June 8, 2023

CanaDAM



# **Poset inequalities**





.pdf file of the paper

# **Plan of the talk:**

- 1) Overview of combinatorial inequalities and their proofs
- 2) Recent results on poset inequalities

- Main thing to remember:
- Good inequalities deserve good proofs!

 $\binom{n}{0} \le \binom{n}{1} \le \binom{n}{2} \le \dots \le \binom{n}{\lfloor n/2 \rfloor}$ 

(1) Direct calculation

$$\binom{n}{k} - \binom{n}{k-1} = \frac{n!}{k!(n-k+1)!} \left( (n-k) - k \right) \ge 0$$

$$\binom{n}{0} \leq \binom{n}{1} \leq \binom{n}{2} \leq \dots \leq \binom{n}{\lfloor n/2 \rfloor}$$

(2) Real roots  $\Rightarrow$  log-concavity Newton (1707)

$$\prod_{i=1}^{n} (x+c_i) = \sum_{k=0}^{n} a_k x^k \implies a_k^2 \ge a_{k-1} a_{k+1} \implies (e_k)^2 \ge e_{k-1} e_{k+1}$$

 $(x+1)^n = \sum_{k=0}^n \binom{n}{k} x^k$ 

 $\textit{log-concavity} \ \Rightarrow \ \textit{unimodality}$ 

 $a_k^2 \ge a_{k-1}a_{k+1} \implies (a_1, a_2, \dots, a_n)$  is unimodal

$$\binom{n}{0} \leq \binom{n}{1} \leq \binom{n}{2} \leq \dots \leq \binom{n}{\lfloor n/2 \rfloor}$$

(3) Combinatorial interpretation

Bertrand's ballot theorem (1887)

 $\binom{n}{k} - \binom{n}{k-1} =$  number of ballot sequences of length n

ballot sequences := 0/1 sequences with (n - k) 0s, with k 1s, and #0's  $\geq \#1$ 's in every prefix

n = 2k Catalan Numbers

 $\binom{n}{0} \leq \binom{n}{1} \leq \binom{n}{2} \leq \dots \leq \binom{n}{\lfloor n/2 \rfloor}$ 

(4) Symmetric saturated chain decomposition

[De Bruijn, Tengbergen, Kruyswijk, 1951]

– [Greene, Kleitman, 1976]

 $\prod_{n \to \infty} \binom{n}{0} \le \binom{n}{1} \le \binom{n}{2} \le \dots \le \binom{n}{\lfloor n/2 \rfloor}$ 

(5) Linear algebra *Exterior algebra*  $\Lambda = \mathbb{C}\langle \xi_1, \ldots, \xi_n \rangle, \quad \xi_i \xi_j = -\xi_j \xi_i, \forall i, j$ Linear map  $\Phi: f \to f \cdot (\xi_1 + \ldots + \xi_n), \quad \Phi: \Lambda^{k-1} \to \Lambda^k$ **Observation:**  $\Phi$  is injective for  $1 \le k \le n/2$ 

 $\binom{n}{0} \leq \binom{n}{1} \leq \binom{n}{2} \leq \dots \leq \binom{n}{\lfloor n/2 \rfloor}$ 

(1) Direct calculation

(2) Real roots  $\Rightarrow$  log-concavity

(3) Combinatorial interpretation

(4) Symmetric saturated chain decomposition

(5) Linear algebra

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(6) Hard Lefschetz theorem

[Stanley, 1980]

## **Gaussian coefficients**

Unimodality of Gaussian coefficients:  $p_{ab}(0) \leq p_{ab}(1) \leq \ldots \leq p_{ab}(\lfloor ab/2 \rfloor)$  $\binom{a+b}{a}_{q} = \frac{(q^{a+1}-1)\cdots(q^{a+b}-1)}{(q-1)\cdots(q^{b}-1)} = \sum_{a=0}^{ab} p_{ab}(a)q^{a} = \sum_{a=0}^{a} p$  $p_{ab}(n) =$  number of partitions of n which fit rectangle  $[a \times b]$  $\begin{pmatrix} 0\\ 3 \end{pmatrix} = 1 + q + 2q^2 + 3q^3 + 3q^4 + 3q^5 + 3q^6 + 2q^7 + q^8 + q^9$ 

