

# Encoding information into dense sets

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Joint work with Matthew Harrison-Trainor

**Problem.** Given a set  $X$ , find an infinite set  $A \subseteq \mathbb{N}$  such that all infinite subsets of  $A$  compute  $X$ .

**Classic solution.** Think of  $A$  as a subset of  $2^{<\omega}$  and let  $A$  be the set of initial segments of  $X$

$$X = 10110100\dots$$

$A$	$\odot$	$\bullet$	$\odot$	$\bullet$	$\bullet$	$\odot$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\odot$	$\bullet$	$\bullet$	$\dots$
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
		0	1	00	01	10	11	000	001	010	011	100	101	110	111	

As a subset of  $\mathbb{N}$ ,  $A$  is sparse.

Number of elements of  $A$  less than  $n \approx \log(n)$

**Question.** Given a set  $X$  is there always a dense set  $A \subseteq \mathbb{N}$  such that all infinite subsets of  $A$  compute  $X$ ?

**Question.** Given a set  $X$  is there always a **dense** set  $A \subseteq \mathbb{N}$  such that all infinite subsets of  $A$  compute  $X$ ?

To answer this question, we need to decide what “dense” means.

**Definition.** Given a set  $A \subseteq \mathbb{N}$ ,

$$\text{lower density of } A = \underline{\rho}(A) = \liminf_{n \rightarrow \infty} \frac{|A \cap [n]|}{n + 1}$$

$$\text{upper density of } A = \overline{\rho}(A) = \limsup_{n \rightarrow \infty} \frac{|A \cap [n]|}{n + 1}$$

For any uncomputable  $X$ ,

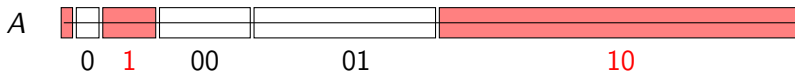
**Theorem (Harrison-Trainor and L.).** For any set  $A \subseteq \mathbb{N}$  of positive lower density,  $A$  has an infinite subset which does not compute  $X$ .

**Theorem (anyone in this room).** There is a set  $A$  of positive **upper** density such that every infinite subset of  $A$  computes  $X$ .

**Theorem (anyone in this room).** For any  $X$ , there is a set  $A$  of positive upper density such that every infinite subset of  $A$  computes  $X$ .

**Proof.**

$$X = 10110100\dots$$



**Theorem (Harrison-Trainor and L.).** For any uncomputable  $X$  and set  $A \subseteq \mathbb{N}$  of positive lower density,  $A$  has an infinite subset which does not compute  $X$ .

**Key ingredients:**

(1) Mathias forcing

(2) Seetapun's theorem<sup>1</sup>. For every uncomputable set  $X$  and set  $A \subseteq \mathbb{N}$ , there is an infinite subset of either  $A$  or  $\bar{A}$  which does not compute  $X$ .

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<sup>1</sup>First explicitly proved by Dhzafarov and Jockusch and sometimes called "strong cone avoidance for  $RT_2^1$ ."

# Mathias forcing

**End result of Mathias forcing:** An infinite set  $G \subseteq \mathbb{N}$

**Conditions.** Pairs  $(F, I)$  such that

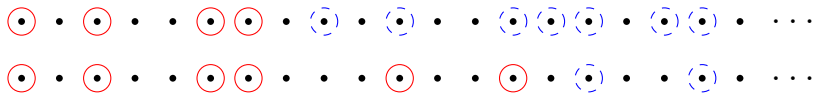
- $F$  is a finite subset of  $\mathbb{N}$ , called the **stem of the condition**
- $I$  is an infinite subset of  $\mathbb{N}$ , called the **reservoir of the condition**



A condition  $(F, I)$  is a partial specification of  $G$ : **elements of  $F$  have already been put into  $G$**  and **elements of  $I$  may be put into  $G$  later**

**Extension of conditions.**  $(F, I)$  is extended by  $(F', I')$  if

- $F \subseteq F' \subseteq F \cup I$
- and  $I \supseteq I'$



A condition  $(F, I)$  can be extended by **choosing some elements of  $I$  to add to  $F$**  and **removing some elements from  $I$**

**End result of Mathias forcing:** An infinite set  $G \subseteq \mathbb{N}$

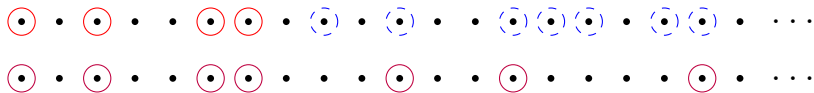
**Conditions.**  $(F, I)$  specifying  $F \subseteq G \subseteq F \cup I$

**Extension.**  $(F, I) \geq (F', I')$  means  $F \subseteq F' \subseteq F \cup I$  and  $I' \subseteq I$

For any filter  $\mathcal{G}$  for Mathias forcing, define  $G = \bigcup_{(F, I) \in \mathcal{G}} F$

$G$  is the set being specified by the Mathias conditions in  $\mathcal{G}$

**Definition.** A set  $B \subseteq \mathbb{N}$  is **compatible** with a condition  $(F, I)$  if  $F \subseteq B \subseteq F \cup I$ .



