

# Seetapun's Theorem and Kolmogorov Complexity

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**Theorem (Seetapun).** For every uncomputable  $X$  and set  $A \subseteq \mathbb{N}$ , either  $A$  or  $\bar{A}$  ( $= \mathbb{N} \setminus A$ ) has an infinite subset which does not compute  $X$ .

**Comments.**

- Original motivation was reverse math of Ramsey's theorem
- First explicitly proved by Dzhafarov and Jockusch

**Informally:** You can't encode an infinite amount of information into all infinite subsets of both a set and its complement

**Question.** How much finite information can you encode?

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**Meta question.** How can we measure finite information?

**Answer.** Use Kolmogorov complexity.

**Definition.** For a string  $\sigma \in 2^{<\omega}$  and set  $\mathcal{X} \subseteq \mathcal{P}(\mathbb{N})$ , define

$$C(\sigma \mid \mathcal{X}) = \max_{B \in \mathcal{X}} C^B(\sigma).$$

**Notation.** For  $A \subseteq \mathbb{N}$

- $[A]^\omega$  = set of infinite subsets of  $A$ .
- $\text{Seet}(A) = [A]^\omega \cup [\bar{A}]^\omega$

**Question, formal version.** Given a string  $\sigma$  and set  $A \subseteq \mathbb{N}$ , how low can  $C(\sigma \mid \text{Seet}(A))$  be compared to  $C(\sigma)$ ?

# An Example

It is possible to encode “an arbitrary integer larger than  $N$ ” (for any  $N$ ).

**Definition.** For any string  $\sigma$  and number  $N$ , define

$$C(\sigma \mid \geq N) = \max_{n \geq N} C(\sigma \mid n).$$

**Proposition.** For any string  $\sigma$  and number  $N$ , there is some set  $A \subseteq \mathbb{N}$  such that  $C(\sigma \mid \text{Set}(A)) \leq C(\sigma \mid \geq N) + O(1)$ .

**Proof.**

$A$     • • • • • • • • (• • • • •)

$\bar{A}$     (• • • • • • • •) • • • • •

↑  
 $N$

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**Theorem (Vereshchagin).** For any string  $\sigma$ ,

$$C^{0'}(\sigma) = \min_N C(\sigma \mid \geq N) \pm O(1).$$

So  $C(\sigma \mid \text{Seet}(A))$  can be as small as  $C^{0'}(\sigma)$ .

**Question.** Is there any way for all infinite subsets of both  $A$  and  $\bar{A}$  to lower the complexity of  $\sigma$  below  $C^{0'}(\sigma)$ ?

**Answer.** No.

# The Main Theorem

**Observation.**  $C(\sigma \mid \text{Seet}(A))$  can be as small as  $C^{0'}(\sigma)$ .

**Question.** Is there any way for all infinite subsets of both  $A$  and  $\bar{A}$  to lower the complexity of  $\sigma$  below  $C^{0'}(\sigma)$ ?

**Answer.** No.

**Theorem (Harrison-Trainor and L.).** For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^{0'}(\sigma) - O(1).$$

**Comment.** Standard proofs of Seetapun's theorem don't seem to yield anything like this (at least not obviously).



# How to Prove It\*

\*Sort of.

A much easier theorem. For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^X(\sigma) - O(\log |\sigma|)$$

where  $X$  is a complete  $\Sigma_2^1$  set.

**Proof strategy.** Assume that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$  and show that  $C^X(\sigma \mid k) \leq k + O(1)$ .

**Idea:** Using  $X$ , enumerate a list of at most  $2^k$  strings that “look like”  $\sigma$   
i.e. a list of at most  $2^k$  strings which includes  $\sigma$

**Key property of  $\sigma$ :** There is some set  $A$  such that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$ .

