

Lecture slide No.2 for Math 207c

Adjoint Selmer groups

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For a fixed Hecke eigenform f of weight $k \geq 2$, we have its p -adic Galois representation $\{\rho_{f,p}\}_p$ for p running over primes of $\mathbb{Z}[f]$. Defining $\bar{\rho}_p = (\rho_{f,p} \bmod p)$, we study **dependence on p** of the universal rings R_p^{ord} and $R_{\chi,p}$ representing the deformation functors $\mathcal{D} = \mathcal{D}_p, \mathcal{D}_{\chi} = \mathcal{D}_{\chi,p} : \mathcal{C} \rightarrow SETS$ of $\bar{\rho}_p$ defined in §0.22 (assuming $\bar{\rho}_p$ satisfies (ord_p)).

As before, we write I_l for the inertia group of the l -decomposition subgroup $D_l \subset G$ and $\chi = \nu_p^{k-1}\psi$ ($f \in S_k(N, \psi)$). We write **S for the set of ramified primes $l \neq p$ of $\bar{\rho}$ such that $\bar{\rho}|_{I_l} \cong \bar{\epsilon}_l \oplus \bar{\delta}_l$** . The conductor of a local or Dirichlet character ψ is written as $C(\psi)$.

§2.1. Integral modular forms. Let $\mathbb{Z}[\psi]$ be the subring of \mathbb{C} generated by the values of ψ . For an algebra $\mathbb{Z}[\psi] \subset A \subset \mathbb{C}$, let

$S_k(\Gamma_0(N), \psi; A) := \{f \in S_k(\Gamma_0(N), \psi) \mid a(n, f) \in A \text{ for all } n > 0\}$,
 where $f(z) = \sum_{n=1}^{\infty} a(n, f)q^n$ with $q = \exp(2\pi iz)$. Often we write $S_k(N, \psi)_{/A}$ for $S_k(\Gamma_0(N), \psi; A)$. We then define

$$h_k(N, \psi)_{/A} = A[T(n) \mid n = 1, 2, \dots] \subset \text{End}_A(S_k(N, \psi)_{/A}).$$

These are A -modules of finite type and (see [MFG, §3.1.8–9])

$$S_k(N, \psi)_{/A} = S_k(N, \psi)_{/\mathbb{Z}[\psi]} \otimes_{\mathbb{Z}[\psi]} A,$$

$$\text{Hom}_A(S_k(N, \psi)_{/A}, A) \cong h_k(N, \psi)_{/A},$$

$$\text{Hom}_A(h_k(N, \psi)_{/A}, A) \xrightarrow{i} S_k(N, \psi)_{/A}.$$

Here the duality between h_k and S_k is given by $\langle T, f \rangle = a(1, f|T)$. By the identity of Hecke: $\langle T(n), f \rangle = a(n, f)$, we have $i(\phi) = \sum_{n=1}^{\infty} \phi(T(n))q^n$.

§2.2. Known and unknown facts for $h_k(N, \psi)$.

- Define for general $\mathbb{Z}[\psi]$ -algebra A ,

$$S_k(N, \psi)_{/A} = S_k(N, \psi)_{/\mathbb{Z}[\psi]} \otimes_{\mathbb{Z}[\psi]} A, \quad h_k(N, \psi)_{/A} = h_k(N, \psi)_{/\mathbb{Z}[\psi]} \otimes_{\mathbb{Z}[\psi]} A.$$

Then $h_k(N, \psi)_{/A} = A[T(n) | n = 1, \dots] \subset \text{End}_A(S_k(N, \psi)_{/A})$ and the duality statement holds without any modification.

- If $N = C(\psi)$, $h_k(N, \psi)_{/A}$ is reduced for any $\mathbb{Z}[\psi]$ -domain A flat over $\mathbb{Z}[\psi]$. This follows from the theory of new/old forms (see Miyake's book "Modular forms" (Springer) §4.6 (in his language, new form is called primitive form). Actually this is still true if $N = N_0 C(\psi)$ with square-free $N_0 | C(\psi)$ (why?).

Conjecture: Suppose $k \geq 2$. If N is cube-free, $h_k(N, \psi)_{/A}$ is reduced for any $\mathbb{Z}[\psi]$ -domain A flat over $\mathbb{Z}[\psi]$.

This is known if $k = 2$ (Coleman/Edixhoven) and $k = 3$ for N square-free (D. Ulmer). See Coleman/Edixhoven paper Math. Ann. **310**, 119–127 (1998) and Ulmer paper: IMRN No. 7 (1995)

§2.3. Hecke eigenform

A cusp form $f \in S_k(N, \psi)_{/\mathbb{C}}$ is called a **Hecke eigenform** if $f|T(n) = \lambda(T(n))f$ for all n with the eigenvalues $\lambda(T(n))$ and $a(1, f) = 1$. This fact is equivalent to $f = \sum_{n=1}^{\infty} \lambda(T(n))q^n$ (by duality between S_k and h_k). **Fix such an eigenform $f \in S_k(N, \psi)$.** Then we get **an algebra homomorphism $\lambda : h = h_k(N, \psi)_{/\mathbb{Z}[f]} \rightarrow \mathbb{Z}[f]$.** Pick a prime $\mathfrak{p}|p$ of $\mathbb{Z}[f]$ and let $W = W_{\mathfrak{p}} = \mathbb{Z}_{\mathfrak{p}}[f] = \mathbb{Z}[f]_{\mathfrak{p}}$. Since $h_{\mathfrak{p}} := h_k(N, \psi)_{/W}$ is free of finite rank over W , for any maximal ideal \mathfrak{m} of h_k , we have $\mathfrak{m} \cap W = \mathfrak{m}_W$. Since $h_{\mathfrak{p}}/\mathfrak{m}_W h_{\mathfrak{p}}$ is a finite ring; so, there is only a finite many maximal ideal of $h_{\mathfrak{p}}$; so, $h_{\mathfrak{p}} = \prod_{\mathfrak{m}} h_{\mathfrak{m}}$ for the \mathfrak{m} -adic completion $h_{\mathfrak{m}}$ which is a local ring. We pick the unique local ring factor $\mathbb{T}_{\chi, \mathfrak{p}}$ of $h_{\mathfrak{p}}$ through which λ factors. First **we study how $\Omega_{\mathbb{T}_{\chi, \mathfrak{p}}/W}$ depends on \mathfrak{p} .** The idea is to relate $\Omega_{\mathbb{T}_{\chi, \mathfrak{p}}/W}$ with $\Omega_{h/\mathbb{Z}[f]}$ which is independent of \mathfrak{p} .