**The point.** A condition  $(F, I)$  says that the set  $G$  being built is compatible with  $(F, I)$ . So to ensure  $G$  has some property, we can try to ensure *all* sets compatible with  $(F, I)$  have that property.

**Goal.** An infinite subset of  $A$  which does not compute  $X$

**General strategy.** Pick a generic filter  $\mathcal{G}$  and let  $G = \bigcup_{(F,I) \in \mathcal{G}} F$ .  
For each  $n$ , define

$$D_n = \{(F, I) \mid |F| \geq n\}$$

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}.$$

To show that:

- (1)  $\mathbf{G} \subseteq \mathbf{A}$ : make sure  $(\emptyset, A) \in \mathcal{G}$ .
- (2)  $\mathbf{G}$  is infinite: show that for each  $n$ ,  $D_n$  is dense.
- (3)  $\mathbf{G}$  does not compute  $\mathbf{X}$ : show that for each  $n$ ,  $E_n$  is dense.

**Alternative view.** Choose a sequence

$$(\emptyset, A) = (F_0, I_0) \geq (F_1, I_1) \geq (F_2, I_2) \geq \dots$$

so that each  $(F_{n+1}, I_{n+1})$  is in both  $D_n$  and  $E_n$ . Define  $G = \bigcup_n F_n$ .



Approach 1: Pure Mathias forcing

**Theorem (Harrison-Trainor and L.).** For any uncomputable  $X$  and set  $A \subseteq \mathbb{N}$  of positive lower density,  $A$  has an infinite subset which does not compute  $X$ .

**Strategy.** Pick a Mathias generic  $G$  compatible with  $(\emptyset, A)$  and show that for each  $n$ ,

$$D_n = \{(F, I) \mid |F| \geq n\}$$

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

are both dense.

It's easy to pick  $G$  compatible with  $(\emptyset, A)$

It's easy to show each  $D_n$  is dense.

**Problem.**  $E_n$  is not always dense.

Suppose every infinite subset of  $I$  computes  $X$  via  $\Phi_n$ .

**No extension of  $(\emptyset, I)$  is in  $E_n$ :** If  $(\emptyset, I) \geq (F', I')$  then  $B = F' \cup I'$  is compatible with  $(F', I')$  and  $\Phi_n(B) = X$ .

**Solution.** Restrict which sets are allowed to be reservoirs.

Approach 2: Mathias forcing with dense reservoirs

**Goal:** Given  $A$  of positive lower density and  $X$  uncomputable, find an infinite  $G \subseteq A$  which doesn't compute  $X$

**Approach.** Use Mathias forcing and show that for each  $n$ , the set

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

is dense.

**Problem.**  $E_n$  is not always dense.

**Solution.** Restrict which sets are allowed to be reservoirs. **Try to forbid sets whose infinite subsets all compute  $X$**

**Natural idea.** Require reservoirs to have positive lower density.

**Problem.**  $E_n$  is still not dense.

**Claim.** In Mathias forcing where reservoirs are required to have positive lower density, there is some  $n$  such that the set

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

is not dense.

**Fact.** There is a set  $I$  of positive lower density such that all subsets of  $I$  of positive lower density compute  $X$  uniformly.

**Proof of claim.** Let  $I$  be as in the fact and suppose all subsets of  $I$  of positive lower density compute  $X$  via  $\Phi_n$ .

**No extension of  $(\emptyset, I)$  is in  $E_n$ .**

If  $(F', I')$  extends  $(\emptyset, I)$  and  $I'$  has positive lower density then  $B = F' \cup I'$  is compatible with  $(F', I')$  and has positive lower density, hence  $\Phi_n(B) = X$

Approach 3: Mathias forcing with  
somewhat dense reservoirs

**Goal:** Given  $A$  of positive lower density and  $X$  uncomputable, find an infinite  $G \subseteq A$  which doesn't compute  $X$

**Approach.** Use Mathias forcing and show that for each  $n$ ,

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

is dense.

