

NON ABELIAN CLASS NUMBER FORMULAS AND ADJOINT SELMER GROUPS

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1. INTRODUCTION

We give an overview of what we will do in this topic course. Fix a prime $p \geq 5$. For a number field K , by class field theory, the maximal abelian extension H/K unramified everywhere has Galois group canonically isomorphic to the class group Cl_K of K . So Pontryagin dual of $\text{Hom}(Cl_{K,p}, \mathbb{Q}_p/\mathbb{Z}_p) \cong Cl_{K,p}$ can be Galois cohomologically defined

$$\text{Sel}_K = \text{Ker}(H^1(\overline{\mathbb{Q}}/K, \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow \prod_l H^1(I_l, \mathbb{Q}_p/\mathbb{Z}_p)).$$

Writing the induced representation $\text{Ind}_K^{\mathbb{Q}} \mathbf{1} = \mathbf{1} \oplus \chi$, we have the celebrated class number formula giving the size $|Cl_K|$ by the integral part of the value $L(1, \chi)$ (Artin L-value) up to a canonical transcendental factor. We have studied in the recent past 207 courses the fundamental question:

When $\text{Sel}_K \cong Cl_{K,p}$ is cyclic?

(and therefore, the structure of Sel_K is determined by the value $L(1, \chi)$). Though we do not require any knowledge of past courses, here are links to the lecture notes of the relevant past two courses:

- <http://www.math.ucla.edu/~hida/207b.1.18s/Lec18s.pdf>,
- <http://www.math.ucla.edu/~hida/207a.1.18w/Lec1.pdf>.

There is one more example of proven such formulas giving the size of Selmer groups. Start with a modular form $f \in S_k(\text{SL}_2(\mathbb{Z}))$ and suppose f is an eigenform of all Hecke operators $T(n)$; so, $f|T(n) = \lambda(T(n))f$. Each f has its p -adic irreducible Galois representation $\rho_f : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Q}_p[\lambda])$, where $\mathbb{Q}_p[\lambda]$ is the field generated over the p -adic field \mathbb{Q}_p by the eigenvalues $\lambda(T(n))$. Let $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on

$$\mathfrak{sl}_2(\mathbb{Q}_p[\lambda]) = \{x \in M_2(\mathbb{Q}_p[\lambda]) \mid \text{Tr}(x) = 0\}$$

by conjugation, which results a 3-dimensional Galois representation $Ad(\rho_f)$. In this case, again we have the formula of $|\text{Sel}(Ad(\rho_f))|$ by the L-value $L(1, Ad(\rho_f))$ (a non-abelian class number formula). We explore in this course the question when $\text{Sel}(Ad(\rho_f))$ is cyclic over $\mathbb{Z}_p[\rho_f]$?

We cover

- (1) How to get the non-abelian “class number” formula;
- (2) Properties of Galois representations $Ad(\rho_f)$ and ρ_f ;
- (3) Definitions of $\text{Sel}(Ad(\rho_f))$;
- (4) the cyclicity question.

Here is a slightly more detailed sketch of what we are going to do; so, no proofs given (just short explanation of concepts).

1.1. Hilbert class field. Let K be a number field with integer ring $O = O_K$ embedded in \mathbb{C} . Let H/K be the Hilbert class field; i.e., the maximal abelian extension unramified everywhere including real places. A real place means any real embedding $K \hookrightarrow \mathbb{R}$ extending to an embedding of H into \mathbb{R} .

Define Cl_K to be the group of isomorphism classes of rank 1 projective O -modules M (the group structure is given by tensor product over O). Since $M \hookrightarrow M \otimes_O K \cong K$, we may identify M with a fractional O -ideal in K . Then

$$Cl_K \cong \frac{\text{fractional } O\text{-ideals}}{\text{principal fractional ideals } (\alpha) = \alpha O},$$

which is known to be finite (so, compact; [LFE, Theorem 1.2.1]). By class field theory, we have

$$Cl_K \cong \text{Gal}(H/K) \quad \text{by } \mathfrak{l} \mapsto \text{Frob}_{\mathfrak{l}} \text{ for primes } \mathfrak{l}.$$

1.2. Dual class group $Cl_K^* = \text{Hom}(Cl_K, \mathbb{Q}/\mathbb{Z})$. Consider the algebraic closure

$$\overline{K} = \bigcup_{E/K: \text{ finite Galois extension}} E$$

of K (E is taken in \mathbb{C}). Then $\mathfrak{G}_K = \text{Gal}(\overline{K}/K)$ is a compact group as $\mathfrak{G}_K = \varprojlim_{E/K} \text{Gal}(E/K)$ by restriction maps. Consider $\text{Hom}(\mathfrak{G}_K, \mathbb{Q}/\mathbb{Z}) = \text{Hom}(\mathfrak{G}_K^{ab}, \mathbb{Q}/\mathbb{Z})$ (Pontryagin dual of the maximal continuous abelian quotient \mathfrak{G}_K^{ab}). If $\phi : \mathfrak{G}_K \rightarrow \mathbb{Q}/\mathbb{Z}$ is unramified at a prime \mathfrak{l} , ϕ is trivial on the inertia subgroup $I_{\mathfrak{l}}$ of \mathfrak{l} . Thus

$$Cl_K^* = \text{Gal}(H/K)^* := \text{Hom}(\text{Gal}(H/K), \mathbb{Q}/\mathbb{Z}) = \text{Ker}(\text{Hom}(\mathfrak{G}_K, \mathbb{Q}/\mathbb{Z}) \rightarrow \prod_{\mathfrak{l}} \text{Hom}(I_{\mathfrak{l}}, \mathbb{Q}/\mathbb{Z})).$$

1.3. Pontryagin dual. Consider a profinite group G and a continuous G -module X . Assume that X has either discrete torsion or profinite topology.

For any abelian profinite compact or torsion discrete module X , we define the Pontryagin dual module X^* by $X^* = \text{Hom}_{cont}(X, \mathbb{Q}/\mathbb{Z})$ and give X^* the topology of uniform convergence on every compact subgroup of X . The G -action on $f \in X^*$ is given by $\sigma f(x) = f(\sigma^{-1}x)$. Then by Pontryagin duality theory (e.g., [LFE, 8.3]), we have $(X^*)^* \cong X$ canonically. By this fact, if X^* is the dual of a profinite module $X = \varprojlim_n X_n$ for finite modules X_n with surjections $X_m \twoheadrightarrow X_n$ for $m > n$, $X^* = \bigcup_n X_n^*$ is a discrete module which is a union of finite modules X_n^* .

1.4. Group cohomology. We denote by $H^q(G, X)$ the continuous group cohomology with coefficients in X . If X is finite, $H^q(G, X)$ is as defined in [MFG, 4.3.3]. Thus we have

$$H^0(G, X) = X^G = \{x \in X \mid gx = x \text{ for all } g \in G\},$$

and assuming all maps are continuous,

$$H^1(G, X) = \frac{\{G \xrightarrow{c} X \mid c(\sigma\tau) = \sigma c(\tau) + c(\sigma) \text{ for all } \sigma, \tau \in G\}}{\{G \xrightarrow{b} X \mid b(\sigma) = (\sigma - 1)x \text{ for } x \in X \text{ independent of } \sigma\}},$$

and $H^2(G, X)$ is given by

$$\frac{\{G \xrightarrow{c} X \mid c(\sigma, \tau) + c(\sigma\tau, \rho) = \sigma c(\tau, \rho) + c(\sigma, \tau\rho) \text{ for all } \sigma, \tau, \rho \in G\}}{\{c(\sigma, \tau) = b(\sigma) + \sigma b(\tau) - b(\sigma\tau) \text{ for } b : G \rightarrow X\}}.$$

Thus if G acts trivially on X , we have $H^1(G, X) = \text{Hom}(G, X)$. If $G = \text{Gal}(E/K)$, we often write $H^j(E/K, X)$, and if $E = \overline{K}$, we write $H^j(K, X)$ for $G = \mathfrak{G}_K$.

1.5. Compatible system of Galois representations. A (weakly) compatible system of Galois representations over K with coefficient (number field) T is a system of continuous representation $\rho = \{\rho_{\mathfrak{l}} : \mathfrak{G}_K \rightarrow \text{GL}_n(O_{T, \mathfrak{l}})\}$ such that

- There exists a finite set of primes S of K such that $\rho_{\mathfrak{l}}$ is unramified outside S and the residual characteristic l of \mathfrak{l} ;
- The characteristic polynomial of $\rho_{\mathfrak{l}}(\text{Frob}_{\mathfrak{p}})$ is in $T[X]$ independent of \mathfrak{l} as long as $\mathfrak{p} \notin S \cup \{\mathfrak{l}\}$.

1.6. Selmer group. Let $\rho_l^{div} = \rho_l \otimes_{\mathbb{Z}_l} \mathbb{Q}_l/\mathbb{Z}_l$ as a discrete \mathfrak{G}_K -module. For a datum \mathcal{L} of subgroup $L_{\mathfrak{q}} \subset H^1(K_{\mathfrak{q}}, \rho_l^{div})$ for each prime \mathfrak{q} of K , we define

$$\text{Sel}_{\mathcal{L}}(\rho_l) = \text{Ker}(H^1(K, \rho_l^{div}) \rightarrow \prod_{\mathfrak{q}} H^1(K_{\mathfrak{q}}, \rho_l^{div})/L_{\mathfrak{q}}).$$

If we take $L_{\mathfrak{q}} := \text{Ker}(H^1(K_{\mathfrak{q}}, \rho_l^{div}) \rightarrow H^1(I_{\mathfrak{q}}, \rho_l^{div}))$, then

$$\text{Sel}_{\mathcal{L}}(\rho_l) = \text{Ker}(H^1(K, \rho_l^{div}) \rightarrow \prod_{\mathfrak{q}} H^1(I_{\mathfrak{q}}, \rho_l^{div})).$$

If ρ is made of trivial representation $\mathbf{1}$ with coefficients in \mathbb{Q} ,

$$\text{Sel}_K(\mathbf{1}) := \text{Sel}_{\mathcal{L}}(\rho_l) \cong Cl_K^* \otimes_{\mathbb{Z}} \mathbb{Z}_l \text{ for the above choice of } \mathcal{L}.$$

By class number formula for an imaginary quadratic field $K = \mathbb{A}[\sqrt{-D}]$, we find, if $l > 3$,

$$|Cl_K \otimes_{\mathbb{Z}} \mathbb{Z}_l| = ||Cl_K||_l^{-1} = |\text{Sel}_K(\mathbf{1})| = |L(0, \chi)|_l$$

for the Dirichlet character $\chi = \left(\frac{-D}{\cdot}\right)$. In this case, we can check $\text{Ind}_K^{\mathbb{Q}} \mathbf{1} = \text{Ind}_{\mathfrak{G}_K}^{\mathfrak{G}_{\mathbb{Q}}} \mathbf{1} = \mathbf{1} \oplus \chi$, $\text{Sel}_K(\mathbf{1}) = \text{Sel}_{\mathbb{Q}}(\chi)$ as $\text{Sel}_{\mathbb{Q}}(\mathbf{1}) = 0$; so,

$$|Cl_K \otimes_{\mathbb{Z}} \mathbb{Z}_l| = ||Cl_K||_l^{-1} = |\text{Sel}_{\mathbb{Q}}(\chi)| = |L(0, \chi)|_l.$$

1.7. A variant of Bloch-Kato conjecture. Define the L function of ρ by $L(s, \rho) = \prod_{\mathfrak{p}} \det(1 - \rho_l(\text{Frob}_{\mathfrak{p}})N(\mathfrak{p})^{-s})^{-1}$ and assume analytic continuation and functional equation as predicted by Serre if ρ is associated to a motive (see [HMI, 1.2.1]). If ρ is critical (i.e., the $L(s, \rho)$ does not have a pole at $s = 0$ and the Γ -factor of $L(s, \rho)$ and its counter-part of the functional equation are finite at $s = 0$), we expect

$$|\text{Sel}_{\mathcal{L}}(\rho_l)| = \left| \frac{L(0, \rho_l)}{\text{period}} \right|_l^{-1}$$

for a suitable transcendental factor “**period**” and a suitable data \mathcal{L} (depending on how to define “period”).

Thus at least conjecturally we can compute $|\text{Sel}_{\mathcal{L}}(\rho_l)|$. Our main questions are

- Is there any way to determine the structure of $\text{Sel}_{\mathcal{L}}(\rho_l)$?
- Or at least, is there any way to compute the number of generators of $\text{Sel}_{\mathcal{L}}(\rho_l)$ over O_{T_l} ?

2. CONGRUENCE MODULES

Start with an n -dimensional compatible system $\rho = \{\rho_l\}$ of \mathfrak{G}_K . For simplicity, we assume that its coefficient field T is \mathbb{Q} . Pick a prime p and its member ρ_p (since \mathfrak{G}_K is compact, ρ_p has values in the maximal compact subgroup $\text{GL}_n(\mathbb{Z}_p)$ up to conjugation). Let $\bar{\rho} = \rho_p \pmod{p}; \mathfrak{G}_K \rightarrow \text{GL}_n(\mathbb{F}_p)$. A deformation $\varphi : \mathfrak{G}_K \rightarrow \text{GL}_n(A)$ for a local \mathbb{Z}_p -algebra A is such that $\varphi \pmod{\mathfrak{m}_A} \cong \bar{\rho}$. The universal deformation ring with some specific property P parameterizes all deformations with P . In other words, there exists a universal deformation $\rho : \mathfrak{G}_K \rightarrow \text{GL}_n(R)$ with property P such that for any deformation φ as above, there exists a \mathbb{Z}_p -algebra homomorphism $\phi : R \rightarrow A$ such that $\phi \circ \rho \cong \varphi$. We study the relation between the module of differential Ω_{R/\mathbb{Z}_p} and a certain Selmer group $\text{Sel}_P(\text{Ad}(\rho))$. We start studying differentials for general rings.

2.1. Set up.

- W : the base ring which is a DVR over \mathbb{Z}_p with finite residue field \mathbb{F} for a prime $p > 2$.
- For a local W -algebra A sharing same residue field \mathbb{F} with W (i.e., $A/\mathfrak{m}_A = \mathbb{F}$), we write CL_A the category of complete local A -algebras R with $R/\mathfrak{m}_R = \mathbb{F}$ for its maximal ideal \mathfrak{m}_R . Morphisms of CL_A are local A -algebra homomorphisms. If A is noetherian, CNL_A is the full subcategory of CL_A of noetherian local rings.
- Fix $R \in CNL_A$. For a continuous R -module M with continuous R -action, define continuous A -derivations by

$$\text{Der}_A(R, M) = \{ \delta : R \rightarrow M \in \text{Hom}_A(R, M) \mid \delta : \text{continuous, } \delta(ab) = a\delta(b) + b\delta(a) \ (a, b \in R) \}.$$

Here the A -linearity of a derivation δ is equivalent to $\delta(A) = 0$. The association $M \mapsto \text{Der}_A(R, M)$ is a covariant functor from the category MOD_R of continuous R -modules to modules MOD .

2.2. Differentials. The differential R -module $\Omega_{R/A}$ is defined as follows: The multiplication $a \otimes b \mapsto ab$ induces a A -algebra homomorphism $m : R \widehat{\otimes}_A R \rightarrow R$ taking $a \otimes b$ to ab . We put $I = \text{Ker}(m)$, which is an ideal of $R \widehat{\otimes}_A R$. Then we define $\Omega_{R/A} = I/I^2$. It is an easy exercise to check that the map $d : R \rightarrow \Omega_{R/A}$ given by $d(a) = a \otimes 1 - 1 \otimes a \pmod{I^2}$ is a continuous A -derivation. Indeed

$$\begin{aligned} a \cdot d(b) + b \cdot d(a) - d(ab) &= ab \otimes 1 - a \otimes b - b \otimes a + ba \otimes 1 - ab \otimes 1 + 1 \otimes ab \\ &= ab \otimes 1 - a \otimes b - b \otimes a + 1 \otimes ab = (a \otimes 1 - 1 \otimes a)(b \otimes 1 - 1 \otimes b) \equiv 0 \pmod{I^2}. \end{aligned}$$

We have a morphism of functors:

$$\text{Hom}_R(\Omega_{R/A}, ?) \rightarrow \text{Der}_A(R, ?) : \phi \mapsto \phi \circ d.$$

2.3. Universality.

Proposition 2.1. *The above morphism of two functors*

$$M \mapsto \text{Hom}_R(\Omega_{R/A}, M)$$

and $M \mapsto \text{Der}_A(R, M)$ is an isomorphism, where M runs over the category of continuous R -modules. In other words, for each A -derivation $\delta : R \rightarrow M$, there exists a unique R -linear homomorphism $\phi : \Omega_{R/A} \rightarrow M$ such that $\delta = \phi \circ d$.

Proof. The ideal I is generated over R by $d(a)$. Indeed, if $\sum_{a,b} m(a,b)ab = 0$ (i.e., $\sum_{a,b} m(a,b)a \otimes b \in I$), then

$$\begin{aligned} \sum_{a,b} m(a,b)a \otimes b &= \sum_{a,b} m(a,b)a \otimes b - \sum_{a,b} m(a,b)ab \otimes 1 \\ &= \sum_{a,b} m(a,b)a(1 \otimes b) - b \otimes 1 = - \sum_{a,b} m(a,b)d(b). \end{aligned}$$

Define $\phi : R \times R \rightarrow M$ by $(x, y) \mapsto x\delta(y)$ for $\delta \in \text{Der}_A(R, M)$. If $a, c \in R$ and $b \in A$, $\phi(ab, c) = ab\delta(c) = a(b\delta(c)) = b\phi(a, c)$ and $\phi(a, bc) = a\delta(bc) = ab\delta(c) = b(a\delta(c)) = b\phi(a, c)$. Thus ϕ gives a continuous A -bilinear map.

By the universality of the tensor product, $\phi : R \times R \rightarrow M$ extends to a A -linear map $\phi : R \widehat{\otimes}_A R \rightarrow M$. Now we see that

$$\phi(a \otimes 1 - 1 \otimes a) = a\delta(1) - \delta(a) = -\delta(a)$$

and

$$\phi((a \otimes 1 - 1 \otimes a)(b \otimes 1 - 1 \otimes b)) = \phi(ab \otimes 1 - a \otimes b - b \otimes a + 1 \otimes ab) = -a\delta(b) - b\delta(a) + \delta(ab) = 0.$$

This shows that $\phi|_I$ -factors through $I/I^2 = \Omega_{R/A}$ and $\delta = \phi \circ d$, as desired. The map ϕ is unique as $d(R)$ generates $\Omega_{R/A}$. \square

2.4. Functoriality.

Corollary 2.2 (Second fundamental exact sequence).

Let $\pi : R \rightarrow C$ be a surjective morphism in CL_W , and write $J = \text{Ker}(\pi)$. Then we have the following natural exact sequence:

$$J/J^2 \xrightarrow{\beta^*} \Omega_{R/A} \widehat{\otimes}_R C \longrightarrow \Omega_{C/A} \longrightarrow 0.$$

Moreover if $A = C$, then $J/J^2 \cong \Omega_{R/A} \widehat{\otimes}_R C$.

Proof. By assumption, we have algebra morphism $A \rightarrow R \rightarrow C = R/J$. By the Yoneda's lemma, we only need to prove that

$$\begin{array}{ccccc} \text{Der}_A(C, M) & \xrightarrow[\hookrightarrow]{\alpha} & \text{Der}_A(R, M) & \xrightarrow{\beta} & \text{Hom}_C(J/J^2, M) \\ \wr \downarrow & & \wr \downarrow & & \parallel \downarrow \\ \text{Hom}_A(\Omega_{C/A}, M) & \longrightarrow & \text{Hom}_A(\Omega_{R/A} \widehat{\otimes}_R C, M) & \longrightarrow & \text{Hom}_C(J/J^2, M) \end{array}$$

is exact for all continuous C -modules M . The first α is the pull back map. Thus the injectivity of α is obvious.

The map β is defined as follows: For a given A -derivation $D : R \rightarrow M$, we regard D as a A -linear map of J into M . Since J kills the C -module M , $D(jj') = jD(j') + j'D(j) = 0$ for $j, j' \in J$. Thus D

induces C -linear map: $J/J^2 \rightarrow M$. Then for $b \in R$ and $x \in J$, $D(bx) = bD(x) + xD(b) = bD(x)$. Thus D is C -linear, and $\beta(D) = D|_J$.

Now prove the exactness at the mid-term of the second exact sequence. The fact $\beta \circ \alpha = 0$ is obvious. If $\beta(D) = 0$, then D kills J and hence is a derivation well defined on $C = R/J$. This shows that D is in the image of α .

Now suppose that $A = C$. To show injectivity of β^* , we create a surjective C -linear map: $\gamma : \Omega_{R/A} \otimes C \rightarrow J/J^2$ such that $\gamma \circ \beta^* = \text{id}$.

Let $\pi : R \rightarrow C$ be the projection and $\iota : A = C \hookrightarrow R$ be the structure homomorphism giving the A -algebra structure on R . We first look at the map $\delta : R \rightarrow J/J^2$ given by $\delta(a) = a - P(a) \pmod{J^2}$ for $P = \iota \circ \pi$. Then

$$\begin{aligned} a\delta(b) + b\delta(a) - \delta(ab) &= a(b - P(b)) + b(a - P(a)) - ab + P(ab) \\ &\stackrel{P(ab)=P(a)P(b)}{=} ab - aP(b) + ba - bP(a) - ab + P(a)P(b) = (a - P(a))(b - P(b)) \equiv 0 \pmod{J^2}. \end{aligned}$$

Thus δ is a A -derivation.

By the universality of $\Omega_{R/A}$, we have an R -linear map

$$\phi : \Omega_{R/A} \rightarrow J/J^2$$

such that $\phi \circ d = \delta$. By definition, $\delta(J)$ generates J/J^2 over R , and hence ϕ is surjective.

Since J kills J/J^2 , the surjection ϕ factors through $\Omega_{R/A} \otimes_R C$ and induces γ . Note that $\beta(d \otimes 1_C) = d \otimes 1_C|_J$ for the identity 1_C of C ; so, $\gamma \circ \beta^* = \text{id}$ as desired. \square

Corollary 2.3. *Let the notation and the assumption be as in Corollary 2.2. If we restrict the functor $M \mapsto \text{Der}_A(R, M)$ to the category $\text{MOD}_{/C}$ of C -modules, $\Omega_{R/A} \widehat{\otimes}_R C$ represents $\text{MOD}_{/C} \ni M \mapsto \text{Der}_A(R, M)$.*

We often write $C_1(\pi; C) := \Omega_{R/A} \widehat{\otimes}_R C$ (which is called the differential module of π).

Proof. By Proposition 2.1, for each $\delta \in \text{Der}_A(R, M)$, we find a unique $\phi \in \text{Hom}_R(\Omega_{R/A}, M)$ such that $\phi \circ d = \delta$. If M is a C -module, ϕ factors through $\Omega_{R/A}/J\Omega_{R/A} = \Omega_{R/A} \otimes_R C$.

Conversely, if $\phi \in \text{Hom}_C(\Omega_{R/A} \otimes_R C, M)$ for a C -module M , plainly $\delta = \phi \circ (d \otimes 1)$ gives $\text{Der}_A(R, M)$; so, the result follows. \square

2.5. An algebra structure on $R \oplus M$ and derivation. For any continuous R -module M , we write $R[M]$ for the R -algebra with square zero ideal M . Thus $R[M] = R \oplus M$ with the multiplication given by

$$(r \oplus x)(r' \oplus x') = rr' \oplus (rx' + r'x).$$

It is easy to see that $R[M] \in \text{CNL}_W$, if M is of finite type, and $R[M] \in \text{CL}_W$ if M is a p -profinite R -module. By definition,

$$\text{Der}_A(R, M) \cong \{ \phi \in \text{Hom}_{A\text{-alg}}(R, R[M]) \mid \phi \pmod{M} = \text{id} \},$$

where the map is given by $\delta \mapsto (a \mapsto (a \oplus \delta(a)))$.