§2.4. A summary of general properties of $d_A : A \rightarrow \Omega_{A/B}$.

Let A, A_j, B' be B -algebras.

$$(d1) \quad \Omega_{A_1 \times A_2/B} \cong \Omega_{A_1/B} \oplus \Omega_{A_2/B} \quad (d_{A_1 \times A_2} = d_{A_1} + d_{A_2})$$

as $Der_B(A_1 \times A_2, M) = Der_B(A_1, M) \oplus Der_B(A_2, M)$.

(d2) $\Omega_{S^{-1}A/B} \cong \Omega_{A/B} \otimes_A S^{-1}A$ ($d_{S^{-1}A} = d_A \otimes 1$) for a multiplicative set $1 \in S \subset A$.

$$(d3) \quad \Omega_{A \otimes_B B'/B'} \cong \Omega_{A/B} \otimes_B B' \quad (d_{A \otimes B'} = d_A \otimes 1).$$

Suppose that A is a B -module of finite type.

(d4) $\Omega_{A/B} = 0$ if A is a separable extension of a field B .

Indeed, if A is a field, $A = B[X]/(f(X))$ with θ image of X in A .

Then $\Omega_{A/B} = (A/f'(\theta)A)d\theta = 0$ as $f'(\theta) \neq 0$ □

(d5) $\Omega_{A/B}$ is a torsion B -module if B is an integral domain of characteristic 0 and A is reduced. This follows from (d1–2) and

(d4) since $A \otimes_B \text{Frac}(B) = \text{Frac}(A) = K_1 \times \cdots \times K_r$ for separable extensions K_i . What happens if B has characteristic $p > 0$.

§2.5. Preliminary lemmas.

Lemma 2.5.1. *Suppose that h is reduced. Then $\Omega_{h/\mathbb{Z}[f]}$ is a finite module.*

By (d5), $\Omega_{h/\mathbb{Z}[f]}$ is a torsion $\mathbb{Z}[f]$ -module of finite type; so, it is finite. \square

Lemma 2.5.2. *We have $\Omega_{\mathbb{T}_{\chi,p}/W_p} = \Omega_{h/\mathbb{Z}[f]} \otimes_h \mathbb{T}_{\chi,p}$.*

Note $h_p = h \otimes_{\mathbb{Z}[f]} W_p$. Thus by (d3), $\Omega_{h_p/W_p} = \Omega_{h/\mathbb{Z}[f]} \otimes_{\mathbb{Z}[f]} W_p$. Since $h_p = \prod_m h_m$ and $\mathbb{T}_{\chi,p}$ is one of h_p ; so, $\Omega_{h_p/W_p} = \bigoplus_m \Omega_{h_m/W_p}$. If $\mathbb{T}_{\chi,p} = h_{\mathfrak{M}}$, $\Omega_{\mathbb{T}_{\chi,p}/W_p} = \Omega_{h_{\mathfrak{M}}/W_p} = \Omega_{h_p/W_p} \otimes_{h_p} h_{\mathfrak{M}}$; so, we get the desired formula. \square

§2.6. Consequence of vanishing of differentials.

Let $A \in CL_B$.

Lemma 2.6.1. *Suppose that A is a torsion-free B -algebra. Then $\Omega_{A/B} \otimes_A A/\mathfrak{a} = 0$ for a proper A -ideal \mathfrak{a} if and only if $A = B$.*

Proof. We need to prove $\Omega_{A/B} \otimes_A A/\mathfrak{a} = 0 \Rightarrow A = B$. By Nakayama's lemma, we have $\Omega_{A/B} = 0 \Leftrightarrow \Omega_{A/B} \otimes_A \mathbb{F} = 0 \Leftrightarrow \Omega_{A/B} \otimes_A A/\mathfrak{a} = 0$. Thus we may assume that $\mathfrak{a} = \mathfrak{m}_A$. Thus we have $t_{A/B}^* := \mathfrak{m}_A / (\mathfrak{m}_A^2 + \mathfrak{m}_B) = \Omega_{A/B} \otimes_A \mathbb{F} = 0$, which implies that $i_B(\mathfrak{m}_B) = \mathfrak{m}_A$, and therefore by the argument in §1.5, we have a surjective B -algebra homomorphism $\pi : B \rightarrow A$. Thus torsion-freeness tells us $\text{Ker}(\pi) = 0$, and hence $A = B$. \square

§2.7. Theorem: $\mathbb{T}_{\chi, \mathfrak{p}} = W_{\mathfrak{p}}$ for almost all \mathfrak{p} .

We actually prove

Theorem 2.7: *Let $\text{Ann}(f)$ be the annihilator of $\Omega_{h/\mathbb{Z}[f]}$ in $\mathbb{Z}[f]$. Then $\text{Ann}(f)$ is a non-zero ideal of $\mathbb{Z}[f]$, and if $\mathfrak{p} \nmid \text{Ann}(f)$, then $\mathbb{T}_{\chi, \mathfrak{p}} = W_{\mathfrak{p}}$.*

Proof. By Lemma 2.5.1, $\text{Ann}(f)$ is a non-zero ideal of $\mathbb{Z}[f]$ (could be $\mathbb{Z}[f]$ itself). By Lemma 2.6.1, we need to show that $\mathfrak{p} \nmid \text{Ann}(f)$, then $\Omega_{\mathbb{T}_{\chi, \mathfrak{p}}/W_{\mathfrak{p}}} = 0$. By Lemma 2.5.2,

$$\Omega_{\mathbb{T}_{\chi, \mathfrak{p}}/W_{\mathfrak{p}}} = \Omega_{h/\mathbb{Z}[f]} \otimes_{\mathbb{Z}[f]} \mathbb{T}_{\chi, \mathfrak{p}}. \quad (*)$$

If $\mathfrak{p} \nmid \text{Ann}(f)$, then $\mathbb{Z}[f] - \mathfrak{p}$ contains an element a which kill $\Omega_{h/\mathbb{Z}[f]}$ which is a unit in $\mathbb{T}_{\chi, \mathfrak{p}}$. Therefore the multiplication by a kills the right hand side of (*) and is an automorphism of the left-hand-side; so, $\Omega_{\mathbb{T}_{\chi, \mathfrak{p}}/W_{\mathfrak{p}}} = 0$. \square

§2.8. Old and new form.

