



Log-concave poset inequalities

Based on joint work with Swee Hong Chan





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Mathematics > Combinatorics

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Log-concave poset inequalities

Swee Hong Chan, Igor Pak

Comments: 71 pages, 4 figures

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Stanley's inequality

Let $\mathcal{P} := (X, \prec)$ be a poset on n := |X| elements. Fix $z \in X$. A *linear extension* of \mathcal{P} is a bijection $L: X \to \{1, \ldots, n\}$, such that L(x) < L(y) for all $x \prec y$. Denote by $\mathcal{E} := \mathcal{E}(P)$ the set of linear extensions of \mathcal{P} . Let $\mathcal{E}_k := \{ L \in \mathcal{E} : L(z) = k \}, \quad N(k) := |\mathcal{E}_k|.$ **Theorem** [Stanley, 1981]: $N(k)^2 \ge N(k-1)N(k+1)$ for all 1 < k < n. a<c, b<c, b<z N(2) = 1, N(3) = 2, N(4) = 2

Weighted Stanley inequality

Let $\omega: X \to \mathbb{R}_{>0}$ be *weight function* on X. We say that ω is *order-reversing* if: $x \preccurlyeq y \Rightarrow \omega(x) \ge \omega(y).$ Fix $z \in X$. Define $\omega : \mathcal{E} \to \mathbb{R}_{>0}$ by $\omega(L) := \qquad \qquad \omega(x),$ x: L(x) < L(z)and $N_{\omega}(k) := \sum \omega(L), \text{ for all } 1 \le k \le n.$ $L \in \mathcal{E}_{L}$ **Theorem** [Chan–P.'21]: $N_{\omega}(k)^2 \geq N_{\omega}(k-1)N_{\omega}(k+1)$ for all 1 < k < n. Our proof uses a completely novel technology of *combinatorial atlas*. Note:

Example: Bruhat orders



Example: Bruhat orders

Let $\sigma \in S_n$. Define the *permutation poset* $\mathcal{P}_{\sigma} = ([n], \prec), [n] = \{1, \ldots, n\}$ by: 0 $0 \ 0 \ 1 \ 0$ 0 $i \preccurlyeq j \iff i \le j \text{ and } \sigma(i) \le \sigma(j).$ 1 $0 \quad 0$ 0 0 Then $\mathcal{E}(\mathcal{P}_{\sigma}) \subseteq S_n$ is the lower ideal of σ in the (weak) *Bruhat order* $\mathcal{B}_n = (S_n, \triangleleft)$. 0 0 0 0 1 0 0 0 0 Fix $z \in [n]$. Then $N(k) = |\{\nu \in S_n : \nu(z) = k, \nu \leq \sigma\}|$. Stanley's inequality: $N(k)^2 \ge N(k-1)N(k+1)$ Fix 0 < q < 1, and let $\omega(i) := q^i$, so ω is order-reversing. Then: $\omega(\nu) = q^{\beta(\nu)}$, where $\beta(\nu) := \sum_{i=1}^{\infty} i \cdot \chi(k - \nu(i)) \quad \text{and} \quad \chi(t) := \begin{cases} 1 & \text{if } t > 0 \\ 0 & \text{if } t \le 0 \end{cases}$ Weighted Stanley inequality: $N_{\omega}(k)^2 \geq N_{\omega}(k-1)N_{\omega}(k+1)$, where $N_{\omega}(k) = \sum q^{\beta(\nu)}.$ $\nu \in S_n : \nu \trianglelefteq \sigma, \nu(z) = k$

Proof of Stanley's inequality

$$V(xK + yL) = \sum_{i=0}^{n} \binom{n}{i} V_i(K, L) x^{n-i} y^i,$$

THEOREM 4 (The Aleksandrov-Fenchel inequalities): For any convex bodies K, L in \mathbb{R}^n , the sequence

 $V_0(K, L), V_1(K, L), \ldots, V_n(K, L)$

is log-concave (with no internal zeros).