## More examples

Unimodality of *Gaussian coefficients*  $\perp p_{ab}(0) \leq p_{ab}(1) \leq \ldots \leq p_{ab}(\lfloor ab/2 \rfloor)$ (6) $= \binom{a+b}{a}_{a} = \frac{(q^{a+1}-1)\cdots(q^{a+b}-1)}{(q-1)\cdots(q^{b}-1)} = \sum_{n=0}^{ab} p_{ab}(n)q^{n}$  $p_{ab}(n) =$  number of partitions of n which fit rectangle  $[a \times b]$ Conjectured: [Cayley, 1856] [Sylvester, 1878] (*invariant theory*) [Stanley, 1980] (hard Lefschetz theorem) [Proctor, 1982] (*linear algebra*) [O'Hara, 1990] (*combinatorial proof*, not injective!) [P.–Panova, 2013] (*Kronecker coefficients*, strict)

### More examples

Unimodality of *Gaussian coefficients*  $\perp p_{ab}(0) \leq p_{ab}(1) \leq \ldots \leq p_{ab}(\lfloor ab/2 \rfloor)$ (6) $= \binom{a+b}{a}_{a} = \frac{(q^{a+1}-1)\cdots(q^{a+b}-1)}{(q-1)\cdots(q^{b}-1)} = \sum_{a=0}^{ab} p_{ab}(n)q^{a}_{a}$  $p_{ab}(n) =$  number of partitions of n which fit rectangle  $[a \times b]$ [P.–Panova, 2013] (*Kronecker coefficients*, strict)  $g(a^{b}, a^{b}, (ab - k, k)) = p_{ab}(k) - p_{ab}(k - 1)$  $\Rightarrow p_{ab}(k) - p_{ab}(k-1) \ge 1 \quad \forall a, b \ge 8$ 

## More examples

Unimodality of *Gaussian coefficients*  $\perp p_{ab}(0) \leq p_{ab}(1) \leq \ldots \leq p_{ab}(\lfloor ab/2 \rfloor)$ (6) $= \frac{a+b}{a}_{a} = \frac{(q^{a+1}-1)\cdots(q^{a+b}-1)}{(q-1)\cdots(q^{b}-1)} = \sum_{n=0}^{ab} p_{ab}(n)q^{n}$  $p_{ab}(n) =$  number of partitions of n which fit rectangle  $[a \times b]$ Find a symmetric chain decomposition proof. Open: This would give an *explicit combinatorial interpretation* for  $p_{ab}(k) - p_{ab}(k-1)$ .

# **Counting subgraphs**

Kleitman's inequality [Kleitman, 1966] (induction)

Example:

 $\mathbb{P}[H \text{ is Hamiltonian}] \geq \mathbb{P}[H \text{ is Hamiltonian} | H \text{ is planar}]$ 

H is a random subgraph of a fixed  $\,G=(V,E)\,$ 

Why works: *planarity* is closed down, *Hamiltonicity* is closed up,

so they have *negative correlation*.

Kleitman's inequality generalizes to

- the FKG inequality (Fortuin—Kasteleyn–Ginibre, 1971)
- the *four functions inequality* (Ahlswede–Daykin, 1978)

# **Matching numbers**

Log-concavity of the matching numbers:  $m_k(G)^2 \ge m_{k+1}(G)m_{k-1}(G)$   $m_k(G) := \# k$ -matchings in G = (V, E)[Heilmann–Lieb, 1972] (interlacing of eigenvalues) [Krattenthaler, 1996] (injective proof)

### Theory of monomer-dimer systems

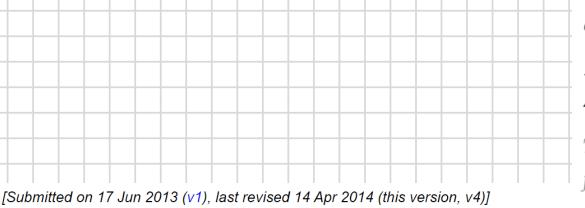
OJ Heilmann, EH Lieb - Statistical Mechanics, 1972 - Springer

