

Stable Homotopy Refinements and Khovanov homology

Robert Lipshitz¹ and Sucharit Sarkar²

International Congress of Mathematics

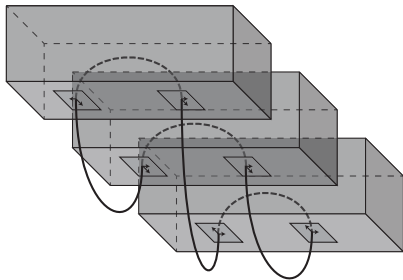
Rio de Janeiro, Brazil, August 2018

Special thanks to our collaborator Tyler Lawson,
whose perspective is reflected throughout.

¹ RL was supported by NSF CAREER Grant DMS-1642067 and NSF FRG Grant DMS-1560783

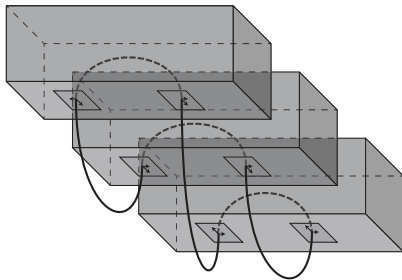
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Part 1: Stable homotopy refinements



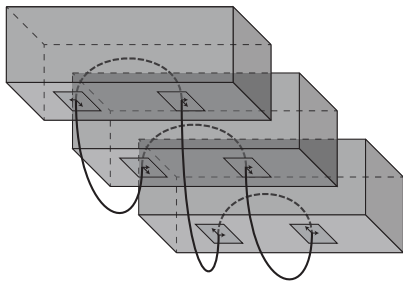
Part 1: Stable homotopy refinements

- Morse homology



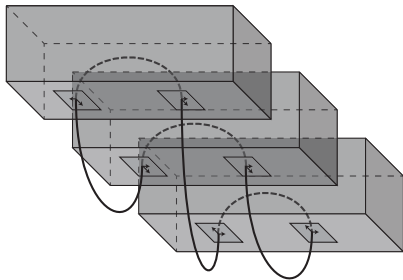
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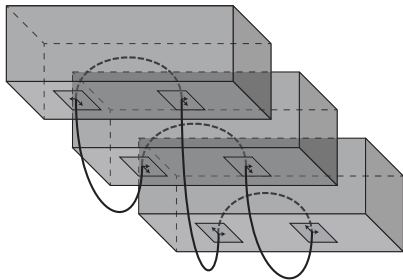
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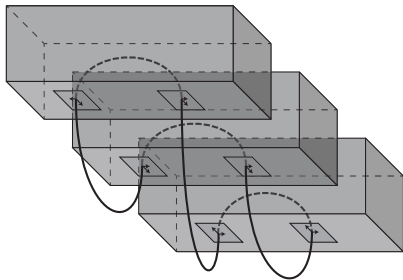
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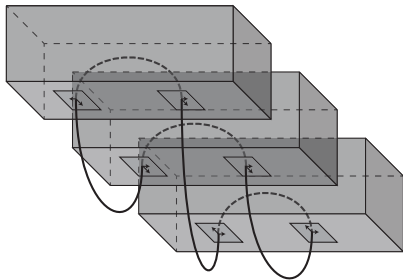
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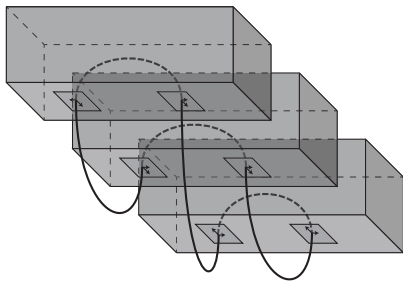
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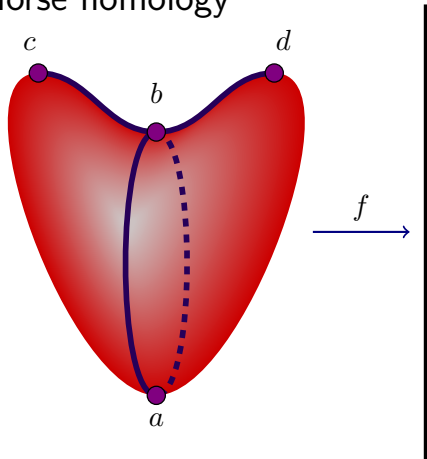


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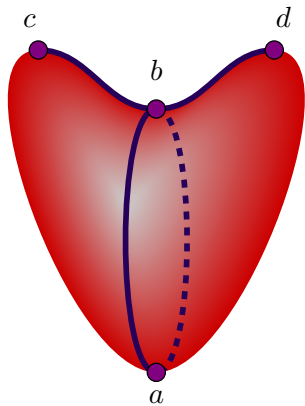
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- Flow categories and realization



Morse homology

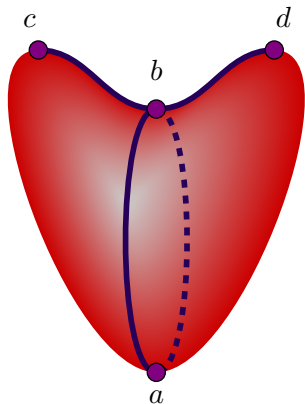


Morse homology



$$\begin{aligned}\chi(M) &= \sum_{p \in \text{Crit}(f)} (-1)^{\text{ind}(p)} \\ &= (-1)^{\text{ind}(a)} + (-1)^{\text{ind}(b)} + (-1)^{\text{ind}(c)} + (-1)^{\text{ind}(d)} \\ &= 1 + (-1) + 1 + 1 = 2.\end{aligned}$$

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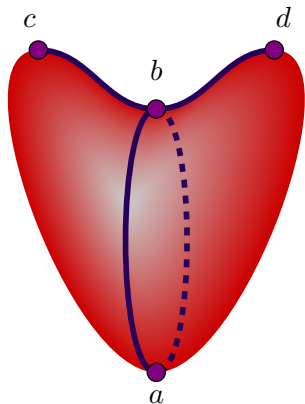
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signed count of flowlines
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a b c
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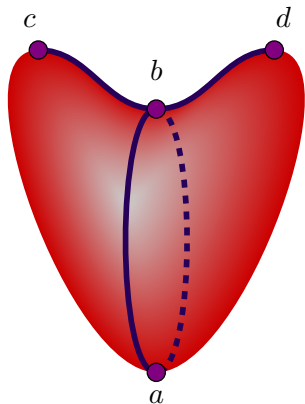
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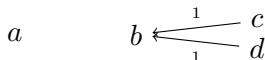
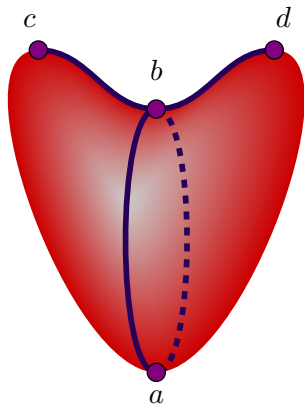
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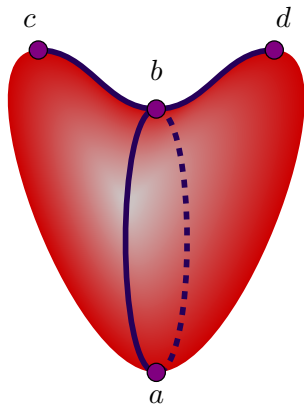
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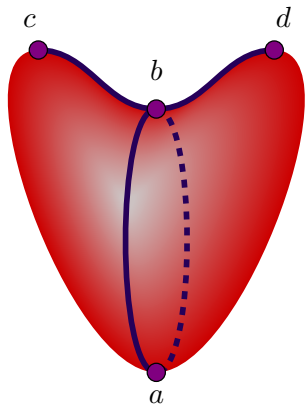
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Homology

$$\mathbb{Z} \quad 0 \quad \mathbb{Z}$$

Floer homology and categorification

Floer '88	Lagrangian Floer homology	$\xrightarrow{\chi}$	Intersection number
Floer '88	Instanton Floer homology	$\xrightarrow{\chi}$	Casson invariant

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		Seidel-Smith '06	Symplectic Khovanov homology	\xrightarrow{x}	Knot determinant

(and many others...)