Note that $i : R \rightarrow R \widehat{\otimes}_A R$ given by $i(a) = a \otimes 1$ is a section of $m : R \widehat{\otimes}_A R \rightarrow R$. We see easily that $R \widehat{\otimes}_A R / I^2 \cong R[\Omega_{R/A}]$ by $x \mapsto m(x) \oplus (x - i(m(x)))$. Note that $d(a) = 1 \otimes a - i(a)$ for $a \in R$.

2.6. Congruence modules. We assume that A is a domain and R is a reduced finite flat A -algebra. Let $\phi : R \rightarrow A$ be an onto A -algebra homomorphism. Then the total quotient ring $\text{Frac}(R)$ can be decomposed uniquely

$$\text{Frac}(R) = \text{Frac}(\text{Im}(\phi)) \times X$$

as an algebra direct product. Write 1_ϕ for the idempotent of $\text{Frac}(\text{Im}(\phi))$ in $\text{Frac}(R)$. Let $\mathfrak{a} = \text{Ker}(R \rightarrow X) = (1_\phi R \cap R)$, $S = \text{Im}(R \rightarrow X)$ and $\mathfrak{b} = \text{Ker}(\phi)$. Here the intersection $1_\phi R \cap R$ is taken in $\text{Frac}(R) = \text{Frac}(\text{Im}(\phi)) \times X$. First note that $\mathfrak{a} = R \cap (A \times 0)$ and $\mathfrak{b} = (0 \times X) \cap R$. Put

$$C_0(\phi; A) = (R/\mathfrak{a}) \otimes_{R, \phi} \text{Im}(\phi) \cong \text{Im}(\phi)/(\phi(\mathfrak{a})) \cong A/\mathfrak{a} \cong R/(\mathfrak{a} \oplus \mathfrak{b}) \cong S/\mathfrak{b} \quad \text{and} \quad C_1(\phi; C) := \Omega_{R/A} \widehat{\otimes}_R C.$$

The module $C_0(\phi; A)$ is called the *congruence* module of ϕ but is actually a ring. The module $C_1(\phi; A)$ is called the *differential* module of ϕ .

Write $K = \text{Frac}(A)$. Fix an algebraic closure \overline{K} of K . Since the spectrum $\text{Spec}(C_0(\phi; A))$ of the congruence ring $C_0(\phi; A)$ is the scheme theoretic intersection of $\text{Spec}(\text{Im}(\phi))$ and $\text{Spec}(R/\mathfrak{a})$ in $\text{Spec}(R)$:

$$\text{Spec}(C_0(\lambda; A)) = \text{Spec}(\text{Im}(\phi)) \cap \text{Spec}(R/\mathfrak{a}),$$

we conclude that

Proposition 2.4. *Let the notation be as above. Then a prime \mathfrak{p} is in the support of $C_0(\phi; A)$ if and only if there exists an A -algebra homomorphism $\phi' : R \rightarrow \overline{K}$ factoring through R/\mathfrak{a} such that $\phi(a) \equiv \phi'(a) \pmod{\mathfrak{p}}$ for all $a \in R$.*

Since ϕ is onto, we see $C_1(\phi; A) = \mathfrak{b}/\mathfrak{b}^2$. We could define $C_n = \mathfrak{b}^n/\mathfrak{b}^{n+1}$. Then $C(\phi; A) = \bigoplus_n C_n(\phi; A)$ is a graded algebra. If \mathfrak{b} is principal, this is a polynomial ring $C_0(\phi; A)[T]$.

Proposition 2.5. *If A is a noetherian domain, we have $\text{Supp}_A(C_0(\phi; A)) = \text{Supp}_A(C_1(\phi; A))$ and $\text{Ass}_A(C_0(\phi; A)) = \text{Ass}_A(C_1(\phi; A))$.*

For an A -module M , $\text{Supp}_A(M)$ is defined by a Zariski closed subset $\{P \in \text{Spec}(A) | M_P \neq 0\}$ of $\text{Spec}(A)$. Writing $\text{Ann}_A(M) = \{x \in A | xM = 0\}$ (the annihilator ideal of M), we find $\text{Supp}_A(M) = \{P \supset \text{Ann}_A(M) | P \in \text{Spec}(A)\}$ if M is finitely generated over A as an A -module (see [CRT, §4]). The set $\text{Ass}_A(M)$ of associated primes of M is defined to be the set of prime ideals P of A such that $P = \text{Ann}_A(Ax)$ for some $x \in M$.

Proof. For simplicity, we write C_j for $C_j(\phi; A)$. Note that $C_{1,P} = C_1 \otimes_A A_P = \Omega_{R/A} \otimes_R A_P \cong \Omega_{R_P/A_P} \otimes_{R_P} A_P$ by [CRT, Exercise 25.4]. Thus if $C_{1,P} = 0$, by Nakayama's lemma $\Omega_{R_P/A_P} = 0$; so, R_P is étale over A_P [CRT, §25]. Therefore $R_P = A_P \oplus S_P$ as $R_P \rightarrow A_P$ splits, and hence $C_{0,P} = C_0 \otimes_A A_P = S_P \otimes_{R_P, \phi} A_P = 0$. Thus $\text{Supp}_A(C_0) \subset \text{Supp}_A(C_1)$.

If $C_{0,P} = 0$, then $\text{Spec}(A_P) \cap \text{Spec}(S_P) = \emptyset$; therefore, $R_P = A_P \oplus S_P$, and hence $\Omega_{R_P/A_P} = \Omega_{S_P/A_P}$, and hence $C_{1,P} = 0$. Thus shows the reverse inclusion $\text{Supp}_A(C_0) \supset \text{Supp}_A(C_1)$, and we conclude $\text{Supp}_A(C_0) = \text{Supp}_A(C_1)$.

Since the sub set of minimal primes of $\text{Ann}_A(M)$ is equal to the subset of minimal primes in $\text{Supp}_A(M)$ (see [CRT, Theorem 6.5 (iii)]), the identity $\text{Supp}_A(C_0) = \text{Supp}_A(C_1)$ implies the identity of associated primes. \square

3. GALOIS DEFORMATION THEORY FOR \mathbb{G}_m

We study the universal deformation ring in the case of characters (i.e., representation into GL_1) and computes congruence modules C_0 and C_1 . As before, we fix an odd prime p .

3.1. Deformation of a character. Let F/\mathbb{Q} be a number field with integer ring O . We fix a set \mathcal{P} of properties of Galois characters. The property \mathcal{P} is often unramified outside p , or in addition, deformed characters has prime-to- p conductor a factor of a fixed ideal \mathfrak{c} prime to p . Fix a continuous character $\overline{\rho} : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow \mathbb{F}^\times$ with the property \mathcal{P} .

A character $\rho : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow A^\times$ for $A \in CL_W$ is called a \mathcal{P} -deformation of $\overline{\rho}$ if $(\rho \pmod{\mathfrak{m}_A}) = \overline{\rho}$ and ρ satisfies \mathcal{P} .

A couple (R, ρ) (universal couple) made of an object R of CL_W and a character $\rho : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow R^\times$ satisfying \mathcal{P} is called a *universal couple* for $\overline{\rho}$ if for any \mathcal{P} -deformation $\rho : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow A^\times$ of $\overline{\rho}$, we have a unique morphism $\phi_\rho : R \rightarrow A$ in CL_W (so it is a local W -algebra homomorphism) such that $\phi_\rho \circ \rho = \rho$. By the universality, if exists, the couple (R, ρ) is determined uniquely up to isomorphisms.

3.2. Ray class groups of finite level. Fix an O -ideal \mathfrak{c} . Recall

$$Cl_F(\mathfrak{c}) = \frac{\{\text{fractional } O\text{-ideals prime to } \mathfrak{c}\}}{\{(\alpha) | \alpha \equiv 1 \pmod{\times \mathfrak{c}\infty}\}},$$

Here $\alpha \equiv 1 \pmod{\times \mathfrak{c}}$ means that $\alpha = a/b$ for $a, b \in O$ is totally positive (i.e., $\sigma(\alpha) > 0$ for all real embedding $F \xrightarrow{\sigma} \mathbb{R}$) such that $(b) + \mathfrak{c} = O$ and $a \equiv b \pmod{\mathfrak{c}}$ (or equivalently, for all primes $\mathfrak{l} | \mathfrak{c}$, $\alpha \in O_{\mathfrak{l}}^\times$ and $\alpha \equiv 1 \pmod{\mathfrak{l}^{v_{\mathfrak{l}}(\mathfrak{c}\infty)}}$ if the \mathfrak{l} -primary factor of \mathfrak{c} has exponent $v_{\mathfrak{l}}(\mathfrak{c})$ (if $\mathfrak{l} | \infty$, it just means α is positive at \mathfrak{l}).

Write $H_{\mathfrak{c}p^n}/F$ for the ray class field modulo $\mathfrak{c}p^n$. In other words, there exists a unique abelian extension $H_{\mathfrak{c}p^n}/F$ only ramified at $\mathfrak{c}p^n$ exists such that we can identify $\text{Gal}(H_{\mathfrak{c}p^n}/F)$ with the strict ray class group $Cl_F(\mathfrak{c}p^n)$ by sending a class of prime \mathfrak{l} in $Cl_F(\mathfrak{c}p^n)$ to the Frobenius element $\text{Frob}_{\mathfrak{l}} \in \text{Gal}(H_{\mathfrak{c}p^n}/F)$. This isomorphism is called the Artin symbol.

3.3. Ray class group of infinite level. The group $Cl_F(\mathfrak{c}p^n)$ is finite as we have an exact sequence:

$$(O/\mathfrak{c}p^n)^\times \xrightarrow{\alpha \mapsto (\alpha)} Cl_F(\mathfrak{c}p^n) \rightarrow Cl_F^+ \rightarrow 1$$

for the strict class group Cl_F^+ (we write the usual class group without condition at ∞ as Cl_F). Note that $|Cl_F^+|/|Cl_F|$ is a factor of 2^e for the number e of real embeddings of F .

Sending a class $[\mathfrak{a}] \in Cl_F(\mathfrak{c}p^n)$ to the class $[\mathfrak{a}] \in Cl_F(\mathfrak{c}p^m)$ for $m > n$, we have a projective system $\{Cl_F(\mathfrak{c}p^n)\}_n$. Put $Cl_F(\mathfrak{c}p^\infty) = \varprojlim_n Cl_F(\mathfrak{c}p^n)$. Then for $H_{\mathfrak{c}p^\infty} = \bigcup_n H_{\mathfrak{c}p^n}$, $Cl_F(\mathfrak{c}p^\infty) \cong \text{Gal}(H_{\mathfrak{c}p^\infty}/F)$ by $[\mathfrak{l}] \mapsto \text{Frob}_{\mathfrak{l}}$ for primes $\mathfrak{l} \nmid \mathfrak{c}p$.

If $F = \mathbb{Q}$ and $\mathfrak{c} = (N)$ for $0 < N \in \mathbb{Z}$, we have $H_{\mathfrak{c}p^n}$ is the cyclotomic field $\mathbb{Q}[\mu_{Np^n}]$ for the group μ_{Np^n} of Np^n -th roots of unity; so, $Cl_{\mathbb{Q}}(\mathfrak{c}p^n) \cong (\mathbb{Z}/Np^n\mathbb{Z})^\times$ and $Cl_{\mathbb{Q}}(\mathfrak{c}p^\infty) \cong (\mathbb{Z}/N\mathbb{Z})^\times \times \mathbb{Z}_p^\times$.

3.4. Groups algebra is universal. For a profinite abelian group \mathcal{G} with the maximal p -profinite (p -Sylow) quotient \mathcal{G}_p , consider the group algebra $W[[\mathcal{G}]] = \varprojlim_n W[\mathcal{G}_n]$ writing $\mathcal{G}_p = \varprojlim_n \mathcal{G}_n$ with finite \mathcal{G}_n . For example, $\Lambda = W[[\Gamma]]$ ($\Gamma = 1 + p\mathbb{Z}_p = (1+p)^{\mathbb{Z}_p}$) (the Iwasawa algebra) is isomorphic to $W[[T]]$ by $1+p \leftrightarrow t = 1+T$. Suppose that \mathcal{G}_p is finite. Fix a character $\bar{\chi} : \mathcal{G} \rightarrow \mathbb{F}^\times$. Since $\mathbb{F}^\times \hookrightarrow W^\times$, we may regard $\bar{\chi}$ as a character $\chi_0 : \mathcal{G} \rightarrow W^\times$ (Teichmüller lift of $\bar{\chi}$). Define $\kappa : \mathcal{G} \rightarrow W[[\mathcal{G}_p]]^\times$ by $\kappa(g) = \chi_0(g)g_p$ for the image g_p of g in \mathcal{G}_p . Note that $W[\mathcal{G}_p]$ is a local ring with residue field \mathbb{F} . For any continuous deformation $\chi : \mathcal{G} \rightarrow A^\times$ of $\bar{\chi}$, $\varphi : W[\mathcal{G}_p] \ni \sum_g a_g g \mapsto \sum_g a_g \chi \chi_0^{-1}(g) \in A$ gives a unique W -algebra homomorphism such that $\varphi \circ \kappa = \chi$. If \mathcal{G}_p is infinite and $A = \varprojlim_n A_n$ for finite A_n with $A_n = A/\mathfrak{m}_n$, $\chi_n := \chi \chi_0^{-1} \bmod \mathfrak{m}_n : \mathcal{G} \rightarrow A_n^\times$ has to factor through $\mathcal{G}_{m(n)}$ by continuity, and we get $\varphi_n : W[\mathcal{G}_{m(n)}] \rightarrow A_n$ such that $\varphi_n \circ \kappa = \rho_n$. Passing to the limit, we have $\varphi \circ \kappa = \rho$ for $\varphi = \varprojlim_n \varphi_n : W[[\mathcal{G}_p]] \rightarrow A$.

3.5. Universal deformation ring for a Galois character $\bar{\rho}$. Let $C_F(\mathfrak{c}p^\infty)$ for the maximal p -profinite quotient of $Cl_F(\mathfrak{c}p^\infty)$. If $\bar{\rho}$ has prime-to- p conductor equal to \mathfrak{c} , we define a deformation ρ to satisfy \mathcal{P} if ρ is unramified outside $\mathfrak{c}p$ and has prime-to- p conductor a factor of \mathfrak{c} (i.e., ρ factors through $\text{Gal}(H_{\mathfrak{c}p^\infty}/F)$).

For the Teichmüller lift ρ_0 of $\bar{\rho}$ and the inclusion $\kappa : C_F(\mathfrak{c}p^\infty) \hookrightarrow W[[C_F(\mathfrak{c}p^\infty)]]$, we define $\rho(\sigma) := \rho_0(\sigma)\kappa(\sigma)$. Then the universality of the group algebra tells us

Theorem 3.1. *The couple $(W[[C_F(\mathfrak{c}p^\infty)]], \rho)$ is universal among all \mathcal{P} -deformations. If $\bar{\rho}$ is unramified everywhere, $(W[[C_F]], \rho)$ for $C_F := Cl_{F,p}$ is universal among everywhere unramified deformations.*

3.6. Congruence modules for group algebras. Let H be a finite p -abelian group. If \mathfrak{m} is a maximal ideal of $W[H]$, then for the inclusion $\kappa : H \hookrightarrow W[H]^\times$ with $\kappa(\sigma) = \sigma$, $\kappa \bmod \mathfrak{m}$ is trivial as the finite field $W[H]/\mathfrak{m}$ has no non-trivial p -power roots of unity; so, \mathfrak{m} is generated by $\{\sigma - 1\}_{\sigma \in H}$ and $\mathfrak{m}W$. Thus \mathfrak{m} is unique and $W[H]$ is a local ring.

We have a canonical algebra homomorphism: $W[H] \rightarrow W$ sending $\sigma \in H$ to 1. This homomorphism is called the *augmentation* homomorphism of the group algebra. Write this map $\pi : W[H] \rightarrow W$. Then $\mathfrak{b} = \text{Ker}(\pi)$ is generated by $\sigma - 1$ for $\sigma \in H$. Thus

$$\mathfrak{b} = \sum_{\sigma \in H} W[H](\sigma - 1)W[H].$$

We compute the congruence module and the differential module $C_j(\pi, W)$ ($j = 0, 1$).

Theorem 3.2. *We have*

$$C_0(\pi; W) \cong W/|H|W \quad \text{and} \quad C_1(\pi; W) = H \otimes_{\mathbb{Z}} W.$$

Proof. Let $K := \text{Frac}(W)$. Then π gives rise to the algebra direct factor $K\varepsilon \subset K[H]$ for the idempotent $\varepsilon = \frac{1}{|H|} \sum_{\sigma \in H} \sigma$. Thus $\mathfrak{a} = K\varepsilon \cap W[H] = (\sum_{\sigma \in H} \sigma)$ and $\pi(W(H))/\mathfrak{a} = (\varepsilon)/\mathfrak{a} \cong W/|H|W$.

Consider the functor $\mathcal{F} : CL_W \rightarrow \text{SETS}$ given by

$$\mathcal{F}(A) = \text{Hom}_{\text{group}}(H, A^\times) = \text{Hom}_{W\text{-alg}}(W[H], A).$$

Thus $R := W[H]$ and the character $\rho : H \rightarrow W[H]$ (the inclusion: $H \hookrightarrow W[H]$) are universal among characters of H with values in $A \in CL_W$.

Then for any R -module X , consider $R[X] = R \oplus X$ with algebra structure given by $rx = 0$ and $xy = 0$ for all $r \in R$ and $x, y \in X$. Thus X is an ideal of $R[X]$ with $X^2 = 0$. Define $\Phi(X) = \{\rho \in \mathcal{F}(R[X]) \mid \rho \bmod X = \rho\}$. Write $\rho(\sigma) = \rho(\sigma) \oplus u'_\rho(\sigma)$ for $u'_\rho : H \rightarrow X$.

Since

$$\rho(\sigma\tau) \oplus u'_\rho(\sigma\tau) = \rho(\sigma\tau) = (\rho(\sigma) \oplus u'_\rho(\sigma))(\rho(\tau) \oplus u'_\rho(\tau)) = \rho(\sigma\tau) \oplus (u'_\rho(\sigma)\rho(\tau) + \rho(\sigma)u'_\rho(\tau)),$$

we have $u'_\rho(\sigma\tau) = u'_\rho(\sigma)\rho(\tau) + \rho(\sigma)u'_\rho(\tau)$, and thus $u_\rho := \rho^{-1}u'_\rho : H \rightarrow X$ is a homomorphism from H into X . This shows

$$\text{Hom}(H, X) = \Phi(X).$$

Any W -algebra homomorphism $\xi : R \rightarrow R[X]$ with $\xi \bmod X = \text{id}_R$ can be written as $\xi = \text{id}_R \oplus d_\xi$ with $d_\xi : R \rightarrow X$. Since $(r \oplus x)(r' \oplus x') = rr' \oplus rx' + r'x$ for $r, r' \in R$ and $x, x' \in X$, we have $d_\xi(rr') = rd_\xi(r') + r'd_\xi(r)$; so, $d_\xi \in \text{Der}_W(R, X)$. By universality of (R, ρ) , we have

$$\Phi(X) \cong \{\xi \in \text{Hom}_{W\text{-alg}}(R, R[X]) \mid \xi \bmod X = \text{id}\} = \text{Der}_W(R, X) = \text{Hom}_R(\Omega_{R/W}, X).$$

Thus taking $X = K/W$, we have

$$\text{Hom}_W(H \otimes_{\mathbb{Z}} W, K/W) = \text{Hom}(H, K/W) = \text{Hom}_R(\Omega_{R/W}, K/W) = \text{Hom}_W(\Omega_{R/W} \otimes_{R,\pi} W, K/W).$$

By taking Pontryagin dual back, we have

$$H \cong \Omega_{R/W} \otimes_{R,\pi} W = C_1(\pi; W)$$

as desired. \square

3.7. Class group and Selmer group. Let $\text{Ind}_F^{\mathbb{Q}} \text{id} = \text{id} \oplus \chi$ and $H = C_F$. Then for Ω_F given basically by the regulator and some power of $(2\pi i)$,

$$|L(1, \chi)/\Omega_F|_p = ||C_F||_p.$$

We can identify $C_F^\vee = \text{Hom}(C_F, \mathbb{Q}_p/\mathbb{Z}_p)$ with the Selmer group of χ given by

$$\text{Sel}_{\mathbb{Q}}(\chi) := \text{Ker}(H^1(\mathbb{Q}, V(\chi)^*) \rightarrow \prod_l H^1(I_l, V(\chi)^*))$$

for the inertia group $I_l \subset \text{Gal}(\overline{\mathbb{Q}}_l/\mathbb{Q}_l)$.

3.8. Class number formula.

Theorem 3.3 (Class number formula). *Assume that F/\mathbb{Q} is a Galois extension and $p \nmid [F : \mathbb{Q}]$. For the augmentation homomorphism $\pi : W[C_F] \rightarrow W$, we have, for $r(W) = \text{rank}_{\mathbb{Z}_p} W$,*

$$\left| \frac{L(1, \chi)}{\Omega_F} \right|_p^{r(W)} = |C_1(\pi; W)|^{-1} = |C_0(\pi; W)|^{-1} = ||\text{Sel}_{\mathbb{Q}}(\chi)||_p^{r(W)}$$

and $C_1(\pi; W) = C_F \otimes W$ and $C_0(\pi; W) = W/|C_F|W$.

4. NUMBER OF GENERATORS OF ADJOINT SELMER GROUPS

The dimension d of the tangent space of a local ring R over \mathbb{F} gives the number of generators of the ring R . We describe this fact. Using this fact, we prove that $\Omega_{R/W}$ is generated by d elements as R -modules. We fix a generator ϖ of the maximal ideal \mathfrak{m}_W of W .

Hereafter, we fix a finite set S of rational primes (including infinite places), and we let $\mathfrak{G}_{\mathbb{Q}}$ denote the Galois group over \mathbb{Q} of the maximal extension unramified outside S .

4.1. Tangent spaces of local rings. To study noetherian property of deformation ring, here is a useful lemma for an object R in CL_W :

Lemma 4.1. *If $t_{R/W}^* = \mathfrak{m}_R/(\mathfrak{m}_R^2 + \mathfrak{m}_W)$ is a finite dimensional vector space over \mathbb{F} , then $R \in CL_W$ is noetherian.*

The space $t_{R/W}^*$ is called the co-tangent space of R at $\mathfrak{m}_R = (\varpi) \in \text{Spec}(R)$ over $\text{Spec}(W)$. Define t_R^* by $\mathfrak{m}_R/\mathfrak{m}_R^2$, which is called the (absolute) co-tangent space of R at \mathfrak{m}_R .

Proof. Since we have an exact sequence:

$$\mathbb{F} \xrightarrow[\alpha \mapsto \alpha\varpi]{\sim} \mathfrak{m}_W/\mathfrak{m}_W^2 \longrightarrow t_R^* \longrightarrow t_{R/W}^* \longrightarrow 0,$$

we conclude that t_R^* is of finite dimension over \mathbb{F} if $t_{R/W}^*$ is of finite dimensional.

First suppose that $\mathfrak{m}_R^N = 0$ for sufficiently large N . Let $\bar{x}_1, \dots, \bar{x}_m$ be an \mathbb{F} -basis of t_R^* . Choose $x_j \in R$ so that $x_j \bmod \mathfrak{m}_R^2 = \bar{x}_j$. and consider the ideal \mathfrak{a} generated by x_j . We have $\mathfrak{a} = \sum_j R x_j \hookrightarrow \mathfrak{m}_R$ (the inclusion).