We investigate the general monomer-dimer partition function, P(x), which is a polynomial in the monomer activity, x, with coefficients depending on the dimer activities. Our main result is ...  $\therefore$  Save  $\mathfrak{D}$  Cite Cited by 752 Related articles All 14 versions

# **Matching numbers**

Log-concavity of the matching numbers:  $m_k(G)^2 \ge m_{k+1}(G)m_{k-1}(G)$   $m_k(G) := \# k$ -matchings in G = (V, E)[Heilmann–Lieb, 1972] (interlacing of eigenvalues) [Krattenthaler, 1996] (injective proof)

MATHEMATICS



Interlacing Families II: Mixed Characteristic Polynomials and the Kadison-Singer Problem

Adam Marcus, Daniel A Spielman, Nikhil Srivastava

# 'Outsiders' Crack 50-Year-Old Math Problem

Three computer scientists have solved a problem central to a dozen farflung mathematical fields.

# **Matching numbers**

Log-concavity of the *matching numbers*:  $m_k(G)^2 \ge m_{k+1}(G)m_{k-1}(G)$  $m_k(G) := \#$  k-matchings in G = (V, E)[Heilmann–Lieb, 1972] (*interlacing of eigenvalues*) [Krattenthaler, 1996] (*injective proof*)

## **Forest numbers**

Log-concavity of the *forest numbers*:  $f_k(G)^2 \ge f_{k+1}(G) f_{k-1}(G)$   $f_k(G) := \#$  spanning k-forests in G = (V, E)Conjectured: [Mason, 1972], [Welsh, 1976] [Adiprasito-Huh-Katz, 2018] (Hodge theory) [Brändén-Huh, 2020], [Anari et. al, 2018] (Lorentzian polynomials) [Chan-P., 2021] (linear algebra)

# Adiprasito, Huh and Katz announce a proof of Rota's log-concavity conjecture

SATURDAY, AUGUST 15, 2015

## **Forest numbers**

Log-concavity of the *forest numbers*:  $f_k(G)^2 \ge f_{k+1}(G) f_{k-1}(G)^2$ 

 $f_k(G) := \#$  spanning k-forests in G = (V, E)

#### **Problem 25.** Are the sequences below unimodal or log-concave?

- (a) The absolute value of the coefficients of the chromatic polynomial of a graph, or more generally, the characteristic polynomial of a matroid.
- (b) The number of *i*-edge spanning forests of a graph, or more generally, the number of *i*-element independent sets of a matroid.

Our own feeling is that these questions have negative answers, but that the counterexamples will be huge and difficult to construct.

#### Positivity Problems and Conjectures in Algebraic Combinatorics

<sup>24</sup> September 1999

Richard P. Stanley<sup>1</sup>

## **Motivation**

- Better proofs give better results. Algebraic proofs can be so rigid as not allow extensions, deformations (q-analogues), etc.
- **2.** Better proofs give combinatorial interpretations.
  - $f \ge g$  and  $f, g \in \# \mathbf{P}$ . Question: Is  $f g \in \# \mathbf{P}$ ?

#### **Open Problem:**

```
Find a combinatorial interpretation for \rho_k(G) := f_k(G)^2 - f_{k+1}(G)f_{k-1}(G)
```

More precisely, is  $\rho_k(G) \in \#P$ ?

