

# Intro to the moduli stack of curves

## § Intro

Alg-geom fr<sup>i</sup> objects are often parameterized by other alg-geom fr<sup>i</sup> objects.  
e.g.  $\mathbb{P}^r$  parameterizes conic sections

We call them moduli spaces.

Goal: construct and analyze the moduli space of smooth genus g curves via integral projection

So  $M_g$  must be a "space".

e.g.,  $\mathbb{P}^r$  has meaningful geometry, informing us about

the space of plane conics.

$$\begin{array}{ccc} \text{Spec } k & \xrightarrow{\quad} & \mathbb{P}^5 \\ \mathbb{P}^1 & \xrightarrow{\quad} & \mathbb{P}^5 \end{array} \quad \begin{array}{c} \hookrightarrow \text{conic sections } \mathcal{R} \\ \hookrightarrow \text{pencil of plane conics} \end{array}$$

So similarly, we ought to say things like

$$\begin{array}{ccc} \text{Spec } k & \xrightarrow{\quad} & M_g \\ \mathbb{P}^1 & \xrightarrow{\quad} & M_g \end{array} \quad \begin{array}{c} \hookrightarrow \text{curves of genus } g \\ \hookrightarrow \text{pencil of curves of genus } g \end{array}$$

# § Moduli functors

We proceed purely formally.

Def.  $M_g : \text{Sch}^{\text{op}} \rightarrow \text{Set}$

$$S \mapsto \left\{ \mathcal{E} \rightarrow S \text{ smooth, proper w/ geometric fibers } \begin{cases} \text{genus } g \text{ smooth curves} \end{cases} \right\} / \cong$$

so  $M_g(k) = \{ \text{genus } g \text{ smooth curves}/k \} / \cong$

$M_g(\mathbb{P}^1) = \{ \mathbb{P}^1 - \text{families of genus } g \text{ curves} \} / \cong$

e.g. -  $X$  a scheme,  $\underline{X} : \text{Sch}^{\text{op}} \rightarrow \text{Set}$

$$S \mapsto \text{Sch}(S, X)$$

-  $VR_{C, r, d}(S) = \{ \text{vector bundles on } C \times S \text{ w/ rank } r \text{ and degree } d \} / \cong$

where  $C$  is a smooth curve

-  $Gr_{k, n}(S) = \{ \mathcal{O}_S^{n+1} \xrightarrow{\sim} \mathbb{P}^1 / \text{locally free of rank } k \} / \cong$

-  $BG(S) = \{ \text{princ. } G\text{-bundles on } S \} / \cong$   
G on alg., group

## Yoneda lemma

Let  $\mathcal{C}$  be a category,  $X \in \text{Ob}(\mathcal{C})$ ,  $F : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$

Then  $\text{Nat}(\underline{X}, F) \xleftrightarrow{\sim} F(X)$

$$\eta \mapsto \eta_X(\text{id}_X)$$

$(f \mapsto F(f)(c)) \xleftarrow{\sim} c$

Thus, we can formally write  $P_1^q$ ,

$$\underline{P}^q \implies Mg$$

as a pencil of curves or

$$\underline{\text{spec } k} \implies Mg$$

as a curve  $/k$

Universal families

Yoneda says that representable functors are dominated by id of the representing object.

e.g.  $F: \mathcal{S}ch^{op} \longrightarrow \text{Set}$

$$S \mapsto \{ (L, s_0, \dots, s_n) / \begin{array}{l} L \text{ inv. shear on } S \\ \text{globally generated by } s_0, \dots, s_n \end{array} \}$$

we know a point in  $F(P^n) \ni (\mathcal{O}(1), x_0, \dots, x_n)$

Thus, we get a map

$$P^n \implies F$$

$$\text{id}_{P^n} \longrightarrow (\mathcal{O}(1), x_0, \dots, x_n)$$

$$f: P^n \longrightarrow (f^*\mathcal{O}(1), f^*x_0, \dots, f^*x_n)$$

Fact. This is a nat. iso.

We call  $(\mathcal{O}(1), x_0, \dots, x_n)$  the universal family of  $F$ .

e.g., In topology we have  $BG$  classifying principal  $G$ -bundles of a space  $X$ . There's a universal family  $E G \rightarrow BG$ . For  $G = GL_n$ ,  $BGL_n$  classifies rank  $n$  vector bundles.

Recall that Chern classes are a map  $K_0 \Rightarrow H^*(-; \mathbb{Z})$ .  
 $K_0$  in degree  $n$  is rep. by  $BGL_n$ , so to get this map it suffices to compute  $H^*(BGL_n; \mathbb{Z})$ . This is known to be  $\mathbb{Z}[\sigma_1, \dots, \sigma_n]$  w/  $\sigma_i$  the elementary symmetric polynomials. Hence, we've defined Chern classes!