For a modular form $g \in S_k(M, \varphi)_{/\mathbb{C}}$, $g(mz) = g|[m](z)$ for $0 < m \in \mathbb{Z}$ is in $S_k(Mm, \varphi)$. A linear combination in $S_k(N, \psi)$ of cusp forms of the form $g|[m]$ with $m > 1$ and g of lower level is called an **old form**. The orthogonal complement under Peterson inner product of the subspace of old forms is called the space of **new forms**. These spaces are stable under Hecke operators. A Hecke eigenform in $S_k(N, \psi)$ is called **primitive** if f is new. Among cusp forms of varying level with eigenvalues for $T(l)$ given by $\lambda(T(l))$ for almost all l , there exists a unique Hecke eigenform of minimal level C , and that is the primitive form. The level C is called the **conductor** of f . For all these, see Miyake's book "Modular forms" Chapter 4 (from Springer). Hereafter **the fixed eigenform f is primitive of conductor C (so, $f \in S_k(C, \psi)$)**.

§2.9. Modular Galois representation. The cusp form f has a p -adic Galois representation $\rho_p = \rho_{f,p}$ with values in $GL_2(W_p)$ for each prime p of $\mathbb{Z}[f]$ satisfying (e.g., [GME, §4.2])

(G1) ρ_p is unramified outside pC ($p|p$);

(G2) $\det(1 - \rho_p(\text{Frob}_l)X) = 1 - \lambda(T(l))X + \chi(l)X^2$ for $l \nmid Cp$;

(G3) If $a(p, f) = \lambda(T(p)) \notin p$, $\rho_p|_{D_p} \cong \begin{pmatrix} \epsilon_p & * \\ 0 & \delta_p \end{pmatrix}$ (ordinarity);

Conjecture: $a(p, f) \notin p$ for density 1 primes p ?

(G4) Writing the l -primary part of an integer $N > 0$ as N_l , if $C_l = C(\psi)_l$ for a prime $l|C$ ($l \neq p$), then $\rho_p|_{I_l} \cong \begin{pmatrix} \psi_l & 0 \\ 0 & 1 \end{pmatrix}$;

(G5) If $C_l = lC_l(\psi)$ ($l \neq p$), then $\rho_p|_{D_l} \cong \begin{pmatrix} \eta\nu_p & * \\ 0 & \eta \end{pmatrix}$ for a Galois character $\eta : D_l \rightarrow W_p^\times$ such that $\eta^2\nu_p = \chi_l$;

(G6) If $l^2|(C/C(\psi))$ ($l \neq p$), then $\lambda(T(l)) = 0$ and $\rho|_{D_l}$ is either absolutely irreducible or isomorphic to $\begin{pmatrix} \epsilon_l & 0 \\ 0 & \delta_l \end{pmatrix}$ with $C(\epsilon_l)C(\delta_l) = C_l$ with $C(\epsilon_l) > 1$ and $C(\delta_l) > 0$.

§2.10. Modular deformation.

Fix a $\mathbb{Z}[f]$ -prime $\mathfrak{p} | p > 2$ and a primitive form $f \in S_k(C, \psi)$ of conductor C . Assume that $\rho_\lambda = \rho_f = \rho_{f, \mathfrak{p}}$ is minimal and satisfies (ord_p) . Let $\bar{\rho} = \bar{\rho}_{f, \mathfrak{p}} := \rho_{\mathfrak{p}} \bmod \mathfrak{p}$ (p -distinguished is satisfied by $\bar{\rho}$), and consider deformation functors $\mathcal{D} = \mathcal{D}_{\mathfrak{p}}, \mathcal{D}_\chi = \mathcal{D}_{\chi, \mathfrak{p}}$ for $\bar{\rho}$. Write $R_\chi = R_{\chi, \mathfrak{p}}$ (resp. $R^{\text{ord}} = R_{\mathfrak{p}}^{\text{ord}}$) for the universal ring representing $\mathcal{D}_{\chi, \mathfrak{p}}$ (resp. $\mathcal{D}_{\mathfrak{p}}$). Consider $\text{Tr}(\rho_{\chi, \mathfrak{p}}) = \sum_{\lambda \in \text{Hom}_{W_{\mathfrak{p}}\text{-alg}}(\mathbb{T}_{\chi, \mathfrak{p}}, \overline{\mathbb{Q}}_p)} \text{Tr}(\rho_\lambda)$. By (G2), $\text{Tr}(\rho_{\mathbb{T}, \chi, \mathfrak{p}})(\text{Frob}_l) = T(l)|_{\mathbb{T}_{\chi, \mathfrak{p}}}$ for all primes $l \nmid Cp$. By Chebotarev density, $\text{Tr}(\rho_{\mathbb{T}, \chi, \mathfrak{p}})$ has values in $\mathbb{T}_{\chi, \mathfrak{p}}$. By the theory of pseudo-character, we have a Galois representation $\rho_{\mathbb{T}} = \rho_{\mathbb{T}, \chi, \mathfrak{p}} : G \rightarrow \text{GL}_2(\mathbb{T}_{\chi, \mathfrak{p}})$ with $\text{Tr}(\rho_{\mathbb{T}})(\text{Frob}_l) = T(l)|_{\mathbb{T}_{\chi, \mathfrak{p}}}$. Since trace determines representation (if irreducible) over a field, we have $\rho_{\mathbb{T}} \in \mathcal{D}_\chi(\mathbb{T}_{\chi, \mathfrak{p}})$. Thus we have a universal map $\pi : R_{\chi, \mathfrak{p}} \rightarrow \mathbb{T}_{\chi, \mathfrak{p}}$ such that $\pi \circ \rho_\chi \sim \rho_{\mathbb{T}}$.

