# Midterm 2

# Math 114S, Winter 2022

**Instructions.** Turn in your exam on Gradescope by 10 am PST on Saturday, February 26th. Late exams are not accepted, so I advise you to turn it in at least a few minutes early. You may consult the lecture notes, course textbooks or standard websites such as Wikipedia. You may not attempt to search for specific exam questions online nor may you communicate with anyone besides the instructors of the course about the contents of the exam. In particular, you should not talk to your fellow students about the exam, except to ask logistics questions, and you may not post exam-related questions on any Q&A websites or forums. If you have any questions about the exam, please make a private post on piazza. There are 50 points in total.

**Note:** For each problem below, unless otherwise stated, you may use the Axiom of Choice (including any of its consequences which we proved in class).

## Short Answer Questions.

#### Question 1 (4 points)

Compute the rank of the following set:  $A = \{\{\langle \mathbb{R}, \mathbb{Z} \rangle, \{\langle \mathbb{Q}, \omega \rangle\}, \langle \mathbb{R}, V_{\omega+2} \rangle\}\}$ . You may take as given the calculations of ranks from the Homework 6 solutions. You should show work justifying your answer but you do not need to provide a formal proof that your answer is correct.

#### Question 2 (8 points)

For any subset  $A \subseteq \omega$  and natural number n, say that n is *prime-in-A* if every divisor of n which is contained in A is equal to either 1 or n. For example, if A is the set of numbers greater than 10 then 14 is prime-in-A.

Define a class function  $F: \mathbf{Ord} \to \mathcal{P}(\omega)$  by transfinite recursion as follows.

Zero case:  $F(0) = \omega \setminus \{0, 1\}$ Successor case:  $F(\alpha + 1) = \begin{cases} F(\alpha) \setminus \{n\} & \text{if } n \text{ is the least element of } F(\alpha) \text{ which is prime-in-}F(\alpha) \\ F(\alpha) & \text{if no such } n \text{ exists} \end{cases}$ 

Limit case:  $F(\beta) = \bigcap_{\alpha < \beta} F(\alpha).$ 

For both parts below, you should give a brief justification of your answer, but you do not need to provide a formal proof.

- (a) What is  $F(\omega + \omega)$ ?
- (b) What is  $F(\omega^3)$ ? Recall that  $\omega^3$  denotes the unique ordinal with order type  $\omega \times \omega \times \omega$ .

#### Question 3 (12 points)

For each set below, write either "countable," "continuum" or "other" to indicate, respectively, that the set is countable, has cardinality  $|2^{\omega}|$  or that neither of those two possibilities hold. You do not need to provide any justification for your answers.

- (a) The set of surjective functions  $\omega \to \{0, 1, 2, \dots, 10\}.$
- (d) The set of surjective functions  $2^{\omega} \to 2^{\omega}$ .
- (e) The set of isomorphism classes of finite partial orders which are in  $V_{\omega+5}$ .
- (b) The set of surjective functions ω → ω.
  (c) The set of surjective functions ω → 2<sup>ω</sup>.
- (f)  $((2^{\omega} \times 2^{\omega}) \sqcup 2^{\omega})^{\omega}$ .

### Long Answer Questions.

#### Question 4 (8 points)

If  $f, g: \omega \to \omega$ , then f dominates g if for all  $n \in \omega$ ,  $g(n) \leq f(n)$ . If A is a set of functions from  $\omega$  to  $\omega$  then A is dominating if for every function  $g: \omega \to \omega$  there is some  $f \in A$  which dominates g. Prove that there is no countable dominating set of functions from  $\omega$  to  $\omega$ .

Hint: Diagonalization.

#### Question 5 (10 points)

Recall that a graph is an ordered pair  $\langle V, E \rangle$  consisting of a set V, called the set of vertices, and a set E of ordered pairs of elements of V, called the set of edges. A subset  $U \subset V$  is a  $clique^1$  if for every  $a, b \in U, \langle a, b \rangle \in E$ . A clique U is maximal if there is no clique U' such that U is a proper subset of U'. Show that every graph has a maximal clique.

#### Question 6 (8 points)

Graphs  $\langle V, E \rangle$  and  $\langle V', E' \rangle$  are *isomorphic* if there is a bijection  $f: V \to V'$  such that for all  $u, v \in V$ ,

$$\langle u, v \rangle \in E \iff \langle f(u), f(v) \rangle \in E'.$$

Without using the Axiom of Foundation, show that for every graph  $\langle V, E \rangle$ , there is some  $\alpha \in \mathbf{Ord}$  such that  $V_{\alpha}$  contains a graph isomorphic to  $\langle V, E \rangle$ .

<sup>&</sup>lt;sup>1</sup>This definition of graph and clique are slightly different from the standard definitions—normally cliques are only defined for undirected graphs without self-loops. Note that in the definition above, if U is a clique and  $a, b \in U$  then we must have all of  $\langle a, a \rangle, \langle a, b \rangle$  and  $\langle b, a \rangle$  in U. I used this definition to keep the definitions as short as possible.