , say M_1 WLOG. So $M = M_1 \Rightarrow M$ is irreducible \Box

Define a partial order on the weight lattice $P = \mathbb{Z} \omega_1 \oplus \cdots \oplus \mathbb{Z} \omega_l \cong \mathbb{Z}^l$ by $\lambda \leq \mu$ if

$$\mu = \lambda + \sum_{i=1}^{l} n_i \alpha_i$$

where $\alpha_1, \ldots, \alpha_l$ are the simple roots, $n_i \in \mathbb{N}$

In the module M in Lemma, all weight that occur are $\leq \lambda$ (the weight of x). For example, if V is an irreducible \mathfrak{g} -module, then M = V, so all weights in V are $\leq \lambda$, the weight of a highest weight vector. So an irreducible \mathfrak{g} -module has a unique highest weight vector, up to nonzero scalar. Also the weight λ of this highest weight vector is uniquely determined by V.

Moreover, let V be an irreducible \mathfrak{g} -module with highest weight $\lambda \in P \cong \mathbb{Z}^l \subset \mathfrak{t}^* \subset \mathbb{C}^l$

For each positive root α , think of V as a representation of $\mathfrak{sl}(2,\mathbb{C})_{\alpha} \subset \mathfrak{g}$

Then a highest weight $x \in V$ for \mathfrak{g} is also a highest weight vector for $\mathfrak{sl}(2,\mathbb{C})_{\alpha}$. Therefore, the weight of X wrt α^{\vee} is a nonnegative integer. So $\lambda(\alpha_i^{\vee}) \geq 0$ for $i = 1, \ldots, l$

Definition 126

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The dominant weights $P^+ \subset P$ are

$$\{\lambda \in P | \lambda(\alpha_i^{\vee}) > 0\} = \{\lambda \in \mathfrak{t}^* | \lambda(\alpha_i^{\vee}) \in \mathbb{N}\} \\ = \mathbb{N}\omega_1 \oplus \cdots \oplus \mathbb{N}\omega_l$$

where $\omega_1, \ldots, \omega_l$ are the fundamental weights

Remark. In literature, these are the weights that lies in the closure of Weyl chamber

Lemma 127

A finite dimensional irreducible representation of \mathfrak{g} is uniquely determined by its highest weight

Proof

Let V, W be finite dimensional irreducible representation of \mathfrak{g} with highest weight vectors $x \in V, y \in W$ with the same weight $\lambda \in P \subset \mathfrak{t}^*$. Then $V \oplus W$ is a representation of \mathfrak{g} and $x + y \in V \oplus W$ is a highest weight vector, with the same weight λ . As in previous lemma, let M=sub- \mathfrak{g} -module of $V \oplus W$ spanned by x + y; thus an irreducible subrepresentation of $V \oplus W$. We have \mathfrak{g} -linear projections

$$M \hookrightarrow V \oplus W \twoheadrightarrow V$$
$$M \hookrightarrow V \oplus W \twoheadrightarrow W$$

These are nonzero \mathfrak{g} -linear maps of irreducible representations of \mathfrak{g} , so they are isomorphic by Schur's Lemma. So $V \cong M \cong W$

Theorem 128

There is a finite dimensional irreducible representation of \mathfrak{g} with any given dominant weight as its highest weight

Sketch Proof

It suffices to find irreducible representations of \mathfrak{g} with highest weight the fundamental weights $\omega_1, \ldots, \omega_l$ Indeed, if V and W are irreducible representations of \mathfrak{g} with highest weights λ and μ ; then $V \otimes_{\mathbb{C}} W$ contains a highest weight vector with weight $\lambda + \mu$

(Take $x \otimes y \in V \otimes W$, for $x \in V, y \in W$ highest weight vectors) $e(x \otimes y) = ex \otimes y + x \otimes ey = 0$ for $e \in \mathfrak{g}_{\alpha}, \alpha \in \mathbb{R}_+$

So $V \otimes W$ contains an irreducible \mathfrak{g} -module with highest weight $\lambda + \mu$

So the irreducible representation with the highest weight $d_1\omega_1 + \cdots + d_l\omega_l$, $d_i \in \mathbb{N}$ occurs inside $V_1^{\otimes d_1} \otimes \cdots V_l^{\otimes d_l}$ where V_i is irreducible with highest weight ω_i