§2.11. **The $R = \mathbb{T}$ theorem.** Here is a theorem of Taylor–Wiles proven in 1995, writing $p^* = (-1)^{(p-1)/2}p$ (see [MFG, §3.2.4]):

Theorem 2.11: *Assume that $\bar{\rho}$ restricted to $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}[\sqrt{p^*}])$ is absolutely irreducible (Taylor–Wiles condition). If $k \geq 2$, π induces an isomorphism $R_{\chi,p} \cong \mathbb{T}_{\chi,p}$ identifying ρ_{χ} with $\rho_{\mathbb{T},\chi,p}$. Moreover we have a presentation $\mathbb{T}_{\chi,p} \cong \frac{W_p[[T_1, \dots, T_r]]}{(S_1, \dots, S_r)}$ (a local complete intersection over W_p) for $r = \dim_{\mathbb{F}} t_{\mathbb{T},\chi,p}/W_p$.*

- By Frobenius reciprocity law, the Taylor–Wiles condition fails $\Leftrightarrow \bar{\rho} \cong \text{Ind}_{\mathbb{Q}[\sqrt{p^*}]}^{\mathbb{Q}} \varphi$ for a character $\varphi : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}[\sqrt{p^*}]) \rightarrow \mathbb{F}^{\times}$.
- This condition of irreducibility over k is mostly removed by Khare/Ramakrishna/Thorne/Kalyanswamy. See Kalyanswamy’s thesis published in Mathematical Research Letters 25(4) (2016).
- It is known that $\mathbb{T}_{\chi,p}$ is reduced if the prime-to- p conductor of $\bar{\rho}$ match the prime-to- p level of f (e.g., under minimality).

§2.12. Classification of $\text{Im}(\bar{\rho})$ modulo center. Leonard Eugene Dickson in his book: “Linear groups” (1901) in §260 gave a classification of subgroups $\mathcal{G} \subset \text{PGL}_2(\mathbb{F})$ given by $\text{Im}(\bar{\rho})$ modulo center:

(G) If $p \mid |\mathcal{G}|$, \mathcal{G} is conjugate to $\text{PGL}_2(k)$ or $\text{PSL}_2(k)$ for a subfield $k \subset \mathbb{F}$ as long as $p > 3$ (when $p = 3$, \mathcal{G} can be A_5).

Suppose $p \nmid |\mathcal{G}|$ (so, $p \geq 5$). Then \mathcal{G} is given as follows.

(C) \mathcal{G} is **cyclic** ($\Rightarrow \text{Im}(\bar{\rho})$ is abelian; $\bar{\rho}$ is reducible).

(D) \mathcal{G} is isomorphic to a **dihedral** group D_a of order $2a$ (so, $\bar{\rho} = \text{Ind}_K^{\mathbb{Q}} \bar{\varphi}$ for a quadratic field K), and $\mathbb{F} = \mathbb{F}_p[\bar{\varphi}]$ (the field generated by the values of $\bar{\varphi}$).

(E: **Exceptional cases**) \mathcal{G} is either isomorphic to A_4 , S_4 ($\mathbb{F} = \mathbb{F}_p$), or A_5 ($\mathbb{F} = \mathbb{F}_p$ if $p \equiv \pm 1, 0 \pmod{5}$ and \mathbb{F}_{p^2} otherwise).

In Cases (G), (D), (E), $\bar{\rho}$ is absolutely irreducible, and in Cases (C) and (D) with $K = \mathbb{Q}[\sqrt{p^*}]$, Taylor–Wiles condition fails.

§2.13. If $k \geq 2$, $R_{\chi, \mathfrak{p}} = W_{\mathfrak{p}}$ and $r = 0$ for most ordinary \mathfrak{p} .

By a result of Ribet, if f is not a binary theta series (i.e., a **theta series of the norm form of a quadratic field**), if $k \geq 2$, except for finitely many \mathfrak{p} , $\bar{\rho}_{f, \mathfrak{p}}$ falls in Case G; so, it satisfies Taylor–Wiles condition. Thus the assertion of the section title follows from Theorem 2.7 (and the $R = \mathbb{T}$ theorem).

If $f \bmod \mathfrak{p}$ is a theta series associated to a quadratic field K and $k \geq 2$, unless $K = \mathbb{Q}[\sqrt{p^*}]$, the same outcome.

If $k = 1$, under irreducibility, we are either in Case (D) or (E) and $\rho = \rho_{f, \mathfrak{p}}$ is independent of \mathfrak{p} (or in short, ρ has finite image and has values in $GL_2(\mathbb{Z}[f])$ for a finite extension $\mathbb{Z}[f]$ generated by the values of $\text{Tr}(\rho)$ over \mathbb{Z} . **We do not know the distribution of primes \mathfrak{p} with $R_{\chi, \mathfrak{p}} = W_{\mathfrak{p}}$ except when $\rho = \text{Ind}_K^{\mathbb{Q}} \varphi$ for real quadratic K .** We study real quadratic case later. Next goal is to study this question for $B = \Lambda$. We ask **if $R^{ord} = \Lambda$ for most \mathfrak{p} ?**

