

Background: For any countably infinite amenable group  $G$ , Ornstein & Weiss '87 demonstrated that  $G$ -Bernoulli shifts  $(G, K^G, \mu^G)$  are completely classified by the quantity  $H(K)$ , defined by

$$H(K) = - \sum_{x \in K'} \mu(\{x\}) \log \mu(\{x\})$$

if  $K$  has a full-measure countable set  $K' \subseteq K$ ,  $H(K) = +\infty$  otherwise.

(Here "classified" is with regards to the relation of being measurably conjugate)

- One wishes to similarly classify other  $G$ -systems, for  $G$  a countable discrete group, by "entropy" type quantities. Of interest to us here is the class of  $G$ -Bernoulli shifts for  $G$  a countable discrete sofic group  
all groups for today will be this.

A crash course in soficity: Roughly speaking, sofic groups are groups  $G$  for which

"most of  $G$  can mostly be embedded in finite symmetric groups".

Precisely: for  $m \geq 1$ , let  $\text{Sym}(m)$  be the full symmetric group on  $\{1, \dots, m\}$ .

Let  $\sigma: G \rightarrow \text{Sym}(m)$  be a function (not necessarily a homomorphism).

For  $F \subseteq G$  finite subset, let  $V(F) \subseteq \{1, \dots, m\}$  be the set of those  $v$  such that, for each  $f_1, f_2 \in F$ ,

$$\sigma(f_1)\sigma(f_2)v = \sigma(f_1 f_2)v \quad \text{and} \quad \sigma(f_1)v \neq \sigma(f_2)v \quad \text{if} \quad f_1 \neq f_2$$

If  $|V(F)| \geq (1-\varepsilon)m$ , then  $\sigma$  is an  $(F, \varepsilon)$ -approximation to  $G$ . ↖ "v thinks that  $\sigma|_F$  is an injective homomorphism"

A sofic approximation to  $G$  is a sequence  $\Sigma = (\sigma_j)_{j=1}^{\infty}$ , where  $\sigma_j$  is an  $(F_j, \varepsilon_j)$ -approximation

with  $F_i \subseteq F_{i+1} \forall i$ ,  $\bigcup_{i=1}^{\infty} F_i = G$ , and  $\lim_{i \rightarrow \infty} \varepsilon_i = 0$ .

Finally,  $G$  is sofic if it admits a sofic approximation.

Remark:  $G$  is sofic  $\iff G < \left( \prod_{\alpha} (\text{Sym}(M_{\alpha}), d_{\text{hamm}}) \right)_{\mathcal{U}}$

" $G$  is isomorphic to a subgroup of a metric ultraproduct of  $\text{Sym}(M)$  groups with Hamming distance"

of interest to us today

The main result  $\checkmark$  of an investigation into entropy invariants for sofic Bernoulli systems are as follows:

Thm: Let  $G$  be sofic and  $(K_1, \kappa_1), (K_2, \kappa_2)$  be standard Borel prob. spaces s.t.  $H(\kappa_1) = H(\kappa_2) < \infty$ .

Then the following are sufficient conditions for  $H(\kappa_1) = H(\kappa_2)$ :

- ①  $(G, \kappa_1^G, \kappa_1^G)$  and  $(G, \kappa_2^G, \kappa_2^G)$  are measurably conjugate ] this is what we'll prove
- ②  $G = G_1 * G_2$  has no nontrivial normal subgroups,  $G_1, G_2$  infinite,  $G$  non-amenable, and  $(G, \kappa_1^G, \kappa_1^G)$  is OE to  $(G, \kappa_2^G, \kappa_2^G)$
- ③  $G$  ICC property (T) and  $(G, \kappa_1^G, \kappa_1^G)$  is vNE to  $(G, \kappa_2^G, \kappa_2^G)$

In the case that  $G$  is Ornstein, then (a) the finiteness assumptions may be removed,  
 (b) the converses hold. Thus:

Thm: Suppose  $G$  is countable, sofic, and Ornstein. Suppose  $(K_1, \kappa_1), (K_2, \kappa_2)$  are sfs Borel prob. spaces.

