

L 28
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Deciding finiteness

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Problem: $G = GL(k, \mathbb{Z})$, $H = \langle S \rangle$, $S = \{S_1, \dots, S_k\} \subset G$
Is H - infinite or finite?

Th [Babai - Beals - Rockmore, 1993]

Finiteness problem is in ZPP

Proof idea: construct two algorithms

Alg1: Probabilistic (Monte Carlo) testing in expected
poly time s.t. Yes \leftarrow True
No \leftarrow w/ prob $> 1 - \epsilon$

Alg2: Same but
Yes \leftarrow w/ prob $> 1 - \epsilon$
No \leftarrow True

Now: Run Alg1 & Alg2 in parallel.  ①

Norms of matrices

Th1 [Minkowski, Feit] ^{Burnside+}

$H \in GL(n, \mathbb{Z})$ finite $\Rightarrow |H| \leq (2n)!$ [Burnside, Minkowski]
 u/o CFSG
 $\Rightarrow \|H\| \leq 2^n n!$, $n > 10$ [Feit] ₁₉₉₆
 using CFSG

L [BBR] $H \in GL(n, \mathbb{Z})$ finite

$$M := \max \{ \|S_i\|, i=1..k \}$$

then $\|H\| \leq M^{n^2} e^{O(n^2 \log n)}$ / $\leftarrow n^2 n^{2+3}$ more precisely

Alg1 use baby or Coopersmith-Dixon Alg
 to obtain elts $h \in H$ outside of
 larger & larger ϵ -R cubes.

STOP when $\|h\| > M^{n^2} n^{(2n^2+3)}$

Analysis of Alg 1

$s_1, \dots, s_k, h_1, \dots, h_e$, $\ell = O(\log(2n)!) = O(n \log n)$

(C-D) $h_{i+1} = s_1^{\epsilon_1} \dots s_k^{\epsilon_k} h_1^{d_1} \dots h_e^{d_e}$ $d_j, \epsilon_j \in \{0,1\}$ random

(Babaï) $h_{i+1} = R_K$ on $\{s_1, \dots, s_k, h_1, \dots, h_e\}$ for $L = O(n \log n)^3$
steps $= O(n^3 \log^3 n)$

For Alg 1 $X \subset GL(n, \mathbb{Z})$, $X = \{ \|X\| \leq M^{n^2} n^{2n^2+3} \}$

$$\|X\| = O((M^{n^2} n^{2n^2+3})^{n^2}) = O(M^{n^4} n^{2n^4+3n^2})$$

$$e \leftarrow O(\log \|X\|) = O(n^4 (\underbrace{\log M}_{\text{input}} + \log n))$$

$$L \leftarrow e^3$$

If STOP $\Rightarrow h_e \notin X \Rightarrow L$ long.

If NOT $\Rightarrow H \subset X \cup h_p$. 

$$C_e^{-1} C_e + H$$

Invariant Matrices

Th [Burnside] $H \subset GL(n, \mathbb{Z})$ is definite
if and only if $\exists B \in \text{Mat}(n, \mathbb{Q})$ s.t.

B - positive definite, symmetric $B^T = B$
and $h^T B h = B \quad \forall h \in H$

ExC \Rightarrow follows from $B := \frac{1}{|H|} \sum_{h \in H} h^T h$

Alg2 Use REO (Babai, C-D or PRA) to obtain
 ϵ -uniform $h \in H$.

Do this ℓ times to obtain h_1, \dots, h_ℓ

<u>For</u> $i = 1 \dots \ell$ $B_{i+1} \leftarrow \frac{1}{2} [h_i^T B_i h_i + B_i]$	$ \quad B_0 \leftarrow I$ $\ell = \text{poly}(n)$
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STOP if $B \approx$ Burnside matrix

④

Analysis of Alg 2

$$B_e = \sum_{\ell=1,..,d_e} (h^\top h) \frac{1}{2^e}, \quad h = h_1^{d_1} \dots h_e^{d_e}$$

