

# Stratifying multiparameter persistent homology

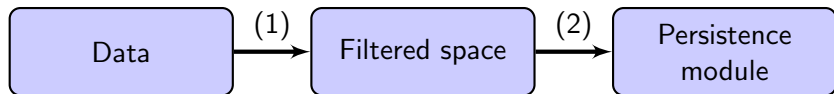
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The Alan Turing Institute

joint with Hal Schenck, Heather Harrington, and Ulrike Tillmann

Preprint available at <https://arxiv.org/abs/1708.07390>

## Persistent homology pipeline



## Step (1): from data to filtered spaces

finite metric space



filtered simplicial complex



## Step (2): from filtered spaces to persistence modules

filtered simplicial complex

persistence module



$$K_{\epsilon_1} \subseteq \cdots \subseteq K_{\epsilon_n} = K$$

$$\text{for } \epsilon_1 \leq \cdots \leq \epsilon_n$$

$$H_p(K_{\epsilon_1}) \xrightarrow{f_{1,2}} \cdots \xrightarrow{f_{n-1,n}} H_p(K_{\epsilon_n})$$

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$$H_p(K_{\epsilon_1}) \xrightarrow{f_{1,2}} \cdots \xrightarrow{f_{n-1,n}} H_p(K_{\epsilon_n})$$

More precisely, we obtain a tuple  $(\{H_p(K_{\epsilon_i})\}_{i=1}^n, \{f_{i,j}\}_{i \leq j})$  such that  $f_{k,j} \circ f_{i,k} = f_{i,j}$  for all  $i \leq k \leq j$ .

This is the  **$p$ th persistent homology** of  $(K, \{K_{\epsilon_i}\}_{i=1}^n)$ .

# Persistence modules

In general,

- ▶ a sequence  $\{M_i\}_{i \in \mathbb{N}}$  of  $\mathbb{K}$ -vector spaces
- ▶ a collection  $\{f_{i,j}: M_i \rightarrow M_j\}_{i \leq j}$  of linear maps such that  $f_{k,j} \circ f_{i,k} = f_{i,j}$  for all  $i \leq k \leq j$

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What kind of object is this?

**Recall:** The ring  $\mathbb{K}[x]$  is  $\mathbb{N}$ -graded:  $\mathbb{K}[x] = \bigoplus_{i \in \mathbb{N}} \mathbb{K}x^i$ .

An  $\mathbb{N}$ -graded module  $M$  over  $\mathbb{K}[x]$  is a module over  $\mathbb{K}[x]$  such that  $M = \bigoplus_{i \in \mathbb{N}} M_i$  and  $x^j M_i \subset M_{i+j}$  for all  $i, j$ .



## Correspondence theorem

$$\left( \{M_i\}_{i \in \mathbb{N}}, \{f_{i,j}: M_i \rightarrow M_j\}_{i \leq j} \right) \mapsto \bigoplus_{i \in \mathbb{N}} M_i \text{ with action of } x^j \text{ on } M_i$$

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Theorem (Carlsson, Zomorodian, 2005<sup>1</sup>)

*There is an isomorphism between the category of persistence modules and the category of  $\mathbb{N}$ -graded modules over  $\mathbb{K}[x]$ .*

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<sup>1</sup>G. Carlsson, A. Zomorodian *Computing persistent homology*, Discrete & Computational Geometry, 2005

# Structure theorem for f.g. graded modules over a PID

## Theorem (Webb 1985<sup>2</sup>)

For any finitely generated  $\mathbb{N}$ -graded module  $M$  over  $\mathbb{K}[x]$ :

$$M \cong \left( \bigoplus_{i=1}^n x^{\alpha_i} \mathbb{K}[x] \right) \oplus \left( \bigoplus_{j=1}^m x^{\beta_j} \mathbb{K}[x] / x^{\beta_j + \gamma_j} \right).$$

This gives:

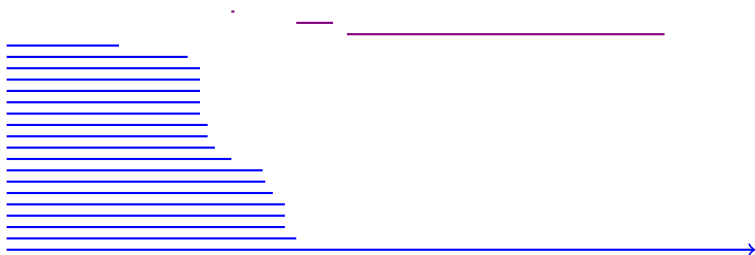
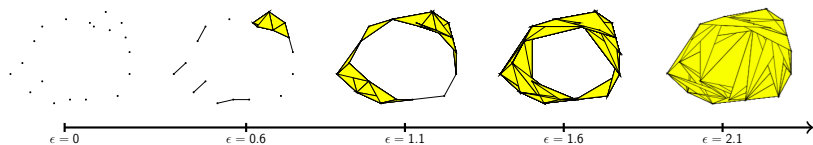
- ▶  $n$  infinite intervals  $[\alpha_i, \infty)$  for  $i = 1, \dots, r$
- ▶  $m$  finite intervals  $[\beta_j, \beta_j + \gamma_j)$  for  $j = 1, \dots, m$ .

This collection of intervals is called **barcode**, and it is a complete invariant for persistence modules.

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<sup>2</sup>C. Webb, *Decomposition of graded modules*, Proceedings of the AMS, 1985

# Examples of barcode



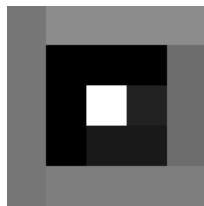
## Example of Barcode

# Applications of PH

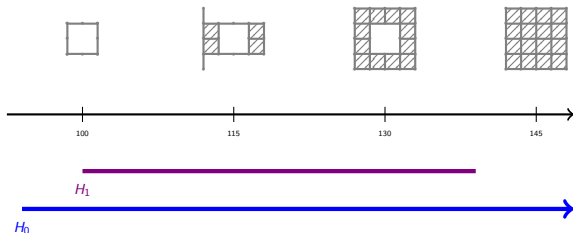
Persistent homology can be applied to, e.g.:

1. Finite metric spaces
2. Undirected weighted networks
3. Grey-scale digital images

# PH to study grey-scale images



$$G = \begin{pmatrix} 115 & 119 & 119 & 119 & 119 \\ 115 & 94 & 94 & 94 & 114 \\ 115 & 94 & 139 & 100 & 114 \\ 115 & 94 & 99 & 99 & 114 \\ 115 & 117 & 117 & 117 & 117 \end{pmatrix}$$





## Libraries for PH and overview of computation

- ▶ *A roadmap for the computation of persistent homology*  
N. Otter, M. Porter, U. Tillmann, P. Grindrod, H. Harrington,  
EPJ Data Science 2017 6:17 (SpringerOpen)

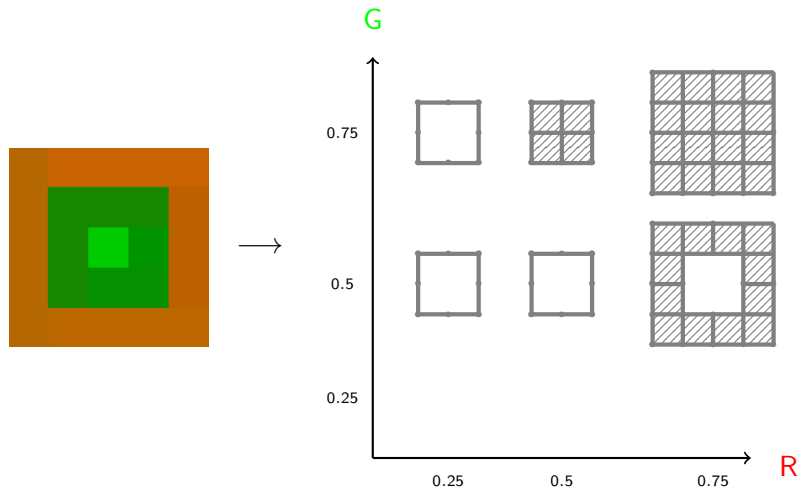
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- ▶ Come along to the practical session on Monday 4 September  
at 3pm!