The Cohen-Jones-Segal realization question

Question. (Cohen-Jones-Segal) Are these Floer homologies the homologies of naturally associated spaces?

Seems not have a natural cup product, so perhaps a spectrum (or, sometimes, pro-spectrum) instead of space?

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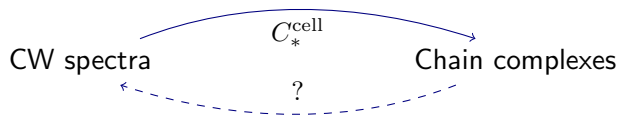
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Spatial Refinement Problem. Given a chain complex C_* with distinguished basis, arising in an interesting way, construct a CW spectrum X with $C_*^{\text{cell}}(X) \cong C_*$ with the distinguished basis given by the cells.

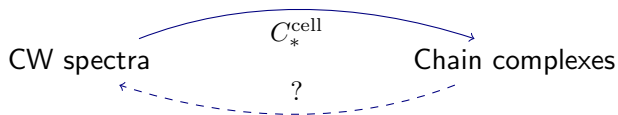
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Theorem. No.

Proof.

- (Carlsson '81) Let $G = \mathbb{Z}/2 \times \mathbb{Z}/2$. There is a $\mathbb{Z}[G]$ -module P which is not the homology of any G -equivariant (Moore) space.
- P is the homology of a chain complex over $\mathbb{Z}[G]$.

Applications of spatial refinements

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- Spectra have more information than chain complexes:
 - Steenrod operations on cohomology,
 - Homotopy groups, K-theory, . . .

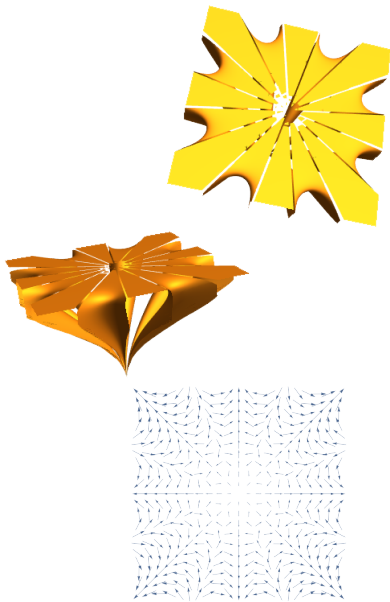
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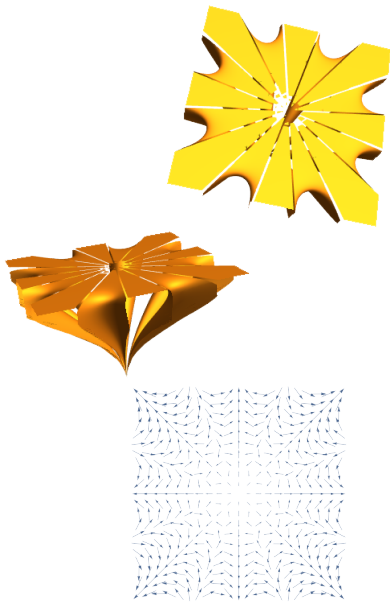
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 - Even maps between spheres are interesting.
- For group actions on spaces, there are meaningful notions of fixed sets, and localization theorems on equivariant cohomology (Smith theory).

General strategies for spatial refinements



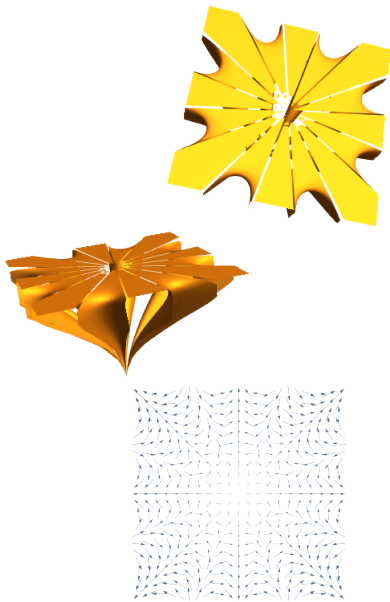
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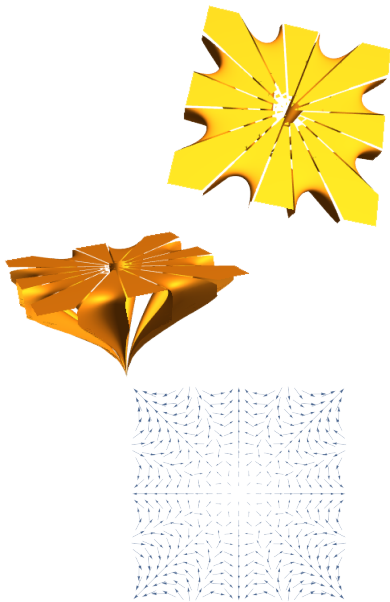
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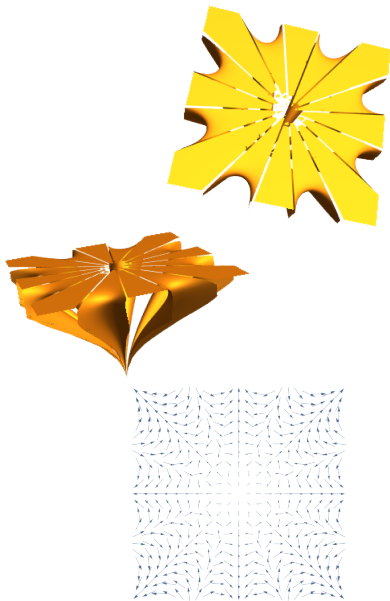
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- Hu-Kriz-Kriz '16, Lawson-Lipshitz-Sarkar used functors from the Burnside category to spaces to refine Khovanov homology. One could try to factor through other categories, as well.

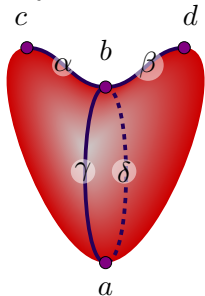


Flow categories and their realizations

A *framed flow category* is a way of encoding the moduli space of flows in Morse theory or Floer theory. Cohen-Jones-Segal turn a framed flow category into a CW spectrum.