After tensoring R/\mathfrak{m}_R , we have the surjectivity of the induced linear map: $\mathfrak{a}/\mathfrak{m}_R\mathfrak{a} \cong \mathfrak{a} \otimes_R R/\mathfrak{m}_R \rightarrow \mathfrak{m} \otimes_R R/\mathfrak{m}_R \cong \mathfrak{m}/\mathfrak{m}_R^2$ because $\{\bar{x}_1, \dots, \bar{x}_m\}$ is an \mathbb{F} -basis of t_R^* . This shows that $\mathfrak{m}_R = \mathfrak{a} = \sum_j Rx_j$ (NAK: Nakayama's lemma applied to the cokernel of $R^m \ni (a_1, \dots, a_m) \mapsto \sum_j a_j x_j \in \mathfrak{m}_R$). Therefore $\mathfrak{m}_R^k/\mathfrak{m}_R^{k+1}$ is generated by the monomials in x_j of degree k as an \mathbb{F} -vector space.

In particular, \mathfrak{m}_R^{N-1} is generated by the monomials in $(x_0 := \varpi, x_1, \dots, x_m)$ of degree $N-1$.

Inductive step: Define $\pi : B = W[[X_1, \dots, X_m]] \rightarrow R$ by $\pi(f(X_1, \dots, X_m)) = f(x_1, \dots, x_m)$. Since any monomial of degree $> N$ vanishes after applying π , π is a well defined W -algebra homomorphism. Let $\mathfrak{m} = \mathfrak{m}_B = (\varpi, X_1, \dots, X_m)$ be the maximal ideal of B . By definition,

$$\pi(\mathfrak{m}^{N-1}) = \mathfrak{m}_R^{N-1}.$$

Suppose now that $\pi(\mathfrak{m}^{N-j}) = \mathfrak{m}_R^{N-j}$, and try to prove the surjectivity of $\pi(\mathfrak{m}^{N-j-1}) = \mathfrak{m}_R^{N-j-1}$.

Since $\mathfrak{m}_R^{N-j-1}/\mathfrak{m}_R^{N-j}$ is generated by monomials of degree $N-j-1$ in x_j , for each $x \in \mathfrak{m}_R^{N-j-1}$, we find a homogeneous polynomial $P \in \mathfrak{m}^{N-j-1}$ of x_1, \dots, x_m of degree $N-j-1$ such that $x - \pi(P) \in \mathfrak{m}_R^{N-j} = \pi(\mathfrak{m}^{N-j})$. This shows $\pi(\mathfrak{m}^{N-j-1}) = \mathfrak{m}_R^{N-j-1}$. Thus by induction on j , we get the surjectivity of π .

General case: Write $R = \varprojlim_i R_i$ for Artinian rings R_i . The projection maps are onto: $t_{R_{i+1}}^* \twoheadrightarrow t_{R_i}^*$. Since t_R^* is of finite dimensional, for sufficiently large i ,

$$t_{R_{i+1}}^* \cong t_{R_i}^*.$$

Thus choosing x_j as above in R , we have its image $x_j^{(i)}$ in R_i .

Use $x_j^{(i)}$ to construct $\pi_i : W[[X_1, \dots, X_m]] \rightarrow R_i$ in place of x_j . Then π_i is surjective as already shown, and

$$\pi = \varprojlim_i \pi_i : W[[X_1, \dots, X_m]] \rightarrow R$$

remains surjective, because projective limit of continuous surjections, if all sets involved are compact sets, remain surjective; so, R is noetherian as profinite sets are compact. \square

4.2. Tangent space as adjoint cohomology group. Let $R = R_{\bar{\rho}}$ be the universal ring for a mod p -Galois absolutely irreducible representation $\bar{\rho} : \mathfrak{G}_{\mathbb{Q}} \rightarrow GL_n(\mathbb{F})$.

We identify $t_{R/W}^*$ with a certain cohomology group $H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho}))$ and in this way, we prove finite dimensionality: $\dim_{\mathbb{F}} t_{R/W}^* < \infty$ (and hence $R_{\bar{\rho}}$ is noetherian).

Let $M_n(\mathbb{F})$ be the space of $n \times n$ matrices with coefficients in \mathbb{F} . We let $\mathfrak{G}_{\mathbb{Q}}$ acts on $M_n(\mathbb{F})$ by $gv = \bar{\rho}(g)v\bar{\rho}(g)^{-1}$. This action is called the **adjoint** action of $\mathfrak{G}_{\mathbb{Q}}$, and this $\mathfrak{G}_{\mathbb{Q}}$ -module will be written as $ad(\bar{\rho})$.

Write Z for the center of $M_n(\mathbb{F})$ and define $\mathfrak{sl}_n(\mathbb{F}) = \{X \in M_n(\mathbb{F}) | \text{Tr}(X) = 0\}$. Since $\text{Tr}(aXa^{-1}) = \text{Tr}(X)$, $\mathfrak{sl}_n(\mathbb{F})$ is stable under the adjoint action. This Galois module will be written as $Ad(\bar{\rho})$.

If $p \nmid n$, $X \mapsto \frac{1}{n}\text{Tr}(X) \oplus (X - \frac{1}{n}\text{Tr}(X))$ gives rise to $M_n(\mathbb{F}) = Z \oplus \mathfrak{sl}_n(\mathbb{F})$ stable under the adjoint action. So we have $ad(\bar{\rho}) = \mathbf{1} \oplus Ad(\bar{\rho})$ if $p \nmid n$, where $\mathbf{1}$ is the trivial representation.

Lemma 4.2. *Let $R = R_{\bar{\rho}}$ for an absolutely irreducible representation $\bar{\rho} : \mathfrak{G}_{\mathbb{Q}} \rightarrow GL_n(\mathbb{F})$. Then*

$$t_{R/W} = \text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F}) \cong H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho})),$$

where $H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho}))$ is the continuous first cohomology group of $\mathfrak{G}_{\mathbb{Q}}$ with coefficients in the discrete $\mathfrak{G}_{\mathbb{Q}}$ -module $V(ad(\bar{\rho}))$.

The space $t_{R/W}$ is called the tangent space of $\text{Spec}(R)_{/W}$ at \mathfrak{m} . In the following proof of the lemma, we write $G = \mathfrak{G}_{\mathbb{Q}}$ and $R = R_{\bar{\rho}}$.

Proof. Step. 1, dual number. Let $A = \mathbb{F}[\varepsilon] = \mathbb{F}[X]/(X^2)$ with $X \leftrightarrow \varepsilon$. Then $\varepsilon^2 = 0$. We claim:

$$\text{Hom}_{W\text{-alg}}(R, A) \cong t_{R/W}.$$

Construction of the map.

Start with a W -algebra homomorphism $\phi : R \rightarrow A$. Write

$$\phi(r) = \phi_0(r) + \phi_\varepsilon(r)\varepsilon \quad \text{with } \phi_0(r), \phi_\varepsilon(r) \in \mathbb{F}.$$

Then the map is $\phi \mapsto \ell_\phi = \phi_\varepsilon|_{\mathfrak{m}_R}$.

Step. 2, Well defined-ness of ℓ_ϕ . From $\phi(ab) = \phi(a)\phi(b)$, we get

$$\phi_0(ab) = \phi_0(a)\phi_0(b) \quad \text{and} \quad \phi_\varepsilon(ab) = \phi_0(a)\phi_\varepsilon(b) + \phi_0(b)\phi_\varepsilon(a).$$

Thus $\phi_\varepsilon \in \text{Der}_W(R, \mathbb{F}) \cong \text{Hom}_{\mathbb{F}}(\Omega_{R/W} \otimes_R \mathbb{F}, \mathbb{F})$. Since for any derivation $\delta \in \text{Der}_W(R, \mathbb{F})$, $\phi' = \phi_0 + \delta\varepsilon \in \text{Hom}_{W\text{-alg}}(R, A)$, we find

$$\text{Hom}_R(\Omega_{R/W} \otimes_R \mathbb{F}, \mathbb{F}) \cong \text{Der}_W(R, A) \cong \text{Hom}_{W\text{-alg}}(R, A).$$

and $\text{Ker}(\phi_0) = \mathfrak{m}_R$ because R is local. Since ϕ is W -linear, $\phi_0(a) = \bar{a} = a \pmod{\mathfrak{m}_R}$.

Thus ϕ kills \mathfrak{m}_R^2 and takes \mathfrak{m}_R W -linearly into $\mathfrak{m}_A = \mathbb{F}\varepsilon$; so, $\ell_\phi : t_R^* \rightarrow \mathbb{F}$. For $r \in W$, $\bar{r} = r\phi(1) = \phi(r) = \bar{r} + \phi_\varepsilon(r)\varepsilon$, and hence ϕ_ε kills W ; so, $\ell_\phi \in t_{R/W}$.

Step. 3, injectivity of $\phi \mapsto \ell_\phi$. Since R shares its residue field \mathbb{F} with W , any element $a \in R$ can be written as $a = r + x$ with $r \in W$ and $x \in \mathfrak{m}_R$. Thus ϕ is completely determined by the restriction ℓ_ϕ of ϕ_ε to \mathfrak{m}_R , which factors through $t_{R/W}^*$. Thus $\phi \mapsto \ell_\phi$ induces an injective linear map $\ell : \text{Hom}_{W\text{-alg}}(R, A) \hookrightarrow \text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F})$.

Note $R/(\mathfrak{m}_R^2 + \mathfrak{m}_W) = \mathbb{F} \oplus t_{R/W}^* = \mathbb{F}[t_{R/W}^*]$ with the projection $\pi : R \rightarrow t_{R/W}^*$ to the direct summand $t_{R/W}^*$. Indeed, writing $\bar{r} = (r \pmod{\mathfrak{m}_R})$, for the inclusion $\iota : \mathbb{F} = W/\mathfrak{m}_W \hookrightarrow R/(\mathfrak{m}_R^2 + \mathfrak{m}_W)$, $\pi(r) = r - \iota(\bar{r})$.

Step. 4, surjectivity of $\phi \mapsto \ell_\phi$. For any $\ell \in \text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F})$, we extend ℓ to R by putting $\ell(r) = \ell(\pi(r))$. Then we define $\phi : R \rightarrow A$ by $\phi(r) = \bar{r} + \ell(\pi(r))\varepsilon$. Since $\varepsilon^2 = 0$ and $\pi(r)\pi(s) = 0$ in $\mathbb{F}[t_{R/W}^*]$, we have

$$rs = (\bar{r} + \pi(r))(\bar{s} + \pi(s)) = \bar{r}\bar{s} + \bar{s}\pi(r) + \bar{r}\pi(s) \xrightarrow{\phi} \bar{r}\bar{s} + \bar{s}\ell(\pi(r))\varepsilon + \bar{r}\ell(\pi(s))\varepsilon = \phi(r)\phi(s)$$

is an W -algebra homomorphism. In particular, $\ell(\phi) = \ell$, and hence ℓ is surjective.

By $\text{Hom}_R(\Omega_{R/W} \otimes_R \mathbb{F}, \mathbb{F}) \cong \text{Hom}_{W\text{-alg}}(R, A)$, we have

$$\text{Hom}_R(\Omega_{R/W} \otimes_R \mathbb{F}, \mathbb{F}) \cong \text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F});$$

so, if $t_{R/W}^*$ is finite dimensional, we get

$$(4.1) \quad \boxed{\Omega_{R/W} \otimes_R \mathbb{F} \cong t_{R/W}^*}.$$

Step. 5, use of universality. By the universality, we have

$$\text{Hom}_{W\text{-alg}}(R, A) \cong \{\rho : G \rightarrow GL_n(A) \mid \rho \pmod{\mathfrak{m}_A} = \bar{\rho}\} / \sim.$$

Write $\rho(g) = \bar{\rho}(g) + u'_\phi(g)\varepsilon$ for ρ corresponding to $\phi : R \rightarrow A$. From the multiplicativity, we have

$$\bar{\rho}(gh) + u'_\phi(gh)\varepsilon = \rho(gh) = \rho(g)\rho(h) = \bar{\rho}(g)\bar{\rho}(h) + (\bar{\rho}(g)u'_\phi(h) + u'_\phi(g)\bar{\rho}(h))\varepsilon,$$

Thus as a function $u' : G \rightarrow M_n(\mathbb{F})$, we have

$$(4.2) \quad u'_\phi(gh) = \bar{\rho}(g)u'_\phi(h) + u'_\phi(g)\bar{\rho}(h).$$

Step. 6, Getting 1-cocycle. Define a map $u_\rho = u_\phi : G \rightarrow ad(\bar{\rho})$ by

$$u_\phi(g) = u'_\phi(g)\bar{\rho}(g)^{-1}.$$

Then by a simple computation, we have

$$gu_\phi(h) = \bar{\rho}(g)u_\phi(h)\bar{\rho}(g)^{-1}$$

from the definition of $ad(\bar{\rho})$. Then from the above formula (4.2), we conclude that

$$\boxed{u_\phi(gh) = gu_\phi(h) + u_\phi(g)}.$$

Thus $u_\phi : G \rightarrow ad(\bar{\rho})$ is a 1-cocycle. Thus we get an \mathbb{F} -linear map

$$t_{R/W} \cong \text{Hom}_{W\text{-alg}}(R, A) \rightarrow H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho}))$$

by $\ell_\phi \mapsto [u_\phi]$

Step. 7, End of proof. By computation, for $x \in ad(\bar{\rho})$

$$\begin{aligned} \rho \sim \rho' &\Leftrightarrow \bar{\rho}(g) + u'_\rho(g)\varepsilon = (1 + x\varepsilon)(\bar{\rho}(g) + u'_{\rho'}(g)\varepsilon)(1 - x\varepsilon) \\ &\Leftrightarrow u'_\rho(g) = x\bar{\rho}(g) - \bar{\rho}(g)x + u'_{\rho'}(g) \Leftrightarrow u_\rho(g) = (1 - g)x + u_{\rho'}(g). \end{aligned}$$

Thus the cohomology classes of u_ρ and $u_{\rho'}$ are equal if and only if $\rho \sim \rho'$. This shows:

$$\text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F}) \cong \text{Hom}_{W\text{-alg}}(R, A) \cong \{\rho : G \rightarrow GL_n(A) \mid \rho \pmod{\mathfrak{m}_A} = \bar{\rho}\} / \sim \cong H^1(G, ad(\bar{\rho})).$$

In this way, we get a bijection between $\text{Hom}_{\mathbb{F}}(t_{R/W}^*, \mathbb{F})$ and $H^1(G, ad(\bar{\rho}))$. \square

4.3. p -Frattini condition. For each open subgroup H of a profinite group G , we write H_p for the maximal p -profinite quotient. Define p -Frattini quotient $\Phi(H_p)$ of H by $\Phi(H_p) = H_p/((H_p)^p \overline{(H_p, H_p)})$ for the the commutator subgroup (H_p, H_p) of H_p . We consider the following condition:

(Φ) For any open subgroup H of G , $\Phi(H_p)$ is a finite group.

Proposition 4.3 (Mazur). *By class field theory, $\mathfrak{G}_{\mathbb{Q}}$ satisfies (Φ), and $R_{\bar{\rho}}$ is a noetherian ring. In particular, $t_{R/W}^*$ is finite dimensional over \mathbb{F} and is isomorphic to $\Omega_{R/W} \otimes_R \mathbb{F}$ (see (4.1)).*

By this fact, hereafter we always assume that the deformation functor is defined over $CNL_{/W}$.

Proof. Let $H = \text{Ker}(\bar{\rho})$. Then the action of H on $ad(\bar{\rho})$ is trivial. By the inflation-restriction sequence for $G = \mathfrak{G}_{\mathbb{Q}}$, we have the following exact sequence:

$$0 \rightarrow H^1(G/H, H^0(H, ad(\bar{\rho}))) \rightarrow H^1(G, ad(\bar{\rho})) \rightarrow \text{Hom}(\Phi(H_p), M_n(\mathbb{F})).$$

From this, it is clear that

$$\dim_{\mathbb{F}} H^1(G, ad(\bar{\rho})) < \infty.$$

The fact that $\mathfrak{G}_{\mathbb{Q}}$ satisfies (Φ) follows from class field theory. Indeed, if F is the fixed field of H , then $\Phi(H_p)$ fixes the maximal abelian extension M/F unramified outside p . By class field theory, $[M : F]$ is finite. \square

Corollary 4.4. *$\Omega_{R/W}$ is an R -module of finite type, and its minimal number of generators over R is equal to*

$$\dim_{\mathbb{F}} \Omega_{R/W} \otimes_R \mathbb{F} = \dim_{\mathbb{F}} t_{R/W}.$$

Proof. For any R -module M , Nakayama's lemma tells us $M \otimes_R \mathbb{F} = 0 \Rightarrow M = 0$. Choose a basis $B = \{\bar{b}\}$ of $M/\mathfrak{m}_R M = M \otimes_R \mathbb{F}$ and suppose B is finite. Lift \bar{b} to $b \in M$, and consider the R -linear map $\pi : \bigoplus_{g \in B} R \ni (a_{\bar{b}})_{\bar{b} \in B} \mapsto \sum_{\bar{b}} a_{\bar{b}} b \in M$. Tensoring \mathbb{F} over R , we find $\text{Coker}(\pi) \otimes_R \mathbb{F} = 0$; so, $\text{Coker}(\pi) = 0$. This implies that $\{b | \bar{b} \in B\}$ is the minimal generators of M over R . Apply this to $M = \Omega_{R/W}$, we get the result by Proposition 4.3. \square

5. ADJOINT SELMER GROUPS AND DIFFERENTIALS

We define $\text{Sel}(Ad(\rho))$ for ordinary deformations ρ of an **absolutely irreducible** 2-dimensional Galois representation $\bar{\rho}$ and show that $\text{Sel}(Ad(\bar{\rho})) = t_{R/W}$ and $\text{Sel}(Ad(\rho)) \cong \Omega_{R/W}$ for the universal ordinary Galois representation ρ deforming $\bar{\rho}$.

We write I_p for the inertia group of $D_p = \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)$.

5.1. p -Ordinariness condition. Let $\rho : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(A)$ ($A \in CL_{/W}$) be a deformation of $\bar{\rho} : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathbb{F})$ acting on $V(\rho)$. We say ρ is p -ordinary if

(ord $_p$) $\rho|_{D_p} \cong \begin{pmatrix} \epsilon & * \\ 0 & \delta \end{pmatrix}$ for two characters $\epsilon, \delta : D_p \rightarrow A^\times$ **distinct** modulo \mathfrak{m}_A with δ unramified.

So, $\bar{\rho} = \rho|_{D_p} \cong \begin{pmatrix} \bar{\epsilon} & * \\ 0 & \bar{\delta} \end{pmatrix}$ with $\bar{\delta} \pmod{\mathfrak{m}_A} = \bar{\delta}$ which is a requirement. We also consider a similar condition for $l \in S$ ($l \neq p$):

(ord $_l$) We have a non-trivial character $\epsilon_l : I_l \rightarrow W^\times$ of order prime to p such that $\rho|_{I_l} \cong \begin{pmatrix} \iota_A \circ \epsilon_l & 0 \\ 0 & 1 \end{pmatrix}$, where $\iota_A : W \rightarrow A$ is the W -algebra structure morphism.

We always impose these two conditions (ord $_p$) and (ord $_l$) for $l \in S$. In most cases, we fix a character $\chi : \mathfrak{G}_{\mathbb{Q}} \rightarrow W^\times$, we consider

$$(\det) \quad \det \rho = \iota_A \circ \chi.$$

5.2. Ordinary deformation functor. We consider the following functor for a fixed absolutely irreducible representation $\bar{\rho} : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathbb{F})$ satisfying (ord $_p$) and (ord $_l$). Then we consider $\mathcal{D}, \mathcal{D}_\chi : CL_{/W} \rightarrow \text{SETS}$ given by

$$\mathcal{D}(A) = \{\rho : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(A) | \rho \pmod{\mathfrak{m}_A} \cong \bar{\rho}, \rho \text{ satisfies (ord}_p) \text{ and (ord}_l)\} / \cong$$

and

$$\mathcal{D}_\chi(A) = \{\rho \in \mathcal{D}(A) | \det \rho = \iota_A \circ \chi\}.$$

Then

Theorem 5.1 (B. Mazur). *There exists a universal couple $(R^{ord}, \rho = \rho^{ord})$ and (R_χ, ρ_χ) representing \mathcal{D} and \mathcal{D}_χ , respectively, so that $\mathcal{D}(A) \cong \text{Hom}_{W\text{-alg}}(R^{ord}, A)$ by $\rho \mapsto \varphi$ with $\varphi \circ \rho^{ord} = \rho$ (resp. $\mathcal{D}_\chi(A) \cong \text{Hom}_{W\text{-alg}}(R_\chi, A)$ by $\rho \mapsto \varphi$ with $\varphi \circ \rho_\chi = \rho$).*

For a proof, see [MFG, §2.3.2, §3.2.4].

5.3. Fiber products. Let $\mathcal{F} : CL/W \rightarrow SETS$ be a covariant functor with $|\mathcal{F}(\mathbb{F})| = 1$. Let $\mathcal{C} = SETS$ or CL/W . For morphisms $\phi' : S' \rightarrow S$ and $\phi'' : S'' \rightarrow S$ in \mathcal{C} ,

$$S' \times_S S'' = \{(a', a'') \in S' \times S'' \mid \phi'(a') = \phi''(a'')\}$$

gives the fiber product of S' and S'' over S in \mathcal{C} . We assume that

$$|\mathcal{F}(\mathbb{F})| = 1 \text{ and } \mathcal{F}(\mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon]) = \mathcal{F}(\mathbb{F}[\varepsilon]) \times_{\mathcal{F}(\mathbb{F})} \mathcal{F}(\mathbb{F}[\varepsilon])$$

by two projections.

It is easy to see $\mathcal{F} = \mathcal{D}$ and \mathcal{D}_χ satisfies this condition. Indeed, noting that $\mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon] \cong \mathbb{F}[\varepsilon'] \times_{\mathbb{F}} \mathbb{F}[\varepsilon''] \cong \mathbb{F}[\varepsilon', \varepsilon'']$, if $\rho' \in \mathcal{F}(\mathbb{F}[\varepsilon'])$ and $\rho'' \in \mathcal{F}(\mathbb{F}[\varepsilon''])$, we have $\rho' \times \rho''$ has values in $\text{GL}_2(\mathbb{F}[\varepsilon', \varepsilon''])$ is an element in $\mathcal{F}(\mathbb{F}[\varepsilon'] \times_{\mathbb{F}} \mathbb{F}[\varepsilon''])$.

5.4. Slight generalization. For any $A \in CL/W$ and an A -module X , suppose $|\mathcal{F}(A)| = 1$ and $\mathcal{F}(A[X] \times_A A[X]) = \mathcal{F}(A[X]) \times_{\mathcal{F}(A)} \mathcal{F}(A[X])$. Then $A[X] \times_A A[X] = A[X \oplus X]$. The addition on X and A -linear map $\alpha : X \rightarrow X$ induces in the same way CL/W -morphisms $+_* : A[X \oplus X] \rightarrow A[X]$ by $a + (x \oplus y) \mapsto a + x + y$ and $\alpha_* : A[X] \rightarrow A[X]$ by $a + x \mapsto a + \alpha(x)$. Thus we have by functoriality. the ‘‘addition’’

$$+ : \mathcal{F}(A[X]) \times_{\mathcal{F}(A)} \mathcal{F}(A[X]) = \mathcal{F}(A[X \oplus X]) \xrightarrow{\mathcal{F}(+_*)} \mathcal{F}(A[X])$$

and α -action

$$\alpha : \mathcal{F}(A[X]) \xrightarrow{\mathcal{F}(\alpha_*)} \mathcal{F}(A[X]).$$

With $\mathbf{0} = \text{Im}(\mathcal{F}(A) \rightarrow \mathcal{F}(A[X]))$ for the inclusion $A \hookrightarrow A[X]$, this makes $\mathcal{F}(A[X])$ as an A -module.