§2.14. Definition of “big” \mathbb{T}_p . Start with $\bar{\rho} = \rho_{f_0, p} \bmod \mathfrak{p}$ given by a primitive form $f_0 \in S_{k_0}(C, \psi_0)$. We have a modular form H with $H \equiv 1 \bmod \mathfrak{p}$ of weight 1 of level p with coefficients in \mathbb{Z}_p and character ω^{-1} for the Teichmüller character ω modulo p . Then $fH^n \equiv f \bmod p$, and $i : f \mapsto fH^n$ gives a q -expansion preserving \mathbb{F} -linear map $S_{k_0}(Cp, \psi_0)_{/\mathbb{F}} \hookrightarrow S_k(Cp, \psi_k)_{/\mathbb{F}}$ ($k = k_0 + n, \psi_k = \psi_0\omega^{-n}$). Note that $\bar{\chi} = (\nu_p^{k_0-1}\psi_0 \bmod \mathfrak{p}) = (\nu_p^{k-1}\omega^{-n}\psi \bmod \mathfrak{p})$, and the action of $T(n)$ on $S_k(Cp, \psi_k)_{/\mathbb{F}}$ is

$$a(m, f|T(n)) = \sum_{d|m, d|n, (d, pC)=1} \bar{\chi}(d) a\left(\frac{mn}{d^2}, f\right) \text{ (e.g., [MFG, §3.1.7])}$$

and hence i is Hecke equivariant. Thus we have \mathbb{T}_k as a factor of $h_k(Cp, \psi_k)_{/W_p}$ giving the same $\bar{\rho}$. We then define $\mathbb{T} = \mathbb{T}_p$ to be the subalgebra of $\prod_{k \geq k_0} \mathbb{T}_k$ topologically generated by $T(n)$ for all n (here $T(n)$ has projection to $T(n)$ in \mathbb{T}_k for all $k \geq k_0$). Thus \mathbb{T}_p is reduced under minimality.

§2.15. Big Galois representation. Consider the product $\rho_{\mathbb{T}_p} = \prod_{k \geq k_0} \rho_{\mathbb{T}_k} : G \rightarrow \mathrm{GL}_2(\prod_{k \geq k_0} \mathbb{T}_k)$. Then $\mathrm{Tr}(\rho_{\mathbb{T}_p}(\mathrm{Frob}_l)) = T(l) \in \mathbb{T}_p$ for all primes $l \nmid Cp$. By Chebotarev, $\mathrm{Tr}(\rho_p)$ has values in \mathbb{T} ; so, by means of pseudo characters, if $\bar{\rho}$ is irreducible, this representation descends to $\rho_{\mathbb{T}_p} : G \rightarrow \mathrm{GL}_2(\mathbb{T}) \in \mathcal{D}_p(\mathbb{T}_p)$. Our base ring B is W_p but we can descend further to the Witt vector ring $W = W(\mathbb{F})$. Since $\det(\rho_{\mathbb{T}_p})$ is a deformation of $\det(\bar{\rho})$, we have a canonical algebra structure $i_{\mathbb{T}_p} : \Lambda = W[[G_p^{ab}]] \rightarrow \mathbb{T}_p$. This is the representation constructed in 1986 in my paper published in *Inventiones Math.* **85** (1986), in which the representation is constructed only assuming that $a(p, f_0) = \lambda(T(p)) \notin \mathfrak{p}$.

Theorem 2.15: *Suppose that $\rho_{f_0, p}$ is minimal satisfying (ord_p) with irreducible $\bar{\rho}_{f, p}$. Then we have a Galois representation $\rho_{\mathbb{T}_p} : G \rightarrow \mathrm{GL}_2(\mathbb{T}_p)$ in $\mathcal{D}(\mathbb{T}_p)$ such that $\mathrm{Tr}(\mathrm{Frob}_l) = T(l)$ for all primes $l \nmid Cp$.*

§2.16. Rank theorem. Define $S_k^{ord}(Cp, \psi)_A$ for $A \in CL_W$ by the maximal subspace (and hence the maximal quotient) on which $U(p)$ is invertible. Since $S_{k_0}(Cp, \psi_0)_{\mathbb{F}} \hookrightarrow S_k(Cp, \psi_k)_{\mathbb{F}}$ (by a $T(n)$ -equivariant map), we get

$$\text{rank}_W S_k^{ord}(Cp, \psi_k)_W \geq \text{rank}_W S_{k_0}^{ord}(Cp, \psi_0)_W.$$

Another result in 1986 is (see [GME, §3.2.4] or [LFE, §7.3]).

Theorem 2.16.1: *We have, for all $k \geq 2$,*

$$r := \text{rank}_W S_k^{ord}(Cp, \psi_k)_W = \text{rank}_W S_{k_0}^{ord}(Cp, \psi_0)_W.$$

Corollary 2.16.2: *$\mathbb{T}_{\mathfrak{p}}$ is reduced Λ -free of rank equal to r and $\mathbb{T}_{\mathfrak{p}}/(t - \chi(\gamma)) \cong \mathbb{T}_{\chi, \mathfrak{p}}$ ($\chi = \nu_{\mathfrak{p}}^{k-1} \psi_k$) for all $k \geq 2$. If Taylor–Wiles condition holds for $\bar{\rho}_{\mathfrak{p}}$, we have $R_{\mathfrak{p}}^{ord} \cong \mathbb{T}_{\mathfrak{p}}$. In particular, if $\mathfrak{p} \nmid \text{Ann}(f)$, $R_{\mathfrak{p}}^{ord} \cong \Lambda$.*

We prove the corollary assuming that $R_{\chi, \mathfrak{p}} = \mathbb{T}_{\chi, \mathfrak{p}}$ for all $k \geq 2$, though the first assertion is valid without having $R = \mathbb{T}$ theorem.