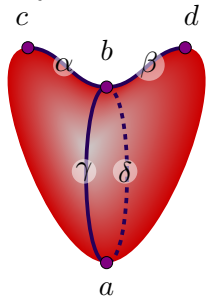
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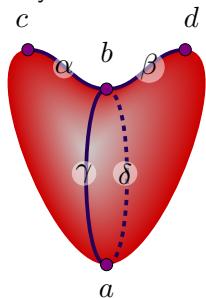
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Object	Grading
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b	1
c	2
d	2

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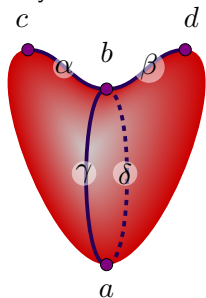
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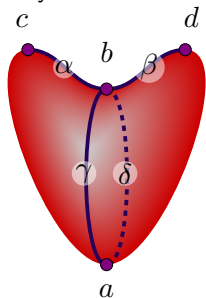
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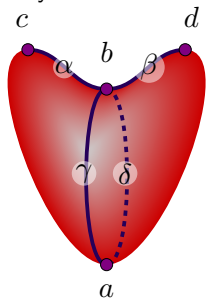
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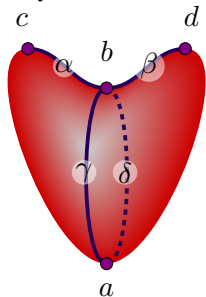
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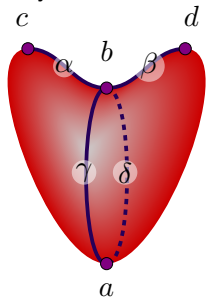
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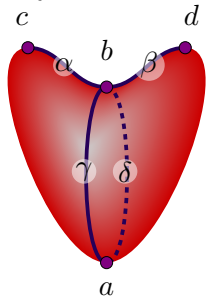
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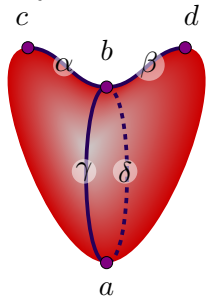
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A *framed flow category* is a way of encoding the moduli space of flows in Morse theory or Floer theory. Cohen-Jones-Segal turn a framed flow category into a CW spectrum.



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a	0
b	1
c	2
d	2



Morphisms

$\text{Hom}(c, b) = \{\alpha\}$, $\text{Hom}(d, b) = \{\beta\}$, $\text{Hom}(b, a) = \{\gamma, \delta\}$

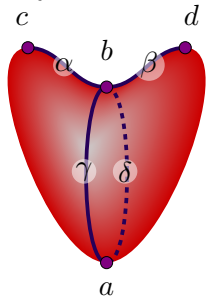
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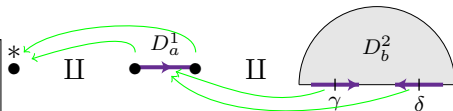
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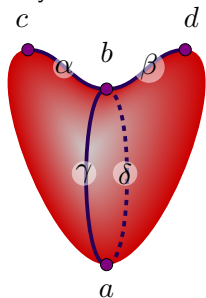
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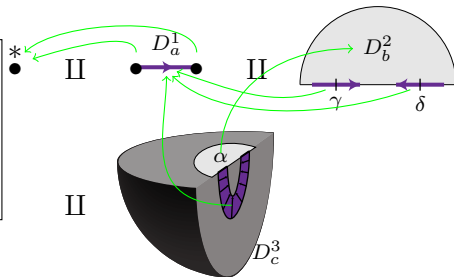
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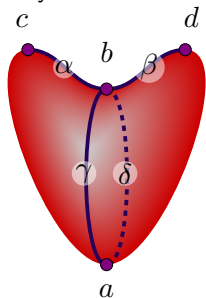
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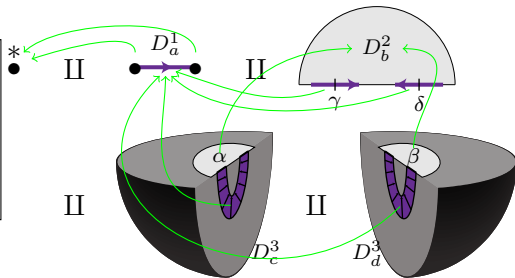
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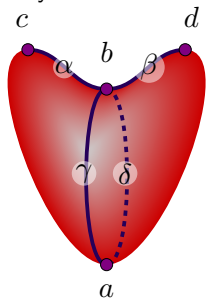
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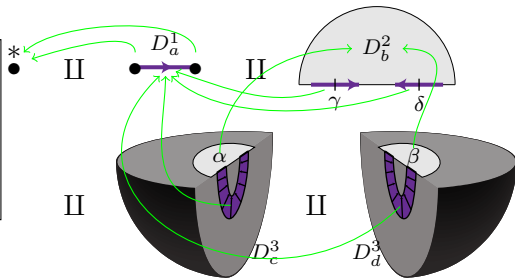
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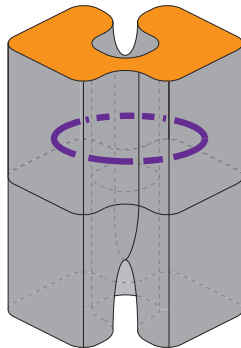
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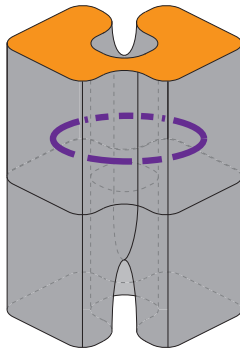
$$\begin{aligned} & (\{*\} \amalg \coprod_{x \in \mathrm{Ob}} D^{\mathrm{gr}(x)+N}) / \sim \\ & \simeq S^1 \vee S^3 = \Sigma(S^2_+). \end{aligned}$$

Part 2: Khovanov homology and homotopy



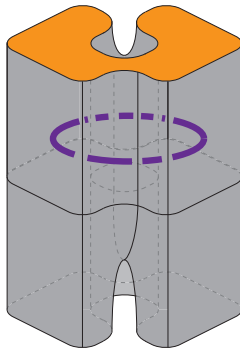
Part 2: Khovanov homology and homotopy

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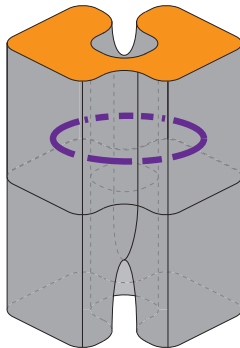
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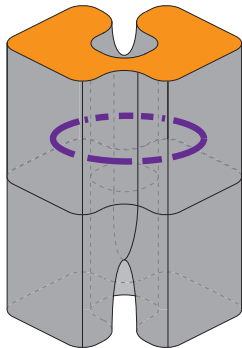
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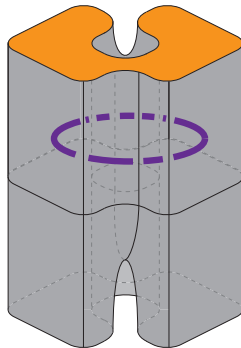
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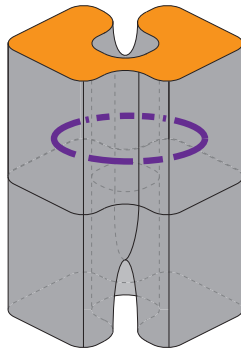
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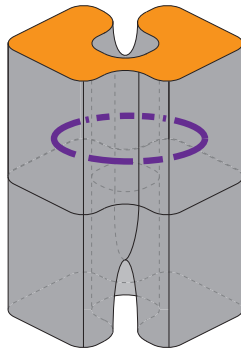
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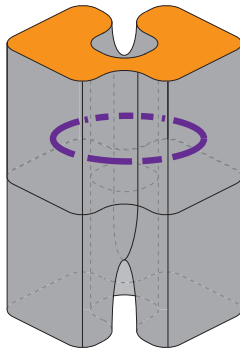
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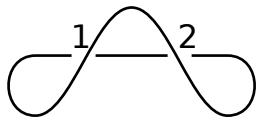