Then: (1)  $(G, K_1, \kappa_1^G)$  is mibly conjugate to  $(G, K_2, \kappa_2^G) \iff H(\kappa_1) = H(\kappa_2)$

(2) If  $G = G_1 \times G_2$  inf. countable,  $G_1$  non-amen., and  $G$  has no nontrivial finite normal subgrps,  
 then  $(G, K_1, \kappa_1^G) \text{ OE to } (G, K_2, \kappa_2^G) \iff H(\kappa_1) = H(\kappa_2)$

(3) If  $G$  ICC prop. (T), then  $(G, K_1, \kappa_1^G) \text{ vNE to } (G, K_2, \kappa_2^G) \iff H(\kappa_1) = H(\kappa_2)$

Rmk: • Ornstein groups must be countably infinite

• All countably infinite groups are Ornstein (much later)

The structure of the argument: We will first construct a "mean sofic entropy," for  $(G, K^G, \kappa^G)$  with  $G$  sofic,

$h(\Sigma, \alpha)$   
 Sofic approximation  $\nearrow$   
 partition of  $K^G$   
 generates the  $\sigma$ -alg. of  $K^G$  up to null, using action of  $G$

It will be clear that any measure conjugacy  $\Phi: (G, K_1^G, \kappa_1^G) \rightarrow (G, K_2^G, \kappa_2^G)$  preserves  $\Sigma$  and sends such a "generating partition"  $\alpha$  of  $K_1^G$  to a generating partition  $\beta$  of  $K_2^G$ .

+ both generating  
 We will show that, if  $H(\alpha) + H(\beta) < \infty$ , then  $h(\Sigma, \alpha) = h(\Sigma, \beta)$ ,

and we conclude that the quantities  $h(\Sigma, \alpha)$  are invariants of  $(K^G, \kappa^G)$ .

Finally, if  $H(\kappa) < \infty$ , we will find a special partition  $\alpha_\kappa$  giving  $h(\Sigma, \alpha_\kappa) = H(\kappa)$ .

Sofic entropy: We construct sofic entropy by counting microstates, which are "partitions of  $\{1, \dots, m\}$  that almost match partitions on  $K^G$ ":

abbreviate  $K^G = X$ ,  $\mu^G = \mu$   $(G, X, \mu$

• Fix  $\sigma: G \rightarrow \text{Sym}(m)$ ,  $\zeta$  unif. prob. on  $\{1, \dots, m\}$ ,  $\left\{ \begin{array}{l} \alpha = (A_1, A_2, \dots) \text{ pttn of } X \\ \beta = (B_1, B_2, \dots) \text{ pttn of } \{1, \dots, m\} \end{array} \right.$

• For each  $F \subseteq G$  finite &  $\phi: F \rightarrow \mathbb{N}$ , denote

$$A_\phi = \bigcap_{f \in F} f A_{\phi(f)} \quad B_\phi = \bigcap_{f \in F} \sigma(f) B_{\phi(f)}$$

we set 
$$d_F(\alpha, \beta) = \sum_{\phi: F \rightarrow \mathbb{N}} |\mu(A_\phi) - \zeta(B_\phi)|$$

We will be interested in  $|AP(\sigma, \alpha: F, \epsilon)|$ , where

$$AP(\sigma, \alpha: F, \epsilon) = \{ \beta \text{ pttn of } \{1, \dots, m\}, |\beta| = |\alpha|, d_F(\alpha, \beta) < \epsilon \}$$

First, some examples:

- $F = \{\emptyset\}$ , then

$$d_F(\alpha, \beta) = \sum_{j=1}^{\infty} |\mu(A_j) - \mu(\sigma(\alpha) B_j)|$$

$\Rightarrow$  elements of  $AP(\sigma, \alpha: \{B_j\}, \varepsilon)$  are partitions of  $\{1, \dots, m\}$  whose atoms are almost equal in mass to atoms of  $\alpha$

- $F = \{f_1, f_2\}$ , then

$$d_F(\alpha, \beta) = \sum_{j,k=1}^{\infty} |\mu(f_1(A_j) \wedge f_2(A_k)) - \mu(\sigma(f_1)(B_j) \wedge \sigma(f_2)(B_k))|$$