5.5. Tangent space of deformation functors. Identify $\mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon]$ with $\mathbb{F}[\varepsilon', \varepsilon'']$ ($\varepsilon'\varepsilon'' = 0$ and $\dim_{\mathbb{F}} \mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon] = 3$ but $\dim_{\mathbb{F}} \mathbb{F}[\varepsilon] \otimes_{\mathbb{F}} \mathbb{F}[\varepsilon] = 4$). It is easy to see that $a + b\varepsilon' + c\varepsilon'' \mapsto a + (a+c)\varepsilon$ gives an onto CL/W -morphism $a : \mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon] \rightarrow \mathbb{F}[\varepsilon]$ which induces

$$+ : \mathcal{F}(\mathbb{F}[\varepsilon]) \times \mathcal{F}(\mathbb{F}[\varepsilon]) = \mathcal{F}(\mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon]) \xrightarrow{\mathcal{F}(a)} \mathcal{F}(\mathbb{F}[\varepsilon]).$$

Plainly this is associative and commutative, and for the inclusion $0 : \mathbb{F} \hookrightarrow \mathbb{F}[\varepsilon]$, we have $\mathbf{0} := \text{Im}(\mathcal{F}(0)(\mathcal{F}(\mathbb{F}))) \in \mathcal{F}(\mathbb{F}[\varepsilon])$ gives the identity. Thus $\mathcal{F}(\mathbb{F}[\varepsilon])$ is an abelian group.

Similarly, for $\alpha \in \mathbb{F}$, $a + b\varepsilon \mapsto a + \alpha b\varepsilon$ is an automorphism of $\mathbb{F}[\varepsilon]$ in CL/W . This induces a multiplication on $\mathcal{F}(\mathbb{F}[\varepsilon])$ by scalar in \mathbb{F} . We see that $\mathcal{F}(\mathbb{F}[\varepsilon])$ is an \mathbb{F} -vector space, and $\mathcal{F}(\mathbb{F}[\varepsilon])$ is called the **tangent space** of the functor \mathcal{F} .

5.6. Tangent space of rings and deformation functor.

Lemma 5.2. *Let $\mathcal{F} = \mathcal{D}$ or \mathcal{D}_χ and $R = R^{ord}$ or R_χ accordingly. Then $t_{R/W} \cong \mathcal{F}(\mathbb{F}[\varepsilon])$ as \mathbb{F} -vector spaces.*

Proof. Write $\mathcal{D}^\theta : CL/W \rightarrow SETS$ for the deformation functor defined by $\mathcal{D}^\theta(A) = \{\rho : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(A) \mid (\rho \bmod \mathfrak{m}_A) = \bar{\rho}\} / \sim$ without any extra properties. Let $R_{\bar{\rho}}$ be the universal ring for \mathcal{D}^θ . We have got a canonical bijection in Lemma 5.2:

$$\mathcal{D}^\theta(\mathcal{F}[\varepsilon]) \xrightarrow[i_1]{1-1 \text{ onto}} H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho})) \xrightarrow[i]{\sim} t_{R_{\bar{\rho}}/W}$$

with a vector space isomorphism i . We have constructed a cocycle u_ρ from $\rho \in \mathcal{F}(\mathbb{F}[\varepsilon])$ writing $\rho = \bar{\rho} + u_\rho \bar{\rho} \varepsilon$. Regarding $(\rho, \rho') \in \mathcal{F}(\mathbb{F}[\varepsilon]) \times \mathcal{F}(\mathbb{F}[\varepsilon]) = \mathcal{F}(\mathbb{F}[\varepsilon] \times_{\mathbb{F}} \mathbb{F}[\varepsilon])$, we see that $+(\rho, \rho') = \bar{\rho} + (u_\rho \bar{\rho} + u_{\rho'} \bar{\rho}) \varepsilon \in \mathcal{F}(\mathbb{F}[\varepsilon])$; so, i_1 is a homomorphism. Similarly, one can check that it is \mathbb{F} -linear. \square

5.7. Tangent space as cohomology group with local condition. We identify $\mathcal{F}(\mathbb{F}[\varepsilon])$ with a \mathbb{F} -vector subspace of $H^1(\mathfrak{G}_{\mathbb{Q}}, ad(\bar{\rho}))$. We want to explicitly determine $\mathcal{F}(\mathbb{F}[\varepsilon])$. Since corresponding cohomology class corresponds to strict conjugacy class, we may choose by (ord_p) a basis (dependent on $l \in S \cup \{p\}$) of $V(\rho)$ for $\rho \in \mathcal{F}(\mathbb{F}[\varepsilon])$ so that $\rho|_{D_p}$ is upper triangular with quotient character δ congruent to $\bar{\delta}$ modulo \mathfrak{m}_A . Similarly by (ord_l) , we choose the basis so that $\rho|_{I_l} = \varepsilon_l \oplus \mathbf{1}$ in this order.

Theorem 5.3. *A 1-cocycle u gives rise to a class in $\mathcal{D}_\chi(\mathbb{F}[\varepsilon])$ if and only if $u(I_l) = 0$ for all prime $l \in S$ not equal to p , $u|_{D_p}$ is upper triangular, $u|_{I_p}$ is upper nilpotent and $\text{Tr}(u) = 0$ over $\mathfrak{G}_{\mathbb{Q}}$, where $\bar{v} = v \bmod (\varepsilon)$.*

Note that the description of cocycles u is independent of χ ; so, even if one changes χ , the tangent space $t_{R_\chi/W}$ is independent as a cohomology subgroup as long as \mathbb{F} does not change.

Proof. By (det), $1 = \det(\rho\bar{\rho}^{-1}) = 1 + u_\rho\varepsilon = 1 + \text{Tr}(u_\rho)\varepsilon$; so, (det) $\Leftrightarrow \text{Tr}(u) = 0$ over $\mathfrak{G}_\mathbb{Q}$. Thus we $t_{R_\chi/W} \subset H^1(\mathfrak{G}_\mathbb{Q}, \text{Ad}(\bar{\rho}))$.

Choose a generator $w \in V(\varepsilon)$ over $\mathbb{F}[\varepsilon]$. Then (w, v) is a basis of $V(\rho)$ over $\mathbb{F}[\varepsilon]$. Let $(\bar{w}, \bar{v}) = (w, v) \bmod \varepsilon$ and identify $V(\text{ad}(\bar{\rho}))$ with $M_2(\mathbb{F})$ with this basis. Then defining $\bar{\rho}$ by $(\sigma\bar{w}, \sigma\bar{v}) = (\bar{w}, \bar{v})\bar{\rho}(\sigma)$, for $\sigma \in D_p$, we have $\bar{\rho}(\sigma) = \begin{pmatrix} \bar{\varepsilon}(\sigma) & * \\ 0 & \bar{\delta}(\sigma) \end{pmatrix}$ (upper triangular). If $\sigma \in I_p$, $\rho\bar{\rho}^{-1} = 1 + u_\rho$ with lower right corner of u_ρ has to vanish as $\bar{\delta} = 1$ on I_p , we have $u_\rho(\sigma) \in \{ \begin{pmatrix} 0 & * \\ 0 & 0 \end{pmatrix} \}$.

Since ramification at $l \neq p$ is concentrated to $\bar{\rho}$ as $\rho(I_l)$ has order prime to p , $(\text{ord}_l) \Leftrightarrow u_\rho(I_l) = 0$. (ord_p) is equivalent to u_ρ is of the form $\begin{pmatrix} * & * \\ 0 & 0 \end{pmatrix}$ but by $\text{Tr}(u_\rho) = 0$, it has to be upper nilpotent. \square

5.8. Mod p adjoint Selmer group. For $\mathcal{F} = \mathcal{D}$ or \mathcal{D}_χ , we denote the corresponding local deformation functor by

$$\mathcal{D}_l(A) = \{ \rho : \text{Gal}(\bar{\mathbb{Q}}_l/\mathbb{Q}_l) \rightarrow \text{GL}_2(A) \mid \rho \bmod \mathfrak{m}_A = \bar{\rho} \text{ and } \rho \text{ satisfies } (\text{ord}_l) \},$$

and $\mathcal{D}_{\chi,l}(A) = \{ \rho \in \mathcal{D}_l(A) \mid \det(\rho) = \iota_A \circ \chi \}$. Thus by the proof of Theorem 5.3, we find

$$\mathcal{D}_\chi(A) = \{ \rho : \mathfrak{G}_\mathbb{Q} \rightarrow \text{GL}_2(A) \in \mathcal{D}^\emptyset(A) : \rho|_{D_l} \in \mathcal{D}_{\chi,l}(A) \}.$$

Therefore, we have

$$\text{Sel}(\text{Ad}(\bar{\rho})) := t_{R_\chi/W} = \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, \text{Ad}(\bar{\rho})) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, \text{Ad}(\bar{\rho}))}{\mathcal{D}_{\chi,l}(\mathbb{F}[\varepsilon])}),$$

and

$$\text{Sel}(\text{ad}(\bar{\rho})) := t_{R^{\text{ord}}/W} = \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, \text{ad}(\bar{\rho})) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, \text{ad}(\bar{\rho}))}{\mathcal{D}_l(\mathbb{F}[\varepsilon])}).$$

5.9. R^{ord} is an algebra over the Iwasawa algebra. The finite order character $\det(\bar{\rho})$ factors through $\text{Gal}(\mathbb{Q}[\mu_{N_0}]/\mathbb{Q})$ for some positive integer N_0 . Let N_0 be the minimal such integer (called conductor of $\det(\bar{\rho})$). Write $N_0 = Np^\nu$ for N prime to p ; so, N is the prime to p -conductor of $\det(\bar{\rho})$. Note that $\det(\rho^{\text{ord}})$ factors through $\text{Gal}(\mathbb{Q}[\mu_{Np^\infty}]/\mathbb{Q}) \cong \mathbb{Z}_p^\times \times (\mathbb{Z}/N\mathbb{Z})^\times$. Write $\Gamma \cong 1 + p\mathbb{Z}_p$ be the maximal p -profinite quotient of $\text{Gal}(\mathbb{Q}[\mu_{Np^\infty}]/\mathbb{Q})$. Supposing $\chi|_{I_l}$ has values in W^\times , consider the deformation functor

$$D(A) = \{ \varphi : \mathfrak{G}_\mathbb{Q} \rightarrow A^\times \mid \varphi \bmod \mathfrak{m}_A = \det(\bar{\rho}), \varphi|_{I_l} = \iota_A \circ \chi|_{I_l} \ \forall l \neq p \}$$

Plainly this functor is represented by $W[[\Gamma]]$ with universal character $\kappa(\sigma) = \chi_0(\sigma)[\sigma]$, where χ_0 is the restriction of χ to $(\mathbb{Z}/N\mathbb{Z})^\times$ and $[\sigma]$ is the restriction of σ to \mathbb{Q}_∞ with $\text{Gal}(\mathbb{Q}_\infty/\mathbb{Q}) = \Gamma$ for a subfield $\mathbb{Q}_\infty \subset \mathbb{Q}[\mu_{p^\infty}]$. Since $\det \rho^{\text{ord}} \in D(R^{\text{ord}})$, we have $i = i_{R^{\text{ord}}} : W[[\Gamma]] \rightarrow R^{\text{ord}}$ such that $\det \rho^{\text{ord}} = i \circ \kappa$.

5.10. Reinterpretation of \mathcal{D} . Consider the following deformation functor $\mathcal{D}_\Lambda : CL/\Lambda \rightarrow \text{SETS}$

$$\mathcal{D}_\kappa(A) = \{ \rho : \mathfrak{G}_\mathbb{Q} \rightarrow \text{GL}_2(A) \mid \rho \bmod \mathfrak{m}_A \cong \bar{\rho}, \rho \text{ satisfies } (\text{ord}_p), (\text{ord}_l) \text{ and } (\det_\Lambda) \} / \cong,$$

where writing $i_A : \Lambda \rightarrow A$ for Λ -algebra structure of A ,

$$(\det_\Lambda) \quad \det(\rho) = i_A \circ \kappa.$$

Proposition 5.4. *We have $\mathcal{D}_\kappa(A) \cong \text{Hom}_{\Lambda\text{-alg}}(R^{\text{ord}}, A)$ with universal representation $\rho^{\text{ord}} \in \mathcal{D}(R^{\text{ord}})$; so,*

$$\text{Sel}(\text{Ad}(\bar{\rho})) := t_{R^{\text{ord}}/\Lambda} = \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, \text{Ad}(\bar{\rho})) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, \text{Ad}(\bar{\rho}))}{\mathcal{D}_{\chi,l}(\mathbb{F}[\varepsilon])}).$$

Proof. For any $\rho \in \mathcal{D}_\Lambda(A)$, regard $\rho \in \mathcal{D}(A)$. Then we have $\varphi \in \text{Hom}_{W\text{-alg}}(R^{\text{ord}}, A)$ such that $\varphi \circ \rho^{\text{ord}} \cong \rho$. Thus $\varphi \circ \det(\rho^{\text{ord}}) = \det(\rho)$. Since $\det(\rho) = i_A \circ \kappa$ and $\det(\rho^{\text{ord}}) = i_{R^{\text{ord}}} \circ \kappa$, we find $\varphi \circ i_{R^{\text{ord}}} = i_A$, and hence $\varphi \in \text{Hom}_{\Lambda\text{-alg}}(R^{\text{ord}}, A)$. This shows that R^{ord} also represents \mathcal{D}_κ over Λ .

As we already remarked, $\mathcal{D}_\kappa(\mathbb{F}[\varepsilon]) = t_{R^{\text{ord}}/\Lambda} = \mathfrak{m}_{R^{\text{ord}}}/\mathfrak{m}_{R^{\text{ord}}}^2 + \mathfrak{m}_\Lambda$ is independent as a subgroup of $H^1(\mathfrak{G}_\mathbb{Q}, \text{Ad}(\bar{\rho}))$; so, we get a new expression of $\text{Sel}(\text{Ad}(\bar{\rho}))$. \square

By the proof, $\Omega_{R^{\text{ord}}/\Lambda} \otimes_{R^{\text{ord}}} \mathbb{F} \cong \text{Sel}(\text{Ad}(\bar{\rho})) \cong \Omega_{R_\chi/W} \otimes_{R_\chi} \mathbb{F}$, so the smallest number of generators of $\Omega_{R^{\text{ord}}/\Lambda}$ as R^{ord} -modules and $\Omega_{R_\chi/W}$ as R_χ modules is equal. In the same way, the number of generators of R^{ord} as Λ -algebras and R_χ as W -algebras is equal.

5.11. Compatible basis of $c \in \mathcal{F}(A)$. By (ord_l) for $l \in S \cup \{p\}$, the universal representation ρ_χ is equipped with a basis $(\mathbf{v}_l, \mathbf{w}_l)$ so that the matrix representation with respect this basis satisfies (ord_l) . By representability, each class $c \in \mathcal{F}(A)$ has ρ such that $V(\rho) = V(\rho_\chi) \otimes_{R_\chi, \varphi} A$ for a unique $\varphi \in \text{Hom}_{B\text{-alg}}(R_\chi, A)$, we can choose a unique $\rho \in c$ is equipped with a basis $\{(v_l = \mathbf{v}_l \otimes 1, w_l = \mathbf{w}_l \otimes 1)\}_l$ satisfying $\{(\text{ord}_l): l \in S \cup \{p\}\}$ compatible with specialization. We always choose such a specific representative ρ for each class $c \in \mathcal{F}(A)$ hereafter.

Take a finite A -module X and consider the ring $A[X] = A \oplus X$ with $X^2 = 0$. Then $A[X]$ is still p -profinite. Pick $\rho \in \mathcal{F}(A[X])$ such that $\rho \bmod X \sim \rho_0$. By our choice of representative ρ and ρ_0 as above, we may (and do) assume $\rho \bmod X = \rho_0$.

5.12. General cocycle construction. Here we allow $\chi = \kappa$ but if $\chi = \kappa$, we assume that $A \in CL_\Lambda$. Writing $B = W$ if χ has values in W^\times and Λ if $\chi = \kappa$, the functor \mathcal{F} is defined over $CL_{/B}$. Let ρ_0 act on $M_2(A)$ and $\mathfrak{sl}_2(A) = \{x \in M_2(A) | \text{Tr}(x) = 0\}$ by conjugation. Write this representation $ad(\rho)$ and $Ad(\rho)$ as before. Let $ad(X) = ad(A) \otimes_A X$ and $Ad(X) = Ad(A) \otimes_A X$ and regard them as $\mathfrak{G}_\mathbb{Q}$ -modules by the action on $ad(A)$ and $Ad(A)$. Then we define

$$\Phi(A[X]) = \frac{\{\rho : \mathfrak{G}_\mathbb{Q} \rightarrow \text{GL}_2(A[X]) | (\rho \bmod X) = \rho_0, [\rho] \in \mathcal{F}(A[X])\}}{1 + M_2(X)},$$

where $[\rho]$ is the isomorphism class in $\mathcal{F}(A)$ containing ρ and ρ is assumed to satisfy the lifting property described in §5.11.

Take X finite as above. For $\rho \in \Phi(X)$, we can write $\rho = \rho_0 \oplus u'_\rho$ letting ρ_0 acts on $M_2(X)$ by matrix multiplication from the right. Then as before

$$\rho_0(gh) \oplus u'_\rho(gh) = (\rho_0(g) \oplus u'_\rho(g))(\rho_0(h) \oplus u'_\rho(h)) = \rho_0(gh) \oplus (u'_\rho(g)\rho_0(h) + \rho_0(g)u'_\rho(h))$$

produces $u'_\rho(gh) = u'_\rho(g)\rho_0(h) + \rho_0(g)u'_\rho(h)$ and multiplying by $\rho_0(gh)^{-1}$ from the right, we get the cocycle relation for $u_\rho(g) = u'_\rho(g)\rho_0(g)^{-1}$:

$$u_\rho(gh) = u_\rho(g) + gu_\rho(h) \quad \text{for } gu_\rho(h) = \rho(g)u_\rho(h)\rho_0(g)^{-1},$$

getting the map $\Phi(A[X]) \rightarrow H^1(\mathfrak{G}_\mathbb{Q}, ad(X))$ which factors through $H^1(\mathfrak{G}_\mathbb{Q}, Ad(X))$. As before this map is injective A -linear map identifying $\Phi(A[X])$ with $\text{Sel}(Ad(X))$.

5.13. General adjoint Selmer group. We see that $u_\rho : \mathfrak{G}_\mathbb{Q} \rightarrow Ad(X)$ is a 1-cocycle, and we get an embedding $\Phi(A[X]) \hookrightarrow H^1(\mathbb{Q}_l, Ad(X))$ for $l \in S \cup \{p\}$ by $\rho \mapsto [u_\rho]$. We consider local version of Φ replacing $\mathfrak{G}_\mathbb{Q}$ by D_l :

$$\Phi_l(A[X]) := \frac{\{\rho : D_l \rightarrow \text{GL}_2(A[X]) | \tilde{\rho} \bmod X = \rho_0, [\rho] \in \mathcal{F}_l(A[X])\}}{1 + M_2(X)},$$

and we define

$$\text{Sel}(Ad(X)) := \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, Ad(X)) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, Ad(\tilde{\rho}))}{\Phi_l(A[X])}),$$

If $X = \varinjlim_i X_i$ for finite A -modules X_i , we just define

$$\text{Sel}(Ad(X)) = \varinjlim_i \text{Sel}(Ad(X_i)).$$

Then for finite X_i ,

$$\Phi(A[X_i]) = \text{Sel}(Ad(X_i)) \quad \text{and} \quad \varinjlim_i \Phi(X_i) = \text{Sel}(\varinjlim_i Ad(X_i)).$$

5.14. Differentials and Selmer group. For each $[\rho_0] \in \mathcal{F}(A)$, choose a representative $\rho_0 = \varphi \circ \rho$ as in §5.11. Then we have a map $\Phi(A[X]) \rightarrow \mathcal{F}(A[X])$ for each finite A -module X sending $\rho \in \Phi(A[X])$ chosen as in §5.11 to the class $[\rho] \in \mathcal{F}(A[X])$. By our choice of ρ as in §5.11, this map is injective.

Conversely pick a class $c \in \mathcal{F}(A[X])$ over $[\rho_0] \in \mathcal{F}(A)$. Then for $\rho \in c$, we have $x \in 1 + M_2(\mathfrak{m}_{A[X]})$ such that $x\rho x^{-1} \bmod X = \rho_0$. By replacing ρ by $x\rho x^{-1}$ and choosing the lifted base, we conclude $\Phi(A[X]) \cong \{[\rho] \in \mathcal{F}(A[X]) | \rho \bmod X \sim \rho_0\}$; so, for finite X ,

$$\begin{aligned} \text{Sel}(Ad(X)) &= \Phi(A[X]) = \{\phi \in \text{Hom}_{B\text{-alg}}(R_\chi, A[X]) : \phi \bmod X = \varphi\} \\ &= \text{Der}_B(R_\chi, X) \xrightarrow[\sim]{\text{Corollary 2.3}} \text{Hom}_A(\Omega_{R_\chi/B} \otimes_{R_\chi, \varphi} A, X). \end{aligned}$$

Thus

$$(5.1) \quad \boxed{\text{Sel}(Ad(X)) \cong \text{Hom}_A(\Omega_{R_\chi/B} \otimes_{R_\chi, \varphi} A, X)}.$$

Theorem 5.5. *We have a canonical isomorphism: $\text{Sel}(Ad(\rho_0))^\vee \cong \Omega_{R_\chi/B} \otimes_{R_\chi, \varphi} A$.*

Proof. Take the Pontryagin dual

$$A^\vee := \text{Hom}_B(A, B^\vee) = \text{Hom}_{\mathbb{Z}_p}(A \otimes_B B, \mathbb{Q}_p/\mathbb{Z}_p) = \text{Hom}(A, \mathbb{Q}_p/\mathbb{Z}_p).$$

Since $A = \varprojlim_i A_i$ for finite i and $\mathbb{Q}_p/\mathbb{Z}_p = \varinjlim_j p^{-1}\mathbb{Z}/\mathbb{Z}$, $A^\vee = \varinjlim_i \text{Hom}(A_i, \mathbb{Q}_p/\mathbb{Z}_p) = \varinjlim_i A_i^\vee$ is a union of the finite modules A_i^\vee . We define $\text{Sel}(Ad(\rho_0)) := \varinjlim_j \text{Sel}(Ad(A_j^\vee))$. Defining $\Phi(A[A^\vee]) = \varinjlim_i \Phi_l(A[A_i^\vee])$, we see from compatibility of cohomology with inductive limit

$$\text{Sel}(Ad(\rho_0)) = \varinjlim_i \text{Sel}(Ad(A_i^\vee)) = \varinjlim_j \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, Ad(A_j^\vee)) \rightarrow \prod_{l \in \text{SU}\{p\}} \frac{H^1(\mathbb{Q}_l, Ad(A_j^\vee))}{\Phi_l(A[A_j^\vee])})$$

By the boxed formula (5.1),

$$\begin{aligned} \text{Sel}(Ad(\rho_0)) &= \varinjlim_i \text{Sel}(Ad(A_i^\vee)) = \varinjlim_i \text{Hom}_{R_\chi}(\Omega_{R_\chi/B} \otimes_{R_\chi} A, A_i^\vee) \\ &= \text{Hom}_A(\Omega_{R_\chi/B} \otimes_{R_\chi} A, A^\vee) = \text{Hom}_A(\Omega_{R_\chi/B} \otimes_{R_\chi} A, \text{Hom}_{\mathbb{Z}_p}(A, \mathbb{Z}_p)) \\ &= \text{Hom}_{\mathbb{Z}_p}(\Omega_{R_\chi/B} \otimes_{R_\chi} A, \mathbb{Q}_p/\mathbb{Z}_p) = (\Omega_{R_\chi/B} \otimes_{R_\chi} A)^\vee. \end{aligned}$$

Taking Pontryagin dual back, we finally get

$$\boxed{\text{Sel}(Ad(\rho_0))^\vee \cong \Omega_{R_\chi/B} \otimes_{R_\chi, \varphi} A \text{ and } \text{Sel}(Ad(\bar{\rho}))^\vee \cong \Omega_{R_\chi/B} \otimes_{R_\chi} \mathbb{F}}$$

as desired. In particular, $\text{Sel}(Ad(\rho_\chi))^\vee = \Omega_{R_\chi/B}$ (with $\rho_\kappa = \rho^{ord}$ if $\chi = \kappa$). \square

This is the generalization of the formula

$$Cl_F \otimes_{\mathbb{Z}} W \cong \Omega_{W[Cl_{F,p}]/W} \otimes_{W[Cl_{F,p}]} W.$$

5.15. p -Local condition. The submodule $\Phi_p(A[X])$ in the cohomology group $H^1(\mathbb{Q}_p, Ad(X))$ is made of classes of 1-cocycles u with $u|_{I_p}$ is upper nilpotent and $u|_{D_p}$ is upper triangular with respect to the compatible basis (v_p, w_p) . Suppose we have $\sigma \in I_p$ such that $\rho_0(\sigma) = \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ such that $\alpha \not\equiv \beta \pmod{\mathfrak{m}_A}$. Suppose u is upper nilpotent over I_p . Then for $\tau \in D_p$, we have $Ad(\rho_0)(\tau)u(\tau^{-1}\sigma\tau) = (Ad(\rho_0)(\sigma) - 1)u(\tau) + u(\sigma)$. Writing $u(\tau) = \begin{pmatrix} a & b \\ c & -a \end{pmatrix}$, we find $(Ad(\rho_0)(\sigma) - 1)u(\tau) = \begin{pmatrix} 0 & (\alpha\beta^{-1}-1)b \\ (\alpha^{-1}\beta-1)c & 0 \end{pmatrix}$. Since $\rho_0(\tau)$ is upper triangular and $u(\tau^{-1}\sigma\tau)$ is upper nilpotent, $Ad(\rho_0)(\tau)u(\tau^{-1}\sigma\tau)$ is still upper nilpotent; so, $(\alpha^{-1}\beta - 1)c = 0$ and hence $c = 0$. Therefore u is forced to be upper triangular over D_p . Thus we get

Lemma 5.6. *If $\bar{\rho}(\sigma)$ for at least one $\sigma \in I_p$ has two distinct eigenvalues, $\Phi_p(A[X])$ gives rise to the subgroup of $H^1(\mathbb{Q}_p, Ad(X))$ made of classes containing a 1-cocycle whose restriction to I_p is upper nilpotent.*

6. UPPER BOUND OF THE NUMBER OF SELMER GENERATORS

By Kummer theory, we give an upper bound of the dimension $\dim t_{R^{ord}/\Lambda} = \dim t_{R_\chi/W}$ by the dimension of the dual Selmer group, which turns out to be often optimal.

