

# \* Proof of non-vanishing theorem

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\*We give a sketch of the proof of the non-vanishing theorem. To study Zariski density in  $\mathbb{G}_m^d(\overline{\mathbb{Q}}_\ell)$ , we consider a discrete valuation ring  $W_\ell \subset \mathbb{C}_\ell$  finite over  $W(\overline{\mathbb{F}}_\ell)$ . Recall  $\mathcal{X} = \mathcal{X}_v := \{\chi \mid \int_{C^{\overline{\mathbb{F}}_\ell}} \chi \psi d\varphi_f \neq 0 \text{ for some } n, v(\chi) = v\}$  and the sequence  $\underline{n} := \{\min(m) \mid \chi \text{ factors through } \Gamma_m \text{ for } \chi \notin \mathcal{X}\}$  defines  $\Xi = \{\mathfrak{s}(\mathcal{A}) \mid [\mathcal{A}] \in \bigsqcup_{n \in \underline{n}} \text{Ker}(\Gamma_n \rightarrow \Gamma_j)\}$  for some  $j \geq r$ . We show that  $\underline{n}$  contains an arithmetic progression if  $\dim \overline{\mathcal{X}} < d$  for the Zariski closure  $\overline{\mathcal{X}}$  in  $\mathbb{G}_m^d$ .

§0. **Case**  $\dim \bar{\mathcal{X}} = 0$  (and  $\Gamma \cong \mathbb{Z}_\ell$ ). We can take  $j := r$  for  $r$  given by  $\ell^r \parallel |\mathbb{F}_p[f, \lambda, \psi, \mu_\ell]^\times|$ . If the Zariski closure  $\bar{\mathcal{X}}$  has **dimension 0**, it is a finite set stable under  $t \mapsto t^P$  ( $\mathbb{F}_P = \mathbb{F}_p[f, \lambda, \psi, \mu_\ell]$ ); so, there exists an integer  $N$  such that if the order of  $\chi$  is larger than  $\ell^N$ , we have  $\int_{Cl_n^-} \chi \psi d\varphi_f = 0$  for all  $n$ . Let  $0 < m_0 \in \mathbb{Z}$  be the minimal integer such that  $\Gamma^{m_0} = (\varphi\varphi^c)$  with  $\varphi \in R$ . Thus there exists a positive integer  $N'$  such that  $\underline{n} \supset \{n \in m_0\mathbb{Z} \mid n \geq N'\}$ , which is an arithmetic progression; so, the assertion follows from Density theorem **if**  $\Gamma \cong \mathbb{Z}_\ell$ .

Assume  $0 < \dim \bar{\mathcal{X}} < d$ ; so,  $O_\Gamma \neq \mathbb{Z}_\ell$  and  $\dim \bar{\mathcal{X}} < d$ . We have  $\chi^{\sigma^m} = \chi^{P^m} \in \mathcal{X}$  if  $\chi \in \mathcal{X}$ ; i.e.,  $\mathcal{X}$  is  **$\sigma$ -stable**.

1. we may not have lower bound  $\ell^N$  of the order of  $\chi \in \mathcal{X}$ ,
2. the Galois action  $\chi \mapsto \chi^{P^m}$   $m \in \mathbb{Z}$  only covers 1-dimensional segment starting with  $\chi$ . In other words,  $\text{Tr}_{\mathbb{F}_P[\chi]/\mathbb{F}_P} \circ \chi$  only factor through  $\text{Ker}(\chi|_{\Gamma_n})\Gamma_n[\ell^r]$  whose order grows dependent on  $n$  (not a finite bounded sum of  $f|\alpha(u/\varpi_\Gamma^r)([A])$  over  $u \in O/\ell^r$ ).

These are two points of difficulty which have to be addressed.

## §1. Rigidity of torus.

Let  $W_\ell$  be a discrete valuation ring finite flat over  $W(\overline{\mathbb{F}}_\ell)$ . We state the following theorem, which is a key to show that  $\underline{n}$  contains arithmetic progression.