$\Rightarrow$  elements of  $AP(\sigma, \alpha: \{f_1, f_2\}, \varepsilon)$  are partitions  $\{1, \dots, m\} = \bigsqcup_{j,k} C_{j,k}$  such that

- $\text{size}(f_1(A_j) \wedge f_2(A_k)) \approx \text{size}(C_{j,k})$

- $C_{j,k} = \sigma(f_1)(B_j) \wedge \sigma(f_2)(B_k)$ , i.e.  $C = \sigma(f_1)B \vee \sigma(f_2)B$

$F \subseteq G$  finite

$\alpha = (A, \_)$  ptn of  $X$

$\beta = (B, \_)$  ptn of  $\{1, \dots, m\}$

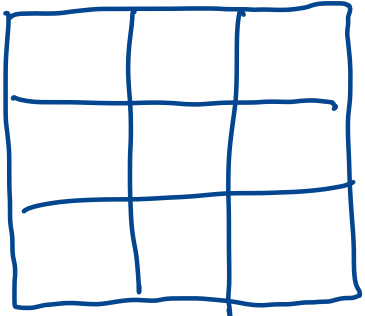
$$A_\sigma = \bigcap_{f \in F} f A_{(f)}$$

$$B_\sigma = \bigcap_{f \in F} \sigma(f) B_{(f)}$$

$\sigma: F \rightarrow \text{Sym}(\_)$  fctn

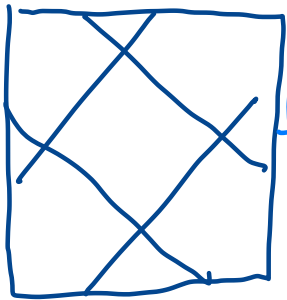
$\phi: F \rightarrow \mathbb{N}$  fctn

$$\{1, \dots, m\} = \perp B_j$$

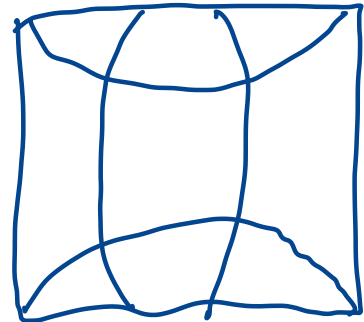


$\sigma(f_1)$

$\sigma(f_2)$

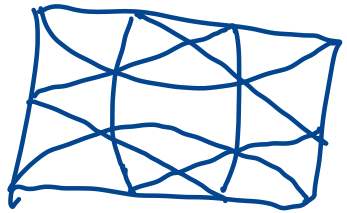


$$\perp \sigma(f_1) B_j$$



$$\perp \sigma(f_2) B_j$$

meet



$$\perp \sigma(f_1) B_j \cap \sigma(f_2) B_k$$



Sofic entropy, ct'd: Let  $\Sigma = (\sigma_j)_{j=1}^{\infty}$  be a sofic approximation for  $G$ .  
 $\sigma_i: G \rightarrow \text{Sym}(M_i)$

For  $\alpha$  finite partition of  $X$ ,  $\varepsilon > 0$ , and  $F \subseteq G$  finite, set

$$\bullet H(\Sigma, \alpha: F, \varepsilon) = \limsup_{i \rightarrow \infty} \frac{1}{M_i} \log |A P(\sigma_i, \alpha: F, \varepsilon)|$$

$$\bullet H(\Sigma, \alpha: F) = \lim_{\varepsilon \downarrow 0} H(\Sigma, \alpha: F, \varepsilon)$$

$$\bullet h(\Sigma, \alpha) = \inf_{F \subseteq G} H(\Sigma, \alpha: F) \quad \text{mean } \Sigma\text{-entropy of } \alpha$$

( If  $\alpha$  is infinite and  $\alpha_1 \leq \alpha_2 \leq \dots \leq \alpha$  is a sequence of finite pttns refining to  $\alpha$ ,  
 set  $H(\Sigma, \alpha: F) = \lim_{n \rightarrow \infty} H(\Sigma, \alpha_n: F)$  well-defined )