$d_i \in \{0,1\}$ uniform

$$= \mathbb{E}[h^\top h]$$

By S-R Thm $\forall h_i \leftarrow \epsilon\text{-unif}, \ell \geq 2\log |H| + \log \frac{1}{\epsilon}$
 $+ 2\log \frac{1}{\delta} + \log \frac{1}{\eta}$

$$\boxed{\text{sep}(B_e, B) < \delta \text{ w/ Pr} > 1-\delta}$$

where $B = \sum_{h \in H} h^\top h / |H|$

Take $\delta \leq \frac{1}{2(2n)!}$ / to be precise $\frac{1}{2^n M^2 (2n)!}$ /

so the rounding over \mathbb{Z} gives exact B , note: $\log(\frac{1}{\delta}) = \text{poly}(n, \log n)$

Testing $B_e \stackrel{?}{=} B$: check $s_i^\top B s_i = B$ $\forall i = 1 \dots k$.

$$\Rightarrow h^\top B h = B \quad \forall h \in H$$



(5)

Putting Alg1 + Alg2 together

Fix $\varepsilon = \frac{1}{2}$. After $\text{poly}(n + \log M)$ we have:

$\begin{cases} \text{If } |H| < \infty & \text{Alg2 stops w/ } \Pr > 1 - \varepsilon \\ |H| = \infty & \text{Alg1 stops w/ } \Pr > 1 - \varepsilon \end{cases} \begin{matrix} \text{proves} \\ \text{result} \end{matrix}$

Repeat $O(1)$ times. Done.

Outputs inf fin certificates

Generalizations: [BBR]

- 1) $G = GL(n, \mathbb{Q})$, $GL(n, F)$, $F \in$ number field algebraic
 - 2) deterministic alg using ellipsoid method
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Q: Why is this astonishing?

A: Many problems for inf groups are undecidable

Th [Adian-Rabin, 1955, 1958] $G := \langle x_1 - x_n \rangle / R$

$G \cong 1$, G -fin, $G \cong F_2, \dots \leftarrow$ all undecidable.

(6)

Ih [Mihailova, 1958] $n \geq 4$

$$G = SL(n, \mathbb{Z}) , H = \langle S \rangle , S = \{s_1, \dots, s_k\} , g \in G$$

Then $g \in? H$ is undecidable

$$\Leftarrow M_1 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}, M_2 = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}, M_1, M_2 \in SL(2, \mathbb{Z})$$

$$\text{Then } \langle M_1^{\pm 1}, M_2^{\pm 1} \rangle = F_2 \quad / \text{Solv subgroup} /$$

Ex $\langle M_1^{\pm 1}, M_2^{\pm 1} \rangle$ is a subgroup of $SL(2, \mathbb{Z})$ of index 12

Proof idea of L : $X = \mathbb{Z}^2, A = \{(p, q) \in \mathbb{Z}^2 \mid |p| > |q|\}$
 $B = \{(p, q) \in \mathbb{Z}^2, |q| > |p|\}$

check that $M_2^n A \subset B, M_1^n B \subset A$

\Rightarrow result follows by ping-pong lemma



Proof idea of Mihailovc Thm $n=4$

$$\begin{pmatrix} F_2 & | & 0 \\ \hline 0 & | & F_2 \end{pmatrix} \subset SL(4, \mathbb{Z}) \quad \text{consider } s_i = \begin{pmatrix} u_i & | & 0 \\ 0 & | & v_i \end{pmatrix} \quad u_i, v_i \in F_2 \\ i=1\dots k$$

$$\underline{\text{word}(s_1 \dots s_k) = \text{word}(u_1 \dots u_k) * \text{word}(v_1 \dots v_k)}$$

Th [PCP = Post correspondence problem, E. Post '46]

$d_1 \dots d_N \leftarrow$ words over $X = \{x_1 \dots x_K\}$
 $\beta_1 \dots \beta_N$

Then $\exists?$ word \in alphabet $\{y_1 \dots y_N\}$ s.t. $\text{word}(d_1 \dots d_N) = \text{word}(\beta_1 \dots \beta_N)$ is undecidable

Exc Prove PCP Thm via reduction to halting problem

D(Mih) take any $x_1 \dots x_k \in F_2$, $u_i \in d_i$, $v_i \in \beta_i$

idea

include in S extra "parity" gen's.

Exc

$$\text{Take } y = \begin{pmatrix} x_1^2 \\ x_1^2 \end{pmatrix}$$



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