**Rigidity Theorem.** *Let  $X = \mathrm{Spf}(\mathcal{T})$  be a closed formal subscheme of  $\hat{G} = \hat{\mathbb{G}}_{m/W_\ell}^n$  flat geometrically irreducible over  $W_\ell$  (i.e.,  $\mathcal{T} \cap \overline{\mathbb{Q}}_\ell = W_\ell$ ). Suppose there exists an open subgroup  $U$  of  $\mathbb{Z}_\ell^\times$  such that  $X$  is stable under the action  $\hat{G} \ni t \mapsto t^u \in \hat{G}$  for all  $u \in U$ . If  $X$  contains a Zariski dense subset  $\Omega \subset X(\mathbb{C}_\ell) \cap \mu_{\ell^\infty}^n(\mathbb{C}_\ell)$ , then there exist  $\omega \in \Omega$  and a formal subtorus  $T$  such that  $X = T\omega$ .*

If time permits, we describe a sketch of the proof at the end (see my papers: JAMS **24** (2011) Rigidity lemma and Contemporary Math. **614** (2014) Lemma 4.1).

§2. **Use of rigidity.** Let, for a class  $v \in O/\mathfrak{p}^j$  for  $j \geq r$ ,

$$\mathcal{X} = \mathcal{X}_v := \{\chi \in \text{Hom}(\Gamma, \mu_{\ell^\infty}) \mid \int_{Cl_n^-} \chi \psi d\varphi_f \neq 0 \exists n, v(\chi) = v\}$$

$$\mathcal{Z} = \mathcal{Z}_v := \{\chi \in \text{Hom}(\Gamma, \mu_{\ell^\infty}) \mid \int_{Cl_n^-} \chi \psi d\varphi_f = 0 \forall n, v(\chi) = v\}$$

Write  $\widehat{\mathcal{X}}$  for the formal Zariski closure of  $\mathcal{X}$  in  $\widehat{\mathbb{G}}_{m/W_\ell}^d$ . Assume  $\dim_{W_\ell} \widehat{\mathcal{X}} < d$  which leads to absurdity. Note  $\dim_{W_\ell} \widehat{\mathcal{X}} = \dim \overline{\mathcal{X}}$ .

**Overcoming reducibility:** Since  $\chi \in \mathcal{X} \Rightarrow \chi^\sigma \in \mathcal{X}$  for  $\sigma = \text{Frob} \in \text{Gal}(\mathbb{F}/\mathbb{F}_{p^r})$ ,  $\sigma$  permutes irreducible components of  $\widehat{\mathcal{X}}$ . Thus each irreducible component is fixed by some  $\tau := \sigma^j$  for  $j > 0$ . Note  $\sigma(x) = x^P$  for  $P = p^r$ , and put  $\mathbf{P} = P^j$ . Since  $P \equiv 1 \pmod{\ell^r}$  with  $r > 0$ , we have  $\mathbf{P} \equiv 1 \pmod{\ell}$ . Since each irreducible component is formal, it is stable under  $\tau^{\mathbb{Z}_\ell}$  which is an open subgroup of  $\mathbb{Z}_\ell^\times$ . By Rigidity Theorem, each irreducible component of  $\widehat{\mathcal{X}}$  is of the form  $\omega T$  for a subtorus  $T$ . Then  $\widehat{\mathcal{X}} = \bigcup_{i \in J} \omega_i T_i$  for a finite index set  $J$  with  $\omega_i \in \Omega$  and subtori  $T_i$ . The argument has been given if  $\dim_{W_\ell} \widehat{\mathcal{X}} = \dim \overline{\mathcal{X}} = 0$ ; so, assume  $0 < \dim_{W_\ell} \widehat{\mathcal{X}} < d$ .