**Krattenthaler's proof**  $\implies$   $m_k(G)^2 - m_{k+1}(G)m_{k-1}(G) \in \#P$ 

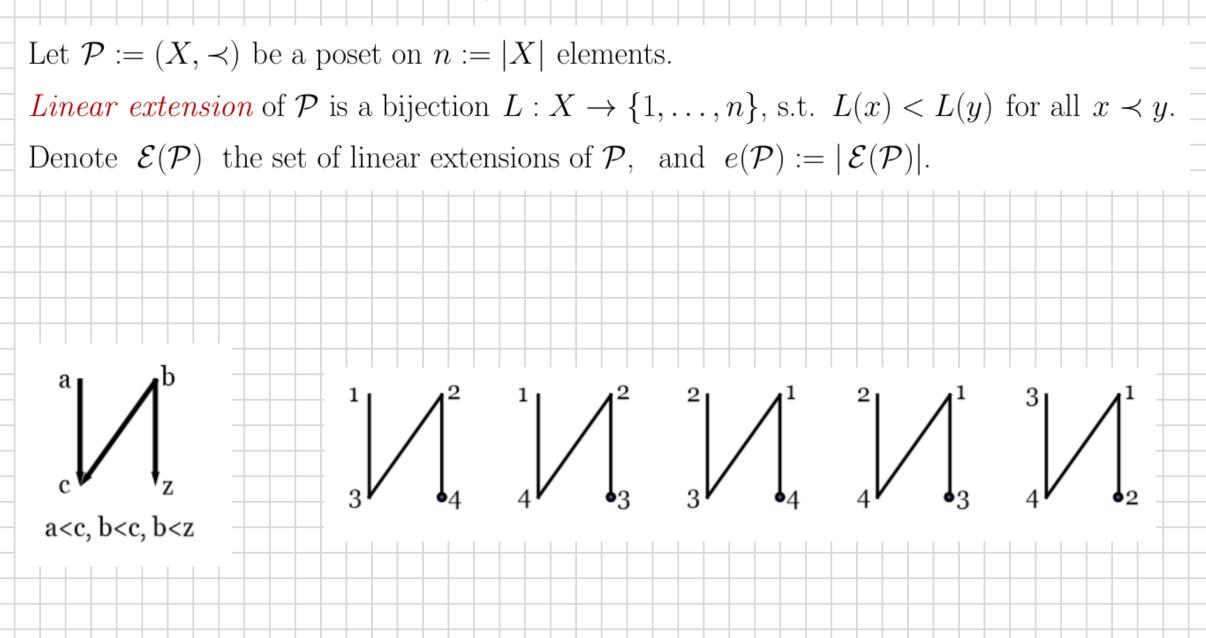
**Note:** Computing  $m_k(G)$  and  $f_k(G)$  is #P-complete.

[Submitted on 27 Apr 2022]

What is in #P and what is not?

Christian Ikenmeyer, Igor Pak

## Linear extensions of posets



## Linear extensions of posets

Let  $\mathcal{P} := (X, \prec)$  be a poset on n := |X| elements.

*Linear extension* of  $\mathcal{P}$  is a bijection  $L: X \to \{1, \ldots, n\}$ , s.t. L(x) < L(y) for all  $x \prec y$ .

Denote  $\mathcal{E}(\mathcal{P})$  the set of linear extensions of  $\mathcal{P}$ , and  $e(\mathcal{P}) := |\mathcal{E}(\mathcal{P})|$ .

Theorem [Björner–Wachs, 1989]

$$e(P) \prod_{x \in X} b(x) \ge n!$$

where  $B(x) := \{y \in X : y \succcurlyeq x\}$  and b(x) := |B(x)|.

**Note:** original proof shows this inequality is in #P.

## Linear extensions of posets

#### **XYZ inequality** [Shepp, 1982]

Let  $\mathcal{P} = (X, \prec)$  be a finite poset,  $x, y, z \in X$  incomparable elements, and  $\mathcal{P}_{xy} := \mathcal{P} \cup \{x \prec y\}, \quad \mathcal{P}_{xz} := \mathcal{P} \cup \{x \prec z\}, \quad \mathcal{P}_{xyz} := \mathcal{P} \cup \{x \prec y, x \prec z\}$ Then:  $e(P) e(P_{xyz}) \geq e(P_{xy}) e(P_{xz})$ Equivalently,  $\mathbb{P}[L(x) < L(y) \mid L(x) < L(z)] \geq \mathbb{P}[L(x) < L(y)]$ **Open Problem:** Is XYZ inequality in #P? **Note:** The original proof uses the *FKG inequality* and a limit argument.