Partitions, their structure, and entropy functions thereof: The space  $\mathcal{P}$  of <sup>with  $H(\alpha) < \infty$</sup>  measurable partitions  $\checkmark$  of a

prob. sp.  $(X, \mu)$  (up to a.e. equivalence of atoms) admits the following structures:

- Conditional entropy fns  $H(\alpha|\beta) := H(\alpha|\sigma(\beta))$ ,  $H(\alpha) := H(\alpha|\xi^X, \phi^S)$
- Order structure:  $\beta \leq \alpha$  if  $\forall A \in \alpha \exists B \in \beta \mu(A \setminus B) = 0$
- Joinings:  $\alpha \vee \beta = \{A \cap B : A \in \alpha, B \in \beta\}$
- (Rohlin) metric:  $d(\alpha, \beta) = H(\alpha|\beta) + H(\beta|\alpha)$
- Action by  $G$ :  $g \cdot \alpha = \{gA : A \in \alpha\}$

Putting together the joinings/ $G$ -action: for  $F \subseteq G$  finite &  $\alpha \in \mathcal{P}$ , set

$$\alpha^F = \bigvee_{f \in F} f \alpha$$

Some facts:

- $H(\alpha \vee \beta) = H(\alpha) + H(\beta|\alpha)$
- if  $\beta \leq \delta$ ,  $H(\alpha|\delta) \leq H(\alpha|\beta)$
- $\alpha \mapsto \alpha^F$  is cts on  $\mathcal{P}$
- If  $F_1 \subseteq F_2$  then  $d_{F_1} \leq d_{F_2}$

$$I(\alpha|F)(x) = -\log(\# [A_\alpha|F](x))$$

$$H(\alpha|F) = \int_X I(\alpha|F)(x) d\mu(x)$$

Further, we consider so-called "S-splittings", where  $S \subseteq G: P \rightarrow \sigma$  is a simple S-splitting of  $\alpha$

if  $\exists s \in S, \beta \in \alpha$  s.t.  $\sigma = \alpha \vee s\beta$ .  $\sigma$  is an S-splitting of  $\alpha$  if  $\exists \alpha = \alpha_0, \dots, \alpha_m = \sigma$  with  $\alpha_{i+1}$  a simple S-splitting of  $\alpha_i, \forall i \in \{0, \dots, m-1\}$ .

If  $\alpha, \beta$  have a common S-splitting, then they are said to be S-equivalent.

The utility of these notions is as follows:

Theorem: Let  $G$  be a (countable) group and  $S \subseteq G$  a generating set. Let  $(G, X, \mu)$  be a  $G$ -system.

Let  $\mathcal{P}$  be the space of finite-entropy partitions of  $X$ .

Suppose  $f: \mathcal{P} \rightarrow \mathbb{R} \cup \{-\infty\}$  is upper semicontinuous and that  $f(\beta) = f(\alpha)$  whenever  $\beta$  is an S-splitting of  $\alpha$ .

Then, if  $\alpha, \beta \in \mathcal{D}$  are generating (i.e.  $\sigma_{G\text{-inv}}(\alpha) = \text{Mens}(\mu) = \sigma_{G\text{-inv}}(\beta)$  up to nulls)  
we have  $f(\alpha) = f(\beta)$

(Pf. is straightforward)

So, in order to justify the equality  $h(\Sigma, \alpha) = h(\Sigma, \beta)$  for  $\alpha, \beta$  generating, it suffices to verify the following:

①  $h(\Sigma, \cdot) : \mathcal{P} \rightarrow \mathbb{R} \cup \{-\infty\}$  is upper semicontinuous

②  $h(\Sigma, \beta) = h(\Sigma, \alpha)$  if  $\beta$  is a simple  $S$ -splitting of  $\alpha$ .

We'll check each of these in turn.