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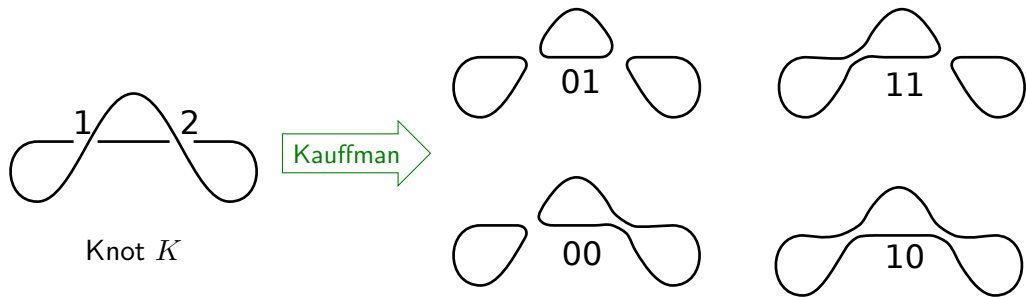


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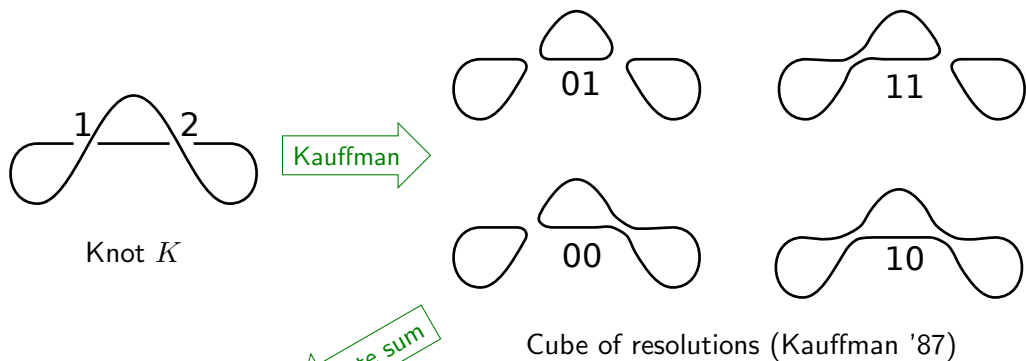
Knot K

State sums and the Jones polynomial



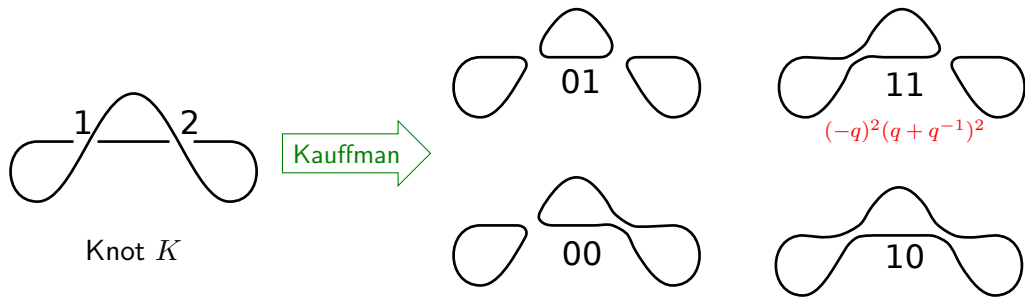
Cube of resolutions (Kauffman '87)

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$$V_K(q) = \pm q^n \sum_{v \in \{0,1\}^c} (-q)^{|v|} (q + q^{-1})^{k(v)}$$

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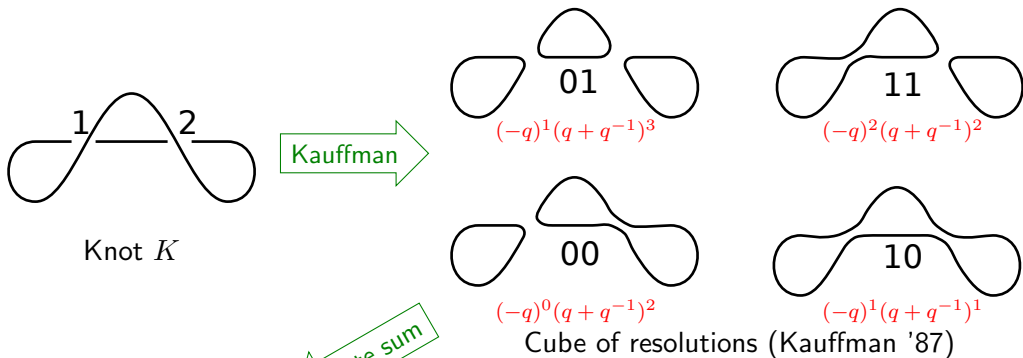


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A green arrow labeled "State sum" points from the cube of resolutions to the formula below.

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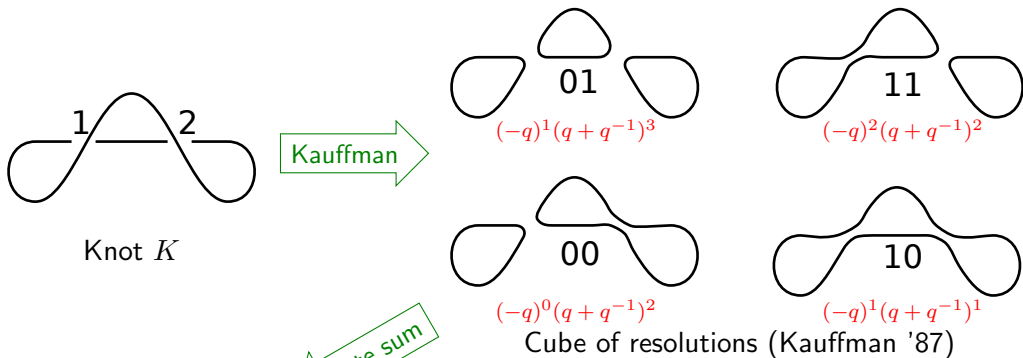
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(Khovanov '99)

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Khovanov Frobenius algebra

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Khovanov Frobenius algebra (1 + 1 TQFT)