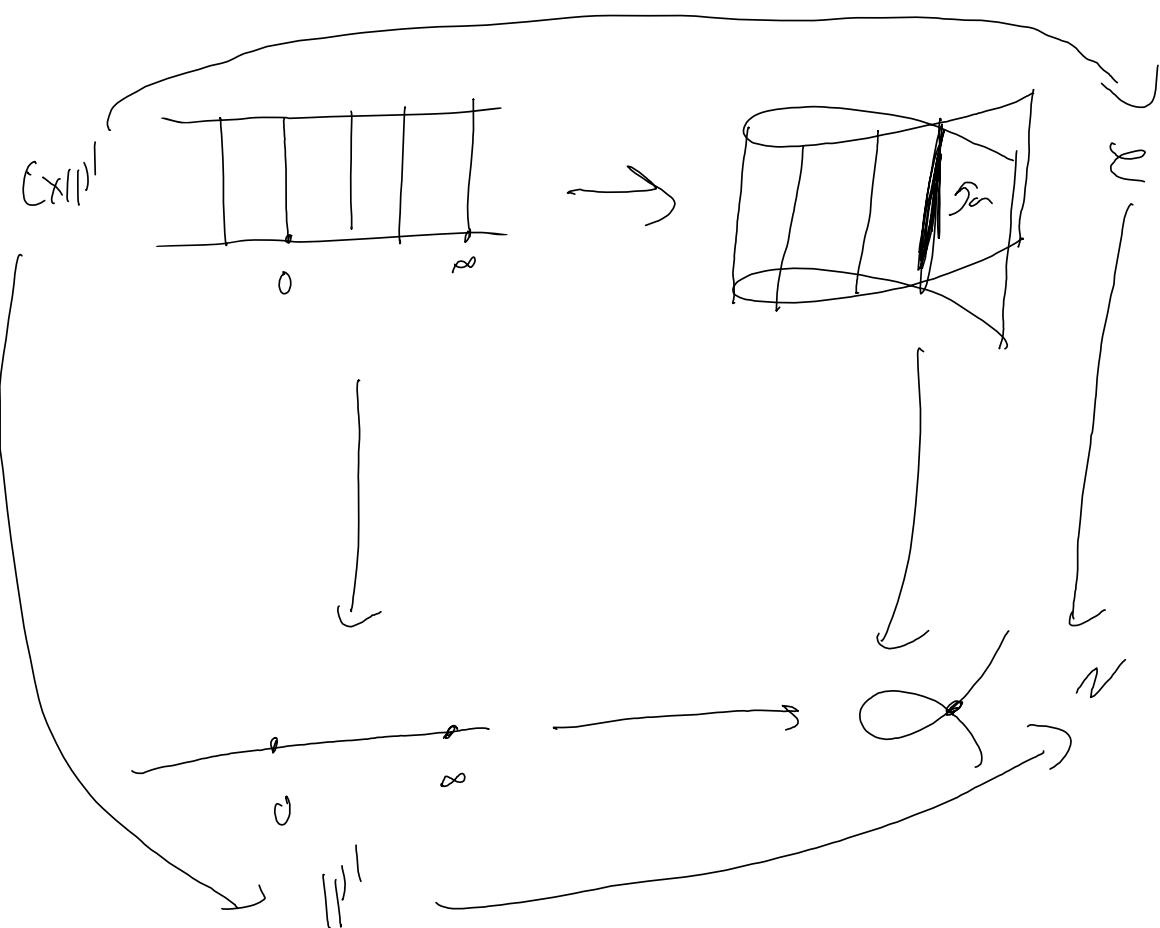
Prop.  $M_g$  is not representable by a scheme.  
Pr., suppose  $M_g \cong \underline{M}_g$ . Then there is a universal family  $U_g \rightarrow \underline{M}_g$ .

Let's first change everything to  $\mathbb{C}$ .  
Let  $C$  be a smooth genus  $g$  curve with a nontrivial

automorphism  $\sigma$ .

$$\text{Let } \Sigma = \frac{(X \times \mathbb{P}^1)}{(x, \omega) \sim (\sigma(x), \omega)}$$

$$N = \mathbb{P}^1 / \{0 \sim \infty\}$$



By universality,

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{\quad} & \mathbb{M}_g \\ \downarrow & & \downarrow \\ N & \xrightarrow{\quad} & \mathbb{M}_g \end{array}$$

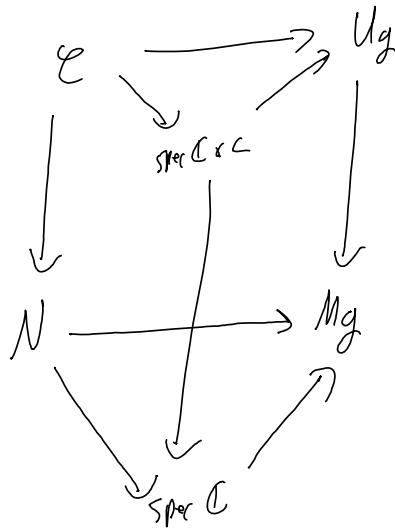
$\mathbb{C}$  is isomorphic, i.e., all fibers are isomorphic to  $\mathbb{C}$ .