① Upper semicontinuity of  $h(\Sigma, \cdot)$ : We first consider the case where all partitions are finite and have the same number of atoms.

$$H(\Sigma, \alpha: F, \varepsilon) = \limsup_{i \rightarrow \infty} \frac{1}{n_i} \log |\mathcal{A}^i|$$

$$H(\Sigma, \alpha: F) = \lim_{\varepsilon \downarrow 0} H(\Sigma, \alpha: F, \varepsilon)$$

$$h(\Sigma, \alpha) = \inf_{F \in \mathcal{A}} H(\Sigma, \alpha: F)$$

Suppose  $\alpha \in P$  and  $P \ni \beta^i \rightarrow \alpha$  in  $(P, d)$  as  $i \rightarrow \infty$ . By rearranging, this means (for  $\alpha = (A_j, A_j, \dots)$ ,  $\beta^i = (B_j^i, B_j^i, \dots)$ ),

$$\lim_{i \rightarrow \infty} \mu(A_j \Delta B_j^i) = 0 \quad \forall j$$

Then, defining  $d_F(\alpha, \beta^i) = \sum_{\phi: F \rightarrow \mathbb{N}} |\mu(A_\phi) - \mu(B_\phi^i)|$ , we have  $d_F(\alpha, \beta^i) \rightarrow 0$  by continuity under splittings. By the triangle inequality, for each  $\sigma, \varepsilon > 0, i \geq 0$ ,

$$AP(\sigma, \alpha: F, \varepsilon + d_F(\alpha, \beta^i)) \geq AP(\sigma, \beta^i: F, \varepsilon)$$

Then, for each  $c > 1$ , since  $cd_F(\alpha, \beta^i) \geq \varepsilon + d_F(\alpha, \beta^i)$  for small  $\varepsilon > 0$ ,

$$H(\Sigma, \alpha : F, cd_F(\alpha, \beta^i)) \geq H(\Sigma, \alpha : F, \varepsilon) \text{ for suff small } \varepsilon,$$

and hence  $H(\Sigma, \alpha : F, cd_F(\alpha, \beta^i)) \geq H(\Sigma, \beta^i : F)$

for each  $c > 1$ . Thus, taking  $i \rightarrow \infty$ ,

$$H(\Sigma, \alpha : F) \geq \limsup_{i \rightarrow \infty} H(\Sigma, \beta^i : F)$$

as claimed. ▣

## ② Invariance of $h(\Sigma, \cdot)$ under splittings

This takes the form of two lemmas:

①: If  $F \subseteq G$  finite and  $\alpha$  is an  $F$ -splitting of  $\beta$ , then

$$h(\Sigma, \alpha: F) \leq h(\Sigma, \beta: F)$$

②: For each  $\beta \in \mathcal{P}$ ,  $t \in G$ ,

$$h(\Sigma, \beta \vee t\beta) \geq h(\Sigma, \beta)$$

Both ①, ② will follow from counting microstates.

(a): Here  $F \subseteq G$  finite,  $\alpha$  is  $F$ -splitting of  $\beta$ . Want:  $H(\mathcal{E}, \alpha: F) \leq H(\mathcal{E}, \beta: F)$

We assume first  $\alpha, \beta$  finite. It suffices to take  $\alpha = \beta \vee f \xi$  where  $f \in F, \xi \leq \beta$ .

We want to show that, if  $\alpha$  has lots of approximating partitions, then so does  $\beta$ .

The method: Show that

→ each approximating partition of  $\alpha$  determines an approximating partition of  $\beta$

→ Any  $\bar{\alpha}$  determining a  $\bar{\beta}$  must be "close to" a special  $\bar{\alpha}_{\bar{\beta}}$  constructed using  $\alpha = \beta \vee f \xi$ ;  
thus we can't have too many  $\bar{\alpha}$  giving a single  $\bar{\beta}$



(a): Here  $F \subseteq G$  finite,  $\alpha$  is  $F$ -splitting of  $\beta$ .

We assume first  $\alpha, \beta$  finite. It suffices to take  $\alpha = \beta \vee \xi$  where  $f \in F, \xi \leq \beta$ .