6.1. Local class field theory. We summarize facts from local class field theory. Let K/\mathbb{Q}_p be a finite extension with algebraic closure \bar{K} with integer ring O . Write $D := \text{Gal}(\bar{K}/K)$ fixing an algebraic closure \bar{K}/K . Let $D \triangleright I$ be the inertia subgroup and D^{ab} be its maximal continuous abelian quotient.

- $x \mapsto [x, K] : K^\times \hookrightarrow D^{ab}$ (the local Artin symbol);
- $[\varpi, K]$ modulo the inertia subgroup $I_{ab} \subset D^{ab}$ is the Frobenius element Frob ;
- For any integer $0 < m \in \mathbb{Z}$, $K^\times / (K^\times)^m = K^\times \otimes_{\mathbb{Z}} \mathbb{Z}/m\mathbb{Z} \cong D^{ab}/mD^{ab}$ by Artin symbol;
- $O^\times \cong I_{ab}$ by Artin symbol.

6.2. Local cohomology. We summarize facts from local cohomology.

- $inv : H^2(K, \mu_m(\overline{K})) \cong \mathbb{Z}/m\mathbb{Z}$ (the invariant map);
- $H^1(K, \mu_m) \cong K^\times / (K^\times)^m$ (Kummer theory valid for any field $K \supset \mathbb{Q}$).

This follows from the long exact sequence of $H^?(M) := H^?(K, M)$ associated to $\mu_m(\overline{K}) \hookrightarrow \overline{K}^\times \xrightarrow{x \mapsto x^m} \overline{K}^\times$:

$$\begin{array}{ccccccc} H^0(\overline{K}^\times) & \xrightarrow{x \mapsto x^m} & H^0(\overline{K}^\times) & \longrightarrow & H^1(\mu_m) & \longrightarrow & H^1(\overline{K}^\times) \stackrel{(*)}{=} 0 \\ \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \\ K^\times & \xrightarrow{x \mapsto x^m} & K^\times & \longrightarrow & K^\times / (K^\times)^m, & & \end{array}$$

where the vanishing $(*)$ follows from Hilbert theorem 90.

6.3. Local Tate duality. For any finite (continuous) D -module M killed by $0 < m \in \mathbb{Z}$, let

$$M^*(1) := \text{Hom}(M, \mu_m(\overline{K}))$$

as Galois module acting by $\sigma \cdot \phi(x) = \sigma(\phi(\sigma^{-1}x))$ (called Tate dual). Then

$$M^*(1) \otimes_{\mathbb{Z}/m\mathbb{Z}} M \ni \phi \otimes x \mapsto \phi(x) \in \mu_m$$

is a $\mathbb{Z}[D]$ -morphism inducing a cup product pairing $H^r(M^*(1)) \times H^{2-r}(M) \rightarrow H^2(\mu_m) \xrightarrow{inv} \mathbb{Z}/m\mathbb{Z}$.

Theorem 6.1 (J. Tate). *Cohomological dimension of D is equal to 2 and the above pairing is perfect for $r = 0, 1, 2$.*

If $M = \mu_m(\overline{K})$, by definition $\mu_m = (\mathbb{Z}/m\mathbb{Z})^*(1)$. We know $H^1(\mu_m) = K^\times / (K^\times)^m$ and $H^1(\mathbb{Z}/m\mathbb{Z}) = \text{Hom}(D^{ab}/mD^{ab}, \mathbb{Z}/m\mathbb{Z})$. By local class field theory, $D^{ab}/mD^{ab} \cong K^\times / (K^\times)^m$; so, the duality in this case follows. One can deduce the proof of the duality in this special case basically by restricting to $\text{Gal}(\overline{K}/K(M))$ for the splitting field $K(M)$ of M (see [MFG, Theorem 4.43]).

6.4. Another example of local Tate duality. Consider $\text{Hom}(\text{Frob}^{\widehat{\mathbb{Z}}}, M) \subset H^1(K, M)$ for a finite $\mathbb{Z}/m\mathbb{Z}$ -module M on which D acts trivially. Here Frob is the Frobenius element in D/I .

Lemma 6.2. *The orthogonal complement of $\text{Hom}(\text{Frob}^{\widehat{\mathbb{Z}}}, M) \subset H^1(K, M)$ in the dual $H^1(K, M^*(1)) = K^\times \otimes_{\mathbb{Z}} M$ is given by $O^\times \otimes_{\mathbb{Z}} M$. In particular, the Tate duality between $H^1(K, \mu_m)$ and $H^1(K, \mathbb{Z}/m\mathbb{Z})$ gives rise to the tautological duality between $\text{Frob}^{\widehat{\mathbb{Z}}}/m\text{Frob}^{\widehat{\mathbb{Z}}}$ and $\text{Hom}(\text{Frob}^{\widehat{\mathbb{Z}}}, \mathbb{Z}/m\mathbb{Z})$.*

The result for general M follows from extending scalar to M ; so, we may assume $M = \mathbb{Z}/m\mathbb{Z}$.

6.5. Inflation-restriction. To prove the lemma, we recall the inflation-restriction sequence. Let G be a profinite group and H is an open normal subgroup (so, G/H is finite). If M is a G -module, for a 1-cocycle $u : H \rightarrow M$, $g \cdot u := gu(g^{-1}hg)$ can be easily checked to be a one cocycle. If $u(h) = (h-1)m$, we see $g \cdot u(h) = g(g^{-1}hg-1)m = (hg-g)m = (h-1)(gm)$; so, this preserves coboundaries, and hence G/H acts on $H^1(H, M)$.

Since H fixes $M^H = H^0(H, M)$, M^H is a G/H -module. The inflation restriction **exact** sequence is

$$0 \rightarrow H^1(G/H, M^H) \xrightarrow{\text{Inf}} H^1(G, M) \xrightarrow{\text{Res}} H^1(H, M)^{G/H} \rightarrow H^2(G/H, M),$$

where $\text{Inf}(u)(g) = u(g \bmod H)$ and $\text{Res}(u) = u|_H$ for cocycles. For a proof of this, see [MFG, Theorem 4.33].

6.6. Proof of Lemma 6.2. The last statement follows from the construction of pairing between $H^1(K, \mu_m)$ and $H^1(K, \mathbb{Z}/m\mathbb{Z})$ described in §5.2.

By the inflation-restriction sequence, we have an exact sequence

$$0 \rightarrow \text{Hom}(D/I, \mathbb{Z}/m\mathbb{Z}) \rightarrow \text{Hom}(D, \mathbb{Z}/m\mathbb{Z}) \rightarrow \text{Hom}(I, \mathbb{Z}/m\mathbb{Z}) \rightarrow 0$$

for the inertia group $I \triangleright D$. Since $D/I = \text{Frob}^{\widehat{\mathbb{Z}}}$, we have the following commutative diagram with exact rows:

$$\begin{array}{ccccc} (O^\times / (O^\times)^m) & \hookrightarrow & (K^\times / (K^\times)^m) & \twoheadrightarrow & \text{Frob}^{\widehat{\mathbb{Z}}} / \text{Frob}^{m\widehat{\mathbb{Z}}} \\ \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\ H^1(I, \mathbb{Z}/m\mathbb{Z})^\vee & \xrightarrow{\hookrightarrow} & H^1(K, \mathbb{Z}/m\mathbb{Z})^\vee & \xrightarrow{\twoheadrightarrow} & H^1(D/I, \mathbb{Z}/m\mathbb{Z})^\vee. \end{array}$$

Since the image of I in D^{ab} is given by O^\times , the result follows. \square

6.7. Dual Selmer group. By trace pairing $(x, y) = \text{Tr}(xy)$ the Galois modules $ad(\bar{\rho})$ and $Ad(\bar{\rho})$ are self dual; so, $ad(\bar{\rho})^*(1) = ad(\bar{\rho})(1)$ and $Ad(\bar{\rho})^*(1) = Ad(\bar{\rho})(1)$. The dual Selmer group of $ad(\bar{\rho})$ and $Ad(\bar{\rho})$ is defined as follows:

$$\text{Sel}^\perp(Ad(\bar{\rho})(1)) := \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, Ad(\bar{\rho})(1)) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, Ad(\bar{\rho})(1))}{\mathcal{D}_{\chi, l}(\mathbb{F}[\varepsilon])^\perp}),$$

$$\text{Sel}^\perp(ad(\bar{\rho})(1)) := \text{Ker}(H^1(\mathfrak{G}_\mathbb{Q}, ad(\bar{\rho})(1)) \rightarrow \prod_{l \in S \cup \{p\}} \frac{H^1(\mathbb{Q}_l, ad(\bar{\rho})(1))}{\mathcal{D}_l(\mathbb{F}[\varepsilon])^\perp}).$$

Here “ \perp ” indicates the orthogonal complement under the Tate duality. We have the following bound due to R. Greenberg and A. Wiles:

Lemma 6.3. $\dim_{\mathbb{F}} \text{Sel}(Ad(\bar{\rho})) \leq \dim_{\mathbb{F}} \text{Sel}^\perp(Ad(\bar{\rho})(1))$.

This we admit. For a proof, see [MFG, Proposition 3.40] or [HMI, Proposition 3.29].

6.8. Details of $H^1(K, \mu_p) \cong K^\times \otimes_{\mathbb{Z}} \mathbb{F}_p$. Here K is any field. The connection map δ of the long exact sequence $H^0(K, M) \rightarrow H^0(N) \xrightarrow{\delta} H^1(L)$ of a short exact sequence $L \hookrightarrow M \twoheadrightarrow N$ is given as follows: Pick $n \in H^0(K, N)$ and lift it to $m \in M$. Then for $\sigma \in \text{Gal}(\bar{K}/K)$, $(\sigma - 1)m$ is sent to $(\sigma - 1)n = 0$ as n is fixed by σ . Thus we may regard $u_m : \sigma \mapsto (\sigma - 1)m$ is a 1-cocycle with values in L . If we choose another lift m' , then $m' - m = l \in L$ and hence $u_{m'} - u_m = (\sigma - 1)l$ which is a coboundary. Thus we get the map δ sending m to the class $[u_m]$.

Applying this, the cocycle u_α corresponding $\alpha \in K^\times / (K^\times)^p = K^\times \otimes_{\mathbb{F}_p}$ is given by

$$u_\alpha(\sigma) = \sigma^{-1}(\sqrt[p]{\alpha}).$$

6.9. Unramifiedness of u_α at a prime $l \neq p$. Let K be an l -adic field which is a finite extension of \mathbb{Q}_l for a prime $l \neq p$. If $\alpha \notin (K^\times)^p$, $\alpha' := l^{p^N} \alpha \notin (K^\times)^p$ with $K[\sqrt[p]{\alpha}] = K[\sqrt[p]{\alpha'}]$ and $u_\alpha = u_{\alpha'}$. Replacing α by α' for a sufficiently large N , we may assume that $\alpha \in O \cap K^\times$.

The minimal equation of $\sqrt[p]{\alpha}$ is $f(X) = X^p - \alpha$. Since the derivative $f'(X) = pX^{p-1}$, the different of $K[\sqrt[p]{\alpha}]/K$ is a factor of $p\sqrt[p]{\alpha}^{p-1}$. Thus we find

$$u_\alpha \text{ is unramified} \Leftrightarrow \alpha \in O^\times$$

choosing $\alpha \in O \cap K^\times$. This can be also shown by noting that all conjugates of $\sqrt[p]{\alpha}$ is given by $\{\zeta \sqrt[p]{\alpha} \mid \zeta \in \mu_p\}$ which has p distinct elements modulo \mathfrak{l} if and only if $\alpha \in O^\times$.

6.10. Restriction to the splitting field of $Ad := Ad(\bar{\rho})$. Let F be the splitting field of $Ad := Ad(\bar{\rho})$; so, $F = \overline{\mathbb{Q}}^{\text{Ker}(Ad)}$, and $K := F[\mu_p]$ is the splitting field of $Ad(1)$. Write $G := \text{Gal}(F/\mathbb{Q})$. Let $\mathfrak{G}_F = \text{Ker}(Ad|_{\mathfrak{G}_\mathbb{Q}})$. We realize $\text{Sel}^\perp(Ad(1))$ inside $H^1(F, Ad(1)) = F^\times \otimes_{\mathbb{Z}} Ad$. Assume

$$(CV) \quad H^j(F/\mathbb{Q}, Ad(1)^{\mathfrak{G}_K}) = 0 \quad \text{for } j = 1, 2,$$

which follows if $K = F[\mu_p] \neq F$ or $p \nmid [F : \mathbb{Q}]$. If $F[\mu_p] \neq F$, we see $Ad(1)^{\mathfrak{G}_F} = 0$ as Ad is trivial over \mathfrak{G}_F . If $p \nmid [F : \mathbb{Q}] = |G|$, we note $H^q(G, M) = 0$ for any $\mathbb{F}[G]$ -module M [MFG, Prop. 4.21]. Again by inflation-restriction,

$$H^1(G, Ad(1)^{\mathfrak{G}_F}) \hookrightarrow H^1(\mathbb{Q}, Ad(1)) \rightarrow H^1(F, Ad(1))^G \rightarrow H^2(G, Ad(1)^{\mathfrak{G}_F}).$$

is exact. So

$$H^1(\mathbb{Q}, Ad(1)) \cong (F^\times \otimes_{\mathbb{Z}} Ad)^G.$$

6.11. Kummer theory. We analyze how G acts on $F^\times \otimes_{\mathbb{F}_p} Ad$. The action of $\tau \in G$ is given by $\tau u(g) = \tau u(\tau^{-1}g\tau) = Ad(\tau)u(\tau^{-1}g\tau)$ ($\tau \in G$) for cocycle u giving rise to a class in $H^1(F, Ad(1))$. For a basis (v_1, v_2, v_3) of Ad giving an identification $Ad = \mathbb{F}^3$, and write $u = v\underline{u}$ for $\underline{u} := {}^t(u_1, u_2, u_3)$ (column vector) for $v = (v_1, v_2, v_3)$ (row vector) as a \mathbb{F}^3 valued cocycle; so, $\tau v = (\tau v_1, \tau v_2, \tau v_3) = v^t Ad(\tau)$. Since $u_j(g) = u_{\alpha_j}(g) = g^{-1} \sqrt[p]{\alpha_j}$ for $\alpha_j \in F^\times \otimes_{\mathbb{Z}} \mathbb{F}$, rewriting $u_\alpha := \underline{u}$, we have $\tau(v^\tau u_\alpha(\tau^{-1}g\tau)) = v^t Ad(\tau)u_{\tau_\alpha}(g)$. Thus τ -invariance implies

$$u_{\tau_\alpha} := {}^t(u_{\tau_{\alpha_1}}, u_{\tau_{\alpha_2}}, u_{\tau_{\alpha_3}}) = {}^t Ad(\tau)^{-1} u_\alpha \Leftrightarrow v^t Ad(\tau) u_{\tau_\alpha}(g) = v u_\alpha.$$

Therefore inside $F^\times \otimes_{\mathbb{Z}} \mathbb{F}$, α_j s span an \mathbb{F} -vector space on which G acts by a factor of $Ad \cong {}^t Ad^{-1}$. Thus we get

$$(6.1) \quad H^1(\mathbb{Q}, Ad \otimes \overline{\omega}) \cong \text{Hom}_{\mathbb{F}[G]}(Ad, F^\times \otimes_{\mathbb{Z}} \mathbb{F}) =: (F^\times \otimes_{\mathbb{Z}} \mathbb{F})[Ad].$$

6.12. Selmer group as a subgroup of $F^\times \otimes_{\mathbb{Z}} \mathbb{F}$.

Theorem 6.4. *Let O be the integer ring of F . If $p \nmid h_F = |Cl_F|$, we have the following inclusion*

$$\text{Sel}^\perp(Ad(\overline{\rho})(1)) \hookrightarrow O^\times \otimes_{\mathbb{Z}} \mathbb{F}[Ad(\overline{\rho})].$$

We start the proof of the theorem which ends in §6.15. Let $[u] \in \text{Sel}^\perp(Ad(\overline{\rho})(1))$ for a cocycle $u : \mathfrak{G}_{\mathbb{Q}} \rightarrow Ad(\overline{\rho})(1)$. Thus $u|_{\mathfrak{G}_F}$ gives rise to u_α for $\alpha \in F^\times \otimes_{\mathbb{Z}} \mathbb{F}[Ad(\overline{\rho})]$ by Kummer theory. Consider the fractional ideal $(\alpha) = \alpha O[\frac{1}{p}]$. Make a prime decomposition $(\alpha) = \prod_{\mathfrak{l}} \mathfrak{l}^{e(\mathfrak{l})}$ in $O[\frac{1}{p}]$. Since u_α is unramified at all $\mathfrak{l} \neq p$, we find $\boxed{p|e(\mathfrak{l})}$ as otherwise, \mathfrak{l} ramifies in $F[\sqrt[p]{\alpha}]$. So $(\alpha) = \mathfrak{a}^p$ for $\mathfrak{a} = \prod_{\mathfrak{l}} \mathfrak{l}^{e(\mathfrak{l})/p}$

6.13. l -integrality ($l \neq p$). If a local Kummer cocycle u_α associated to $\alpha \in F_v^\times \otimes_{\mathbb{Z}} \mathbb{F}_p$ for $v \nmid p$ is unramified, then α vanishes in $(F_v^\times / O_v^\times) \otimes_{\mathbb{Z}} \mathbb{F}_p$. The local cocycle is trivial if and only if α vanishes in $F_v^\times \otimes_{\mathbb{Z}} \mathbb{F}_p$. If a global Kummer cocycle u_α for $\alpha \in F^\times \otimes_{\mathbb{Z}} \mathbb{F}_p$ is trivial at $v|N$ and unramified outside p , then the principal ideal $\alpha O[\frac{1}{p}]$ is a p -power \mathfrak{a}^p .

If $p \nmid h := h_F = |Cl_F|$, replacing α by α^h does not change the Kummer cocycle up to non-zero scalar. We do this replacement and write α instead of α^h . Then \mathfrak{a} is replaced by the principal ideal $\mathfrak{a}^h = (\alpha')$, and we find that $\alpha = \varepsilon \alpha'^p$ for $\varepsilon \in O[\frac{1}{p}]^\times$. Thus $u_\alpha = u_\varepsilon$. Therefore

$$\boxed{\text{Sel}^\perp(Ad(1)) \subset (O[\frac{1}{p}]^\times \otimes_{\mathbb{Z}} \mathbb{F})[Ad].}$$

6.14. Case where $\overline{\rho}|_D$ is indecomposable for $D = \text{Gal}(F_{\mathfrak{p}}/\mathbb{Q}_p)$. By indecomposability, the matrix form of $Ad(\sigma)$ if $\overline{\rho}(\sigma) = \begin{pmatrix} \overline{\varepsilon} & a \\ 0 & \overline{\delta} \end{pmatrix}$ ($a \neq 0$) with respect to the basis $\{ \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \}$ is

$$\begin{pmatrix} \overline{\varepsilon}\overline{\delta}^{-1} & -2\overline{\delta}^{-1}a & -(\overline{\varepsilon}\overline{\delta})^{-1}a^2 \\ 0 & 1 & \overline{\varepsilon}^{-1}a \\ 0 & 0 & \overline{\varepsilon}^{-1}\overline{\delta} \end{pmatrix},$$

in short, Ad is also an indecomposable D -module without trivial quotient. We have an exact sequence of D -modules:

$$O^\times \otimes_{\mathbb{Z}} \mathbb{F} \hookrightarrow O[\frac{1}{p}]^\times \otimes_{\mathbb{Z}} \mathbb{F} \xrightarrow[\rightarrow]{\xi \mapsto (\xi)} \bigoplus_{\sigma \in G/D} \mathbb{F}\mathfrak{p}^{e\sigma} \cong \text{Ind}_D^G \mathbf{1},$$

where e is the order of the class of \mathfrak{p} in Cl_F . By Shapiro's lemma [MFG, Lemma 4.20, (4.27)], $\text{Ind}_D^G \mathbf{1}[Ad] = \text{Hom}_{\mathbb{F}[G]}(Ad, \text{Ind}_D^G \mathbf{1}) = \text{Hom}_D(Ad|_D, \mathbf{1}) = 0$ by indecomposability; so, $\text{Sel}^\perp(Ad(1)) \subset (O^\times \otimes \mathbb{F})[Ad]$.

6.15. Case where $\overline{\rho}|_D$ is completely reducible. In this case, we have

$$\text{Ind}_D^G \mathbf{1}[Ad] = \text{Hom}_{\mathbb{F}[G]}(Ad, \text{Ind}_D^G \mathbf{1}) = \text{Hom}_D(Ad|_D, \mathbf{1}) = \mathbb{F}.$$

If a cocycle $u : D_{\mathfrak{p}} \rightarrow Ad(1)$ restricted to the decomposition group $D_{\mathfrak{p}} = \text{Gal}(\overline{\mathbb{Q}}/F_{\mathfrak{p}})$ at \mathfrak{p} project down non-trivially to $F_{\mathfrak{p}}^\times \otimes \mathbb{F}[\mathbf{1}]$ (i.e., $u \in H^1(\mathbb{Q}_p, \mu_p \otimes \mathbb{F})$), by the lemma in §5.4, if u is a dual Selmer cocycle it corresponds to an element in $O_{\mathfrak{p}}^\times \otimes \mathbb{F}$. Since $\mathfrak{p}|p$ is arbitrary, we conclude again

$$\boxed{\text{Sel}^\perp(Ad(1)) \subset (O^\times \otimes_{\mathbb{Z}} \mathbb{F})[Ad].}$$

This finishes the proof of the theorem. \square

6.16. Dirichlet's unit theorem. Fix a complex conjugation $c \in G$ and C be the subgroup generated by c . Let ∞ be the set of complex places of F . Dirichlet's unit theorem is proven by considering

$$O^\times \xrightarrow{\text{Log}} \mathbb{R}^\infty := \prod_{\infty} \mathbb{R}$$

given by $\text{Log}(\varepsilon) = (\log |\varepsilon|_v)_{v \in \infty}$ and showing $\text{Im}(\text{Log}) \otimes_{\mathbb{Z}} \mathbb{R} = \text{Ker}(\mathbb{R}^\infty \xrightarrow{\text{Tr}} \mathbb{R})$ for $\text{Tr}(x_v)_v = \sum_v x_v$. The Galois group G acts by permutation on $\infty \cong G/C$. Therefore $\mathbb{R}^\infty \cong \text{Ind}_C^G \mathbf{1}$. Thus $(O^\times \otimes \mathbb{Q}) \oplus \mathbf{1} \cong \text{Ind}_C^G \mathbb{Q}\mathbf{1}$.