Sketch of proof: Let $P = \{v_1, \ldots, v_{n-1}, v\}$. Let K be the set of all points $(t_1, \ldots, t_{n-1}) \in \mathbb{R}^{n-1}$ satisfying:

(a) $0 \le t_i \le 1$, (b) if $v_i \le v_j$ in P, then $t_i \le t_j$,

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(c) if v_l < v, then t_l = 0.
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Similarly define $L \subset \mathbb{R}^{n-1}$ by (a), (b), and:

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(c') if v_i > v, then t_i = 1.
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Then K and L are convex polytopes. By an explicit decomposition of xK + yL into products of simplices, it can be computed that $V_i(K, L) = N_{i+1}/(n-1)!$. The proof follows from Theorem 4. \Box

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(9)

Two Combinatorial Applications of the Aleksandrov–Fenchel Inequalities*

RICHARD P. STANLEY

Log-Concave and Unimodal Sequences in Algebra, Combinatorics, and Geometry^a



Alexandrov-Fenchel inequalities

Theorem [Alexandrov'37, Fenchel'36] $K_1, \ldots, K_n \subset \mathbb{R}^n$ convex polytopes. Define:

$$V(K_1,\ldots,K_n) := [\lambda_1\cdots\lambda_n] \operatorname{vol}(\lambda_1K_1+\ldots+\lambda_1K_n)$$

Then:

$$V(K_1, K_2, K_3, \dots, K_n)^2 \geq V(K_1, K_1, K_3, \dots, K_n) V(K_2, K_2, K_3, \dots, K_n)$$

 Corollary:
 Sequence $\{a_k\}$ is log-concave, where $a_k := V(\underbrace{P, \ldots, P}, \underbrace{Q, \ldots, Q})$

 for every $P, Q \subset \mathbb{R}^n$ convex polytopes.
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Note: AF is super powerful! For example, for *boxes* $K_i = [a_{i1} \times \ldots \times a_{in}]$ we have:

$$V(K_1, \ldots, K_n) = \operatorname{Per}(A), \text{ where } A = (a_{ij})_{1 \le i,j \le n}$$

Now AF implies identity for the permanents which in turn easily implies Van der Waerden Conjecture

Equality conditions

The equality conditions for AF inequalities is a well known open problem. For convex polytopes, it was resolved by Shenfeld and van Handel (2020). In the special case of *order polytopes* in Stanley's proof, they obtained: **Theorem:** [Shenfeld – van Handel'20] Suppose N(k) > 0. TFAE: (a) $N(k)^2 = N(k-1) \cdot N(k+1)$, (b) N(k+1) = N(k) = N(k-1), (c) we have f(x) > k for all $x \succ z$, and g(x) > n - k + 1 for all $x \prec z$, where $f(x) := |\{y \in X : y \prec x\}|$ and $g(x) := |\{y \in X : y \succ x\}|$ are sizes of lower and upper ideals of x, excluding x.

arXiv.org > math > arXiv:2011.04059

Mathematics > Metric Geometry

[Submitted on 8 Nov 2020]

The Extremals of the Alexandrov-Fenchel Inequality for Convex Polytopes

Yair Shenfeld, Ramon van Handel

Comments: 82 pages, 4 figures

Equality conditions

Theorem: [Shenfeld – van Handel'20] Suppose N(k) > 0. TFAE: (a) $N(k)^2 = N(k-1) \cdot N(k+1)$, (b) N(k+1) = N(k) = N(k-1), (c) we have f(x) > k for all $x \succ z$, and g(x) > n - k + 1 for all $x \prec z$, where $f(x) := |\{y \in X : y \prec x\}|$ and $g(x) := |\{y \in X : y \succ x\}|$ are sizes of lower and upper ideals of x, excluding x. **Theorem** [Chan–P.'21]: Suppose that $N_{\omega}(k) > 0$. TFAE: (a) $N_{\omega}(k)^2 = N_{\omega}(k-1) \cdot N_{\omega}(k+1),$ (b) there exists s = s(k, z) > 0, s.t. $N_{\omega}(k+1) = sN_{\omega}(k) = s^2N_{\omega}(k-1),$ (c) there exists s = s(k, z) > 0, s.t. f(x) > k for all $x \succ z$, g(x) > n - k + 1 for all $x \prec z$, and $\omega(L^{-1}(k-1)) = \omega(L^{-1}(k+1)) = s, \text{ for all } L \in \mathcal{E}_k.$ Our proof again uses *combinatorial atlas* and avoids geometry altogether. Note:

How we came to study Stanley's inequality

My Favorite Open Problem: Let $f(\mathcal{P}, k) := N(k)^2 - N(k-1) \cdot N(k+1)$. By definition, $f \in \#P - \#P$. By Stanley's inequality, $f \ge 0$. Does $f \in \#P$? In other words, does $f(\mathcal{P}, k)$ count *any* combinatorial objects?

To answer this, we needed need a new combinatorial proof.

Long story short: we found a new combinatorial proof. But the Open Problem remains unresolved.

Note: The problem is no simpler for $g(G, k) := F(k)^2 - F(k-1) \cdot F(k+1)$, where F(k) is the number of k-forests in graph G. **Note:** Computing N(k) and F(k) is #P-complete.



Does an elementary proof of AF inequality give an elementary proof of Stanley's inequality?

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MIXED VOLUMES AND THE BOCHNER METHOD

Answer: Yes. This is what we did!

YAIR SHENFELD AND RAMON VAN HANDEL

Along the way we introduces new linear algebraic setting which proved useful for other log-concave inequalities.

Note: Ironically, [SvH'20] doesn't actually use [SvH'19]. Our proof uses ideas from [SvH'19] to obtain re-rederive and generalize equality conditions for Stanley's inequality in [SvH'20]

"While we originally developed the Bochner method in the hope that it would shed light on AF equality cases, this was a complete failure. It turns out the Bochner method says nothing new about AF equality." – Ramon van Handel (Oct 15, 2021)

How to start:

Definition: $d \times d$ symmetric real **M** is *hyperbolic*: (Hyp) $\langle \mathbf{v}, \mathbf{M} \mathbf{w} \rangle^2 \geq \langle \mathbf{v}, \mathbf{M} \mathbf{v} \rangle \langle \mathbf{w}, \mathbf{M} \mathbf{w} \rangle$ for every $\mathbf{v}, \mathbf{w} \in \mathbb{R}^d$, such that $\langle \mathbf{w}, \mathbf{M} \mathbf{w} \rangle > 0$.

Lemma: (Hyp) \Leftrightarrow **M** has at most one positive eigenvalue. (counting multiplicity)

Note: (Hyp) is used to imply log-concavity,it is established by an elaborate induction,(OPE) is used to establish (Hyp) in base cases.

Interview with Karim Adiprasito Toufik Mansour

The idea is quite simple: log-concavity of sequences a_i can be restated as saying that a certain matrix, the matrix

 $\left(\begin{array}{cc}a_{i+1}&a_i\\a_i&a_{i-1}\end{array}\right)$

has non-positive determinant, or equivalently, it cannot be definite. To prove that, one needs to establish that the matrix arises as a bilinear form that has a geometric meaning, in our case, the Hodge-Riemann relations. Proving them is the major feat of our joint work, as we had to reprove a classical algebraic geometry result in a much larger generality than previously known. The limits of the latter are the most interesting to me and remain to be explored.

How the induction works

Atlas \mathbb{A} construction:

Acyclic digraph $\Gamma := (\Omega, \Theta), d := 2(n-1)$, and

symmetric (nonnegative) $d \times d$ matrix \mathbf{M}_v for every $v \in \Omega$, nonnegative vector $\mathbf{h}_v \in \mathbb{R}^d$ for every $v \in \Omega$,

map $\mathbf{T}: \mathbb{R}^d \to \mathbb{R}^d$ for every edge $(v, w) \in \Theta$.