# arXiv.org > math > arXiv:2110.10740 Mathematics > Combinatorics [Submitted on 20 Oct 2021] Log-concave poset inequalities Swee Hong Chan, Igor Pak Comments: 71 pages, 4 figures [Submitted on 3 Mar 2022] Introduction to the combinatorial atlas

Swee Hong Chan, Igor Pak

- Introduction
  - 1.1. Foreword
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  - 1.4. More matroids
  - 1.5. Weighted matroid inequalities
  - 1.6. Equality conditions for matroids
  - 1.7. Examples of matroids
  - 1.8. Morphism of matroids
  - 1.9. Equality conditions for morphisms of matroids
  - 1.10. Discrete polymatroids
  - 1.11. Equality conditions for polymatroids
  - 1.12. Poset antimatroids
  - 1.13. Equality conditions for poset antimatroids
  - 1.14. Interval greedoids
  - 1.15. Equality conditions for interval greedoids
  - 1.16. Linear extensions
  - 1.17. Two permutation posets examples
  - 1.18. Equality conditions for linear extensions
  - 1.19. Summary of results and implications
  - 1.20. Proof ideas
  - 1.21. Discussion
  - 1.22. Paper structure

## **Stanley's inequality**

Let  $\mathcal{P} := (X, \prec)$  be a poset on n := |X| elements. Fix  $z \in X$ . A *linear extension* of  $\mathcal{P}$  is a bijection  $L: X \to \{1, \ldots, n\}$ , such that L(x) < L(y) for all  $x \prec y$ . Denote by  $\mathcal{E} := \mathcal{E}(P)$  the set of linear extensions of  $\mathcal{P}$ . Let  $\mathcal{E}_k := \{ L \in \mathcal{E} : L(z) = k \}, \quad N(k) := |\mathcal{E}_k|.$ **Theorem** [Stanley, 1981]:  $N(k)^2 \ge N(k-1)N(k+1)$  for all 1 < k < n. a<c, b<c, b<z N(2) = 1, N(3) = 2, N(4) = 2

# Weighted Stanley inequality

Let  $\omega: X \to \mathbb{R}_{>0}$  be *weight function* on X. We say that  $\omega$  is *order-reversing* if:  $x \preccurlyeq y \Rightarrow \omega(x) \ge \omega(y).$ Fix  $z \in X$ . Define  $\omega : \mathcal{E} \to \mathbb{R}_{>0}$  by  $\omega(L) := \qquad \qquad \omega(x),$ x: L(x) < L(z)and  $N_{\omega}(k) := \sum \omega(L), \text{ for all } 1 \le k \le n.$  $L \in \mathcal{E}_{L}$ **Theorem** [Chan–P.'21]:  $N_{\omega}(k)^2 \geq N_{\omega}(k-1)N_{\omega}(k+1)$  for all 1 < k < n. Our proof uses a completely novel technology of *combinatorial atlas*. Note:

## **Alexandrov-Fenchel inequalities**

**Theorem** [Alexandrov'37, Fenchel'36]  $K_1, \ldots, K_n \subset \mathbb{R}^n$  convex polytopes. Define:

$$V(K_1,\ldots,K_n) := [\lambda_1\cdots\lambda_n] \operatorname{vol}(\lambda_1K_1+\ldots+\lambda_nK_n)$$

Then:

$$V(K_1, K_2, K_3, \dots, K_n)^2 \geq V(K_1, K_1, K_3, \dots, K_n) V(K_2, K_2, K_3, \dots, K_n)$$

**Corollary:** Sequence  $\{V_k\}$  is log-concave, where  $V_k := V(P, \ldots, P, Q, \ldots, Q)$ for every  $P, Q \subset \mathbb{R}^n$  convex polytopes.