If $p \nmid |G|$, any $\mathbb{F}[G]$ -module over \mathbb{F} is semi-simple; so, characterized by its trace. Therefore this descends to $O^\times/\mu_p(F) \otimes_{\mathbb{Z}} \mathbb{F}$ and

$$\boxed{\text{Ind}_C^G \mathbb{F} \mathbf{1} \cong (O^\times/\mu_p(F) \otimes_{\mathbb{Z}} \mathbb{F}) \oplus \mathbb{F} \mathbf{1}.}$$

Theorem 6.5. *We have $\dim_{\mathbb{F}} \text{Sel}^\perp(Ad(1)) \leq 1$ if $p \nmid |G|_F$.*

Proof. By Shapiro's lemma, we have

$$\begin{aligned} (O^\times/\mu_p(F) \otimes_{\mathbb{Z}} \mathbb{F})[Ad] &= \text{Hom}_G(Ad, (O^\times/\mu_p(F) \otimes_{\mathbb{Z}} \mathbb{F})) \\ &\cong \text{Hom}_G(Ad, \text{Ind}_C^G \mathbb{F} \mathbf{1}) \cong \text{Hom}_C(Ad|_C, \mathbb{F} \mathbf{1}) \cong \mathbb{F}, \end{aligned}$$

since $Ad(c) \sim \text{diag}[-1, 1, -1]$. By irreducibility, $\mu_p(F)[Ad] = 0$; so, $(O^\times \otimes_{\mathbb{Z}} \mathbb{F})[Ad] \cong \mathbb{F}$. By §5.12, we have

$$\text{Sel}^\perp(Ad(1)) \hookrightarrow (O^\times \otimes_{\mathbb{Z}} \mathbb{F})[Ad] \cong \mathbb{F},$$

we conclude $\boxed{\dim_{\mathbb{F}} \text{Sel}^\perp(Ad(1)) \leq 1}$. □

Corollary 6.6. *If $p \nmid |G|_F$, then for any deformation $\rho \in \mathcal{D}_\chi(A)$, $\text{Sel}(Ad(\rho))$ is generated by at most one element over A .*

7. SELMER GROUP OF INDUCED GALOIS REPRESENTATION

Assuming that $\rho_0 = \text{Ind}_K^{\mathbb{Q}} \varphi$ for a quadratic field $K = \mathbb{Q}[\sqrt{D}]$ (with discriminant D) and a character $\varphi : \mathfrak{G}_K \rightarrow W^\times$ of order prime to p , we explore the meaning of the cyclicity of $\text{Sel}(\rho_0)^\vee$ in terms of Iwasawa theory over K . Write $\overline{\varphi} := (\varphi \bmod \mathfrak{m}_W)$ and $\overline{\rho} = \text{Ind}_K^{\mathbb{Q}} \overline{\varphi}$. We denote by O the integer ring of K .

7.1. Induced representation. Let $A \in CL/W$ and G be a profinite group with a subgroup H of index 2. Put $\Delta := G/H$. Let H be a character $\varphi : G \rightarrow A$. Let $A(\varphi) \cong A$ on which H acts by φ . Regard the group algebra $A[G]$ as a left and right $A[G]$ -module by multiplication. Define $A(\text{Ind}_H^G \varphi) := A[G] \otimes_{A[H]} A(\varphi)$ (so, $\xi h \otimes a = \xi \otimes ha = \xi \otimes \varphi(h)a = \varphi(a)(\xi \otimes a)$) for $h \in H$. and let G acts on $A(\text{Ind}_H^G \varphi)$ by $g(\xi \otimes a) := (g\xi) \otimes a$. The resulted G -module $A(\text{Ind}_H^G \varphi)$ is the induced module.

Similarly we can think of $A(\text{ind}_H^G \varphi) := \text{Hom}_{A[H]}(A[G], A(\varphi))$ (so, $\phi(h\xi) = h\phi(\xi) = \varphi(h)\phi(\xi)$) on which $g \in G$ acts by $g\phi(\xi) = \phi(\xi g)$.

7.2. Matrix form of $\text{Ind}_H^G \varphi$. Suppose that φ has order prime to p . Then for $\sigma \in G$ generating G over H , $\varphi_\sigma(h) = \varphi(\sigma^{-1}h\sigma)$ is again a character of H . The module $\text{Ind}_H^G \varphi$ has a basis $1_G \otimes 1$ and $\sigma \otimes 1$ for the identity element 1_G of G and $1 \in A \cong A(\varphi)$.

We have

$$\begin{aligned} g(1_G \otimes 1, \sigma \otimes 1) &= (g \otimes 1, g\sigma \otimes 1) \\ &= \begin{cases} (1_G \otimes g, \sigma \otimes \sigma^{-1}g\sigma) = (1_G \otimes 1, \sigma \otimes 1) \begin{pmatrix} \varphi(g) & 0 \\ 0 & \varphi_\sigma(g) \end{pmatrix} & \text{if } g \in H, \\ (\sigma \otimes \sigma^{-1}g, 1_G \otimes g\sigma) = (1_G \otimes 1, \sigma \otimes 1) \begin{pmatrix} 0 & \varphi(g\sigma) \\ \varphi(\sigma^{-1}g) & 0 \end{pmatrix} & \text{if } g\sigma \in H, \end{cases} \end{aligned}$$

Thus extending φ to G by 0 outside H , we get

$$(7.1) \quad \boxed{\text{Ind}_H^G \varphi(g) = \begin{pmatrix} \varphi(g) & \varphi(g\sigma) \\ \varphi(\sigma^{-1}g) & \varphi(\sigma^{-1}g\sigma) \end{pmatrix}.}$$

7.3. Two inductions are equal. The induction $\text{ind}_H^G \varphi$ has basis (ϕ_1, ϕ_σ) given by $\phi_1(\xi + \xi'\sigma) = \varphi(\xi) \in A = A(\varphi)$ and $\phi_\sigma(\xi + \xi'\sigma^{-1}) = \varphi(\xi') \in A = A(\varphi)$ for $\xi \in A[H]$; so, (*) $\phi_1(\xi' + \xi\sigma^{-1}) = \phi_\sigma(\xi + \xi'\sigma^{-1})$. Then we have

$$\begin{aligned} g(\phi_1(\xi + \xi'\sigma^{-1}), \phi_\sigma(\xi + \xi'\sigma^{-1})) &= (\phi_1(\xi g + \xi'\sigma^{-1}g\sigma\sigma^{-1}), \phi_\sigma(\xi g + \xi'\sigma^{-1}g\sigma\sigma^{-1})) \\ &= \begin{cases} (\phi_1(\xi), \varphi_\sigma(\xi')) \begin{pmatrix} \varphi(g) & 0 \\ 0 & \varphi_\sigma(g) \end{pmatrix} & (g \in H), \\ (\phi_1(\xi'\sigma^{-1}g), \phi_\sigma(\xi g\sigma)) \stackrel{(*)}{=} (\phi_1(\xi), \phi_\sigma(\xi')) \begin{pmatrix} 0 & \varphi(g\sigma) \\ \varphi(\sigma^{-1}g) & 0 \end{pmatrix} & (g\sigma \in H). \end{cases} \end{aligned}$$

Thus we get

$$(7.2) \quad \boxed{\text{Ind}_H^G \varphi \cong \text{ind}_H^G \varphi.}$$

7.4. **Tensoring** $\alpha : \Delta \cong \mu_2$. Let $J = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. Extending φ to G by 0 outside H , we find

$$\begin{aligned} \text{Ind}_H^G \varphi \otimes \alpha(g) &= \begin{cases} \begin{pmatrix} \varphi(g) & 0 \\ 0 & \varphi(\sigma^{-1}g\sigma) \end{pmatrix} = J \begin{pmatrix} \varphi(g) & 0 \\ 0 & \varphi(\sigma^{-1}g\sigma) \end{pmatrix} J^{-1} & (g \in H), \\ - \begin{pmatrix} 0 & \varphi(g\sigma) \\ \varphi(\sigma^{-1}g) & 0 \end{pmatrix} = J \begin{pmatrix} 0 & \varphi(g\sigma) \\ \varphi(\sigma^{-1}g) & 0 \end{pmatrix} J^{-1} & (g\sigma \in H). \end{cases} \end{aligned}$$

Thus we get

$$(7.3) \quad \boxed{(\text{Ind}_H^G \varphi) \otimes \alpha = J(\text{Ind}_H^G \varphi)J^{-1} \xrightarrow{i_\alpha} \text{Ind}_H^G \varphi.}$$

Thus $\text{Ad}(\text{Ind}_H^G) = \{x \in \text{End}_A(\text{Ind}_H^G \varphi) \mid \text{Tr}(x) = 0\}$ contains i_α as $\text{Tr}(J) = 0$.

7.5. **Characterization of self-twist.** Let $\bar{\varphi} := (\varphi \bmod \mathfrak{m}_A)$. Suppose $\bar{\varphi}_\sigma \neq \bar{\varphi}$. Since $\text{Ind}_H^G \bar{\varphi}(H)$ contains a diagonal matrices with distinct eigenvalues, its normalizer is $\text{Ind}_H^G \bar{\varphi}(G)$. Thus the centralizer $Z(\text{Ind}_H^G \bar{\varphi}) = \mathbb{F}^\times$ (scalar matrices). Since $\text{Ind}_H^G \bar{\varphi}(\sigma)$ interchanges $\bar{\varphi}$ and $\bar{\varphi}_\sigma$, $\text{Ind}_H^G \bar{\varphi}$ is **irreducible**. Since $\text{Aut}(\bar{\rho}) = \mathbb{F}^\times$, i_α for $\bar{\rho}$ is unique up to scalars.

Let $\rho : G \rightarrow \text{GL}_2(A)$ be a deformation of $\text{Ind}_H^G \bar{\varphi}$ with $\rho \otimes \alpha \cong \rho$. Write $j\rho j^{-1} = \rho \otimes \alpha$. Since $\alpha^2 = 1$, j^2 is scalar. We may normalize $j \equiv J \pmod{\mathfrak{m}_A}$ as $j \pmod{\mathfrak{m}_A} = zJ$ for a scalar $z \in A^\times$. Thus j has two eigenvalues ϵ_\pm with $\epsilon_\pm \equiv \pm z \pmod{\mathfrak{m}_A}$. Let A_\pm be ϵ_\pm -eigenspace of j . Since $j\rho|_H = \rho|_H j$, $A_\pm \cong A$ is stable under H . Thus we find a character $\varphi : H \rightarrow A^\times$ acting on A_+ . Plainly H acts on A_- by φ_σ . This shows $\rho \cong \text{Ind}_H^G \varphi$ as $V(\rho) = A_+ \oplus \rho(\sigma)A_+$.

7.6. Decomposition of adjoint representation.

Theorem 7.1. *We have $\text{Ad}(\text{Ind}_H^G \varphi) \cong \alpha \oplus \text{Ind}_H^G \varphi^-$ as representation of G .*

Here $\varphi^-(g) = \varphi(g)\varphi_\sigma^{-1}(g) = \varphi(\sigma^{-1}g^{-1}\sigma g)$ and $\text{Ind}_H^G \varphi^-$ is irreducible if $\varphi^- \neq \varphi_\sigma^- = (\varphi^-)^{-1}$ (i.e., φ^- has order ≥ 3).

Proof. On H , $\rho := \text{Ind}_H^G \varphi = \begin{pmatrix} \varphi & 0 \\ 0 & \varphi_\sigma \end{pmatrix}$. Therefore

$$\text{Ad}(\text{Ind}_H^G \varphi)(h) \begin{pmatrix} x & y \\ z & -x \end{pmatrix} = \rho(h) \begin{pmatrix} x & y \\ z & -x \end{pmatrix} \rho^{-1}(h) = \begin{pmatrix} x & \varphi^-(h)y \\ (\varphi^-)^{-1}(h)z & -x \end{pmatrix},$$

and

$$\text{Ad}(\text{Ind}_H^G \varphi)(\sigma) \begin{pmatrix} x & y \\ z & -x \end{pmatrix} = \begin{pmatrix} 0 & \varphi(\sigma^2) \\ 1 & 0 \end{pmatrix} \begin{pmatrix} x & y \\ z & -x \end{pmatrix} \begin{pmatrix} 0 & 1 \\ \varphi(\sigma^{-2}) & 0 \end{pmatrix} = \begin{pmatrix} \alpha(\sigma)x & \varphi(\sigma^2)z \\ \varphi(\sigma)^{-2}y & -\alpha(\sigma)x \end{pmatrix}.$$

Thus α is realized on diagonal matrices, and $\text{Ind}_H^G \varphi^-$ is realized on the anti-diagonal matrices. \square

7.7. Irreducibility of $\text{Ind}_H^G \bar{\varphi}^-$.

Lemma 7.2. *$\text{Ind}_H^G \bar{\varphi}^-$ is irreducible if and only if $\bar{\varphi}^- \neq \bar{\varphi}_\sigma^- = (\bar{\varphi}^-)^{-1}$ (i.e., $\bar{\varphi}^-$ has order ≥ 3). If $\bar{\varphi}^-$ has order ≤ 2 , then $\bar{\varphi}^-$ extends to a character $\bar{\phi} : G \rightarrow \mathbb{F}^\times$ and $\text{Ind}_H^G \varphi^- \cong \bar{\phi} \oplus \bar{\phi}\alpha$.*

Proof. Note $\varphi^-(\sigma^2) = \varphi(\sigma^2)\varphi(\sigma^{-1}\sigma^2\sigma)^{-1} = \varphi(1) = 1$. The irreducibility of $\text{Ind}_H^G \bar{\varphi}^-$ under $\bar{\varphi}^- \neq \bar{\varphi}_\sigma^-$ follows from the argument proving irreducibility of $\text{Ind}_H^G \bar{\varphi}$ under $\bar{\varphi} \neq \bar{\varphi}_\sigma$ in §7.5. Suppose $\bar{\varphi}^-$ has order ≤ 2 (so, $\bar{\varphi}^- = \bar{\varphi}_\sigma^-$). Choose a root $\zeta = \pm 1$ of $X^2 - \varphi_\sigma^-(\sigma^2) = X^2 - 1$ in \mathbb{F} . Define $\bar{\phi} = \bar{\varphi}_-$ on H and $\bar{\phi}(\sigma h) = \zeta \bar{\varphi}^-(h)$. For $h, h' \in H$,

$$\bar{\phi}(\sigma h \sigma h') = \bar{\phi}(\sigma^2 \sigma^{-1} h \sigma h') = \bar{\varphi}^-(\sigma^2) \bar{\varphi}_\sigma^-(h) \bar{\varphi}^-(h') = \zeta^2 \bar{\varphi}^-(hh') = \bar{\varphi}^-(\sigma h) \bar{\varphi}^-(\sigma h').$$

Similarly $\bar{\phi}(h \sigma h') = \bar{\phi}(\sigma \sigma^{-1} h \sigma h') = \zeta \bar{\varphi}_\sigma^-(h) \bar{\varphi}^-(h') = \bar{\phi}(h) \bar{\phi}(\sigma h')$; so, $\bar{\phi}$ is a character. Then $\mathbb{F}[\zeta][G] \otimes_{\mathbb{F}[H]} \mathbb{F}[\zeta](\bar{\varphi}^-) \cong \mathbb{F}[\zeta](\bar{\phi})$ as G -modules by $a \otimes b \mapsto \bar{\phi}(a)b$. \square

7.8. Ordinarity for residual induced representation. Let $\sigma \in \mathfrak{G}_{\mathbb{Q}}$ induce a non-trivial field automorphism of K/\mathbb{Q} . Let $\bar{\rho} := \text{Ind}_K^{\mathbb{Q}} \bar{\varphi} = \text{Ind}_{\mathfrak{G}_K}^{\mathfrak{G}_{\mathbb{Q}}} \bar{\varphi}$ and assume that $p = \mathfrak{p}\mathfrak{p}^{\sigma}$ in O (fixing the factor \mathfrak{p} so that $\bar{\varphi}$ is unramified at \mathfrak{p}^{σ}). Let \mathfrak{c} be the conductor of $\bar{\varphi}$; so, the ray class field $H_{\mathfrak{c}/K}$ of conductor \mathfrak{c} is the smallest ray class field such that $\bar{\varphi}$ factors through $\text{Gal}(H_{\mathfrak{c}}/K)$. Suppose

$$(sp) \quad \mathfrak{c} + \mathfrak{c}^{\sigma} = O.$$

Pick a prime factor $\mathfrak{l}|\mathfrak{c}$. Then $\mathfrak{l} + \mathfrak{l}^{\sigma} = O$; so, \mathfrak{l} splits in K . In particular, $I_{\mathfrak{l}} = I_{\mathfrak{l}} \subset \mathfrak{G}_K$ (for $(\mathfrak{l}) = \mathfrak{l} \cap \mathbb{Z}$), and $\bar{\varphi}|_{I_{\mathfrak{l}}}$ ramifies while $\bar{\varphi}$ is unramified at \mathfrak{l}^{σ} . Thus $\bar{\rho}|_{I_{\mathfrak{l}}} \cong \begin{pmatrix} \bar{\epsilon}_{\mathfrak{l}} & 0 \\ 0 & \bar{\delta}_{\mathfrak{l}} \end{pmatrix}$ with $\bar{\epsilon}_{\mathfrak{l}} = \bar{\varphi}|_{\mathfrak{l}}$ and $\bar{\delta}_{\mathfrak{l}} = \bar{\varphi}_{\sigma}$ which is unramified.

Suppose $\mathfrak{l}|D$; so, $I_{\mathfrak{l}}$ is of index 2 in $I_{\mathfrak{l}}$. Then $\bar{\varphi}|_{I_{\mathfrak{l}}} = \bar{\varphi}_{\sigma}|_{I_{\mathfrak{l}}} = \mathbf{1}$. Similarly to §7.7, we find $\text{Ind}_{I_{\mathfrak{l}}}^{\mathbb{Q}} \bar{\varphi}|_{I_{\mathfrak{l}}} = \text{Ind}_{I_{\mathfrak{l}}}^{I_{\mathfrak{l}}} \bar{\varphi}|_{I_{\mathfrak{l}}} = \begin{pmatrix} \bar{\epsilon}_{\mathfrak{l}} & 0 \\ 0 & \bar{\delta}_{\mathfrak{l}} \end{pmatrix}$ with $\bar{\epsilon}_{\mathfrak{l}} = \alpha|_{I_{\mathfrak{l}}}$ and $\bar{\delta}_{\mathfrak{l}} = \mathbf{1}$. In short, $\bar{\rho}$ satisfies $(\text{ord}_{\mathfrak{l}})$ for $\mathfrak{l} \in S := \{\mathfrak{l}|DN(\mathfrak{c})p\}$.

7.9. Identity of two deformation functors. Let χ be the Teichmüller lift of $\det(\bar{\rho})$. For any Galois representation ρ , let $K(\rho)$ be the solitting field $\overline{\mathbb{Q}}^{\text{Ker}(\rho)}$ of ρ . Let $K(\bar{\rho})^{(p)}/K(\bar{\rho})$ be the maximal p -profinite extension unramified outside p . Put $G = \text{Gal}(K(\bar{\rho})^{(p)}/\mathbb{Q})$ and $H = \text{Gal}(K(\bar{\rho})^{(p)}/K)$. Consider the deformation functor $\mathcal{D}_{\gamma} : CL/B \rightarrow SETS$ for χ and κ . Since any deformation factors through G , we regard $\rho \in \mathcal{D}_{\gamma}(A)$ is defined over G . Let

$$\mathcal{F}_H(A) = \{\varphi : H \rightarrow A^{\times} | \varphi \bmod \mathfrak{m}_A = \bar{\varphi} \text{ unramified outside } \mathfrak{c}\}$$

and $\mathcal{D}_{\gamma}^{\hat{\Delta}}(A) = \{\rho \in \mathcal{D}_{\gamma}(A) | \rho \otimes \alpha \cong \rho, \det \rho = ?\} / \text{GL}_2(A)$. Recall $\Delta = G/H$ and write $\hat{\Delta} = \{\alpha, \mathbf{1}\}$ for its character group.

Lemma 7.3. *Let $\hat{\Delta}$ act on \mathcal{F} by $\rho \mapsto \rho \otimes \alpha$. Then $\mathcal{F}_H(A) \ni \varphi \mapsto \text{Ind}_H^G \varphi \in \mathcal{D}(A)^{\hat{\Delta}}$ induces an isomorphism: $\mathcal{F}_H \cong \mathcal{D}_{\gamma}^{\hat{\Delta}}$ of the functors if $\bar{\varphi} \neq \bar{\varphi}_{\sigma}$.*

Proof. Note $\mathcal{D}_{\gamma}^{\hat{\Delta}}(A) = \{\rho \in \mathcal{D}_{\gamma}(A) | J(\rho \otimes \alpha)J^{-1} \sim \rho\} / (1 + M_2(\mathfrak{m}_A))$ (realizing \mathcal{D}_{γ} under strict equivalence and choosing $\text{Ind}_H^G \varphi$ specified (7.1)) as $J(\bar{\rho} \otimes \alpha)J^{-1} = \bar{\rho}$ (see §7.4). By the characterization in §7.5, we find a character $\varphi : H \rightarrow A^{\times}$ such that $\text{Ind}_H^G \varphi \cong \rho$.

We choose $j \in \text{GL}_2(A)$ with $j \equiv J \pmod{\mathfrak{m}_A}$ as in §7.5. Then $A_+ = A(\varphi)$ for a character $\varphi : H \rightarrow A^{\times}$. Note that $\varphi \bmod \mathfrak{m}_A = \bar{\varphi}$ by the construction in §7.5. By $(\text{ord}_{\mathfrak{l}})$ for $\mathfrak{l} \in S$, $\bar{\varphi}_{\sigma}$ acting on A_- is unramified at $\mathfrak{l}|\mathfrak{c}$. Thus we conclude $\mathcal{F}_H \cong \mathcal{D}_{\gamma}^{\hat{\Delta}}$. \square

By $\rho \mapsto \rho \otimes \alpha$, $\hat{\Delta}$ acts on \mathcal{D}_{γ} . For the universal representation $\rho_{\gamma} \in \mathcal{D}_{\gamma}(R_{\gamma})$, therefore, we have an involution $[\alpha] \in \text{Aut}_{B\text{-alg}}(R_{\gamma})$ such that $[\alpha] \circ \rho_{\gamma} \cong \rho_{\gamma} \otimes \alpha$. Define $R_{\gamma}^{\pm} := \{x \in R_{\gamma} | [\alpha](x) = \pm x\}$.

7.10. Induced Selmer groups. For a character $\phi : H \rightarrow \mathbb{F}^{\times}$, Let $K^{(p)}$ be the maximal p -abelian extension of K unramified outside \mathfrak{p} . Let $\Gamma_{\mathfrak{p}} = \text{Gal}(K^{(p)}/K)$ which is a p -profinite abelian group.