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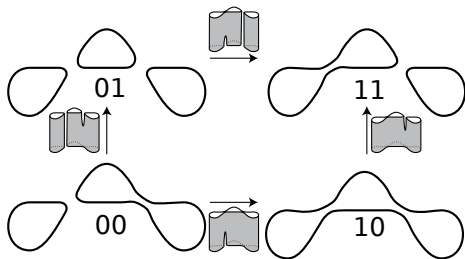
split \longrightarrow comultiplication $\Delta: V \rightarrow V \otimes V$

$$1 \mapsto 1 \otimes x + x \otimes 1$$

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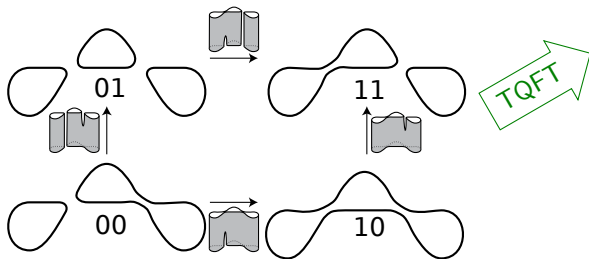
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$$\begin{array}{ccc}
 V \otimes V \otimes V & \xrightarrow{m \otimes \text{Id}} & V \otimes V \\
 \text{Id} \otimes \Delta \uparrow & & \uparrow \Delta \\
 V \otimes V & \xrightarrow{m} & V
 \end{array}$$

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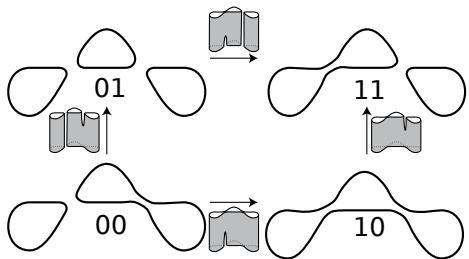
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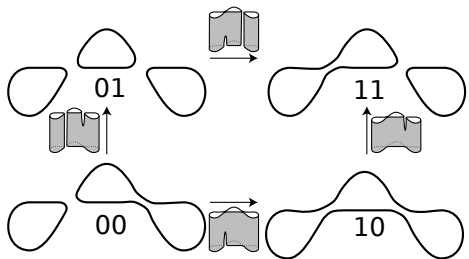
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 V \otimes V & \xrightarrow{m} & V
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Total complex

$$V \otimes V \xrightarrow{[-m \quad \text{Id} \otimes \Delta]} V \oplus (V \otimes V \otimes V) \xrightarrow{[m \otimes \text{Id} \quad \Delta]} V \otimes V$$

The Khovanov cube (Khovanov '99)



TQFT

Khovanov Frobenius algebra (1 + 1 TQFT)

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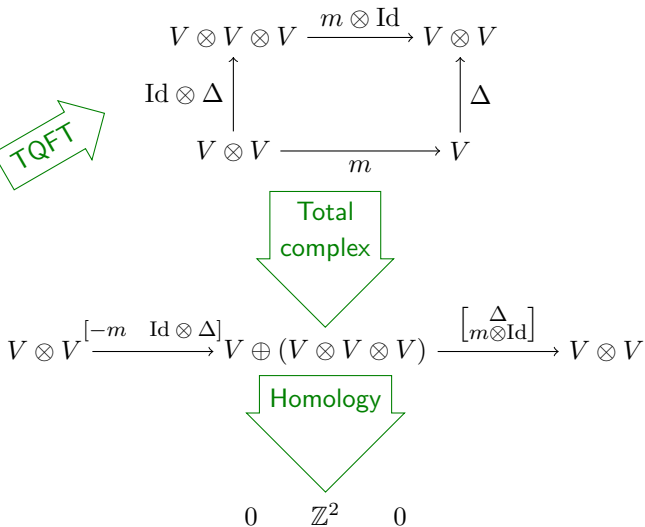
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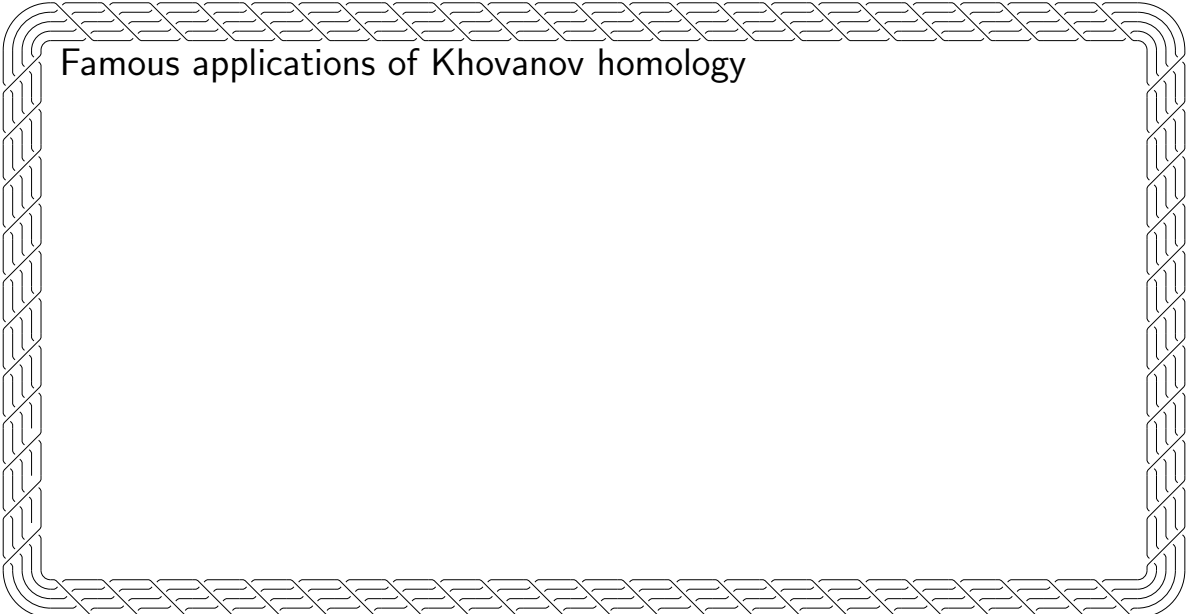
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Famous applications of Khovanov homology

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Theorem. (Rasmussen '04) If K is a positive knot, then

$$g_4(K) = g_3(K) = \frac{n - k + 1}{2}.$$

• **Example.** $g_4(T_{p,q}) = g_3(T_{p,q}) = u(T_{p,q}) = \frac{(p-1)(q-1)}{2}.$

(Torus knot case conjectured by Milnor in '68, proved by Kronheimer and Mrowka in '93 using instanton gauge theory.)

$$u(T_{5,68}) = 134.$$

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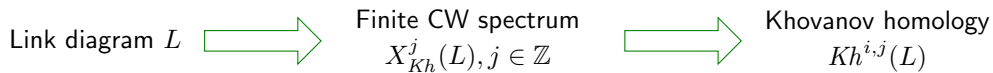
Theorem. (Kronheimer-Mrowka '10) If $\text{rank}(Kh(K)) = 2$, then K is the unknot.

• Proof uses instanton gauge theory.

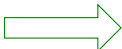
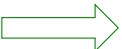
• **Old conjecture.** If $V_K(q) = q + q^{-1}$, then K is the unknot.