> The van der Waerden Conjecture: Two Proofs in One Year

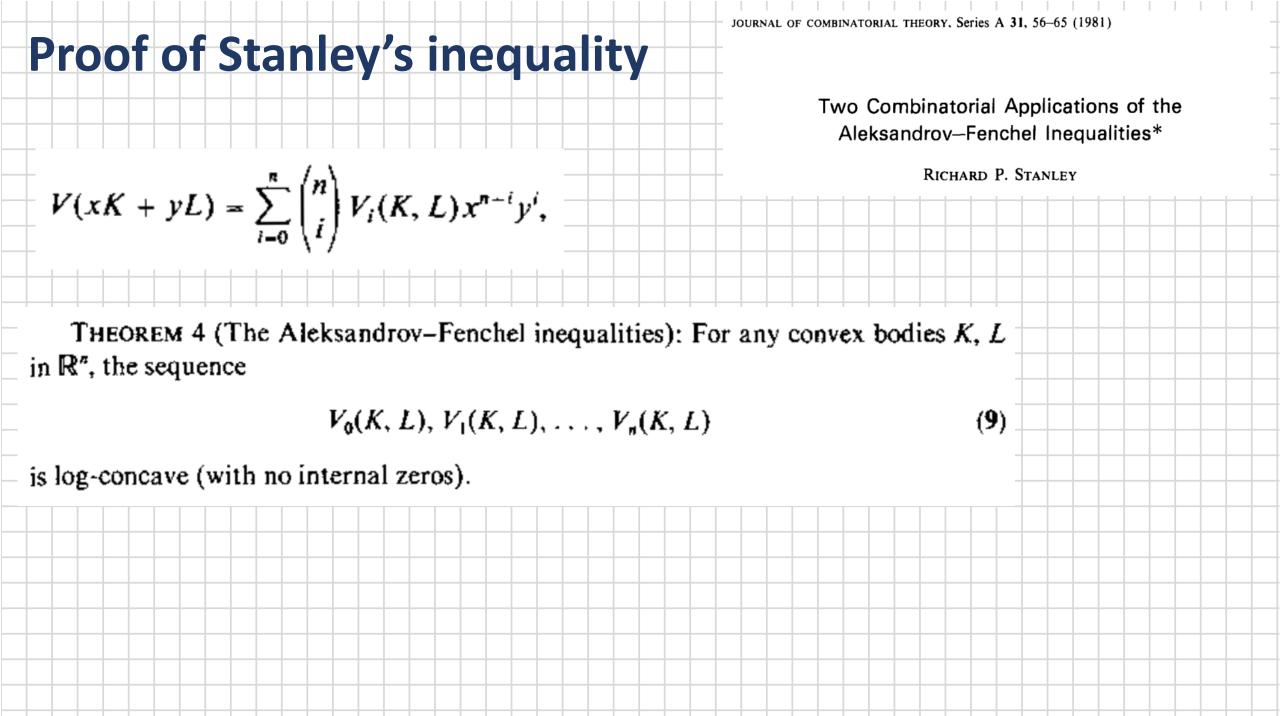
> > (1980)

J. H. van Lint

**Note:** AF is super powerful! For example, for *boxes*  $K_i = [a_{i1} \times \ldots \times a_{in}]$  we have:

 $V(K_1,\ldots,K_n) = \operatorname{Per}(A), \text{ where } A = (a_{ij})_{1 \le i,j \le n}$ 

Now AF implies identity for the permanents which in turn easily implies Van der Waerden Conjecture



# **Proof of Stanley's inequality**

Log-Concave and Unimodal Sequences in Algebra, Combinatorics, and Geometry<sup>a</sup>

RICHARD P. STANLEY

 $\label{eq:constraint} \textbf{Theorem} \hspace{0.2cm} [\text{Stanley, 1981}] \colon \hspace{0.2cm} \mathbf{N}(k)^2 \hspace{0.2cm} \geq \hspace{0.2cm} \mathbf{N}(k-1) \, \mathbf{N}(k+1) \hspace{0.2cm} \text{for all} \hspace{0.2cm} 1 < k < n.$ 

