

Admin

Hw 2 due today 11:59pm

working on Hw 1 grading  
potentially move Friday only.

## Group Actions

Groups are defined abstractly, but they arose (by habit) as concrete symmetries.

e.g. roots of  $x^4 - 1$  are  $\{1, i, -1, -i\}$ ,

$$\begin{array}{c} \\ \bullet \\ \bullet \\ -1 \end{array}$$

$$\begin{array}{c} \bullet \\ -i \end{array}$$

This has a symmetry via complex conjugation which preserves the polynomial but shuffles the roots.

"group" back then was in modern language,

a subgroup of  $S_n$ , or really  $S(5)$ .

(c.f. Cayley's thm. unifying these perspectives)

Why make this change?

Abstract group theory becomes the grammar by which we discuss symmetry in math.

Consider

- $\mathbb{R}^n \rightarrow \mathbb{R}^n$  reflection about a hyperplane  $H$
- $M_n(\mathbb{R}) \rightarrow M_n(\mathbb{R})$  via  $A \mapsto A^t$
- $C \rightarrow C$  via  $z \mapsto \bar{z}$
- $\{ \text{functions } \mathbb{R} \rightarrow \mathbb{R} \} \rightarrow \{ \text{functions } \mathbb{R} \rightarrow \mathbb{R} \}$  via  $f(x) \mapsto f(-x)$
- ||
- $\text{Fun}(\mathbb{R}, \mathbb{R})$

These all have the same feature - do it twice and you end up doing nothing.

Overall  $C_2$  the cyclic group of order 2,  
say  $C_2 = \{e, g\}$ . Then  $g^2 = e$ .

These represent Symmetries of

- $\mathbb{R}^n$
- $M_n(\mathbb{R})$
- $C$
- $\text{Fun}(\mathbb{R}, \mathbb{R})$

and the structure of these symmetries is the same  
for all of them. We say  $C_2$  acts on

- $\mathbb{R}^n$  via reflection about a hyperplane  $H$
- $M_n(\mathbb{R})$  via transposition
- $C$  via conjugation
- $\text{Fun}(\mathbb{R}, \mathbb{R})$  via  $f(x) \mapsto f(-x)$

Thus  $\mathbb{C}_2$  endures this "style" of Symmetries.  
So we can analyze them in a uniform way.

For instance, we will call all the above maps " $g$ "

$$g: \mathbb{R}^n \rightarrow \mathbb{R}^n$$

$$g: M_n(\mathbb{R}) \rightarrow M_n(\mathbb{R})$$

$$g: \mathbb{C} \rightarrow \mathbb{C}$$

$$g: F_n(\mathbb{R}, \mathbb{R}) \rightarrow F_n(\mathbb{R}, \mathbb{R})$$

$$\text{id} = g \circ g \quad (= g^2)$$

Rmk. If we look  
 $g: GL_n(\mathbb{R}) \rightarrow GL_n(\mathbb{R})$   
via  $A \mapsto A^{-1}$ , this  
"averaging" would fail, since  
we can't add in  $GL_n(\mathbb{R})$ .

Consider, in each case

$$\underbrace{x + g(x)}_{2} \quad \text{"averaging over $\mathbb{C}$"}$$

$$- v \mapsto \underbrace{v + gv}_{2} = \text{projection of } v \text{ onto } H$$

$$- A \mapsto \underbrace{A + A^t}_{2}, \text{ a symmetric matrix}$$

= projection onto  $\text{Sym}_n(\mathbb{R}) \subseteq M_n(\mathbb{R})$

$$- z \mapsto \underbrace{z + \overline{z}}_{2} = \text{Re}(z)$$

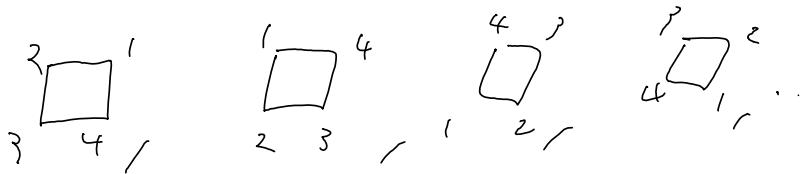
$$- f \mapsto \underbrace{f(x) + f(-x)}_{2} \quad \text{an even function}$$

= projection onto  $\text{Even}(\mathbb{R}, \mathbb{R}) \subseteq F_n(\mathbb{R}, \mathbb{R})$

This uniform procedure on these  $\mathbb{C}_2$  symmetries  
afforded us a way to find the "fixed axis" of these  
symmetries.