**Claim 1.** At most  $2^k$  strings have this property.

**Claim 2.**  $X$  can enumerate the set of strings with this property.

**A much easier theorem.** For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

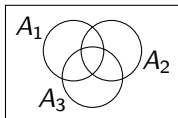
$$C(\sigma \mid \text{Seet}(A)) \geq C^X(\sigma) - O(\log |\sigma|)$$

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**Key property of  $\sigma$ :** There is some set  $A$  such that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$ .

**Claim 1.** At most  $2^k$  strings have this property.

**Proof.** Suppose  $\tau_1, \dots, \tau_n$  all have this property...  
as witnessed by  $A_1, \dots, A_n$ .



Let  $B$  be a boolean combination of the  $A_i$ 's which is infinite.

**E.g.**  $B = A_1 \cap \overline{A_2} \cap \overline{A_3} \cap \dots \cap A_n$ .

Then for each  $i \leq n$ ,  $C^B(\tau_i) < k$ . **Impossible if  $n > 2^k$ .**

A much easier theorem. For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

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**Key property of  $\sigma$ :** There is some set  $A$  such that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$ .

**Claim 2.**  $X$  can enumerate the set of strings with this property.

**Proof.** The property is  $\Sigma_2^1$ .

A much easier theorem. For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^X(\sigma) - O(\log |\sigma|)$$

where  $X$  is a complete  $\Sigma_2^1$  set.

**Proof of easier theorem.** Assume that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$ . Identify a property of  $\sigma$  which is

- shared by at most  $2^k$  other strings
- and which  $X$  can recognize.

**Theorem (Harrison-Trainor and L.).** For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^{0'}(\sigma) - O(1).$$

**Proof idea.** Identify a more complicated property of  $\sigma$  which is easier to compute.

Theorem (Harrison-Trainor and L.). For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^{0'}(\sigma) - O(1).$$

**Proof sketch.** Assume that for all  $B \in \text{Seet}(A)$ ,  $C^B(\sigma) < k$ .

**Definition.** A finite set of strings  $F$  is **safe** if there is some partition  $A_1, \dots, A_n$  of  $\mathbb{N}$  such that for all  $i \leq n$  and  $s \subseteq A_i$  finite,

$$|s| > 1 \implies |\{\tau \mid C^s(\tau) < k\} \cup F| \leq 2^k.$$

i.e. we can safely assume that (all infinite subsets of) each  $A_i$  will give each  $\tau \in F$  complexity less than  $k$

**Claim 1.** No safe set has size larger than  $2^k$ .

**Claim 2.** For any safe set  $F$ ,  $F \cup \{\sigma\}$  is also safe.

Therefore every maximal safe set contains  $\sigma$ .

**Claim 3.** The set of safe sets is  $0'$ -enumerable.

Therefore  $0'$  can enumerate a maximal safe set.

Theorem (Harrison-Trainor and L.). For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

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**Claim 1.** No safe set has size larger than  $2^k$ .

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**Claim 3.** The set of safe sets is  $0'$ -enumerable.

The following  $0'$ -program enumerates a maximal safe set.

Set  $F = \emptyset$

While true:

    Search for  $\tau$  such that  $F \cup \{\tau\}$  is safe

    Enumerate  $\tau$  and set  $F = F \cup \{\tau\}$

**Key point:** A maximal safe set has size at most  $2^k$  and contains  $\sigma$

A Question



Theorem (Harrison-Trainor and L.). For all strings  $\sigma$  and sets  $A \subseteq \mathbb{N}$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C^{0'}(\sigma) - O(1).$$

In one sense, this theorem is sharp. But it doesn't seem to completely capture the following intuition.

**Intuition.** The only thing you can encode into all infinite subsets of both a set and its complement is “an arbitrary integer larger than  $N$ ” for any single integer  $N$ .

**Question.** Fix a set  $A \subseteq \mathbb{N}$ . Is there a number  $N$  such that for all strings  $\sigma$ ,

$$C(\sigma \mid \text{Seet}(A)) \geq C(\sigma \mid \geq N) - O(1)?$$