**§3. Tubular neighborhood.** Replacing  $\widehat{\mathbb{G}}_m^d$  by  $\widehat{\mathbb{G}}_m^d / \langle \omega_j \rangle_j$ , we may assume that  $\omega_i = 1$  for all  $i$ . Let  $V_i$  be the  $\mathbb{Q}_\ell$ -span of the Tate module of  $T_i$  as a subspace of  $V := \mathbb{Q}_\ell(1)^d$ . Since  $0 < \dim V_i < d$ , we claim to find a basis  $B := \{e_1, \dots, e_d\}$  of  $\mathbb{Z}_\ell(1)^d$  such that  $B' := B \cup \{e := \sum_i e_i\}$  is outside  $\cup_i V_i$ . Since  $d > 1$ , the set  $\{B \in \mathrm{GL}_d(\mathbb{Z}_\ell) \mid B' \cap \cup_i V_i \neq \emptyset\}$  is a proper closed subset of  $\mathrm{GL}_d(\mathbb{Z}_\ell)$  of dimension  $d^2 - \max_i(\dim V_i) < d^2 = \dim \mathrm{GL}(d)$ . This shows a plenty of the choice  $B$ .

Let  $\Gamma_{\mathbf{P}} = \mathbf{P}^{\mathbb{Z}_\ell}$ . Then  $U := \Gamma_{\mathbf{P}}e_1 + \dots + \Gamma_{\mathbf{P}}e_d$  is an open tubular neighborhood of the line  $\mathbb{Z}_\ell \cdot e$ . By replacing  $\mathbf{P}$  by its power (i.e., shrinking  $U$ ), the image  $\mathrm{Cone}(U)$  of  $\cup_{u \in U} \mathbb{Q}_\ell \cdot u$  in  $(\mathbb{Q}_\ell(1)/\mathbb{Z}_\ell(1))^d$  is disjoint from  $\mathcal{X}[\ell^{N'}]^\times = \mathcal{X}[\ell^{N'}] - \mathcal{X}[\ell^{N'-1}]$  for all sufficiently large  $N' > 0$ .

#### §4. Proof of non-vanishing theorem.

Let  $Cone(U)[\ell^M]^\times$  be the set of order  $\ell^M$  elements in  $Cone(U)$  and  $\chi_i$  be the order  $\ell^M$  element corresponding to  $\frac{1}{\ell^M}e_i$ . Write  $\mathbf{P} = p^j$  ( $j \geq r$ ) and define  $\mathcal{Z} = \mathcal{Z}_v$  for  $v \in O/\mathfrak{l}^j$ . Then for  $M \geq N'$ , writing  $m := \dim_{\mathbb{F}_P} \mathbb{F}_P[\mu_{\ell^M}]$

$$Cone(U)[\ell^M]^\times = \left\{ \prod_{i=1}^d \chi_i^{u_i} \mid u_i \in \Gamma_{\mathbf{P}} \right\} = \left\{ \prod_{i=1}^d \chi_i^{\mathbf{P}^{m_i}} \mid 0 \leq m_i \leq m-1 \right\} \subset \mathcal{Z}.$$

Thus if  $\chi|_{\Gamma_n[\mathfrak{l}^j]} = \chi_v$  for  $v \in O/\mathfrak{l}^j$ ,

$$\sum_{\chi \in Cone(U)[\ell^M]^\times} \chi = \prod_{i=1}^d \text{Tr}_{\mathbb{F}_P[\mu_{\ell^M}]/\mathbb{F}_P}(\chi_i) \stackrel{\text{Trace rel.}}{=} [\mathbb{F}_P[\mu_{\ell^M}] : \mathbb{F}_P]^d \chi_v,$$

where  $\chi_v = 0$  outside  $\Gamma_n[\mathfrak{l}^j]$ . **This  $j$  depends on  $\mathfrak{l}$  and  $\Xi$  contains  $\underline{n} = \{n \in \mathbb{Z} \mid n \geq N'\}$ .** Thus if  $a(\xi, f) \neq 0$  for  $(\xi \pmod{\mathfrak{l}^j}) = -v$ , we get the contradiction.  $\square$