Consider

- powers of  $i : i, -1, -i, 1, \dots$
- derivatives of  $\sin(x) : \sin(x), \cos(x), -\sin(x), -\cos(x), \dots$
- rotations of a square



These are all 4-periodic.

Let  $C_4 = \langle g \rangle$  be the cyclic group of order 4.

Then  $C_4$  acts on the above

$$\begin{aligned} - g : \{i, -1, -i, 1\} &\longrightarrow \{i, -1, -i, 1\} \\ &\xrightarrow{\text{def}} i \alpha \end{aligned}$$

$$\begin{aligned} - g : \{\sin(x), \cos(x), -\sin(x), -\cos(x)\} &\longrightarrow \{\dots\} \\ &\xrightarrow{\text{def}} f' \end{aligned}$$

$$\begin{aligned} - g : \left\{ \begin{smallmatrix} 3 \\ 1 \\ 4 \\ 2 \end{smallmatrix} \right\} &\longrightarrow \left\{ \begin{smallmatrix} 1 \\ 3 \\ 2 \\ 4 \end{smallmatrix} \right\} \\ &\xrightarrow{\text{rotate by } 90^\circ \text{ counter} } \end{aligned}$$

so this style of symmetry is encoded in  $C_4$ .

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So by an "action" of a group  $G$  on a set  $S$ , we mean a way to interpret elements of  $G$  as symmetries of  $S$ .

Formally, this is a map  $G \rightarrow \mathcal{S}(S)$ .

Consider  $S_n = \{ \text{bijective } f: \{1, \dots, n\} \rightarrow \{1, \dots, n\} \}$ .

Consequently,  $S_n$  acts on  $\mathbb{R}^{1, \dots, n}$ .

Let  $\sigma \in S_n$ . Then  $\sigma$  yields

$$\{1, \dots, n\} \xrightarrow{\quad} \{1, \dots, n\}$$

Consider

$$\begin{aligned} \sigma: \mathbb{R}^n &\longrightarrow \mathbb{R}^n \\ \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} &\longmapsto \begin{pmatrix} x_{\sigma(1)} \\ \vdots \\ x_{\sigma(n)} \end{pmatrix} \end{aligned}$$

or

$$\begin{aligned} \sigma: \mathbb{R}[x_1, \dots, x_n] &\longrightarrow \mathbb{R}[x_1, \dots, x_n] \\ f(x_1, \dots, x_n) &\longmapsto f(x_{\sigma(1)}, \dots, x_{\sigma(n)}) \end{aligned}$$

$$\text{Rmk. } \sum_{i=1}^n x_i, \sum_{i < j} x_i x_j, \sum_{i < j < k} x_i x_j x_k, \dots, \prod_{i=1}^n x_i$$

are all fixed by the  $S_n$  action on  $\mathbb{R}[x_1, \dots, x_n]$

Can we classify all fixed polynomials?

Cf. "Fundamental theorem of symmetric polynomials"

$GL_n(\mathbb{R})$  acts on  $\mathbb{R}^n$

Let  $A \in GL_n(\mathbb{R})$

$$\rightsquigarrow A: \mathbb{R}^n \longrightarrow \mathbb{R}^n$$
$$v \longmapsto Av$$

Also on  $\mathbb{R}[x_1, \dots, x_n]$  via

$$A: \mathbb{R}[x_1, \dots, x_n] \longrightarrow \mathbb{R}[x_1, \dots, x_n]$$
$$f\left(\begin{matrix} x_1 \\ \vdots \\ x_n \end{matrix}\right) \longmapsto f\left(A\left(\begin{matrix} x_1 \\ \vdots \\ x_n \end{matrix}\right)\right)$$

Rank,  $S_n \hookrightarrow GL_n(\mathbb{R})$  via permutation matrices; a permutation  $\sigma \in S_n$  induces a matrix  $A_\sigma$  whose  $i^{\text{th}}$  column is  $v_{\sigma(i)}$ .  
This allows the previously  $S_n$  actions to align with these  $GL_n(\mathbb{R})$  actions.

Application: Fermat's little theorem

Theorem. Let  $p$  be prime and  $a \in \mathbb{Z}$ . Then  
 $a^p \equiv a \pmod{p}$ .