The iso class of  $\mathbb{C}$  is given by a map  $\text{Spec } \mathbb{C} \rightarrow \mathbb{M}_g$ ,

so we have  $N \xrightarrow{\quad} \mathbb{M}_g$

and factorization  $\text{Spec } \mathbb{C} \rightarrow N \xrightarrow{\quad} \mathbb{M}_g$

Thus



so  $E \rightarrow N$  is trivial  ~~$\times$~~   $\square$

Rmk. This says that  $Mg$  is not a sheaf in Sch Zar,  
but representable schemes are Zariski sheaves.  
Fay's gluing scheme requires equality in triple cocycles,  
but sheaves only for double intersections.

$$F(U_{\alpha i}) \rightarrow \prod_i F(U_i) \rightarrow \prod_{i,j} F(U_i \cap U_j) \left( \xrightarrow{\quad} \prod_{i,j,k} F(U_{i,j} \cap U_k) \right)$$

idea: stacks are sheaves valued in groupoid or  
another  $(2,1)$  category.

{ Examples }

i) Let  $p \in \mathbb{Q}[x]$ .

$$\text{Def. } \text{Hilb}_n^P : \text{Sch}^{\text{op}} \longrightarrow \text{Set}$$

$$S \longmapsto \left\{ \begin{array}{l} S \times \mathbb{P}^n \\ \downarrow \text{flat} \end{array} \right| \begin{array}{l} \text{fibers are subvarieties} \\ \text{of } \mathbb{P}^n \text{ w/ Hilbert} \\ \text{polynomial } P \end{array} \right\} / \cong$$

Fact. This is represented by a scheme  $\text{Hilb}_n^P$  which is  
projecting over  $\text{Spec } \mathbb{Z}$ .

i)  $\text{Hur}_{d,g} : \text{Sch}^{\text{op}} \longrightarrow \text{Set}$

$$S \longmapsto \left\{ \begin{array}{l} \text{branched} \\ \text{covers} \\ \hookrightarrow \text{ips} \end{array} \right| \begin{array}{l} \text{w/ branch locus} \\ \deg d \text{ and genus } g \end{array} \right\} / \cong$$

Fact. Repr. by a scheme  $\text{Hur}_{d,g}$  projection over  $\text{Spec } \mathbb{Z}$ .

## Complex analytic cases

$$\begin{array}{ccc} \text{Hur}_{d,g}(\mathbb{C}) & \xrightarrow{\pi} & \text{Sym}^{2d+2g-2}\mathbb{P}^1(\mathbb{C}) \\ f \longmapsto & & \text{branch locus of } f \end{array}$$

This is a finite dominant map.

Restrict to  $U \subseteq \text{Sym}^{2d+2g-2}\mathbb{P}^1(\mathbb{C})$  consisting of  $\{p_1, \dots, p_{2d+2g-2}\}$  where all  $p_i$  are distinct. e.g., if a point has a mult.  $> d$ , it can't be a branch locus.

$\pi^{-1}[U]$  is a finite covering so we make  $\pi^{-1}[U]$  into a complex manifold as such,

$$\begin{array}{ccccc} \text{Hur}_{d,c} & \longrightarrow & \text{Hur}_{d,g}(\mathbb{C}) & \xrightarrow{\pi} & \text{Sym}^{2d+2g-2}\mathbb{P}^1(\mathbb{C}) \\ \text{fiber} \uparrow & & \downarrow & & \downarrow \\ C \in \mathcal{M}_g(\mathbb{C}) & & C & & \end{array}$$

By the above,  $\dim \text{Hur}_{d,g}(\mathbb{C}) = \dim \pi^{-1}[U] = \dim U = \dim \text{Sym}^{2d+2g-2}\mathbb{P}^1(\mathbb{C}) = 2d+2g-2$

What is  $\dim \mathcal{H}^n_{d, C}$ ?

Given  $C$ , how to derive ( $\longrightarrow \mathbb{P}^1$ )

- Pick an effective divisor  $D$  of degree  $d$

$\text{Sym}^d(C)$  many

- Given  $D$ , pick a factor

$\mathcal{H}^0(C, \mathcal{O}(D))$  many

$= d - g + 1$  by RR (for  $d > 2g$ )

$\therefore \dim \mathcal{H}^n_{d, C} = d + (d - g + 1)$

$$\therefore \dim M_g(C) = (2d + 2g - 5) - (d + (d - g + 1)) \\ \simeq 3g - 3$$

Also, a monodromy analysis of  $\mathcal{H}^n_{d, g}(C) \rightarrow \text{Sym}^{2d+2g-5} \mathbb{P}^1(C)$

shows connectedness. As it's smooth, it's irreducible.

Thus,  $M_g(C)$  is irreducible.