**§5. Preliminary to the proof of Rigidity Theorem.** The regular locus of  $X^\circ$  is open dense in the generic fiber  $\text{Spec}(\mathcal{T})/K$  (for the field  $K = \text{Frac}(W)$  for  $W = W_\ell$ ). Then  $\Omega^\circ := X^\circ \cap \Omega$  is Zariski dense in  $\text{Spec}(\mathcal{T})/K$ . Write  $X^s := \text{Spec}(\mathcal{T})/K - X^\circ$  (the singular locus). The stabilizer  $U_\zeta$  of  $\zeta \in \Omega$  in  $U$  is an open subgroup of  $U$ . By  $t \mapsto t\zeta^{-1}$ , we assume that the identity  $1 \in \Omega^\circ$ .

By adding subscript *an*,  $X_{an}$  denotes the rigid analytic spaces associated to  $X$ . Then  $X_{an}^\circ = X_{an} - X_{an}^s$  is an open rigid analytic subspace of  $X_{an}$ . Apply the logarithm  $\log : \widehat{G}^{an}(\mathbb{C}_\ell) \rightarrow \mathbb{C}_\ell^n = \text{Lie}(\widehat{G}_{/\mathbb{C}_\ell}^{an})$  sending  $(t_j)_j \in \widehat{G}^{an}(\mathbb{C}_\ell)$  to  $(\log_\ell(t_j))_j \in \mathbb{C}_\ell^n$  for the  $\ell$ -adic logarithm map  $\log_\ell : \mathbb{C}_\ell^\times \rightarrow \mathbb{C}_\ell$ . Then for each smooth point  $x \in X^\circ(W)$ , taking a small analytic open ball  $G_x$  centered at  $x$  in  $\widehat{G}_{an}$  so that  $V_x = G_x \cap X^\circ(W)$  for a  $d$ -dimensional open ball in  $X^\circ(W)$  centered at  $x \in X^\circ(W)$ . Then  $\log(X^\circ(W))$  contains the origin  $0 \in \mathbb{C}_\ell^n$ . Take  $\zeta \in \Omega^\circ$ . Write  $T_\zeta$  for the Tangent space at  $\zeta$  of  $X$ . Then  $X_\zeta \cong W^d$  for  $d = \dim_W X$ . The space  $T_\zeta \otimes_W \mathbb{C}_\ell$  is canonically isomorphic to the tangent space  $T_0$  of  $\log(V_\zeta)$  at 0.

**§6. Proof in case:**  $\dim_W X = 1$ . If  $\dim_W X = 1$ , there exists an infinite order element  $t_1 \in X(W)$ . We write  $U = (1 + \ell^m \mathbb{Z}_\ell)$  for  $0 < m \in \mathbb{Z}$ . Then  $X$  is the (formal) Zariski closure  $\overline{t_1^U}$  of

$$t_1^U = \{t_1^{1+\ell^m z} \mid z \in \mathbb{Z}_\ell\} = t_1 \{t_1^{\ell^m z} \mid z \in \mathbb{Z}_\ell\},$$

which is a coset of a formal subgroup  $Z$ . Since  $t_1^U$  is an infinite set, we have  $\dim_W Z > 0$ . From irreducibility and  $\dim_W X = 1$ , we conclude  $X = t_1 Z$  and  $Z \cong \widehat{\mathbb{G}}_m$ . Since  $X$  contains roots of unity  $\zeta \in \Omega \subset \mu_{\ell^\infty}^n(W)$ , we confirm that  $X = \zeta Z$  for  $\zeta \in \Omega \cap \mu_{\ell^{m'}}^n$  for  $m' \gg 0$ . Replacing  $t_1$  by  $t_1^{\ell^m}$  for  $m$  as above if necessary, we have the translation  $\mathbb{Z}_\ell \ni s \mapsto \zeta t_1^s \in Z$  of one parameter subgroup  $\mathbb{Z}_\ell \ni s \mapsto t_1^s$ . Thus we have  $\log(t_1) = \frac{dt_1^s}{ds} \Big|_{s=0} \in T_\zeta$ , which is sent by “ $\log : \widehat{G} \rightarrow \mathbb{C}_\ell^n$ ” to  $\log(t_1) \in T_0$ . This implies that  $\log(t_1) \in T_0$  and hence  $\log(t_1) \in T_\zeta$  for any  $\zeta \in \Omega^\circ$  (under the identification of the tangent space at any  $x \in \widehat{G}$  with  $Lie(\widehat{G})$ ). Therefore  $T_\zeta$ 's over  $\zeta \in \Omega^\circ$  can be identified canonically.