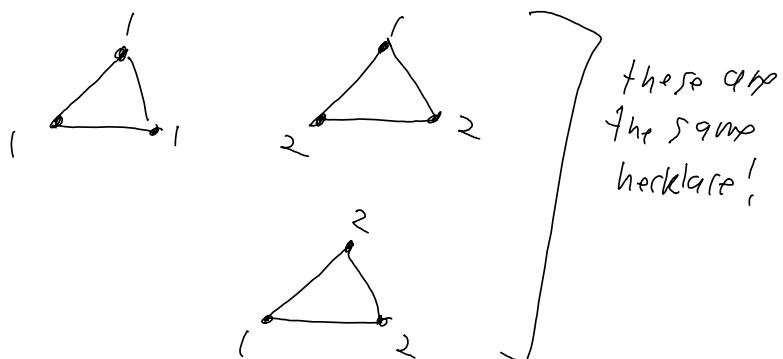
Rmk. You can't use this one on  $\mathbb{H} \cup \mathbb{Z}$ !

Pf. WLOG take  $a > 0$ .

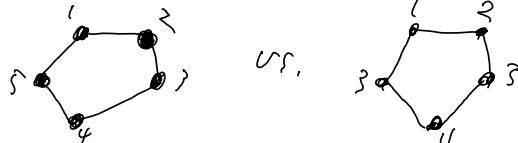
$$\left( \begin{array}{l} \text{If } p \text{ odd, } (-a^p) = -a^p \\ \text{If } p=2, \quad -1 \equiv 1 \pmod{2} \end{array} \right)$$

Consider the set of necklaces with  $p$  many beads, each labeled by a symbol  $\{1, 2, \dots, q\}$ .

e.g.  $p=3 \quad a=2$



We allow rotations but not reflections



Fact, there are an integer number of necklaces

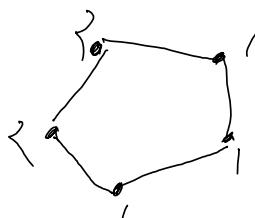
P.S. yup

We will count the number of necklaces.

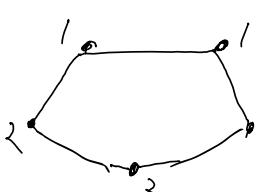
How?

Notice that a necklace can be described as a string of length  $p$  with alphabet  $\{1, 2, \dots, q\}$ .

e.g., 1 2 2 1 1  $\rightsquigarrow$



but 1 1 2 2 1  $\rightsquigarrow$



which is the same necklace!

Note. There are a<sup>p</sup> total such strings

There are q strings with all letters

repeated

|||||

22222

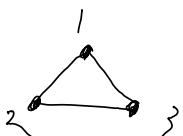
33333

so there are  $\boxed{q^p - q}$  many strings with at least two distinct labels

(Claim.) There are  $\frac{q^p - q}{p}$  many necklaces with at least two distinct labels.

Indeed, given a necklace, what are the possible strings encoding it? These are precisely the cyclic permutations of one specific choice of a string.

For example,



, the valid strings

are 123, 213, 312

In other words,  $C_p$  acts on the set of all such strings. Strings like |||||, 22222, 33333 are fixed points of this action.

Consider a fixed string  $S$ . Let  $H$  be the subgroup  $\{\alpha \in C_p \mid \alpha S = S\}$ .

By Lagrange's theorem,  $|H| \mid p$ , so  $|H| = 1$  or  $|H| = p$ .  
 $|H|=p$  means everything fixes  $S$ , which only occurs for  $1111, 2222$ , etc.

Hence,  $|H|=1$ , i.e. only the identity fixes  $S$ . That is,  $\{S, \alpha S, \alpha^2 S, \dots, \alpha^{p-1} S\}$  has  $p$  elements, and is precisely the set of labels for the corresponding necklace.

That is, consider

$$\{ \text{strings} \} \xrightarrow{f} \{ \text{necklaces} \}, \text{ a surjection}$$

Given a necklace  $N$  represented by strings  $S$ , the preimage  $f^{-1}[N]$  is  $\{S, \alpha S, \dots, \alpha^{p-1} S\}$ , the orbit of  $S$  under  $C_p$ .

Thus,  $\{ \text{strings w/ at least 2 distinct labels} \}$



$\{ \text{necklaces w/ at least 2 distinct labels} \}$   
 is a surjection where all fibers have  $p$  elements.  $\square$