Theorem 5.2 (local-global principle). Let \mathbb{A} be a combinatorial atlas that satisfies properties (Inh) and (Pull), and let $v \in \Omega^+$ be a non-sink regular vertex of Γ . Suppose every out-neighbor of v is hyperbolic. Then v is also hyperbolic.

In the base cases, (Hyp) is proved by direct calculation in all posets on 3 elements. Conditions on ω are exactly those which work for the base cases, and cannot be improved for general posets.

What works for Stanley's inequality

$$\begin{array}{c} v = (\alpha, \beta, k, t) \in \Omega, \qquad \mathbf{h}_{v} \in \mathbb{R}^{d} \text{ defined to have coordinates} \qquad \mathbf{T}^{(x)} : \mathbb{R}^{d} \to \mathbb{R}^{d} \text{ associated to the edge } (v, v^{(x)}) \\ \mathbf{h}_{x} := \begin{cases} t & \text{if } x \in Z_{\text{down}}, \\ 1-t & \text{if } x \in Z_{\text{up}}. \end{cases} \\ \mathbf{M}_{v} := t \mathbf{C}(\alpha, \beta, k+1) + (1-t) \mathbf{C}(\alpha, \beta, k). \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} \omega(\alpha) & \text{for } \alpha \in \mathcal{E}, \\ q_{\alpha,\beta}(\gamma) := q(\alpha\gamma\beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} \omega(\alpha) & \text{for } \alpha \in \mathcal{E}, \\ q_{\alpha,\beta}(\gamma) := q(\alpha\gamma\beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0 & \text{otherwise.} \end{cases} \\ \mathbf{q}^{(\alpha)} := \begin{cases} u^{(\alpha)} \otimes (\alpha, \beta) \\ 0$$

Observations on the proof

1) Stanley's inequality corresponds to t=0 case.

2) This limit is mild enough to allow reversing the graph and obtaining the equality conditions.

3) For general AF inequalities for general convex polytopes, the SvH proof works by induction on the dimension for combinatorially equivalent polytopes with equal normals. There is no way to avoid taking nontrivial limits in this case.

 4) The proof of Stanley's inequality is *substantially harder* than the proofs of *Mason inequalities* and their refined versions, including their equality conditions
 Which uses the same setup of combinatorial atlas, but much simpler matrix
 Construction and case by case analysis.

Mason inequalities

(1.1)

K. Adiprasito, J. Huh and E. Katz,

Theorem 1.1 (Log-concavity for matroids, [AHK18, Thm 9.9 (3)], formerly Welsh-Mason conjecture). For a matroid $\mathcal{M} = (X, \mathcal{I})$ and integer $1 \leq k < \operatorname{rk}(\mathcal{M})$, we have:

$$\mathbf{I}(k)^2 \ge \mathbf{I}(k-1) \cdot \mathbf{I}(k+1)$$

Here $\mathcal{I}_k := \{ S \in \mathcal{I}, |S| = k \}$, are *independent sets* in \mathcal{M} of size k, $I(k) = |\mathcal{I}_k|, 0 \le k \le \operatorname{rk}(\mathcal{M})$.

Theorem 1.2 (One-sided ultra-log-concavity for matroids, [HSW21, Cor. 9], formerly weak Mason conjecture). For a matroid $\mathcal{M} = (X, \mathcal{I})$ and integer $1 \leq k < \operatorname{rk}(\mathcal{M})$, we have:

(1.2)
$$I(k)^2 \ge \left(1 + \frac{1}{k}\right) I(k-1) I(k+1).$$

J. Huh, B. Schröter and B. Wang

Theorem 1.3 (Ultra-log-concavity for matroids, [ALOV18, Thm 1.2] and [BH20, Thm 4.14], formerly strong Mason conjecture). For a matroid $\mathcal{M} = (X, \mathcal{I})$, |X| = n, and integer $1 \leq k < \operatorname{rk}(\mathcal{M})$, we have:

(1.3)
$$I(k)^2 \ge \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I(k-1) I(k+1).$$

N. Anari, K. Liu, S. Oveis Gharan and C. Vinzant P. Brändén and J. Huh

Refined Mason inequalities

For an independent set $S \in \mathcal{I}$ of a matroid $\mathcal{M} = (X, \mathcal{I})$, denote by

 $\operatorname{Cont}(S) := \left\{ x \in X \setminus S : S + x \in \mathcal{I} \right\}$

the set of *continuations* of S.

Let $x \sim_S y, x, y \in \text{Cont}(S)$, when $S + x + y \notin \mathcal{I}$. Note that " \sim_S " is an equivalence relation.

We call an equivalence class of the relation \sim_S a *parallel class* of S.

Denote by $\operatorname{Par}(S)$ the set of parallel classes of S. Define:

 $p(k) := \max\{ |\operatorname{Par}(S)| : S \in \mathcal{I}_k \}.$ Clearly, $p(k) \le n - k$.

Theorem 1.4 (Refined log-concavity for matroids). For a matroid $\mathcal{M} = (X, \mathcal{I})$ and integer $1 \leq k < \operatorname{rk}(\mathcal{M})$, we have:

(1.6)
$$I(k)^2 \ge \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{p(k-1)-1}\right) I(k-1) I(k+1).$$

Example: graphical matroid

Let G = (V, E) be a connected graph on |V| = N vertices. Let k = N - 2. Observe that $p(N - 3) \leq 3$ since T - e - e' can have at most three connected components, for every spanning tree T in G and edges $e, e' \in E$. Then: $\frac{I(N-2)^2}{I(N-3) \cdot I(N-1)} \geq \frac{3}{2} \left(1 + \frac{1}{N-2} \right)$ Refined Mason inequality [Chan-P.] $\frac{I(N-2)^2}{I(N-3) \cdot I(n-1)} \ge_{(1.3)} \left(1 + \frac{1}{|E| - N + 2}\right) \left(1 + \frac{1}{N-2}\right)$ Strong Mason inequality **Note:** The refined inequality is sharp and holds if and only if G is a cycle.

Equality conditions

Theorem 1.8 (Equality for matroids, [MNY21, Cor. 1.2]). Let $\mathcal{M} = (X, \mathcal{I})$ be a matroid on |X| = n elements, and let $1 \leq k < \operatorname{rk}(\mathcal{M})$. Then:

(1.9)
$$I(k)^{2} = \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I(k-1) I(k+1)$$

 $\underbrace{if \ and \ only \ if}_{\text{Binder}} \quad \text{girth}(\mathcal{M}) > (k+1).$ S. Murai, T. Nagaoka and A. Yazawa The sense 1.10 (Defined equality for metric). Let $\mathcal{M} = (X, \mathcal{T})$ be constraided by $f \in \mathbb{R}$.

Theorem 1.10 (Refined equality for matroids). Let $\mathcal{M} = (X, \mathcal{I})$ be a matroid, $1 \leq k < \operatorname{rk}(\mathcal{M})$, and let $\omega : X \to \mathbb{R}_{>0}$ be a weight function. Then:

(1.11)
$$I_{\omega}(k)^{2} = \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{p(k-1)-1}\right) I_{\omega}(k-1) I_{\omega}(k+1)$$

<u>if and only if</u> there exists s(k-1) > 0, such that for every $S \in \mathcal{I}_{k-1}$ we have:

(ME1)
$$\left| \operatorname{Par}(S) \right| = p(k-1), \quad and$$

(ME2) $\sum_{x \in \mathcal{C}} \omega(x) = s(k-1) \quad for \; every \; \mathcal{C} \in \operatorname{Par}(S).$

[Chan-P.'21]

Thank you!