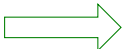
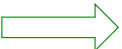
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Formal structure of Khovanov homotopy type

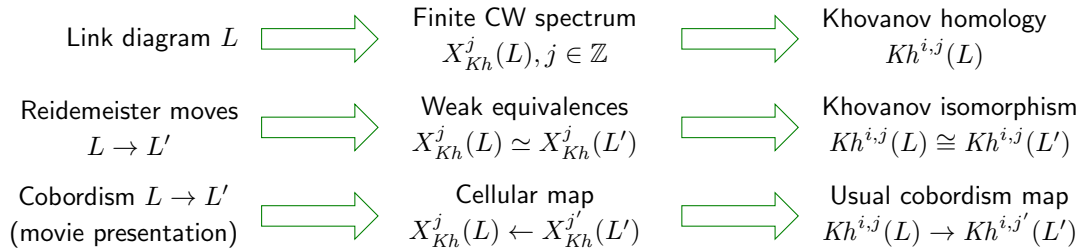


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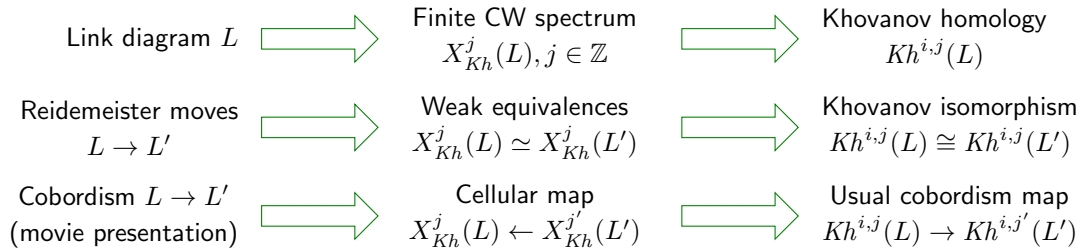
Link diagram L  Finite CW spectrum $X_{Kh}^j(L), j \in \mathbb{Z}$  Khovanov homology $Kh^{i,j}(L)$

Reidemeister moves $L \rightarrow L'$  Weak equivalences $X_{Kh}^j(L) \simeq X_{Kh}^j(L')$  Khovanov isomorphism $Kh^{i,j}(L) \cong Kh^{i,j}(L')$

Formal structure of Khovanov homotopy type

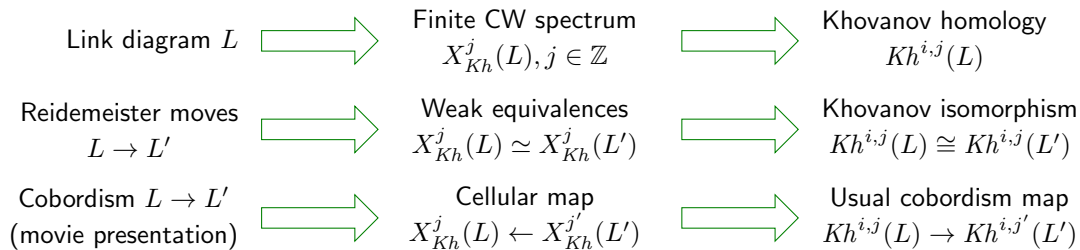


Formal structure of Khovanov homotopy type



Corollary. (Lipshitz-Sarkar '12) There are Steenrod operations on Khovanov homology which are natural with respect to cobordism maps.

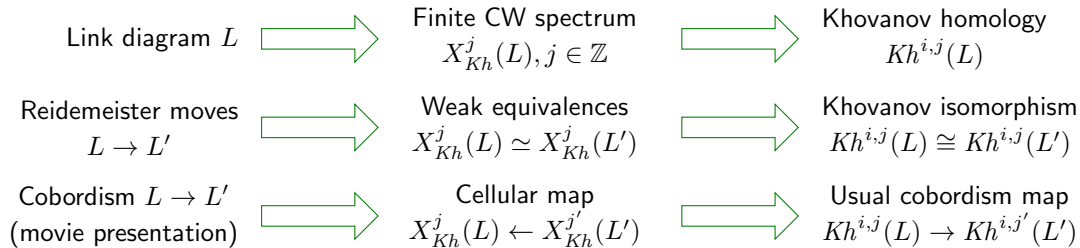
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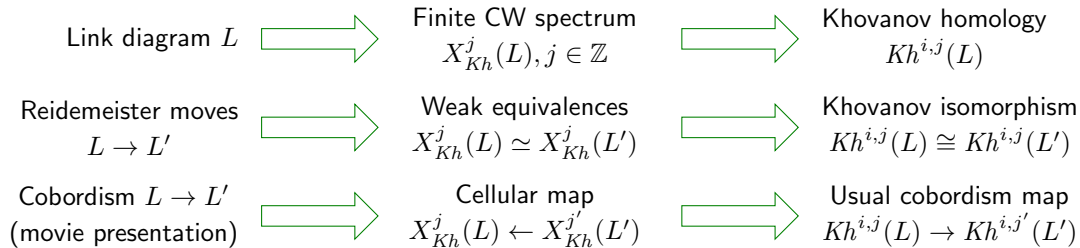


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Theorem. (Lawson-Lipshitz-Sarkar '15) For any $k > 0$ there is a (non-prime) knot K so that $Sq^k: Kh^{i,j}(K; \mathbb{Z}/2) \rightarrow Kh^{i+k,j}(K; \mathbb{Z}/2)$ is non-zero.

The Burnside category

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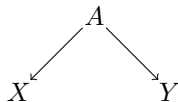
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- $\text{Hom}(X, Y)$ finite correspondences



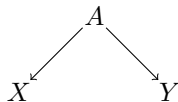
- Composition fiber products

The Burnside category

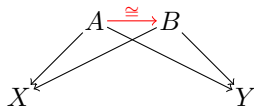
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- Composition fiber products
- $2\text{Hom}(A, B)$ bijections



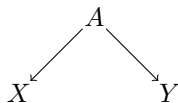
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- There are functors

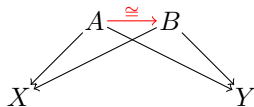
$$\begin{array}{ccc} \mathcal{B} & \xrightarrow{\quad} & \mathcal{S}pectra \\ X & \mapsto & \bigvee_{x \in X} \mathbb{S} \end{array}$$

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- Composition fiber products
- $2\text{Hom}(A, B)$ bijections



The Burnside category

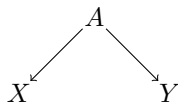
- The Burnside category was used by Hu-Kriz-Kriz to refine Khovanov homology.
- There are functors

$$\mathcal{B} \longrightarrow \mathcal{P}ermu \longrightarrow \mathcal{S}pectra$$

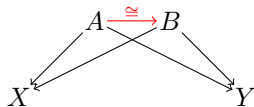
$$X \longmapsto \mathbf{Sets}/X \longmapsto \bigvee_{x \in X} \mathbb{S}$$

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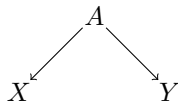
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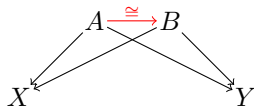
Elmendorf-Mandell K-theory
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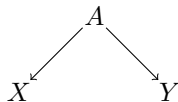
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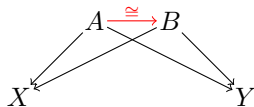
- Can describe the map $\mathcal{B} \rightarrow \mathcal{S}pectra$ more explicitly, via Pontryagin-Thom construction (cf. Lawson-Lipshitz-Sarkar).