Then we write

$$\beta = (B_1, \dots, B_v)$$

$$\xi = (X_1, \dots, X_w)$$

$$\alpha = (A_1, \dots, A_u)$$

$$B_j = \bigcup_{i: b(i)=j} A_i$$

and, for each  $1 \leq i \leq u$ ,  $A_i = B_{b(i)} \wedge X_{\alpha(i)}$  for some  $b(i) \in \{1, \dots, v\}$   
 $\alpha(i) \in \{1, \dots, w\}$

As before, this defines a coarsening map

$$\Phi: AP(\sigma, \alpha: F, \varepsilon) \longrightarrow AP(\sigma, \beta: F, \varepsilon)$$

$$(\bar{A}_1, \dots, \bar{A}_u) = \bar{\alpha} \longmapsto \Phi(\bar{\alpha}) = \bar{\beta} = (\bar{B}_1, \dots, \bar{B}_v)$$

$$\bar{B}_j = \bigcup_{i: b(i)=j} \bar{A}_i$$

(as we checked prior,  
this is well-defined)

If each  $|\Phi^{-1}(\bar{\beta})|$  is small, then we conclude

$$|AP(\sigma, \alpha; F, \epsilon)| = \sum_{\bar{\beta} \in AP(\sigma, \beta; F, \epsilon)} |\Phi^{-1}(\bar{\beta})| \leq (\text{small}) \times |AP(\sigma, \beta; F, \epsilon)|$$

which is what we're looking for.

Since  $\alpha = \beta \cup f\bar{\xi}$ , let's push  $\bar{\xi}$  to  $\bar{\xi}_1, \dots, \bar{\xi}_w$  as well:

$\bar{\xi} \subseteq \beta$ , so  $B_i \subseteq X_{t(i)}$  for a well-defined  $t(i) \in \bar{\xi}_1, \dots, \bar{\xi}_w$ .

Set  $\bar{\xi} = (\bar{X}_1, \dots, \bar{X}_w)$  by  $\bar{X}_j = \bigcup_{i: t(i)=j} B_i$

and  $\bar{\alpha} = (\bar{A}_1, \dots, \bar{A}_u)$  by  $\bar{A}_i = B_{t(i)} \cap \sigma(f)\bar{X}_{t(i)}$

$$\Rightarrow \Phi(\bar{\alpha}) = \bar{\beta}$$

Further, any other  $\bar{\alpha}' \in \Phi^{-1}(\bar{\beta})$  satisfies  
 unif. P on  $\{\xi_1, \dots, \xi_m\} \rightsquigarrow \mathbb{P} \left( \bigcup_{i=1}^m \bar{A}_i \Delta \bar{A}'_i \right) \leq \varepsilon$

and hence one gets  $\bar{\alpha}'$  from  $\bar{\alpha}$  by "moving" at most  $\lceil \varepsilon m \rceil$  of the elements of  $\{\xi_1, \dots, \xi_m\}$  from one atom to another. Thus

$$|\Phi^{-1}(\bar{\beta})| \leq \binom{m}{\lceil \varepsilon m \rceil} u^{\lceil \varepsilon m \rceil} \quad (\varepsilon < 1/4)$$

and, adding up + taking logs, + using Stirling's,

$$H(\Sigma, \alpha: \mathbb{F}, \varepsilon) \leq 2\varepsilon \log\left(\frac{1}{2\varepsilon}\right) + (1-2\varepsilon) \log\left(\frac{1}{1-2\varepsilon}\right) + 2\varepsilon \log u + H(\Sigma, \beta: \mathbb{F}, \varepsilon)$$

and for  $\varepsilon > 0$

$$H(\Sigma, \alpha: \mathbb{F}) \leq H(\Sigma, \beta: \mathbb{F})$$

For  $\alpha, \beta$  not necessarily finite, the full inequality follows by

$$\alpha_n^{\text{fin}} \rightarrow \alpha, \quad \beta_n^{\text{fin}} \rightarrow \beta, \quad \xi_n^{\text{fin}} \rightarrow \xi$$

$$\alpha_n = \beta_n \vee \xi_n$$

□

(b): We wish to show

$$h(\Sigma, \beta \vee t\beta) \geq h(\Sigma, \beta)$$

$t \in G$

As usual, take  $\beta \in \mathcal{P}$  to be a finite partition.