**Problem.**  $E_n$  is not always dense:

- (1) When there are no restrictions on the reservoirs
- (2) When reservoirs are required to have positive lower density

**In (1), there are too many possible reservoirs:** we could have  $(F, I)$  where all infinite subsets of  $I$  compute  $X$ .

**In (2), there are too few possible reservoirs:** for a given  $(F, I)$ , we may not be able to "thin out"  $I$  enough to witness that not all of its infinite subsets compute  $X$

**We want something in the middle.**

**Definition.** A set  $A \subseteq \mathbb{N}$  is

- $\delta$ -dense at  $n$  if

$$\frac{|A \cap [n]|}{n+1} \geq \delta.$$

- $\delta$ -dense along  $D \subseteq \mathbb{N}$  if for all  $n \in D$ ,  $A$  is  $\delta$ -dense at  $n$ .
- dense along  $D$  if  $A$  is  $\delta$ -dense along  $D$  for some  $\delta > 0$ .

**Observations.**

- $A$  has positive lower density if and only if  $A$  is dense along  $\mathbb{N}$
- $A$  has positive upper density if and only if  $A$  is dense along some infinite  $D$

**The point.** If  $A$  is  $\delta$ -dense along  $D$ , think of  $\delta$  and  $D$  as **witnessing the positive upper density of  $A$** .

Note that “ $A$  has positive upper density” is  $\Sigma_3^0$ , but “ $A$  is  $\delta$ -dense along  $D$ ” is  $\Pi_1^0$



**Goal:** Given  $A$  of positive lower density and  $X$  uncomputable, find an infinite  $G \subseteq A$  which doesn't compute  $X$

**Approach.** Use Mathias forcing and show that for each  $n$ ,

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

is dense.

**Definition.** A Mathias condition  $(F, I)$  is a **density Mathias condition** if there is some infinite set  $D$  such that  $I$  is dense along  $D$  and  $D$  does not compute  $X$

Restricting to density Mathias conditions works!

$(\emptyset, A)$  is a density Mathias condition as witnessed by  $\mathbb{N}$ .

**Key Lemma.** For any  $n$ , the set  $E_n$  above is dense for density Mathias forcing.

Enter Seetapun's theorem

**Goal:** Given  $A$  of positive lower density and  $X$  uncomputable, find an infinite  $G \subseteq A$  which doesn't compute  $X$

**Definition.** A Mathias condition  $(F, I)$  is a **density Mathias condition** if there is some infinite set  $D$  such that  $I$  is dense along  $D$  and  $D$  does not compute  $X$

**Strategy.** Use density Mathias forcing and show that for each  $n$ ,

$$E_n = \{(F, I) \mid \text{for all } B \text{ compatible with } (F, I), \Phi_n(B) \neq X\}$$

is dense.

**Useful lemma.** If  $A$  has positive lower density and  $A = A_0 \cup A_1$  then at least one of  $(\emptyset, A_0)$  and  $(\emptyset, A_1)$  is a density Mathias condition.

**Note:** It is easy to find sets  $A_0, A_1$  such that  $A_0 \cup A_1$  has positive lower density but neither  $A_0$  nor  $A_1$  do.

This explains why we use sets of positive **upper** density as reservoirs when the statement only mentions positive **lower** density.

**Definition.** A Mathias condition  $(F, I)$  is a **density Mathias condition** if there is some infinite set  $D$  such that  $I$  is dense along  $D$  and  $D$  does not compute  $X$

**Useful lemma.** If  $A$  has positive lower density and  $A = A_0 \cup A_1$  then at least one of  $(\emptyset, A_0)$  and  $(\emptyset, A_1)$  is a density Mathias condition.

**Seetapun's theorem.** For any uncomputable  $X$  and set  $A \subseteq \mathbb{N}$ , there is an infinite subset of either  $A$  or  $\overline{A}$  which does not compute  $X$ .

**Proof of lemma.** Pick  $\delta > 0$  such that  $A$  is  $\delta$ -dense at every  $n$ .

Define  $B = \{n \mid A_0 \text{ is } \delta/2\text{-dense at } n\}$ .

Note that if  $n \notin B$  then  $A_1$  must be  $\delta/2$ -dense at  $n$ .

Seetapun's theorem  $\implies$  either  $B$  or  $\overline{B}$  contains an infinite subset  $D$  which does not compute  $X$ .

$D \subseteq B \implies (\emptyset, A_0)$  is a density Mathias condition

$D \subseteq \overline{B} \implies (\emptyset, A_1)$  is a density Mathias condition.