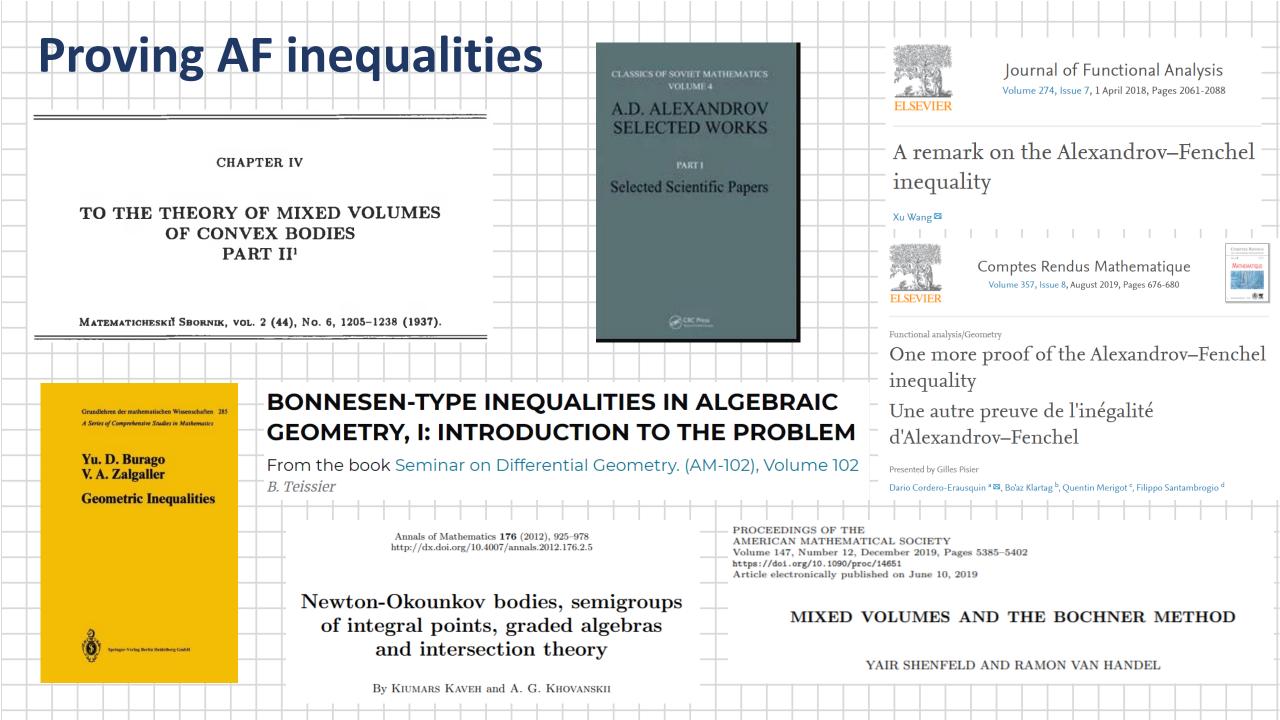
Sketch of proof: Let  $P = \{v_1, \ldots, v_{n-1}, v\}$ . Let K be the set of all points  $(t_1, \ldots, t_{n-1}) \in \mathbb{R}^{n-1}$  satisfying:

- (a)  $0 \le t_i \le 1$ , (b) if  $v_i \le v_j$  in *P*, then  $t_i \le t_j$ ,
- (c) if  $v_i < v$ , then  $t_i = 0$ .

Similarly define  $L \subset \mathbb{R}^{n-1}$  by (a), (b), and:

```
(c') if v_i > v, then t_i = 1.
```

Then K and L are convex polytopes. By an explicit decomposition of xK + yL into products of simplices, it can be computed that  $V_i(K, L) = N_{i+1}/(n-1)!$ . The proof follows from Theorem 4.  $\Box$ 



# Does an elementary proof of AF inequality give an elementary proof of Stanley's inequality?

PROCEEDINGS OF THE AMERICAN MATHEMATICAL SOCIETY Volume 147, Number 12, December 2019, Pages 5385-5402 https://doi.org/10.1090/proc/14651 Article electronically published on June 10, 2019

#### MIXED VOLUMES AND THE BOCHNER METHOD

YAIR SHENFELD AND RAMON VAN HANDEL

### **Answer:** Yes. This is what we did!

## Along the way we introduces new linear algebraic setting

which proved useful for other log-concave inequalities.

## How to start:

**Definition:**  $d \times d$  symmetric real **M** is *hyperbolic*:  $\left(\begin{array}{cc}a_{i+1}&a_i\\a_i&a_{i-1}\end{array}\right)$ (Hyp)  $\langle \mathbf{v}, \mathbf{M} \mathbf{w} \rangle^2 \geq \langle \mathbf{v}, \mathbf{M} \mathbf{v} \rangle \langle \mathbf{w}, \mathbf{M} \mathbf{w} \rangle$  for every  $\mathbf{v}, \mathbf{w} \in \mathbb{R}^d$ , such that  $\langle \mathbf{w}, \mathbf{M} \mathbf{w} \rangle > 0$ . has non-positive determinant, **Lemma:** (Hyp)  $\Leftrightarrow$  **M** has at most one positive eigenvalue. ----(counting multiplicity) **Note:** (Hyp) is used to imply log-concavity, it is established by an elaborate induction, (OPE) is used to establish (Hyp) in base cases.