§2.17. Proof of Corollary. Since $\rho_{\mathbb{T}_\chi} \in \mathcal{D}(\mathbb{T}_\chi)$, we have the universal map $\pi_\chi : R_\chi \rightarrow \mathbb{T}_\chi$ with $\pi(\text{Tr}(\rho_\chi)) = \text{Tr}(\rho_{\mathbb{T}_\chi})$; so, π_χ is onto. Since $R_{\mathfrak{p}}^{\text{ord}}/(t - \chi(\gamma)) = R_{\chi, \mathfrak{p}}$ and $\mathbb{T}_{\mathfrak{p}}/(t - \chi(\gamma))$ surjects down to $\mathbb{T}_{\chi, \mathfrak{p}}$, we have the commutative diagram:

$$\begin{array}{ccc}
 \Lambda^r & \xrightarrow[\pi_0]{\twoheadrightarrow} & R_{\mathfrak{p}}^{\text{ord}} & \xrightarrow[\pi_1]{\twoheadrightarrow} & \mathbb{T}_{\mathfrak{p}} \\
 & & \text{onto} \downarrow & & \downarrow \text{onto} \\
 & & R_{\mathfrak{p}}^{\text{ord}}/(t - \chi(\gamma)) & \xrightarrow[\sim]{} & \mathbb{T}_{\chi, \mathfrak{p}}
 \end{array}$$

The map π_0 is given as follows: Choose $h_1, \dots, h_r \in R_{\mathfrak{p}}^{\text{ord}}$ giving a basis of $\mathbb{T}_{\chi, \mathfrak{p}}$ modulo $(t - \chi(\gamma))$. By Nakayama's lemma, $\pi_0(a_1, \dots, a_r) = \sum_i a_i h_i$ is an onto Λ -linear map. Put $\pi = \pi_1 \circ \pi_0$. Thus $\text{Ker}(\pi) \subset \bigcap_{\chi} (t - \chi(\gamma)) \Lambda^r = 0$; so, $\Lambda^r \cong R^{\text{ord}} \cong \mathbb{T}_{\mathfrak{p}}$. The last assertion follows from Theorem 2.7. \square

§2.18. Presentation theorem.

Theorem 2.18: *Assume $R_{\mathfrak{p}}^{ord} \cong \mathbb{T}_{\mathfrak{p}}$ and $\mathbb{T}_{\chi, \mathfrak{p}} \cong \frac{W[[\bar{T}_1, \dots, \bar{T}_r]]}{(\bar{S}_1, \dots, \bar{S}_r)}$ for $r = \dim_{\mathbb{F}} t_{\mathbb{T}_{\chi, \mathfrak{p}}/W}$ for one $\chi = \nu_p^{k-1} \psi_k$ ($k \geq 2$). Then we have $R_{\mathfrak{p}}^{ord} \cong \Lambda[[T_1, \dots, T_r]]/(S_1, \dots, S_r)$ with $T_j \bmod (t - \chi(\gamma)) = \bar{T}_j$ and $S_j \bmod (t - \chi(\gamma)) = \bar{S}_j$.*

Proof. We write \bar{t}_i for the image of \bar{T}_i in \mathbb{T}_{χ} . As remarked in §1.21 $t_{\mathbb{T}_{\chi}/W}^* = \Omega_{\mathbb{T}_{\chi}/W} \otimes_{\mathbb{T}_{\chi}} \mathbb{F} = \Omega_{\mathbb{T}_{\mathfrak{p}}/\Lambda} \otimes_{\mathbb{T}_{\mathfrak{p}}} \mathbb{F} = t_{\mathbb{T}_{\mathfrak{p}}/\Lambda}^*$. Any lifts $\{t_i\}_i$ of $\{\bar{t}_i\}_i$ give rise to a basis of $t_{\mathbb{T}_{\mathfrak{p}}/\Lambda}^*$. Then we have a surjective CL_{Λ} -morphism $\pi : \Lambda[[T_1, \dots, T_r]] \twoheadrightarrow \mathbb{T}_{\mathfrak{p}}$ with $T_i \mapsto t_i$. Then $\text{Ker}(\pi)/(t - \chi(\gamma)) \text{Ker}(\pi)$ is generated by $\bar{S}_1, \dots, \bar{S}_r$, and we lift \bar{S}_i to $S_i \in \text{Ker}(\pi)$. So $\text{Ker}(\pi) \otimes_{\Lambda[[T_1, \dots, T_r]]} \mathbb{F}$ is generated by the image of \bar{S}_i as \mathbb{F} -vector space; so, by Nakayama's lemma, we have $\text{Ker}(\pi) = (S_1, \dots, S_r)$ as desired. \square

§2.19. Deformation functor over Λ and R^{ord} . Let $\kappa := \det(\rho^{ord}) : G \rightarrow \Lambda^\times$. Then (Λ, κ) represents

$$A \mapsto \{\xi : G \rightarrow A^\times \mid \xi \bmod \mathfrak{m}_A = \det(\bar{\rho})\}.$$

Consider a new deformation functor $\mathcal{D}_\kappa : CL_{/\Lambda} \rightarrow SETS$:

$$\mathcal{D}_\kappa(A) = \{\rho \in \mathcal{D}(A) \mid \det(\rho) = i_A \circ \kappa\} / \Gamma(\mathfrak{m}_A),$$

slightly different from the one \mathcal{D} , where writing $i_A : \Lambda \rightarrow A$ for Λ -algebra structure of A . \mathcal{D}_κ is again represented by (R^{ord}, ρ^{ord}) regarding R^{ord} as a Λ -algebra by the CL_W -morphism induced by $\det(\rho) : G \rightarrow R^{ord^\times}$. Indeed, if $\rho \in \mathcal{D}_\kappa(A)$, we have $i_A \circ \kappa = \det(\rho)$.

Regarding $\rho \in \mathcal{D}(A)$, we have a unique CL_W -morphism $R^{ord} \xrightarrow{\phi} A$ with $\phi \circ \rho \sim \rho$. Taking determinant, we get $\phi \circ \kappa = \det(\rho)$ showing that ϕ is compatible with $i_{R^{ord}}$ and i_A ; so, it is a CL_Λ -morphism, showing $\text{Hom}_\Lambda(R, A) \cong \mathcal{D}_\kappa(A)$ by $\phi \leftrightarrow \rho$. Thus by

§1.27, $\text{Sel}(Ad(\rho))^\vee \cong \Omega_{R^{ord}/\Lambda} \otimes_{R^{ord}, \phi} A$.

§2.20. Fitting ideals.