**§7. Proof in case  $\dim_W X > 1$ .** Consider the Zariski closure  $Y$  of  $t^U$  for an infinite order element  $t \in V_\zeta$  (for  $\zeta \in \Omega^\circ$ ). Since  $U$  permutes finitely many geometrically irreducible components, each component of  $Y$  is stable under an open subgroup of  $U$ . Therefore  $Y = \bigcup_j \zeta_j \mathbb{T}_j$  is a union of formal subtori  $\mathbb{T}_j$  of dimension  $\leq 1$ , where  $\zeta_j$  runs over a finite set inside  $\mu_{\ell^\infty}^n(\mathbb{C}_\ell) \cap X(\mathbb{C}_\ell)$ . Since  $\dim_W Y = 1$ , we can pick  $\mathbb{T}_j$  of dimension 1 which we denote simply by  $\mathbb{T}$ . Then  $\mathbb{T}$  contains  $t^u$  for some  $u \in U$ . Applying the argument in the case of  $\dim_W X = 1$  to  $\mathbb{T}$ , we find  $u \log(t) = \log(t^u) \in T_\zeta$ ; so,  $\log(t) \in T_\zeta$  for any  $\zeta \in \Omega^\circ$  and  $t \in V_\zeta$ . Summarizing our argument, we have found

(T) The Zariski closure of  $t^U$  in  $X$  for an element  $t \in V_\zeta$  of infinite order contains a coset  $\xi \mathbb{T}$  of one dimensional subtorus  $\mathbb{T}$ ,  $\xi^{\ell^{m'}} = 1$  and  $t^{\ell^{m'}} \in \mathbb{T}$  for some  $m' > 0$ ;

(D) Under the notation as above, we have  $\log(t) \in T_\zeta$ .

Moreover, the image  $\bar{V}_\zeta$  of  $V_\zeta$  in  $\hat{G}/\mathbb{T}$  is isomorphic to  $(d - 1)$ -dimensional open ball.

**§8. Induction on  $d$ .** If  $d > 1$ , therefore, we can find  $\bar{t}' \in \bar{V}_\zeta$  of infinite order. Pulling back  $\bar{t}'$  to  $t' \in V_\zeta$ , we find  $\log(t), \log(t') \in T_\zeta$ , and  $\log(t)$  and  $\log(t')$  are linearly independent in  $T_\zeta$ . Inductively arguing this way, we find infinite order elements  $t_1, \dots, t_d$  in  $V_\zeta$  such that  $\log(t_i)$  span over the quotient field  $K$  of  $W$  the tangent space  $T_{\zeta/K} = T_\zeta \otimes_W K \hookrightarrow T_0$  (for any  $\zeta \in \Omega^\circ$ ). We identify  $T_{1/K} \subset T_0$  with  $T_{\zeta/K} \subset T_0$ . Thus the tangent bundle over  $X_{/K}^\circ$  is constant as it is constant over the Zariski dense subset  $\Omega^\circ$ . Therefore  $X^\circ$  is close to an open dense subscheme of a coset of a formal subgroup.

See Contemporary Math. **614** (2014) Lemma 4.1 for more details to conclude that  $X^\circ$  is indeed an open dense subscheme of a coset of a formal subgroup.