# Multi-parameter persistent homology

## Motivation

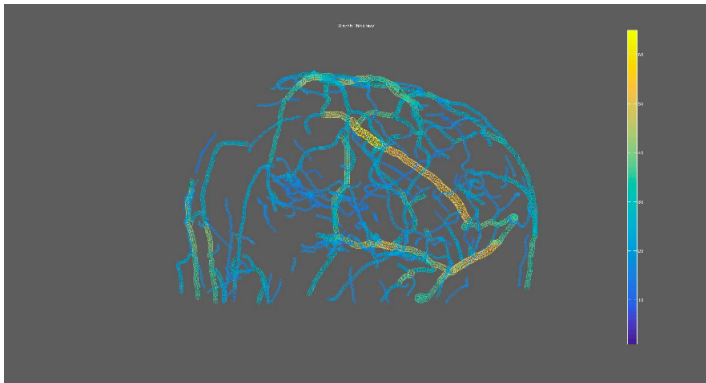
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# Multi-parameter persistent homology

## Motivation

1. Data often depend on several parameters, e.g.:
  - ▶ colored digital images
  - ▶ complex biological data sets (here: blood vessel growth in presence of tumor)



# Multi-parameter persistent homology

## Motivation

### 2. Outliers



## Multi-parameter persistent homology pipeline



## Step (1): from data to multi-filtered spaces

Define the following partial order on  $\mathbb{N}^r$ :

$(u_1, \dots, u_r) \preceq (v_1, \dots, v_r)$  iff  $u_i \leq v_i$  for all  $i = 1, \dots, r$ .

A **multi-filtered space**  $K$  is a tuple  $(K, \{K_{\mathbf{u}}\}_{\mathbf{u} \in \mathbb{N}^r})$  with  $K_{\mathbf{u}} \subseteq K_{\mathbf{v}}$  whenever  $\mathbf{u} \preceq \mathbf{v}$  in  $\mathbb{N}^r$  and  $K = \bigcup_{\mathbf{u} \in \mathbb{N}^r} K_{\mathbf{u}}$ .

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map  $f: X \rightarrow \mathbb{R}^r$   $\longrightarrow$   $r$ -filtered simplicial complex

digital image with  
color vectors of  
length  $r$   $\longrightarrow$   $r$ -filtered cubical  
complex



## Step (2): from multi-filtered spaces to multi-parameter persistence modules

$r$ -filtered space  $\xrightarrow{H_i}$   $r$ -parameter persistence module

An  $r$ -parameter persistence module is a tuple

$(\{M_{\mathbf{u}}\}_{\mathbf{u} \in \mathbb{N}^r}, \{\phi_{\mathbf{u}, \mathbf{v}}\}_{\mathbf{u} \preceq \mathbf{v} \in \mathbb{N}^r})$  where:

- ▶ for each  $\mathbf{u} \in \mathbb{N}^r$  we have that  $M_{\mathbf{u}}$  is a  $\mathbb{K}$ -vector space
- ▶ for every  $\mathbf{u} \preceq \mathbf{v}$  we have that  $\phi_{\mathbf{u}, \mathbf{v}}: M_{\mathbf{u}} \rightarrow M_{\mathbf{v}}$  is a  $\mathbb{K}$ -linear map such that whenever  $\mathbf{u} \preceq \mathbf{u}' \preceq \mathbf{u}''$  we have

$$\phi_{\mathbf{u}', \mathbf{u}''} \circ \phi_{\mathbf{u}, \mathbf{u}'} = \phi_{\mathbf{u}, \mathbf{u}''}.$$

In other words, an  $r$ -parameter persistence module is a functor  $F: \mathbb{N}^r \rightarrow \mathbb{K}\text{Vect}$ .

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Put an  $\mathbb{N}^r$ -grading on the ring  $\mathbb{K}[x_1, \dots, x_r]$ :

$$\mathbb{K}[x_1, \dots, x_r] = \bigoplus_{\mathbf{u} \in \mathbb{N}^r} \mathbb{K} \mathbf{x}^{\mathbf{u}},$$

where  $\mathbf{x}^{\mathbf{u}} = x_1^{u_1} \dots x_r^{u_r}$ , so every variable  $x_i$  has degree  $\mathbf{e}_i \in \mathbb{N}^r$ .