A slight improvement: if V has highest weight λ , then $S^d V$ contains the irreducible representation with highest weight $d\lambda$, so $V_{d_1\omega_1+\cdots d_l\omega_l} \subset S^{d_1}V_1 \otimes \cdots \otimes S^{d_l}V_l$

Corollary 129

A finite dimensional representation of \mathfrak{g} is uniquely determined by its character in $\mathbb{Z}[P]^W$

Proof

Subtract off one irreducible character at a time

Example:

The representation of $S^d V$ of $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{C})$ is irreducible, for any $d \ge 0$. Here, $V \cong \mathbb{C}^n$ is the standard representation of \mathfrak{g}

We compute the character of $S^d V$

let e_1, \ldots, e_n be the usual basis for V

Then $S^d V$ has a basis $e_1^{i_1} \cdots e_n^{i_n}$ for $i_1, \ldots, i_n \ge 0, i_1 + \cdots + i_n = d$

The element $e_1^{i_1} \cdots e_n^{i_n}$ has weight $i_1 \epsilon_1 + \cdots + i_n \epsilon_n \in P = \mathbb{Z} \epsilon_1 \oplus \cdots \mathbb{Z} \epsilon_n$

can see that all these weights are different, i.e. all "weight multiplicities" for $S^d V$ are isomorphic to \mathbb{Z}^n or 0

Look for highest weight vectors in $S^d V$. That is we try to solve

$$e_{ab}(e_1^{i_1} \cdots e_n^{i_n}) = 0 \qquad \forall 1 \le a < b \le n$$

Here

$$e_{ab}(e_j) = \begin{cases} e_a & \text{if } j = b\\ 0 & \text{otherwise} \end{cases}$$

The only highest weight vector, therefore, is e_1^d up to scalars So $S^d V$ is an irreducible representation of $\mathfrak{sl}(n, \mathbb{C})$

Weyl Character Formula

One proof: write down the Bernstein-Gelfand-Gelfand resolution of finite dimensional irreducible \mathfrak{g} -modules ($\mathfrak{g} = \mathbb{C}$ -semisimple Lie algebra) by (infinite dimension) Verma modules

For any $\lambda \in \mathfrak{t}^*$, the <u>Verma module</u> M_{λ} with highest weight λ is the "universal" highest weight module with a highest weight vector with weight λ . That means: choose a set of positive roots $R^+ \subset R$. Then $\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{t} \oplus \mathfrak{n}_+$ and we write $\mathfrak{b} = \mathfrak{t} \oplus \mathfrak{n}_+$ a <u>Borel subalgebra</u>. λ determines a linear map $\mathfrak{b} \to \mathbb{C}$ by $\lambda : \mathfrak{t} \to \mathbb{C}$ and sending \mathfrak{n}_+ to 0

$$\begin{array}{rrl} \lambda: \mathfrak{b} & \to & \mathbb{C} \\ & h & \mapsto & \lambda(h) & h \in \mathfrak{t} \\ & x & \mapsto & 0 & x \in \mathfrak{n} \end{array}$$

A Verma module has, let \mathbb{C}_{λ} be the 1-dimensional representation \mathfrak{b} given by this linear map. Then

$$\operatorname{Hom}_{\mathfrak{g}}(M_{\lambda}, V) = \operatorname{Hom}_{\mathfrak{b}}(\mathbb{C}_{\lambda}, V)$$

(first V is any \mathfrak{g} -module, second V is considered as an representation of \mathfrak{b}) (More concretely, $M_{\lambda} = \operatorname{Ind}_{\mathfrak{b}}^{\mathfrak{g}}(\mathbb{C}_{\lambda}) = U \mathfrak{g} \otimes_{U \mathfrak{b}} \mathbb{C}_{\lambda}$) More concretely, let f_1, \ldots, f_N be a basis for \mathfrak{n}_- . Then a basis B for M_{λ} as a \mathbb{C} -vector space:

$$M_{\lambda} = \bigoplus_{i_1, \dots, i_n \in \mathbb{N}} \mathbb{C} \cdot f_1^{i_1} \cdots f_N^{i_N}(x)$$

here x is the highest weight vector in M_{λ} with highest weight λ . (Convince yourself that M_{λ} IS a representation of \mathfrak{g})