## How the induction works

#### Atlas $\mathbb{A}$ construction:

Acyclic digraph  $\Gamma := (\Omega, \Theta), d := 2(n-1)$ , and

symmetric (nonnegative)  $d \times d$  matrix  $\mathbf{M}_v$  for every  $v \in \Omega$ , nonnegative vector  $\mathbf{h}_v \in \mathbb{R}^d$  for every  $v \in \Omega$ ,

map  $\mathbf{T}: \mathbb{R}^d \to \mathbb{R}^d$  for every edge  $(v, w) \in \Theta$ .

**Theorem 5.2** (local-global principle). Let  $\mathbb{A}$  be a combinatorial atlas that satisfies properties (Inh) and (Pull), and let  $v \in \Omega^+$  be a non-sink regular vertex of  $\Gamma$ . Suppose every out-neighbor of v is hyperbolic. Then v is also hyperbolic.

In the base cases, (Hyp) is proved by direct calculation in all posets on 3 elements. Conditions on  $\omega$  are exactly those which work for the base cases, and cannot be improved for general posets.

# What works for Stanley's inequality

$$\begin{array}{l} v = (\alpha, \beta, k, t) \in \Omega, \quad \mathbf{h}_{v} \in \mathbb{R}^{d} \text{ defined to have coordinates} \quad \mathbf{T}^{(x)} : \mathbb{R}^{d} \to \mathbb{R}^{d} \text{ associated to the edge } (v, v^{(x)}) \\ \mathbf{h}_{x} := \begin{cases} t & \text{if } x \in Z_{\text{down}}, \\ 1-t & \text{if } x \in Z_{\text{up}}. \end{cases} \\ \mathbf{M}_{v} := t \mathbf{C}(\alpha, \beta, k+1) + (1-t) \mathbf{C}(\alpha, \beta, k). \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} \omega(\alpha) & \text{for } \alpha \in \mathcal{E}, \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}_{\alpha,\beta}(\gamma) := \mathbf{q}^{(\alpha\gamma\beta)} \\ \mathbf{q}^{(\alpha)} := \begin{cases} \omega(\alpha) & \text{for } \alpha \in \mathcal{E}, \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}_{\alpha,\beta}(A) := \sum_{\gamma \in A} \mathbf{q}^{(\alpha\gamma\beta)} \\ \mathbf{q}^{(\alpha\beta)} := \sum_{\gamma \in A} \mathbf{q}^{(\alpha\beta)} \\ \mathbf{q}^{(\alpha\beta)} := \sum_{\gamma$$

## **Observations on the proof**

1) Stanley's inequality corresponds to t=0 case.

2) This limit is mild enough to allow reversing the graph and obtaining the equality conditions.

3) For general AF inequalities for general convex polytopes, the SvH proof works by induction on the dimension for combinatorially equivalent polytopes with equal normals. There is no way to avoid taking nontrivial limits in this case.

4) The proof of Stanley's inequality is *substantially harder* than the proofs of *Mason inequalities* and their refined versions, including their equality conditions which uses the same setup of combinatorial atlas, but much simpler matrix construction and case by case analysis.

## Further applications: correlation inequality

Theorem [Fishburn'84, Chan-P.'22]

Let  $P = (X, \prec)$  be a poset with  $x, y \in \min(X)$  distinct minimal elements. Then:

$$\frac{n}{n-1} \ \leq \ \frac{e(P) \cdot e(P-x-y)}{e(P-x) \cdot e(P-y)} \ \leq \ 2$$

#### Notes:

(0) This is a correlation inequality:

$$\frac{n}{n-1} \leq \frac{\mathbf{P}[L(x) = 1, L(y) = 2]}{\mathbf{P}[L(x) = 1] \cdot \mathbf{P}[L(y) = 1]} \leq 2$$

(1) The LHS is tight for  $P = A_n$ . Fishburn's proof use the FKG inequality.

(2) The RHS is tight for  $A_n \oplus C_{n-2}$ . Our proof uses the combinatorial atlas.