Corollary 7.4. *We have a canonical isomorphism $R_{\kappa}/R_{\kappa}([\alpha] - 1)R_{\kappa} \cong W[[\Gamma_{\mathfrak{p}}]]$, where $R_{\kappa}([\alpha] - 1)R_{\kappa}$ is the R_{κ} -ideal generated by $[\alpha](x) - x$ for all $x \in R_{\kappa}$.*

If a finite group $\langle \gamma \rangle$ acts on $R \in CL/B$ fixing B , then

$$\boxed{\text{Hom}_{B\text{-alg}}(R, A)^{\langle \gamma \rangle} = \text{Hom}_{B\text{-alg}}(R/R(\gamma - 1)R, A).}$$

Indeed, $f \in \text{Hom}_{B\text{-alg}}(R, A)^{\gamma}$, then $f \circ \gamma = f$; so, $f(R(\gamma - 1)R) = 0$. Thus $\text{Hom}_{B\text{-alg}}(R, A)^{\gamma} \hookrightarrow \text{Hom}_{B\text{-alg}}(R/R(\gamma - 1)R, A)$. Surjectivity is plain.

Proof. Since $\mathcal{F}_H = \mathcal{D}_{\kappa}^{\hat{\Delta}}$, we find

$$\mathcal{F}_H(A) = \text{Hom}_{\Lambda\text{-alg}}(R_{\kappa}, A)^{\hat{\Delta}} = \text{Hom}_{\Lambda\text{-alg}}(R_{\kappa}/(R_{\kappa}([\alpha] - 1)R_{\kappa}), A).$$

Thus \mathcal{F}_H is represented by $R_{\kappa}/(R_{\kappa}([\alpha] - 1)R_{\kappa})$.

Let $\varphi_0 : H \rightarrow W^{\times}$ be the Teichmüller lift of $\bar{\varphi}$. Define $\varphi : H \rightarrow W[[\Gamma_{\mathfrak{p}}]]^{\times}$ by $\varphi(h) = \varphi_0(h)h|_{K^{(p)}} \in W[[\Gamma_{\mathfrak{p}}]]$. We show that $(W[[\Gamma_{\mathfrak{p}}]], \varphi)$ is a universal couple for \mathcal{F}_H , which implies the identity of the corollary. Pick a deformation $\varphi \in \mathcal{F}_H(A)$. Then $(\iota_A \circ \varphi_0)^{-1}\varphi$ has values in $1 + \mathfrak{m}_A$ unramified outside \mathfrak{p} as the ramification at $\mathfrak{l} \in S$ different from p is absorbed by that of $\bar{\varphi}$ by the fact that the inertia group at \mathfrak{l} in H is isomorphic to the inertia group at \mathfrak{l} of $\text{Gal}(K(\bar{\varphi})/K)$. Thus $(\iota_A \circ \varphi_0)^{-1}\varphi$ factors through $\Gamma_{\mathfrak{p}}$, and induces a unique W -algebra homomorphism $W[[\Gamma_{\mathfrak{p}}]] \xrightarrow{\phi} A$ with $\varphi = \phi \circ \varphi$. \square

7.11. What is $\Gamma_{\mathfrak{p}}$?

Proposition 7.5. *If $p > 2$, we have an exact sequence*

$$1 \rightarrow (1 + p\mathbb{Z}_p)/\varepsilon^{(p-1)\mathbb{Z}_p} \rightarrow \Gamma_{\mathfrak{p}} \rightarrow Cl_K \otimes_{\mathbb{Z}} \mathbb{Z}_p \rightarrow 1,$$

where $\varepsilon = 1$ if K is imaginary, and ε is a fundamental unit of K if K is real. Thus $\Gamma_{\mathfrak{p}}$ is finite if K is real.

Proof. Since $\Gamma_{\mathfrak{p}} = Cl_K(\mathfrak{p}^{\infty}) \otimes_{\mathbb{Z}} \mathbb{Z}_p$, the exact sequence is the p -primary part of the exact sequence of the class field theory:

$$1 \rightarrow O_{\mathfrak{p}}^{\times}/\overline{O}^{\times} \rightarrow Cl_K(\mathfrak{p}^{\infty}) \rightarrow Cl_K \rightarrow 1.$$

Thus tensoring \mathbb{Z}_p over \mathbb{Z} , we get the desired exact sequence, since $O_{\mathfrak{p}} \cong \mathbb{Z}_p$ canonically. Note here $\varepsilon^{p-1} \in 1 + p\mathbb{Z}_p = 1 + \mathfrak{p}O_{\mathfrak{p}}$. \square

7.12. Iwasawa theoretic interpretation of $\text{Sel}(Ad(\text{Ind}_K^{\mathbb{Q}} \varphi))$. Pick a deformation $\varphi \in \mathcal{F}_H(A)$. By $Ad(\text{Ind}_K^{\mathbb{Q}} \varphi) = \alpha \oplus \text{Ind}_K^{\mathbb{Q}} \varphi^{-}$, the cohomology is decomposed accordingly:

$$H^1(G, Ad(\text{Ind}_K^{\mathbb{Q}} \varphi)) = H^1(G, \alpha) \oplus H^1(G, \text{Ind}_K^{\mathbb{Q}} \varphi^{-}).$$

Since Selmer cocycles are upper triangular over D_p and upper nilpotent over I_p , noting the fact that $\alpha \subset Ad(\text{Ind}_H^G \varphi)$ is realized on diagonal matrices, and $\text{Ind}_H^G \varphi^{-}$ is realized on anti-diagonal matrices, the Selmer condition is compatible with the above factorization; so, we have

Theorem 7.6. *We have $\text{Sel}(Ad(\text{Ind}_H^G \varphi)) = \text{Sel}(\alpha) \oplus \text{Sel}(\text{Ind}_H^G \varphi^{-})$, where $\text{Sel}(\alpha)$ is made of classes in $H^1(G, \alpha)$ unramified everywhere and $\text{Sel}(\text{Ind}_H^G \varphi^{-})$ is isomorphic to the subgroup $\text{Sel}(\varphi^{-})$ of $H^1(H, \varphi^{-})$ made of classes unramified outside \mathfrak{p} and vanishes over $D_{\mathfrak{p}\sigma}$. In particular,*

$$\text{Sel}(\alpha) = \text{Hom}(Cl_K, A^{\vee}) = \text{Hom}(Cl_K \otimes_{\mathbb{Z}} A, \mathbb{Q}_p/\mathbb{Z}_p).$$

Proof. Pick a Selmer cocycle $u : G \rightarrow Ad(\rho_0)^*$. Projecting down to α , it has diagonal form; so, the projection u_{α} restricted to D_p is unramified. Therefore u_{α} factors through Cl_K . Starting with an unramified homomorphism $u : Cl_K \rightarrow A^{\vee}$ and regard it as having values in diagonal matrices in $Ad(\rho_0)^*$, its class falls in $\text{Sel}(Ad(\rho_0))$.

Similarly, the projection u^{Ind} of u to the factor $\text{Ind}_H^G \varphi^{-}$ is anti-diagonal of the form $\begin{pmatrix} 0 & u^+ \\ u^- & 0 \end{pmatrix}$. Noting $H^j(\Delta, ((\text{Ind}_H^G \varphi^{-})^*)^H) = 0$ ($j = 1, 2$), by inflation-restriction sequence,

$$H^1(G, (\text{Ind}_H^G \varphi^{-})^*) \cong (H^1(H, (\varphi^{-})^*) \oplus H^1(H, (\varphi_{\sigma}^{-})^*))^{\Delta}.$$

So $u^{-}(\sigma^{-1}g\sigma) = u^{+}(g)$ as $\sigma \in \Delta$ interchanges $H^1(H, (\varphi^{-})^*)$ and $H^1(H, (\varphi_{\sigma}^{-})^*)$. Moreover u^{+} is unramified outside \mathfrak{p} as an element of $H^1(H, (\varphi^{-})^*)$. Since $u^{-}|_{D_p} = 0$, u^{+} vanishes on $D_{\mathfrak{p}\sigma}$ by $u^{-}(\sigma^{-1}g\sigma) = u^{+}(g)$. \square

7.13. Anti-cyclotomic p -abelian extension. Regard $\varphi : G \rightarrow W[[\Gamma_{\mathfrak{p}}]]^{\times}$. Define $K_{/K}^{-}$ by the maximal p -abelian anticyclotomic extension unramified outside p (so, $\sigma\gamma\sigma^{-1} = \gamma^{-1}$). The fixed subfield of $K(\overline{\rho})^{(p)}$ by $\text{Ker}(\varphi^{-})$ is given by $K(\varphi^{-})K^{-}$. So $\Gamma^{-} = \text{Gal}(K^{-}/K)$ is the maximal p -abelian quotient of $\text{Im}(\varphi^{-})$; i.e., $\text{Gal}(K^{-}/K) \cong \Gamma^{-} \times \text{Gal}(K(\overline{\rho}_0)/K)$. Note that $\varphi^{-}(h) = \varphi(h)\varphi(\sigma^{-1}h\sigma)^{-1} \in \Gamma_{\mathfrak{p}}$ if $h \in \Gamma^{-}$. Thus we have an exotic homomorphism $\Gamma^{-} \rightarrow \Gamma_{\mathfrak{p}}$. We have an exact sequence for $\widehat{Cl}_K := Cl_K \otimes_{\mathbb{Z}} \mathbb{Z}_p$:

$$1 \rightarrow ((1 + pO_p)/\varepsilon^{(p-1)\mathbb{Z}_p})^{\sigma=-1} \rightarrow \Gamma^{-} \rightarrow \widehat{Cl}_K \rightarrow 1,$$

which is the “ $-$ ”-eigenspaces of the action of σ on the exact sequence with $\widehat{Cl}_K(p^{\infty}) := Cl_K(p^{\infty}) \otimes_{\mathbb{Z}} \mathbb{Z}_p$:

$$1 \rightarrow (1 + pO_p)/\varepsilon^{(p-1)\mathbb{Z}_p} \rightarrow \widehat{Cl}_K(p^{\infty}) \rightarrow \widehat{Cl}_K \rightarrow 1.$$

Therefore the above homomorphism induces an isomorphism $\Gamma^{-} \cong \Gamma_{\mathfrak{p}}$, and in this way, we identify $W[[\Gamma^{-}]]$ with $W[[\Gamma_{\mathfrak{p}}]]$.

7.14. Iwasawa modules. Let L/K^- (resp. $L'/K(\varphi^-)$) be the maximal p -abelian extension unramified outside \mathfrak{p} totally split at \mathfrak{p}^σ (so $L' \subset L$). Put $\mathcal{Y} := \text{Gal}(L/K^-)$ and $\Delta := \text{Gal}(K(\varphi_0^-)/K)$. By conjugation, $\Delta \times \Gamma_- = \text{Gal}(K^-/K)$ acts on \mathcal{Y} ; so, we put $\mathcal{Y}(\varphi_0^-) = \mathcal{Y} \otimes_{\mathbb{Z}_p[\text{Gal}(K(\varphi_0^-)/K)]} \varphi_0^-$ (the maximal quotient of \mathcal{Y} on which $\Delta \subset \text{Gal}(K^-/K)$ acts by φ_0^-). Then $\mathcal{Y}(\varphi_0)$ is a module over $W[[\Gamma_-]]$ (an Iwasawa module). The Galois group $\text{Gal}(L'/K(\varphi^-))$ (resp. $\text{Gal}(L'/K(\varphi^-)(\varphi_0^-) = \text{Gal}(L'/K(\varphi^-) \otimes_{\mathbb{Z}_p[\Delta]} \varphi_0^-)$) is a quotient of \mathcal{Y} (resp. $\mathcal{Y}(\varphi_0)$), and if $W[[\Gamma^-]] \twoheadrightarrow A$, $\text{Gal}(L'/K(\varphi^-)(\varphi_0^-) = \mathcal{Y}(\varphi_0^-) \otimes_{W[[\Gamma_-]], \varphi^-} A$.

We have an inflation-restriction exact sequence:

$$\begin{aligned} H^1(K(\varphi^-)/K, (\varphi^-)^*) &\hookrightarrow H^1(H, (\varphi^-)^*) \\ &\rightarrow \text{Hom}_{\text{Gal}(K(\varphi^-)/K)}(\text{Gal}(K(\bar{\rho})^{(p)}/K(\varphi^-)), (\varphi^-)^*) \\ &\rightarrow H^2(K(\varphi^-)/K, (\varphi^-)^*). \end{aligned}$$

Lemma 7.7. *Assume that $\bar{\varphi}^- \neq 1$. If Γ^- is cyclic, we have $H^j(K(\varphi^-)/K, (\varphi^-)^*) = 0$ for $j = 1, 2$.*

Proof. For a finite cyclic group C generated by γ

$$H^1(C, M) = \text{Ker}(\text{Tr})/\text{Im}(\gamma - 1), \quad H^2(C, M) = \text{Ker}(\gamma - 1)/\text{Im}(\text{Tr}),$$

where $\text{Tr}(x) = \sum_{c \in C} cx$ and $(\gamma - 1)(x) = \gamma x - x$ for $x \in M$. If C is infinite with M discrete, $H^q(C, M) = \varinjlim_{C' \subset C} H^q(C/C', M^{C'})$. Thus if $\bar{\varphi}^-(\gamma) \neq 1$ for a generator of Γ^- , we find

$$H^j(K(\varphi^-)/K, (\varphi^-)^*) = 0$$

as $\gamma - 1 : (\varphi^-)^* \rightarrow (\varphi^-)^*$ is a bijection. \square

By Lemma 7.7, from inflation-restriction sequence, we get

$$H^1(H, (\varphi^-)^*) \cong \text{Hom}_{\text{Gal}(K(\varphi^-)/K)}(\text{Gal}(K(\bar{\rho})^{(p)}/K(\varphi^-)), (\varphi^-)^*).$$

Then Selmer cocycles factor through \mathcal{Y} ; so, for $\mathcal{G} := \text{Gal}(K(\varphi^-)/K)$,

$$\text{Sel}(\varphi^-) = \text{Hom}_{\mathcal{G}}(\mathcal{Y}, (\varphi^-)^*) \cong \text{Hom}_{W[[\Gamma_-]]}(\mathcal{Y}(\varphi_0^-), (\varphi^-)^*) \cong \text{Hom}_W(\mathcal{Y}(\varphi_0^-) \otimes_{W[[\Gamma_-]], \varphi^-} A, \mathbb{Q}_p/\mathbb{Z}_p).$$

7.15. Cyclicity of Iwasawa module $\mathcal{Y}(\varphi_0^-)$. Since $R_\kappa/R_\kappa([\alpha] - 1)R_\kappa \cong W[[\Gamma_{\mathfrak{p}}]] = W[[\Gamma^-]]$, we write this morphism as $\theta : R_\kappa \rightarrow W[[\Gamma^-]]$.

Theorem 7.8. *If Γ^- is cyclic, we have*

$$\text{Sel}(\varphi^-) \cong \text{Hom}_{W[[\Gamma_-]]}(\mathcal{Y}(\varphi_0^-), (\varphi^-)^*), \quad \Omega_{R_\kappa/\Lambda} \otimes_{R_\kappa, \lambda} W[[\Gamma^-]] \cong \mathcal{Y}(\varphi_0^-)$$

as $W[[\Gamma^-]]$ -modules.

This follows from Lemma 7.7.

Since $p \nmid [K(\varphi_0^-) : K]$, the p -Hilbert class field H/K and $K(\varphi_0^-)$ is linearly disjoint over K ; so, we have $[H : K] = [HF, F]$; so, $p \nmid h_F$ implies $p \nmid h_K$. Thus combining the above theorem with the cyclicity result in Theorem 6.5, we get

Corollary 7.9. *If $p \nmid h_F$, $\mathcal{Y}(\varphi_0^-)$ is a cyclic module over $W[[\Gamma^-]]$ if $\bar{\varphi}_0 \neq 1$.*

8. SELMER GROUP OF ARTIN REPRESENTATION

Assuming that $\bar{\rho}$ comes from an Artin representation $\rho : \mathfrak{S}_{\mathbb{Q}} \rightarrow \text{GL}_2(W)$, we explore a way to describe the size of its adjoint Selmer group in terms of a global unit of the splitting field F . Let $G = \text{Gal}(F/\mathbb{Q}) \cong \text{Im}(Ad(\bar{\rho}))$. Assume $p \nmid |G|$ and irreducibility of $\bar{\rho}$ throughout this section. Then $G \cong \text{Im}(Ad(\rho)) = \text{Im}(Ad(\bar{\rho}))$. We write \mathbb{F} for the minimal field of rationality of $Ad(\bar{\rho})$. Noting that $Ad(\bar{\rho})$ factors through $\text{PGL}_2(\mathbb{F})$, \mathbb{F} is the minimal subfield of $\overline{\mathbb{F}}_p$ with $\text{Im}(Ad(\rho)) \subset \text{PGL}_2(\mathbb{F})$. Then we take W to be the unramified extension of \mathbb{Z}_p with $W/\mathfrak{m}_W = \mathbb{F}$; so, $W = W(\mathbb{F})$ (the ring of Witt vectors with coefficients in \mathbb{F}). We write O for the integer ring F . Fix a prime $\mathfrak{p}|p$ in O . We write $D \subset G$ for the decomposition group of \mathfrak{p} and choose basis so that $\rho|_D = \begin{pmatrix} \epsilon & 0 \\ 0 & \delta \end{pmatrix}$ with δ unramified.

8.1. Classification of Artin representations. Identify G with the subgroup $\text{Im}(Ad(\bar{\rho}))$ of $\text{PGL}_2(\mathbb{F})$. Dickson (in [LGF, §260]; see also [W2, §3]) gave a classification of $G \subset \text{PGL}_2(\mathbb{F})$:

Case G: If $p \nmid |G|$, G is conjugate to $\text{PGL}_2(k)$ or $\text{PSL}_2(k)$ for a subfield $k \subset \mathbb{F}$ as long as $p > 3$ (when $p = 3$, G can be A_5). Suppose $p \nmid |G|$ (so, $p \geq 5$). Then G is given as follows.

Case C: G is cyclic ($\Rightarrow \text{Im}(\bar{\rho})$ is abelian).

Case D: G is isomorphic to a dihedral group D_a of order $2a$ (so, $\bar{\rho} = \text{Ind}_K^{\mathbb{Q}} \bar{\varphi}$ for a quadratic field), and $\mathbb{F} = \mathbb{F}_p[\bar{\varphi}^-]$ (the field generated by the values of $\bar{\varphi}^-$)

Case E: G is either isomorphic to A_4 , S_4 ($\mathbb{F} = \mathbb{F}_p$ and $W = \mathbb{Z}_p$), or A_5 ($\mathbb{F} \cong \mathbb{Z}_p[\sqrt{5}]/\mathfrak{p}$ for a prime $\mathfrak{p}|p$ by the character table of A_5 ; so, $\mathbb{F} = \mathbb{F}_p$ or \mathbb{F}_{p^2}). These groups does not have quotient isomorphic to $\mathbb{Z}/(p-1)\mathbb{Z}$ for $p \geq 5$ (Serre's book on linear group representation: §5.7-8 and §18.6).

In this section, we study Case E but until §8.8 (except for §8.2), we do not suppose that we are in case E.

8.2. $Ad(\bar{\rho})$ is absolutely irreducible in Case E. If $Ad(\bar{\rho})$ is reducible, it contain a 1-dimensional subspace or quotient stable under G -action. We regard $\bar{\rho}$ has values in the algebraic closure $\overline{\mathbb{F}}_p$. Since $Ad(\bar{\rho})$ is self dual, the dual of the quotient is a subspace; so, always it contains subspace of dimension 1 spanned by $0 \neq i \in \text{End}_{\overline{\mathbb{F}}_p}(\bar{\rho})$ with $\text{Tr}(i) = 0$. Thus G acts on i by a character $\alpha: \bar{\rho}(g) \circ i \circ \bar{\rho}(g)^{-1} = \alpha(g)i$ ($\Leftrightarrow \bar{\rho} \circ i = i \circ (\bar{\rho} \otimes \alpha)$). This implies that i gives an isomorphism $\bar{\rho} \cong \bar{\rho} \otimes \alpha$ as $\bar{\rho}$ is irreducible. Taking determinant of this identity, $\det(\bar{\rho}) = \det(\bar{\rho})\alpha^2$; so, $\alpha^2 = 1$. If $\alpha = 1$, i commutes with absolutely irreducible $\bar{\rho}$; so, by Schur's lemma, i is a non-zero scalar multiplication, contradicting $\text{Tr}(i) = 0$ (by $p > 2$). Thus α is quadratic, and as seen in §6.5, $\bar{\rho} = \text{Ind}_K^{\mathbb{Q}} \varphi$ for a quadratic extension K/\mathbb{Q} fixed by $\text{Ker}(\alpha)$. This means we are in Case D or case C. Thus $Ad(\bar{\rho})$ is absolutely irreducible in Case E.

8.3. Lifting $\bar{\rho}$. Since $p \nmid |G|$, $\mathcal{G} := \text{Gal}(F(\bar{\rho})/\mathbb{Q}) \cong \text{Im}(\bar{\rho})$ fits into an exact sequence for the center Z (scalar matrices) of GL_2 :

$$1 \rightarrow Z(\mathbb{F}) \cap \mathcal{G} \rightarrow \mathcal{G} \rightarrow G \rightarrow 1.$$

Since $|Z(\mathbb{F})| = |\mathbb{F}^\times|$ is prime to p , we find $p \nmid |\mathcal{G}|$. Under this circumstance, the set of irreducible representations of \mathcal{G} with coefficients in \mathbb{F} is in bijection to representations with coefficients in W irreducible over $\text{Frac}(W)$ by reduction modulo \mathfrak{m}_W (cf. [MFG, Corollary 2.7]).

Writing $\rho: \text{Gal}(\mathbb{Q}/\mathbb{Q}) \rightarrow \text{GL}_2(W)$ (factoring through \mathcal{G}) for the lifted representation, we have $\text{Im}(Ad(\rho)) = \text{Im}(Ad(\bar{\rho})) \cong G$. Recall the splitting field F of $Ad(\bar{\rho})$; so, $G = \text{Gal}(F/\mathbb{Q})$. In Case E, G has no abelian cyclic quotient of order $p-1$; so, $\mu_p(F) = \{1\}$.

8.4. Minkowski unit. Let $O_f^\times := O^\times/\mu_p(F)$. We have shown in §5.16 that $(O_f^\times \otimes_{\mathbb{Z}} \mathbb{F}) \oplus \mathbb{F}\mathbf{1} \cong \text{Ind}_C^G \mathbf{1} \cong \mathbb{F}[G/C]$ by (the proof of) Dirichlet's unit theorem. Here C is the subgroup of G generated by the fixed complex conjugation c . By the same argument, we find $(O_f^\times \otimes_{\mathbb{Z}} \mathfrak{m}_W^n/\mathfrak{m}_W^{n+1}) \oplus \mathfrak{m}_W^n/\mathfrak{m}_W^{n+1}\mathbf{1} \cong \mathfrak{m}_W^n/\mathfrak{m}_W^{n+1}[G/C]$; so, $(O_f^\times \otimes_{\mathbb{Z}} W/\mathfrak{m}_W^n) \oplus W/\mathfrak{m}_W^n\mathbf{1} \cong W/\mathfrak{m}_W^n[G/C]$. Passing to the (projective) limit, we get

$$(O_f^\times \otimes_{\mathbb{Z}} W) \oplus W\mathbf{1} \cong W[G/C]$$

as G -module. Take $W = \mathbb{Z}_p$. Since $\mathbb{Z}_p[G/C]/\mathbb{Z}_p\mathbf{1}$ is a cyclic $\mathbb{Z}_p[G]$ -module, there is a generator $\varepsilon \otimes 1 \in O_f^\times \otimes_{\mathbb{Z}} \mathbb{Z}_p$ ($\varepsilon \in O_f^\times$) over $\mathbb{Z}_p[G]$. This unit ε is called a **Minkowski unit**, and we fix one. By our choice, $\{\varepsilon^\sigma | \sigma \in G/C\}$ has a unique relation $\prod_{\sigma \in G/C} \varepsilon^\sigma = 1$ and generates a subgroup of O_f^\times of finite index prime to p . For each general W/\mathbb{Z}_p , $\varepsilon \otimes 1$ is a generator of $O_f^\times \otimes_{\mathbb{Z}} W$ over $W[G]$.