$$\text{Set } \alpha := \beta \vee t\beta = (A_1, \dots, A_u)$$

$$\beta = (B_1, \dots, B_v)$$

$$A_i = B_{x(i)} \wedge t B_{y(i)}$$

$x(i), y(i) \in \{1, \dots, v\}$   
injective

assume  $(x, y): [u] \rightarrow [v]^2$

As before, we'll push these partition relations

surjection:  
Some  $A_i$  may be  $\emptyset$

to the approximating partitions:

→ Set  $F \subseteq G$  finite with  $e, t \in F$

→ Fix  $\varepsilon, \delta > 0$  and  $\sigma: G \rightarrow \text{Sym}(m)$  a  $(F, \delta)$ -approximation to  $G$ , i.e.

$$\text{if } V(F) = \left\{ v \in \{1, \dots, m\} : \begin{array}{l} \forall f_1, f_2 \in F, \sigma(f_1)v \neq \sigma(f_2)v \\ \text{and } \sigma(f_1)\sigma(f_2)v = \sigma(f_1 f_2)v \end{array} \right\}$$

then  $|V(F)| \geq (1-\delta)m$ .

→ Define  $\Psi: AP(\sigma, p: F \cup Ft, \varepsilon) \rightarrow AP(\sigma, \beta \vee pt: F, \varepsilon + 5/F\delta)$

by  $\Psi(\bar{P}) = \bar{\alpha} = (\bar{A}_1, \dots, \bar{A}_u), \quad \bar{A}_i = \bar{B}_{x(i)} \wedge \sigma(t) \bar{B}_{y(i)}$

It is very important that  $\Psi$  is well-defined, but we'll skip it. Instead,

note that  $\Psi$  is injective: if  $\bar{P} = (\bar{P}_1, \dots, \bar{P}_v) \neq \bar{P}' = (\bar{P}'_1, \dots, \bar{P}'_v)$

then some  $\bar{P}_u \neq \bar{P}'_u$

$$\bigcup_{i: \pi(i)=u} \bar{A}_i = \bigcup_{r \in \{1, \dots, v\}} \bar{P}_u \cap \sigma(i) \bar{B}_r \quad \bigcup_{r \in \{1, \dots, v\}} \bar{P}'_u \cap \sigma(i) \bar{B}_r = \bigcup_{i: \pi(i)=u} \bar{A}'_i$$

so  $\bar{A}_i \neq \bar{A}'_i$  some  $i$ , so  $\Psi(\bar{P}) \neq \Psi(\bar{P}')$ .

Thus

$$|AP(\sigma, \beta : F \rightarrow F, \varepsilon)| \leq |AP(\sigma, \beta \circ \tau : F, \varepsilon + 5\tau(\delta))|$$

for each choice of  $\varepsilon, \delta > 0$ ,  $e, t \in {}^V F \stackrel{\text{fin}}{\subseteq} G$ ,  $\forall \sigma : G \rightarrow \text{System}$   
 $(F, \delta)$ -approx.

Since  $G$  is sofic, there is some  $\Sigma = \{\sigma_i\}_{i=1}^{\infty}$   
 sofic approximation with  $\sigma_i$  a  $(F, \delta_i)$ -approx. for  $G$   
 with  $\delta_i \rightarrow 0$ .

If  $c > 1$  arbitrary, then

$$\varepsilon + 5|F|\delta_i \leq c\varepsilon \quad \text{for } i \text{ large}$$

and hence

$$H(\Sigma, \beta : F \cup F^t, \varepsilon) \leq H(\Sigma, \beta \cup \beta^t : F, c\varepsilon)$$

so

$$H(\Sigma, \beta : F \cup F^t) \leq H(\Sigma, \beta \cup \beta^t : F)$$

and, taking an infimum over  $\epsilon, t \in F^{\text{fin}} \subseteq G$

gets 
$$h(\mathcal{E}, \beta) \leq h(\mathcal{E}, \beta \cup t\epsilon)$$

when  $\beta$  finite.