Let $A \in CL_B$. Let M be an A -module with presentation:

$$A^r \xrightarrow{L} A^s \rightarrow M \rightarrow 0$$

for a matrix L in $M_{s,r}(A)$. If $r \geq s$, the A -ideal $\text{Fitt}_A(M)$ generated by $s \times s$ -minors of L is called the **Fitting ideal** of M , which is independent of the choice of the presentation (and the choice of matrix form of L). If $r < s$, we put $\text{Fitt}_A(M) = 0$.

By definition, $\text{Fitt}_B(M \otimes_{A,\phi} B) = B \cdot \phi(\text{Fitt}_A(M))$ for a CL_B -morphism $\phi : A \rightarrow B$. If $r = s$, $\text{Fitt}_A(M)$ is principal.

See Appendix of Mazur–Wiles paper: *Inventiones* **76** (1984), 179–330 for a summary of the theory of Fitting ideal. Eisenbud’s book: “Commutative algebra, with a view toward algebraic geometry” GTM **150**, 1995, Springer has more details in §20.

§2.21. Examples of Fitting ideals and remarks.

- If $A = B = W$ with $\mathfrak{m}_W = (\varpi)$, $M \cong W/\varpi^{e_1}W \oplus \cdots \oplus W/\varpi^{e_r}W$. Choose $L = \text{diag}[\varpi^{e_1}, \dots, \varpi^{e_r}]$. If $W = \mathbb{Z}_p$, $\text{Fitt}_{\mathbb{Z}_p} = (|M|)$ and,

$$\text{Fitt}_W(M) = (\prod_i \varpi^{e_i}) = (||M||_p^{-\text{rank}_{\mathbb{Z}_p} W}) \text{ in general.}$$

- If $B = A = \Lambda$ and M is a Λ -torsion module, we have a Λ -linear morphism $i : M \rightarrow \Lambda/(f_1) \oplus \cdots \oplus \Lambda/(f_r)$ for $f_j \in \mathfrak{m}_\Lambda$ with finite kernel and finite cokernel. Then we define $\text{char}_\Lambda(M) := (\prod_i f_i)$ (the characteristic ideal with characteristic power series $\prod_i f_i$).
- It is known that $\text{Fitt}_\Lambda(M) = \text{char}_\Lambda(M)$ if $\text{Fitt}_\Lambda(M)$ is principal and $\bigcap_P \text{Fitt}_\Lambda(M)_P = \text{char}_\Lambda(M)$, where P runs over all principal non-zero prime ideals of Λ (i.e., height 1 prime ideal). So for any normal noetherian domain A , we define

$$\text{char}_A(M) := \bigcap_{P:\text{height } 1} \text{Fitt}_A(M)_P.$$

- If we have a good p -adic L-function L_ρ of a Galois representation $\rho : G \rightarrow \text{GL}_n(A)$, one expects $\text{char}_A(\text{Sel}(\rho)^\vee) = (L_\rho)$ (Main conjecture).

§2.22. Tate's theorem [MFG, §5.3.4].

Theorem 2.22.1: *Suppose B is a domain. Let $A \in CL_B$ be a reduced B -algebra free of finite rank over B . If $A \cong \frac{B[[T_1, \dots, T_r]]}{(S_1, \dots, S_r)}$, then for any B -algebra homomorphism $\lambda : A \rightarrow B$,*

$$\text{Fitt}_B(C_1(\lambda; B)) = \text{Fitt}_B(C_0(\lambda, B)), \quad C_0(\lambda; B) = B / \text{Fitt}_B(C_0(\lambda; B)).$$

We say a Hecke eigenform $f \in S_k(Cp, \psi)_{/W_p}$ belongs to \mathbb{T}_p if $\lambda_f : h_k(Cp, \psi)_{/W_p} \rightarrow W_p$ given by $g|T(n) = \lambda_g(T(n))g$ factors through $\mathbb{T}_{k'}$. For an irreducible component $\text{Spec}(\mathbb{I}) \subset \text{Spec}(\mathbb{T}_p)$, if λ_g factors through \mathbb{I} is called “belonging to \mathbb{I} ”. The set of all g belonging to \mathbb{I} is called the p -adic analytic family of Hecke eigenform of \mathbb{I} (or the Hida family of \mathbb{I}).

Corollary 2.22.2: *Assume that $\bar{\rho}_p$ is minimal satisfying (ord_p) . Let $B = W_p$ or $\Lambda = W_p[[T]]$, and write $\mathbb{T}_B = \mathbb{T}_p$ if $B = \Lambda$ and $\mathbb{T}_B = \mathbb{T}_k$ if $B = W_p$ for $k \geq 2$. Then $\text{Fitt}_{\mathbb{T}_B}(\Omega_{\mathbb{T}_B/B})$ is a principal ideal generated by a non-zero divisor $L_B \in \mathbb{T}_B$.*

§2.23. Proof of Corollary.

Recall the second fundamental sequence from [MFG, §5.2.3] for a surjective morphism $\pi : A \twoheadrightarrow C$ with $J := \text{Ker}(\pi)$:

$$J/J^2 \xrightarrow{j \mapsto dj} \Omega_{A/B} \otimes_A C \xrightarrow{da \otimes 1 \mapsto d\pi(a)} \Omega_{C/B} \rightarrow 0.$$

Applying this to $A = B[[T_1, \dots, T_r]]$ with $C = \mathbb{T}_B$ and $J = (S_1, \dots, S_r)$, we get the following diagram with exact rows:

$$\begin{array}{ccccccc} J/J^2 & \longrightarrow & \Omega_{B[[T_1, \dots, T_r]]/B} \otimes_A \mathbb{T}_B & \longrightarrow & \Omega_{\mathbb{T}_B/B} & \longrightarrow & 0 \\ \text{onto} \uparrow & & \uparrow \wr & & \uparrow \parallel & & \\ \oplus_i \mathbb{T}_B S_i & \xrightarrow{d} & \oplus_j \mathbb{T}_B dT_j & \longrightarrow & \Omega_{\mathbb{T}_B/B} & \longrightarrow & 0. \end{array}$$

Thus $\text{Fitt}_{\mathbb{T}_B}(\Omega_{\mathbb{T}_B/B}) = (L_B)$ for $L_B := \det(d) \in \mathbb{T}_B$. Since $\Omega_{\mathbb{T}_B/B}$ is a torsion B -module, L_B is a non-zero divisor by §2.4 (d5). \square

§2.24. Algebraic p -adic L.