We have an obvious surjection $M_{\lambda} \rightarrow L_{\lambda}$ for $\lambda \in P_+$, where L_{λ} = the finite dimensional irreducible representation of \mathfrak{g} with highest weight λ , and is unique up to isomorphism

Example:

For $\mathfrak{sl}(2), \lambda \in \mathfrak{t}^* \cong \mathbb{C}$ the Verma module M_{λ} has characters

we worked out how $e \in \mathfrak{sl}(2)$ acts on $f^r x$ for any $r \in \mathbb{N}$:

$$e(f^r x) = r(\lambda + 1 - r)f^{r-1}x$$

(prove by induction on r)

<u>Exercise</u>: Show that, for $\mathfrak{g} = \mathfrak{sl}(2), \lambda \in \mathbb{C}, \lambda \notin \mathbb{N}$

By contrast, if $\lambda \in \mathbb{N}$, then we have $M_{-\lambda-2} \subset M_{\lambda}$

In fact:

$$0 \to M_{-\lambda-2} \to M_{\lambda} \to L_{\lambda} \to 0$$

Notice that M_{λ} is NOT completely reducible

Definition 130

The Weyl group W of \mathfrak{g} is generated by simple reflections $s_i = s_{\alpha_i}$ $1 \le i \le l$. Define the length of $w \in W$ be

$$l(w) := \min\{d \ge 0 | w = s_{i_1} \cdots s_{i_d} \in W\} \\ = |\{\alpha \in R_+ | w(\alpha) \in R_-\}|$$

Define

$$\rho = \frac{1}{2} \sum_{\alpha \in R_+} \alpha \in P$$

Note that $s_i \rho = \rho - \alpha_i$ for all simple reflection s_i Define the <u>dot action</u> or <u>shifted action</u> of W on P by $w \cdot \lambda = w(\lambda + \rho) - \rho$

Theorem 131 (Bernstein-Gelfand-Gelfand Resolution)

Let \mathfrak{g} be a \mathbb{C} -semisimple Lie algebra, $\mathfrak{t} \subset \mathfrak{g}$ Cartan, $R_+ \subset R$ a set of positive roots. For $\lambda \in P_+$ let L_{λ} =the finite dimensional irreducible representation of \mathfrak{g} with highest weight λ . Then there is an exact sequence of representation of \mathfrak{g}

$$0 \to \bigoplus_{\substack{w \in W \\ l(w) = l_0}} M_{w\lambda} \to \dots \to \bigoplus_{\substack{w \in W \\ l(w) = 1}} M_{w\lambda} \to M_{\lambda} \to L_{\lambda} \to 0$$

where l_0 is the maximal length

We can use the dot action to get a formula for the character of L_{λ} , $\lambda \in P_+$, then M_{λ} has the same "size" as a polynomial in N variables, $N = |\dim_{\mathbb{C}} \mathfrak{n}_-| = |R_+|$, so

$$\operatorname{ch}(M_{\lambda}) = \frac{e^{\lambda}}{\prod_{\alpha \in R_{+}} (1 - e^{-\alpha})}$$

Corollary 132 (Weyl Character Formula)

For any $\lambda \in P_+$

$$\operatorname{ch}(L_{\lambda}) = \frac{\sum_{w \in W} (-1)^{l(w)} e^{w\lambda}}{\prod_{\alpha \in R_{+}} (1 - e^{-\alpha})} = \frac{\sum_{w \in W} (-1)^{l(w)} e^{w(\lambda + \rho) - \rho}}{\prod_{\alpha \in R_{+}} (1 - e^{-\alpha})} = \frac{\sum_{w \in W} (-1)^{l(w)} e^{w(\lambda + \rho)}}{\sum_{w \in W} (-1)^{l(w)} e^{w(\rho)}}$$

(the last equality comes from setting $\lambda = 0$ in the first/second equality) Remark. $(-1)^{l(w)} = \det(w \text{ acting on } \mathfrak{t}^*_{\mathbb{R}}) = \{\pm 1\}$

Example:

For $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{C}), W = S_{n-1}, (-1)^{l(w)} = \operatorname{sgn}(w) \in \{\pm 1\}$ (the simple reflections here are $s_i = (i, i+1)$)

Using l'Hopitals's rule, get:

Corollary 133

For any $\lambda \in P_+$

$$\dim_{\mathbb{C}} L_{\lambda} = \frac{\prod_{\alpha \in R_{+}} \langle \lambda + \rho, \alpha \rangle}{\prod_{\alpha \in R_{+}} \langle \rho, \alpha \rangle} = \frac{\prod_{\alpha \in R_{+}} (\lambda + \rho)(\alpha^{\vee})}{\prod_{\alpha \in R_{+}} \rho(\alpha^{\vee})}$$

Some examples:

Seen that for $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{C})$, $S^d V$ is an irreducible \mathfrak{g} -module for $d \geq 0$ where $V \cong \mathbb{C}^n$ is the standard representation. This has highest weight dw_1 , where w_1 =highest weight of V=1st fundamental weight

Now consider, for $1 \le d \le n-1$, \bigwedge^V (Note $\bigwedge^0 V \cong \bigwedge^n V \cong \mathbb{C}$ as representations of $\mathfrak{sl}(n, \mathbb{C})$)

What are the fundamental weights for $\mathfrak{sl}(n,\mathbb{C})$? First way:

 $\alpha_i = e_i - e_{i+1}$ We have, for example $\alpha_1(\alpha_1^{\vee}) = 2, \alpha_1(\alpha_2^{\vee}) = -1, \alpha_1(\alpha_j^{\vee}) = 0$ for $j \ge 3$ so $\alpha_1 = 2w_1 - w_2$ etc.

Second way:

We know that the simple coroots are $\alpha_i^{\vee} = e_{ii} - e_{i+1,i+1} \in \mathfrak{t}$

So the fundamental weighs are $w_1 = \epsilon_1, w_2 = \epsilon_1 + \epsilon_2, \dots, w_{n-1} = \epsilon_1 + \dots + \epsilon_{n-1}$

The weights of $\bigwedge^d V$ are: the basis element $e_{i_1} \land \cdots \land e_{i_d} \in \bigwedge^d V$ has weight $\epsilon_{i_1} + \cdots + \epsilon_{i_d} \in P = (\mathbb{Z} \epsilon_1 \oplus \cdots \oplus \mathbb{Z} \epsilon_n) / \mathbb{Z} (\epsilon_1 + \cdots + \epsilon_n) \cong \mathbb{Z}^{n-1}$

These are all different so all weight multiplicities for $\bigwedge^d V$ are 1 (or 0), \mathfrak{n}_+ is spanned by the elements $e_{ab} \in \mathfrak{sl}(n, \mathbb{C})$ with $1 \leq a < b \leq n$

So there is only one highest weight vector in $\bigwedge^d V$, up to scalars, $e_1 \land e_2 \cdots \land e_d$

So $\bigwedge^d V$ is an irreducible representation of $\mathfrak{sl}(n,\mathbb{C})$ for $1 \leq d \leq n-1$ and its highest weight is $\epsilon_1 + \cdots + \epsilon_d = w_d$

 $\epsilon_1 + \cdots + \epsilon_d = w_d$ So $V, \bigwedge^2 V, \ldots, \bigwedge^{n-1} V$ are the fundamental representations of $\mathfrak{sl}(n)$, the irreducible representations correspond to the fundamental weight.

Using the character formulas, you can work out how to decompose tensor product of $L_{\lambda_1} \otimes L_{\lambda_2}$ as a sum of irreducible representations.

Example:

As a representation of $\mathfrak{sl}(n,\mathbb{C}), V^* \cong \bigwedge^{n-1} V$ (and more generally $(\bigwedge^i V)^* \cong \bigwedge^{n-i} V$)

Proof We can "multiply" $\bigwedge^{a} V \otimes \bigwedge^{b} V \to \bigwedge^{a+b} V$, this is $\mathfrak{sl}(n)$ -linear. For $n = \dim V$, this is a <u>dual pairing</u>, $\bigwedge^{i} V \otimes \bigwedge^{n-i} V \to \bigwedge^{n} V = \mathbb{C}$

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