Considering chains  $\beta_1 \leq \beta_2 \leq \dots \leq \beta$  finite, converging to  $\beta$ ,

we also get

$$h(\mathcal{E}, \beta) \leq h(\mathcal{E}, \beta \cup t\beta)$$

for all  $\beta \in \mathcal{P}$ .





Finally, we conclude: let  $S$  generate  $G$ .

→ If  $\alpha$  is a simple  $S$ -splitting of  $\beta$ ,

i.e.  $\alpha = \beta \vee t\beta$  with  $t \in S$ , then

for each  $F \subseteq G$  finite with  $t \in F$  we have

$$H(\Sigma, \alpha : F) \leq H(\Sigma, \beta : F)$$

and hence

$$h(\Sigma, \alpha) \leq h(\Sigma, \beta)$$

→ One may demonstrate that  $\beta \vee t\beta$  is an  $S$ -splitting of  $\alpha$ ,

so similarly

$$h(\Sigma, \beta \vee t\beta) \leq h(\Sigma, \alpha) \leq h(\Sigma, \beta)$$

→ On the other hand,

$$h(\Sigma, \beta \vee \beta) \geq h(\Sigma, \beta)$$

and thus

$$h(\Sigma, \alpha) = h(\Sigma, \beta)$$

→ Thus  $h(\Sigma, \cdot)$  is invariant under simple  $S$ -splittings.

Inductively we get that

$h(\Sigma, \alpha) = h(\Sigma, \beta)$  whenever  $\alpha$  is an  $S$ -splitting of  $\beta$

Thus,  $h(\Sigma, \cdot) : \mathcal{P} \rightarrow \{-\infty\} \cup \mathbb{R}$  is

- upper semicontinuous
- Invariant under  $S$ -splittings

and hence  $h(\Sigma, \alpha) = h(\Sigma, \beta)$  for all generating partitions  $\alpha, \beta$   
with  $h(\alpha) + h(\beta) < \infty$ .



Thus, if  $(G, \mathcal{K}_1^G, \mathcal{K}_1^G)$  and  $(G, \mathcal{K}_2^G, \mathcal{K}_2^G)$  are measurably conjugate  
and  $\left. \begin{array}{l} \alpha \in \mathcal{P}(\mathcal{K}_1^G, \mathcal{K}_1^G) \\ \beta \in \mathcal{P}(\mathcal{K}_2^G, \mathcal{K}_2^G) \end{array} \right\}$  are finite-entropy partitions generating  
(with the action of  $G$ ) the full  $\sigma$ -alg's  
up to null sets,

we conclude  $h(\Sigma, \alpha) = h(\Sigma, \beta)$ .

Hypotheses:

•  $(K_j, \mu_j)$  std Borel

•  $H(K_j) < \infty$

It remains to replace  $h(\Sigma, \alpha)$  by  $H(K_1)$   
 $h(\Sigma, \beta)$  by  $H(K_2)$ .

To do this, assuming  $H(K_1) < \infty$  (given  $\exists K' \subseteq K_1$   
countable, full measure,

$$H(K_1) = - \sum_{K \in K'} \mu_1(K) \log \mu_1(K) < \infty$$

we set  $\alpha_{K_1}$  to be the canonical partition of  $K_1^G$ :

$$\alpha_{K_i} = \sum \alpha_{K_i}^{(g)} \quad \left\{ \sum_{g \in K_i} \right\}$$

$$\alpha_{K_i}^{(g)} = \left\{ \sum_{\underline{x} \in K_i, G} : \underline{x}(e) = g \right\}$$

Then (1)  $\alpha_{K_i}$  is generating for  $(G, K_i, K_i^G)$

$$(2) \quad h(\Sigma, \alpha_{K_i}) = H(K_i)$$

and hence  $H(K_i)$  is a measure-conjugacy invariant, as long

as it is finite.

If  $G$  is Ornstein, "finiteness of  $h(\nu)$ " can be removed; we conclude:

If  $G$  is countably infinite + sofic, and if  $(K_1, \nu_1), (K_2, \nu_2)$  are std Borel, then

$$(K_1^G, \nu_1^G) \cong (K_2^G, \nu_2^G) \iff h(\nu_1) = h(\nu_2)$$