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Cube shaped diagrams

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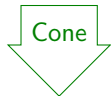
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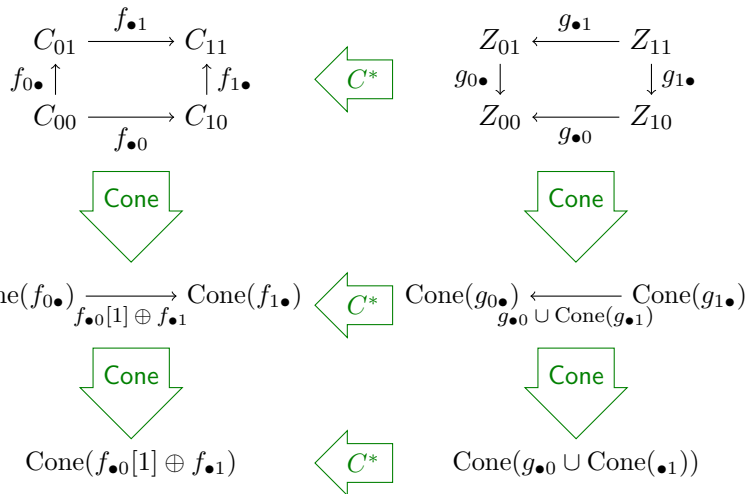


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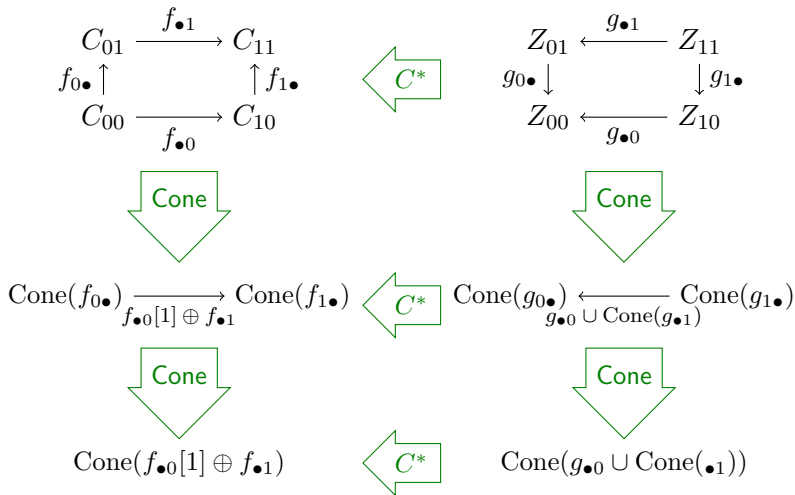


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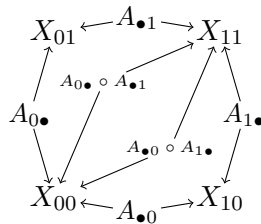
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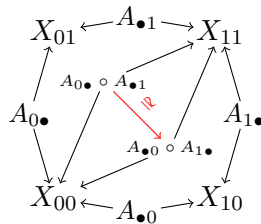
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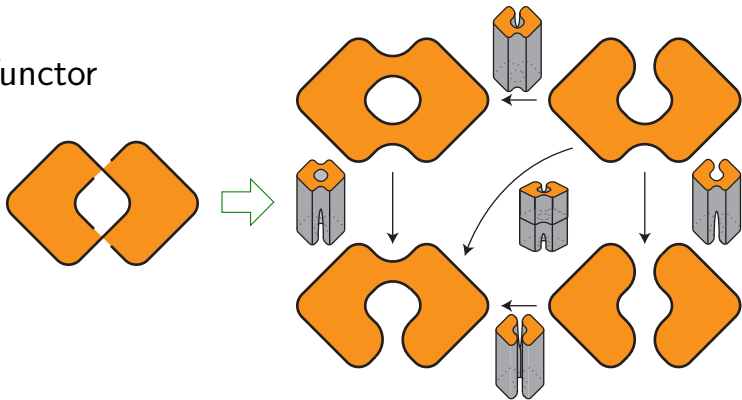
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The Khovanov-Burnside functor

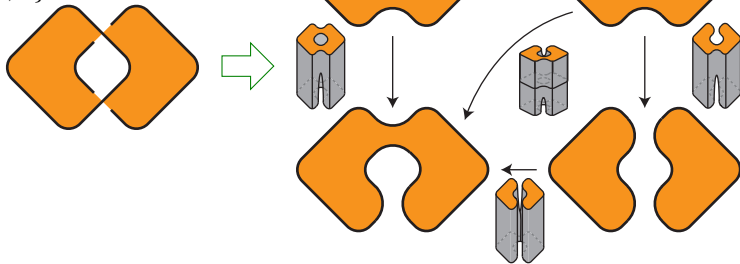


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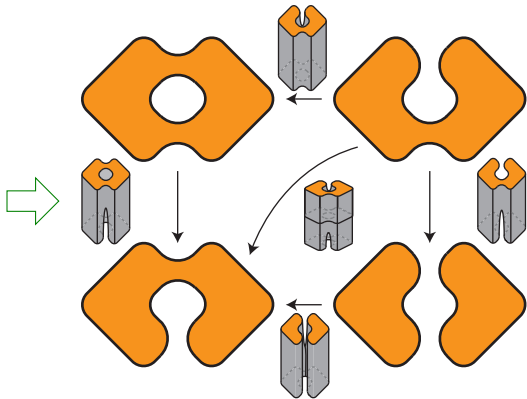
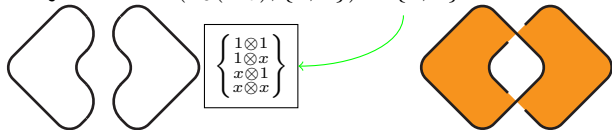
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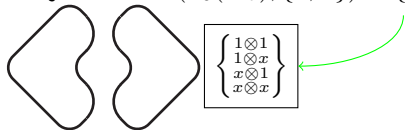
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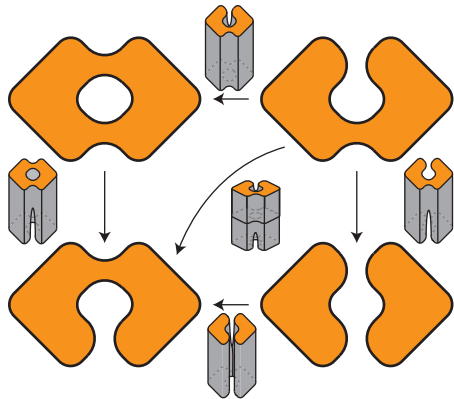


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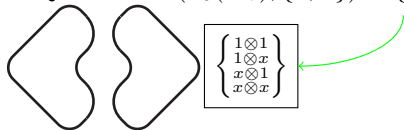