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Theorem (Carlsson, Zomorodian, 2009<sup>3</sup>)

*There is an isomorphism of categories between the category of  $r$ -parameter persistence modules and the category of  $\mathbb{N}^r$ -graded modules over  $\mathbb{K}[x_1, \dots, x_r]$ .*

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*There is an isomorphism of categories between the category of  $r$ -parameter persistence modules and the category of  $\mathbb{N}^r$ -graded modules over  $\mathbb{K}[x_1, \dots, x_r]$ .*

**Problem:** There is no decomposition analogous to the 1-parameter case.

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On the other hand:

**Theorem (Carlsson, Zomorodian, 2009)**

*For any finitely generated  $\mathbb{N}^r$ -graded module  $M$  there exists a finite multifiltered simplicial complex  $K$  and a positive natural number  $i$  such that  $M$  is the homology in degree  $i$  of  $K$ .*

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Therefore, studying the homology of  $r$ -filtered spaces amounts to studying graded modules over  $\mathbb{K}[x_1, \dots, x_r]$ .



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Such invariants should be:

- ▶ Computable
- ▶ Stable
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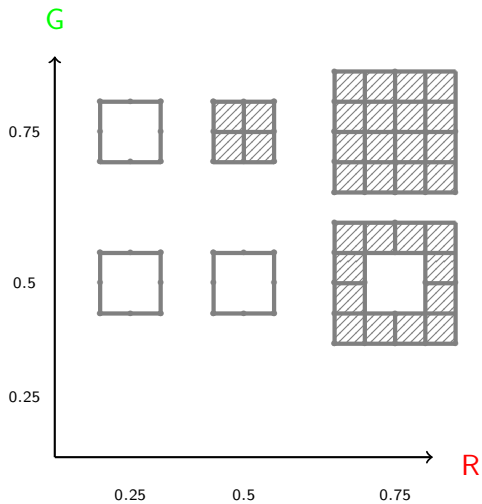
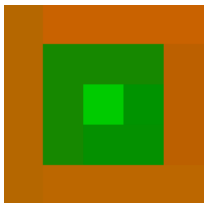
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From (Carlsson, Zomorodian 2009):

*Our study of multigraded objects shows that no complete discrete invariant exists for multidimensional persistence. We still desire a discriminating invariant that captures persistent information, that is, homology classes with large persistence.*



## Support shape of a module

**Recall:** Let  $M$  be a module over a commutative ring  $R$ . Let  $U$  be a non-empty subset of  $M$ . Define the **annihilator of  $U$**  as follows:

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**Fact:** For a finitely generated  $\mathbb{N}^r$ -graded  $\mathbb{K}[x_1, \dots, x_r]$ -module  $M$ , any associated prime  $\mathfrak{p}$  of  $M$  is of the form  $\mathfrak{p} = (x_{i_1}, \dots, x_{i_k})$ .



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### Definition

For such a prime  $\mathfrak{p}$  define the **complement support**

$$c_{\mathfrak{p}} = \{(u_1, \dots, u_r) \in \mathbb{N}^r \mid u_i = 0 \text{ for all } i \in \{i_1, \dots, i_k\}\}.$$

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The **support shape** of  $M$  is  $ss(M) = \bigcup_{\mathfrak{p} \in \text{Ass}(M)} c_{\mathfrak{p}}$ .

## Stratification of the support shape

Given a sequence  $\mathfrak{p}_0 \subset \cdots \subset \mathfrak{p}_m$  of associated primes of  $M$ , one obtains a nested sequence

$$\mathfrak{c}_{\mathfrak{p}_m} \subset \cdots \subset \mathfrak{c}_{\mathfrak{p}_0} \subset \text{ss}(M).$$

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**Note:**  $ss(M)$  is completely determined by the minimal associated primes.