We call $P \in \text{Spec}(\mathbb{T}_p)(W_p)$ *arithmetic* (resp. *classical*) if $\lambda = \lambda_P : \mathbb{T}_p \twoheadrightarrow W_p$ with $P = \text{Ker}(\lambda_P)$ factors through \mathbb{T}_k for $k \geq 2$ (resp. associated to a Hecke eigenform). Any arithmetic point P is classical. The cusp form associated to a classical point P is written as $f_P = \sum_{n=1}^{\infty} \lambda_P(T(n))q^n$ and $\rho_P := \rho_{f_P, p}$.

Theorem 2.24: *Suppose $R^{\text{ord}} \cong \mathbb{T}_p$ and that \mathbb{T}_p is a local complete intersection relative to Λ . Then for arithmetic $P \in \text{Spec}(\mathbb{T}_p)$, we have $L_\Lambda(P) := \lambda_P(L_\Lambda)$ satisfies $|L_\Lambda(P)|_p^{-\text{rank}_{\mathbb{Z}_p} W_p} = |\text{Sel}(\text{Ad}(\rho_P))|$ or equivalently*

$$L_\Lambda(P) = |\text{Sel}(\text{Ad}(\rho_P))|$$

up to units in W_p .

Therefore it is natural to call $L_\Lambda : \text{Spec}(\mathbb{T}_p) \rightarrow \overline{\mathbb{Q}}_p$ the *algebraic adjoint p -adic L-function*.

§2.25. Proof.

As we have seen in §2.19, by $R^{ord} \cong \mathbb{T}_p$,

$$\text{Sel}(Ad(\rho_A))^\vee \cong \Omega_{\mathbb{T}_p/\Lambda} \otimes_{R^{ord}, \varphi} A$$

for $\varphi : R^{ord} \rightarrow A$ with $\rho_A \sim \varphi \circ \rho^{ord}$. Since as remarked in §2.20,

$$\text{Fitt}_A(\Omega_{\mathbb{T}_p} \otimes_{\mathbb{T}_p} A) = A \cdot \varphi(\text{Fitt}_{\mathbb{T}_p}(\Omega_{\mathbb{T}_p/\Lambda}))$$

if $\rho_A = \rho_P$ for arithmetic P , taking $A = \mathbb{T}_p/P = W_p$, we have

$$L_\Lambda(P) = (L_\Lambda \bmod P)$$

is the generator of $\text{Fitt}_{W_p}(\Omega_{\mathbb{T}_p/\Lambda} \otimes_{\mathbb{T}_p} W_p) = \text{Fitt}_{W_p}(\text{Sel}(Ad(\rho_P)))$. Thus again by the computation in §2.20 of Fitting ideal for a module over $W = W_p$, we get

$$L_\Lambda(P) = |\text{Sel}(Ad(\rho_P))|$$

up to units. □

§2.26. p -adic analytic L.

It is known [MFG, §5.3] for the two periods $\Omega_f^\pm \in \mathbb{C}^\times$:

Theorem 2.26: *Suppose \mathbb{T}_p is local complete intersection over Λ . Then we have for all arithmetic $P \in \text{Spec}(\mathbb{T}_p)(\overline{\mathbb{Q}}_p)$*

$$|C_0(\lambda_P; W_p)| = \left| \frac{L(1, Ad(f_P))}{\Omega_{f_P}^+ \Omega_{f_P}^-} \right|_p^{-\text{rank}_{\mathbb{Z}_p} W_p}.$$

$L(s, Ad(f)) = \prod_l \det(1 - \text{Frob}_{\rho_{f,q}}(\text{Frob}_l) |_{Ad(\rho_{f,q})^{I_l} l^{-s}})^{-1}$. Here we choose a prime ideal \mathfrak{q} of $\mathbb{Z}[f]$ prime to l .

If $f|T(l) = \lambda(T(l))f$ ($l \nmid Cp$), for two roots α, β of $X^2 - \lambda(T(l))X + \chi(l) = 0$, we have

$$\det(1 - \text{Frob}_{\rho_{f,q}}(\text{Frob}_l) l^{-s}) = (1 - \alpha\beta^{-1}l^{-s})(1 - l^{-s})(1 - \beta\alpha^{-1}l^{-s}).$$

§2.27. **Adjoint class number formula.** By the Ramanujan–Pettersson conjecture (proven by Deligne), $|\alpha| = |\beta| = \sqrt{p^{k-1}}$; so, $L(s, Ad(f))$ converges absolutely if $\text{Re}(s) \geq 1$, and by Shimura, it has analytic continuation to the whole complex plane. Theorem 2.26 combined with Tate’s theorem implies

Corollary 2.27: *Suppose $R^{ord} \cong \mathbb{T}_p$ and that \mathbb{T}_p is local complete intersection over Λ . Then a generator L_Λ of $\text{Fitt}_{\mathbb{T}_p}(\Omega_{\mathbb{T}_p/\Lambda})$*

satisfies $L_\Lambda(P) = |\text{Sel}(Ad(\rho_P))| = \left| \frac{L(1, Ad(f_P))}{\Omega_{f_P}^+ \Omega_{f_P}^-} \right|_p^{-\text{rank}_{\mathbb{Z}_p} W_p}$ up to

unit for all arithmetic points $P \in \text{Spec}(\mathbb{T}_p)$.

For example, if f is associated to an elliptic curve E/\mathbb{Q} , then choosing a generator $\gamma_\pm \in H_0(E(\mathbb{C}), \mathbb{Z})^\pm$ for the \pm -eigenspace of complex conjugation, $\Omega_f^\pm = \int_{\gamma_\pm} 2\pi i f dz = \int_{\gamma_\pm} \frac{df}{dq}$. We hope to come back to the analytic theory towards the end of this course.