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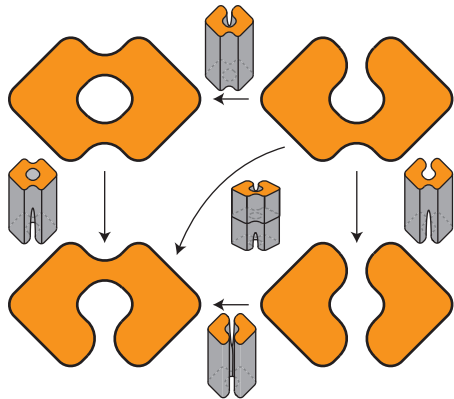
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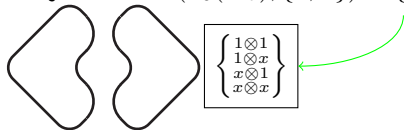
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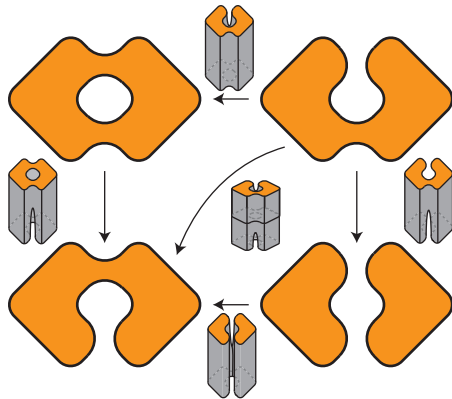


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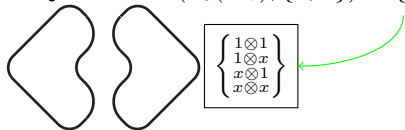
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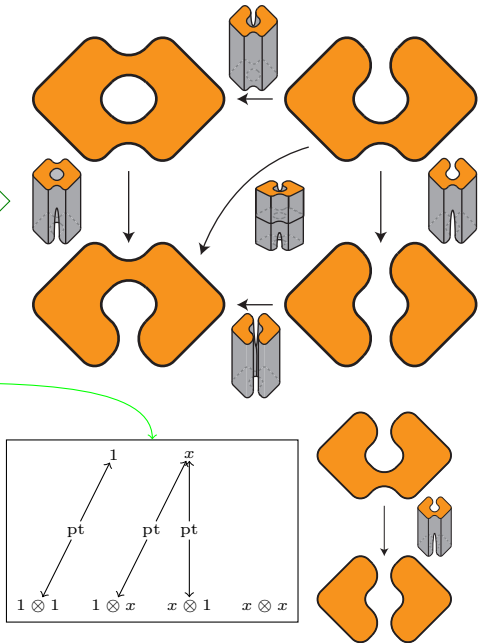


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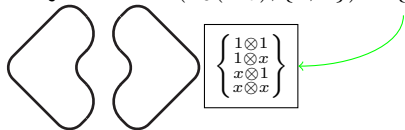
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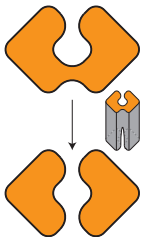
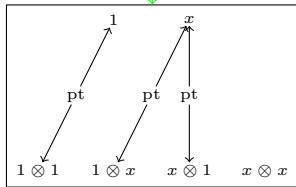
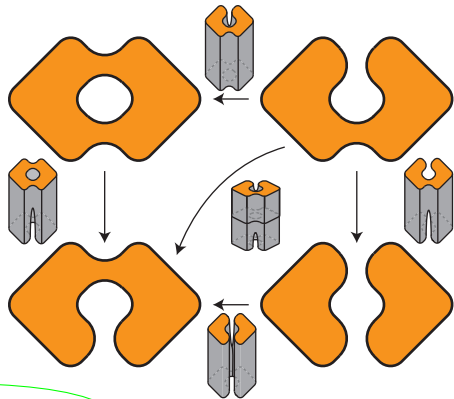
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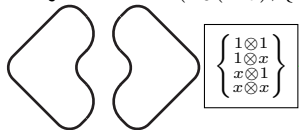
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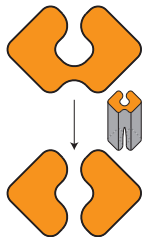
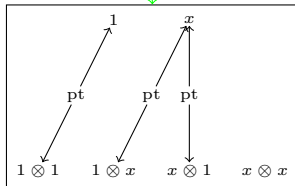
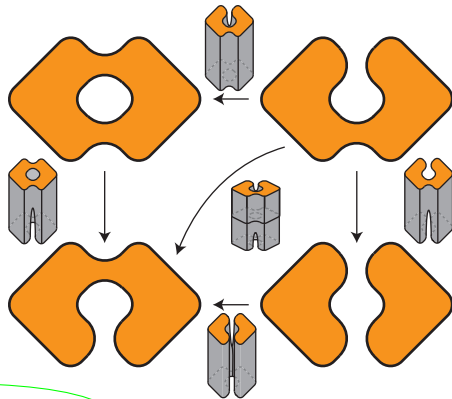
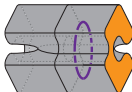
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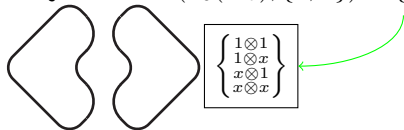
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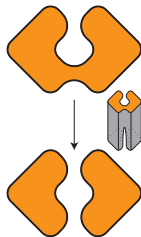
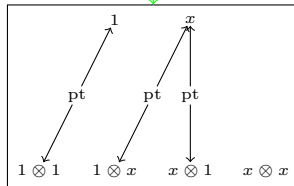
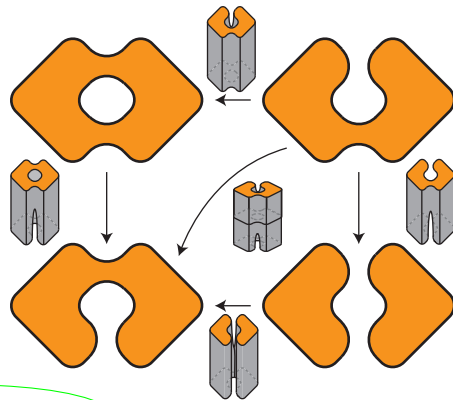
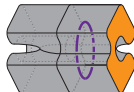
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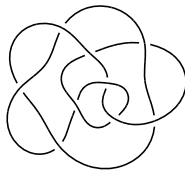
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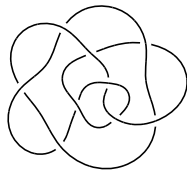
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- Lipshitz-Sarkar: A refinement of Rasmussen's s -invariant,

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- Lawson-Lipshitz-Sarkar: For $p, q > 0$,

$$g_4(T_{p,q} \# 9_{42}) = g_4(T_{p,q}) + g_4(9_{42}) = \frac{(p-1)(q-1)}{2} + 1.$$



9_{42}

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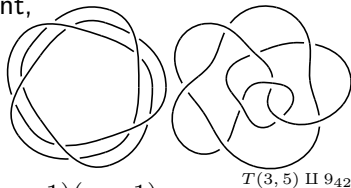
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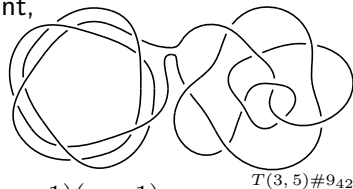
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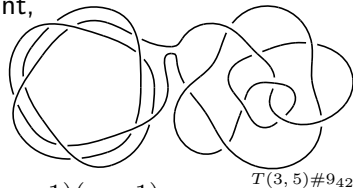
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- Feller-Lewark-Lobb: Call K *squeezed* if it is a slice of a minimal-genus cobordism from $T_{p,q}$ to $T_{-p',q'}$.

- s_{Sq^2} gives one of the few known obstructions to K being squeezed.

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- (Many other open questions in the written version of this talk.)

Thanks!

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- And most especially our collaborators on this project, Tyler Lawson and Lenhard Ng.
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