## Example

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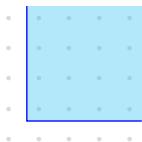
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The points of  $\mathbb{N}^r$  at which the module  $M$ , as well as  $N$ , does not vanish:



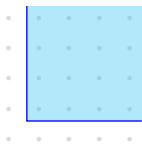
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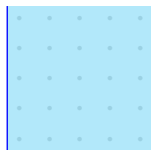
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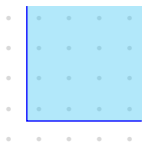
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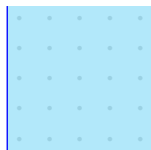
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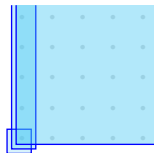


## Example (cont.)

The chains in the stratification of  $ss(N)$ :

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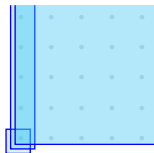
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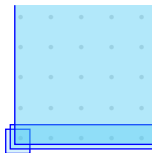
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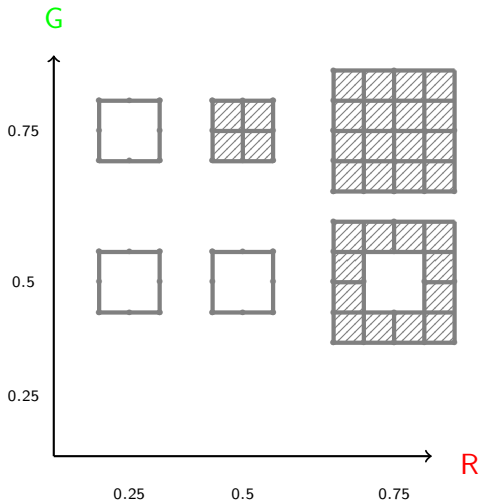
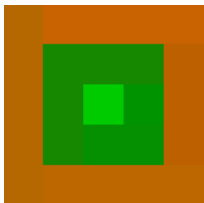
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## Generalisation of definition of birth and death

We say that a homogeneous element  $a$  of  $M$  is:

- ▶ **born at**  $(u_1, \dots, u_r) \in \mathbb{N}^r$  if the degree of  $a$  is  $(u_1, \dots, u_r)$  and  $a$  is not in the image of any sum of maps  $\sum_{\mathbf{v}} x^{\mathbf{v}}$  for any  $\mathbf{v} \prec \mathbf{u}$ .
- ▶ If  $Ann(a) \neq (0)$  let  $D \subset \mathbb{N}^r$  be the subset of  $\mathbb{N}^r$  obtained from the set of degrees of the set of minimal generators of  $Ann(a)$  by adding to each degree the degree of  $a$ . Then we say that  $a$  **dies in degrees**  $D$ .
- ▶ If  $Ann(a) = (0)$  we say that  $a$  **lives forever**.
- ▶ If  $\sqrt{Ann(a)} = \langle x_{i_1}, \dots, x_{i_k} \rangle = \mathfrak{p}$ , we say that  $a$  **lives along**  $\mathfrak{c}_{\mathfrak{p}} \subset \mathbb{N}^r$ . In this case we say that  $a$  has **support dimension**  $r - k$ .



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We call elements of support dimension 0 **transient components**, elements of support dimension  $1 \leq d < r$  **persistent components**, and elements of support dimension  $r$  **fully persistent components**.

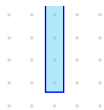
# Information forgotten by $c_p$ and $ss(M)$

module

points at which the  
module does not vanish

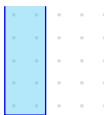
information forgotten

$$M_1 = \frac{S(-1, -2)}{x_1}$$



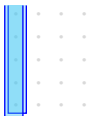
“translation”

$$M_2 = \frac{S}{x_1^2}$$



“thickness”

$$M_3 = \frac{S}{x_1} \oplus \frac{S}{x_1}$$



“multiplicity”

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## Example

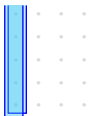
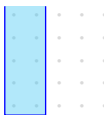
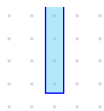
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- ▶ Associated primes do not give information about non-trivial second syzygies (nor higher ones).