8.5. Ray class groups. Recall $Cl_F(p^\infty) = \varprojlim_n Cl_F(p^n)$, and we have an exact sequence

$$O^\times \rightarrow (O/p^n O)^\times \rightarrow Cl_F(p^n) \rightarrow Cl_F \rightarrow 1.$$

Passing to the limit, we get

$$1 \rightarrow \overline{O^\times} \rightarrow O_p^\times \rightarrow Cl_F(p^\infty) \rightarrow Cl_F \rightarrow 1,$$

where $O_p = \varprojlim_n O/p^n O$ and $\overline{O^\times} = \varprojlim_n \text{Im}(O^\times \rightarrow (O/p^n O)^\times)$.

Adding “ $\widehat{}$ ”, we denote the p -profinite part of each groups in the sequence, getting another exact sequence

$$1 \rightarrow \widehat{\overline{O^\times}} \rightarrow \widehat{O_p^\times} \rightarrow \widehat{Cl}_F(p^\infty) \rightarrow \widehat{Cl}_F \rightarrow 1,$$

where we have written simply $\widehat{O^\times}$ for $\widehat{\overline{O^\times}}$. Except for Case E, we could have p -torsion in $\widehat{O^\times}$ (i.e., $\mu_p(F) \neq 1$) and in $\widehat{O_p^\times}$ (i.e., $\varepsilon/\delta = \omega$ is the Teichmüller character).

8.6. Selmer group revisited. We often write simply Ad for $Ad(\rho)$. Let $k^{(p)}$ be the maximal p -profinite extension of a number field k unramified outside p and put $\mathfrak{G} = \text{Gal}(F^{(p)}/\mathbb{Q})$, $\mathfrak{H} = \text{Gal}(F^{(p)}/F)$, $\mathfrak{G}' = \text{Gal}(F(\overline{\rho})^{(p)}/\mathbb{Q})$, $\mathfrak{H}' = \text{Gal}(F(\overline{\rho})^{(p)}/F)$. Recall

$$\text{Sel}(Ad(\rho)) := \text{Ker}(H^1(\mathfrak{G}', Ad^*) \rightarrow \frac{H^1(\mathbb{Q}_l, Ad^*)}{F_-^+(Ad^*)}) \times \prod_{l \in S} H^1(I_l, Ad^*),$$

where $F_-^+ Ad^*$ is a subgroup of $H^1(\mathbb{Q}_l, Ad^*)$ made of classes of cocycles upper triangular over the p -decomposition group and upper nilpotent over the p -inertia group.

Lemma 8.1. *We have a canonical inclusion*

$$\text{Sel}(Ad(\rho)) \subset \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F(p^\infty), Ad(\rho)^*).$$

Proof. For a topological group X , write X^{ab} for the maximal continuous abelian quotient of X . Let $u : \mathfrak{G}' \rightarrow Ad^*$ be a Selmer cocycle. Let $u' = u|_{\mathfrak{H}'} : \mathfrak{H}' \rightarrow Ad^*$, which is a homomorphism. By inflation-restriction, through $u \mapsto u'$,

$$\text{Sel}(Ad(\rho)) \hookrightarrow H^1(\mathfrak{G}', Ad^*) \cong \text{Hom}_{\mathbb{Z}_p[G]}(\mathfrak{H}'^{ab}, Ad^*),$$

since $H^q(G, Ad(\rho)^*) = 0$ for $q > 0$ by $p \nmid [F : \mathbb{Q}]$.

Since the ramification of a prime l of O outside p is concentrated in $\text{Gal}(F(\overline{\rho})/F)$, the inertia group I_l injects into $\text{Gal}(F(\overline{\rho})/F)$; so, I_l is finite of order prime to p . This implies $u'(I_l) = 0$ as Ad^* is p -torsion. Thus u' factors through $\mathfrak{H}'^{ab} \rightarrow \mathfrak{H}^{ab}$ as \mathfrak{H} is the Galois group over F of the maximal p -profinite extension $F^{(p)}$ of F unramified outside p . By class field theory, we know $\mathfrak{H}^{ab} \cong \widehat{Cl}_F(p^\infty)$. \square

8.7. Galois module structure of p -decomposition groups. Essential part of $\widehat{Cl}_F(p^\infty)$ comes from \widehat{O}_p^\times which is the product of p -inertia subgroup of \mathfrak{H}^{ab} ; so, we study decomposition group in \mathfrak{H}^{ab} as D -modules. Recall the fixed prime factor $\mathfrak{p}|p$ in O with its decomposition subgroup $D \subset G$. Write simply $M_{\mathfrak{p}} := F_{\mathfrak{p}}^\times \otimes_{\mathbb{Z}} W$ and $U_{\mathfrak{p}} := \widehat{O}_{\mathfrak{p}}^\times \otimes_{\mathbb{Z}_p} W = O_{\mathfrak{p}}^\times \otimes_{\mathbb{Z}} W$. Then for each character $\xi : D \rightarrow W^\times$, $M_{\mathfrak{p}}$ contains as a direct factor the ξ -eigenspace $M_{\mathfrak{p}}[\xi] = 1_\xi M_{\mathfrak{p}}$ for $1_\xi = |D|^{-1} \sum_{g \in D} \xi^{-1}(g)g \in W[D]$. Then

- A canonical exact sequence $U_{\mathfrak{p}}[\mathbf{1}] \hookrightarrow M_{\mathfrak{p}}[\mathbf{1}] \xrightarrow{\text{ord}_{\mathfrak{p}}} W$ induced by the valuation $\text{ord}_{\mathfrak{p}} : F_{\mathfrak{p}}^\times \rightarrow \mathbb{Z}$ at \mathfrak{p} , and $U_{\mathfrak{p}}[\mathbf{1}] \cong W$ as $\mu_p(F_{\mathfrak{p}})[\mathbf{1}] = 0$.
- $M_{\mathfrak{p}}[\xi]$ is a direct summand of $U_{\mathfrak{p}}$ if $\xi \neq \mathbf{1}$. Since all other prime factor of p is of the form $\sigma(\mathfrak{p})$ for $\sigma \in G/D$, we have $M_p := F_p^\times \otimes_{\mathbb{Z}} W \cong \text{Ind}_D^G M_{\mathfrak{p}}$ as G -modules (for $F_p = F \otimes_{\mathbb{Q}} \mathbb{Q}_p$). Put $U_p := \widehat{O}_p^\times \otimes_{\mathbb{Z}_p} W = O_p^\times \otimes_{\mathbb{Z}} W$.

8.8. Structure of $M_p[Ad]$ as a G -module in Case E.. Hereafter we suppose to be in Case E (so, M_p is p -torsion-free). For the idempotent 1_{Ad} of $W[G]$ corresponding to $Ad(\rho)$ and a W -free $W[G]$ -module X , we consider the Ad -isotypical component $X[Ad] = 1_{Ad}X$. Since $M_p = \text{Ind}_D^G M_{\mathfrak{p}}$, by Shapiro's lemma, we have for $\xi = \epsilon\delta^{-1}$

$$\text{Hom}_G(M_p, Ad^*) = \text{Hom}_D(M_{\mathfrak{p}}, Ad^*|_D) = \text{Hom}_D(M_{\mathfrak{p}}, \xi^* \oplus \mathbf{1}^* \oplus (\xi^{-1})^*).$$

Since $M_{\mathfrak{p}}[\xi^{\pm 1}] = U_{\mathfrak{p}}[\xi^{\pm 1}]$ (by $\xi \neq \mathbf{1}$),

$$(\text{Ind}_D^G U_{\mathfrak{p}}[\xi] \oplus \text{Ind}_D^G U_{\mathfrak{p}}[\mathbf{1}] \oplus \text{Ind}_D^G U_{\mathfrak{p}}[\xi^{-1}])[Ad] = Ad_\xi \oplus Ad_{\mathbf{1}} \oplus Ad_{\xi^{-1}},$$

where $Ad_\gamma = \text{Ind}_D^G \gamma[Ad]$. This fits into the following exact sequence of G -modules:

$$0 \rightarrow \overbrace{Ad_\xi \oplus Ad_{\mathbf{1}} \oplus Ad_{\xi^{-1}}}^{\text{inertia part}} \rightarrow M_p[Ad] \xrightarrow{\prod_{\sigma \in G/D} \text{ord}_{\sigma(\mathfrak{p})}} \overbrace{(\text{Ind}_D^G W\mathbf{1})[Ad]}^{\text{Frobenius part}} \rightarrow 0.$$

8.9. Selmer group as a subgroup of $\text{Hom}_G(\widehat{Cl}_F(p^\infty), Ad(\rho)^*)$. Let $Cl_F^{(p)}$ be the subgroup of Cl_F generated by $\sigma(\mathfrak{p})$ for $\sigma \in G$. We define $C_F := Cl_F/Cl_F^{(p)}$.

Theorem 8.2. *Assume that we are in Case E. Then we have an exact sequence*

$$\begin{aligned} \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{C}_F, Ad(\rho)^*) &\hookrightarrow \text{Sel}(Ad(\rho)) \\ &\rightarrow \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F^{(p)}, Ad(\rho)^*) \oplus \text{Hom}_{W[D]}(U_{\mathfrak{p}}[\epsilon\delta^{-1}]/\overline{\langle \epsilon\delta^{-1} \rangle}, W^\vee), \end{aligned}$$

where ε is the fixed Minkowski unit with $\widehat{O}^\times = \mathbb{Z}_p[G]\varepsilon$, $\varepsilon_{\varepsilon\delta^{-1}}$ is the projection of ε in the direct summand $U_{\mathfrak{p}}[\varepsilon_{\mathfrak{p}}\delta_{\mathfrak{p}}^{-1}]$ under $O^\times \rightarrow U_p \rightarrow U_{\mathfrak{p}}[\varepsilon\delta^{-1}]$, and $\overline{\langle \varepsilon_{\varepsilon\delta^{-1}} \rangle}$ is the p -adic closure of the subgroup $\varepsilon_{\varepsilon\delta^{-1}}^{\mathbb{Z}}$ generated by $\varepsilon_{\varepsilon\delta^{-1}}$.

Corollary 8.3. *Suppose we are in Case E. Then we have*

$$|\mathrm{Sel}(Ad(\rho))| = |\widehat{Cl}_F \otimes_{\mathbb{Z}_p[G]} Ad(\rho)| |(U_{\mathfrak{p}}[\varepsilon\delta^{-1}]/\overline{\langle \varepsilon_{\varepsilon\delta^{-1}} \rangle})|,$$

which is finite.

We start the proof of Theorem 8.2 which ends in §8.14. After finishing the proof of the theorem, we prove the corollary.

8.10. Proof of $\mathrm{Hom}_{\mathbb{Z}_p[G]}(\widehat{C}_F, Ad^*) \hookrightarrow \mathrm{Sel}(Ad(\rho))$. Elements in $\mathrm{Hom}_{\mathbb{Z}_p[G]}(\widehat{C}_F, Ad^*)$ are everywhere unramified and trivial at p ; so, they give rise to a subgroup of $\mathrm{Sel}(Ad(\rho))$ of classes everywhere unramified and trivial at p . Indeed, by $H^1(\mathfrak{G}, Ad^*) \cong \mathrm{Hom}_{\mathbb{Z}_p[G]}(\mathfrak{H}^{ab}, Ad^*)$, any $u \in \mathrm{Hom}_{\mathbb{Z}_p[G]}(\widehat{C}_F, Ad^*)$ extends uniquely the cocycle $u : \mathfrak{G} \rightarrow Ad^*$ unramified everywhere over \mathfrak{H} . Since the inertia group $I_l \subset G$ of any prime $l \in S$ has order prime to p , $u|_{I_l} = 0$, and hence $[u] \in \mathrm{Sel}(Ad(\rho))$.

Let $D_{\mathfrak{p}}$ be the decomposition group at \mathfrak{p} of \mathfrak{H}^{ab} with inertia subgroup $I_{\mathfrak{p}}$. Then

$$\prod_{\sigma \in G/D} \sigma D_{\mathfrak{p}} \sigma^{-1} \cong M_p \quad \text{and} \quad \prod_{\sigma \in G/D} \sigma I_{\mathfrak{p}} \sigma^{-1} \cong U_p.$$

Elements of $\mathrm{Sel}(Ad(\rho))$ modulo $\mathrm{Hom}_{\mathbb{Z}_p[G]}(\widehat{C}_F, Ad^*)$ is determined by its restriction to M_p as they are unramified outside p as they factor through $\widehat{Cl}_F(p^\infty)$ and $p \nmid |I_l|$.

8.11. Restriction to $D_{\mathfrak{p}}$. Recall $\xi = \varepsilon\delta^{-1}$. We study

$$u_{\mathfrak{p}} = u|_{D_{\mathfrak{p}}} \in \mathrm{Hom}_{\mathbb{Z}_p[D]}(D_{\mathfrak{p}}, Ad^*) = \mathrm{Hom}_{\mathbb{Z}_p[D]}(M_{\mathfrak{p}}, Ad^*)$$

for cocycle $u : \mathfrak{G} \rightarrow Ad^*$. Since $Ad = Ad[\xi] \oplus Ad[\mathbf{1}] \oplus Ad[\xi^{-1}]$, we have a decomposition:

$$\begin{aligned} \mathrm{Hom}_{\mathbb{Z}_p[D]}(M_{\mathfrak{p}}, Ad^*) = & \overbrace{\mathrm{Hom}_{\mathbb{Z}_p[D]}(U_{\mathfrak{p}}[\xi], Ad[\xi]^*)}^{\text{upper nilpotent}} \oplus \overbrace{\mathrm{Hom}_{\mathbb{Z}_p[D]}(M_{\mathfrak{p}}[\mathbf{1}], Ad[\mathbf{1}]^*)}^{\text{diagonal}} \\ & \oplus \overbrace{\mathrm{Hom}_{\mathbb{Z}_p[D]}(U_{\mathfrak{p}}[\xi^{-1}], Ad[\xi^{-1}]^*)}^{\text{lower nilpotent}}. \end{aligned}$$

Thus a Selmer cocycle u projects down to the first two factors:

$$\overbrace{\mathrm{Hom}_{\mathbb{Z}_p[D]}(U_{\mathfrak{p}}[\xi], Ad[\xi]^*)}^{\text{upper nilpotent}} \oplus \overbrace{\mathrm{Hom}_{\mathbb{Z}_p[D]}(M_{\mathfrak{p}}[\mathbf{1}], Ad[\mathbf{1}]^*)}^{\text{diagonal}}.$$

Write $u_{\mathfrak{p}}^+$ (resp. $u_{\mathfrak{p}}^0$) for the upper nilpotent projection (resp. the diagonal projection) of u .

8.12. Inertia part u_+ . We have $u_{\mathfrak{p}}^+ : I_{\mathfrak{p}}[\xi] = U_{\mathfrak{p}}[\xi] \rightarrow Ad[\xi]^*$ and $u_{\sigma(\mathfrak{p})}^+ : U_{\sigma(\mathfrak{p})}[\xi_{\sigma}] \rightarrow Ad[\xi_{\sigma}]^*$ for $D_{\sigma(\mathfrak{p})} = \sigma D \sigma^{-1} \xrightarrow{\xi_{\sigma}} A^\times$ given by $\xi_{\sigma}(h) = \xi(\sigma^{-1}h\sigma)$. Note $Ad[\xi_{\sigma}]^* = \sigma(Ad[\xi]^*)$ and $U_{\sigma(\mathfrak{p})}[\xi_{\sigma}] = \sigma(U_{\mathfrak{p}}[\xi])$ and $u_{\sigma(\mathfrak{p})}(h) = u_{\mathfrak{p}}(\sigma^{-1}h\sigma)$. Since u is a cocycle over \mathfrak{G} , out of each restriction $u_{\sigma(\mathfrak{p})}^+$, we create the map

$$u_+ := (u_{\sigma(\mathfrak{p})}^+)_{\sigma} : \prod_{\sigma \in G/D} \sigma(U_{\mathfrak{p}}[\xi]) \rightarrow \prod_{\sigma \in G/D} \sigma(Ad[\xi]^*).$$

Note $\prod_{\sigma} \sigma(U_{\mathfrak{p}}[\xi]) = \mathrm{Ind}_D^G U_{\mathfrak{p}}[\xi]$ and $\prod_{\sigma} \sigma(Ad[\xi]^*) \cong \mathrm{Ind}_D^G Ad[\xi]^*$ as G -modules. Since u is a cocycle defined over \mathfrak{G} , we get a G -equivariant commutative diagram:

$$\begin{array}{ccc} \mathrm{Ind}_D^G U_{\mathfrak{p}}[\xi] & \xrightarrow{u_+} & \mathrm{Ind}_D^G Ad[\xi]^* \\ \downarrow & & \downarrow \\ U_p[Ad] & \xrightarrow{u|_{U_p}} & Ad^*. \end{array}$$

8.13. Determination of inertia part $u|_{U_p}$. By the above argument, the restriction $u|_{U_p}$ falls into $\text{Hom}_{\mathbb{Z}_p[G]}(Ad_\xi, Ad^*)$ induced from u_+ . Though $U_p[Ad] \cong Ad^m$ for $m = 3$ if ξ has order 3 and $m = 2$ if ξ has order 2, as Shapiro's isomorphism

$$S : \text{Hom}_{\mathbb{Z}_p[G]}(\text{Ind}_D^G U_p[\xi], Ad) \cong \text{Hom}_D(\xi, \xi_+ \oplus \mathbf{1} \oplus \xi_-^{-1})$$

with $\xi_+ = \xi$ realized on upper nilpotent matrices and $\xi_- = \xi$ realized on lower nilpotent matrices. The restriction $u|_{U_p}$ only has values in ξ_+ ; so, ξ_-^{-1} -component does not show up as $u|_{U_p}$ is upper nilpotent, we have $S(u|_{U_p}) \in \text{Hom}_{W[D]}(U_p[\xi], \xi_+^*)$. Since u factors through $O_p^\times/\overline{O}^\times$,

$$S(u|_{U_p}) \text{ factors through } U_p[\xi]/\overline{\langle \varepsilon_\xi \rangle}.$$

Starting from $u_p \in \text{Hom}(U_p[\xi]/\overline{\langle \varepsilon_\xi \rangle}, \xi^*)$, we recreate $u = S^{-1}(u_p) : U_p/\widehat{O}^\times[Ad] \rightarrow Ad^*$; so, we have $\text{Sel}(Ad) \rightarrow \text{Hom}(U_p[\xi]/\overline{\langle \varepsilon_\xi \rangle}, \xi_+^*)$.

8.14. Frobenius part. Note $M_p/U_p = \text{Ind}_D^G W\mathbf{1} \cong \bigoplus_{\sigma(\mathfrak{p}) : \sigma \in G/D} W\sigma(\mathfrak{p})$ as $W[G]$ -modules with projection $\pi : \text{Ind}_D^G W\mathbf{1} \rightarrow \widehat{Cl}_F^{(p)} \otimes_{\mathbb{Z}_p} W$. If \mathfrak{p} has order p^h in $\widehat{Cl}_F^{(p)}$, this induces a surjection $\text{Ind}_D^G W/p^h W\mathbf{1} \rightarrow \widehat{Cl}_F^{(p)} \otimes_{\mathbb{Z}_p} W$, which gives rise to an isomorphism:

$$(*) \quad (\text{Ind}_D^G W/p^h W\mathbf{1})[Ad] \cong (\widehat{Cl}_F^{(p)} \otimes_{\mathbb{Z}_p} W)[Ad] =: \widehat{Cl}_F^{(p)}[Ad]$$

by the irreducibility of $Ad(\overline{\rho})$. Therefore

$$u_0 \in \text{Hom}_{W[G]}(\widehat{Cl}_F^{(p)}[Ad], Ad^*) \stackrel{(*)}{=} \text{Hom}_{W[G]}(\text{Ind}_D^G W/p^h W\mathbf{1}, Ad^*) \stackrel{\text{Shapiro's lemma}}{=} \text{Hom}_D(W/p^h W\mathbf{1}, Ad^*|_D) = W/p^h W.$$

Reversing the argument, the Frobenius part is given by

$$\text{Hom}_{W[G]}(\widehat{Cl}_F^{(p)}[Ad], Ad^*) \cong \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F^{(p)}, Ad^*).$$

This finishes the proof of the theorem. \square

8.15. Proof of the formula in the corollary. Since $Ad^* = Ad(\rho) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p/\mathbb{Z}_p \cong \text{Hom}_{\mathbb{Z}_p}(Ad, \mathbb{Q}_p/\mathbb{Z}_p)$ and \otimes -Hom adjunction formula, we have

$$\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F^{(p)}, Ad^*) \cong \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F^{(p)}, \text{Hom}_{\mathbb{Z}_p}(Ad, \mathbb{Q}_p/\mathbb{Z}_p)) \cong \text{Hom}_{\mathbb{Z}_p}(\widehat{Cl}_F^{(p)} \otimes_{\mathbb{Z}_p[G]} Ad, \mathbb{Q}_p/\mathbb{Z}_p).$$

Similarly we have

$$\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, Ad^*) \cong \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \text{Hom}_{\mathbb{Z}_p}(Ad, \mathbb{Q}_p/\mathbb{Z}_p)) \cong \text{Hom}_{\mathbb{Z}_p}(\widehat{Cl}_F \otimes_{\mathbb{Z}_p[G]} Ad, \mathbb{Q}_p/\mathbb{Z}_p).$$

The W -corank of the Selmer group is positive when $\varepsilon_{\varepsilon\delta^{-1}} = 1$. If this happens, it is equal to $\text{rank}_W U_p[\varepsilon\delta^{-1}]$. Since $U_p[\varepsilon\delta^{-1}] = O_p^\times \otimes_{\mathbb{Z}_p} W[\varepsilon\delta^{-1}]$ has the same rank with $O_p \otimes_{\mathbb{Z}_p} W[\varepsilon\delta^{-1}]$ by D -equivariance of logarithm, we get $\text{rank}_W(O_p \otimes_{\mathbb{Z}_p} W)[\varepsilon\delta^{-1}] = 1$, since F_p has normal basis over \mathbb{Q}_p .

8.16. Galois action on global units. Recall $(O^\times \otimes_{\mathbb{Z}} W) \oplus W\mathbf{1} \cong \text{Ind}_C^G W\mathbf{1} \cong W[G/C]$ (as $\mu_p(F) = \{1\}$). Here C is the subgroup of G generated by the fixed complex conjugation c . The following lemma finishes the proof.

Lemma 8.4. *We have a $W[G]$ -linear surjective homomorphism*

$$\phi : O^\times \otimes_{\mathbb{Z}} W \rightarrow Ad$$

and $\varepsilon_{\varepsilon\delta^{-1}} \neq 1$.

Since $Ad(\overline{\rho})$ is irreducible over \mathbb{F} , if a $W[G]$ -linear map $M \rightarrow Ad$ for a $W[G]$ -module M is non-trivial modulo \mathfrak{m}_W , the map is surjective modulo \mathfrak{m}_W , and by Nakayama's lemma, the original map is surjective.

Proof. Since Ad is irreducible of dimension 3 over $\text{Frac}(W)$, non-zero homomorphism

$$\phi \in \text{Hom}_{W[G]}(O^\times \otimes_{\mathbb{Z}} W \oplus W\mathbf{1}, Ad)$$

has to factors through $O^\times \otimes_{\mathbb{Z}} W = W[G]\varepsilon$. By Shapiro's lemma, we have, for $\chi : C \cong \{\pm 1\}$,

$$\begin{aligned} \text{Hom}_{W[G]}(O^\times \otimes_{\mathbb{Z}} W, Ad) &= \text{Hom}_{W[G]}(\text{Ind}_C^G W\mathbf{1}, Ad) \\ &= \text{Hom}_{W[C]}(W\mathbf{1}, Ad|_C) = \text{Hom}_{W[C]}(W\mathbf{1}, \chi \oplus \mathbf{1} \oplus \chi) = W. \end{aligned}$$

Thus we have a $W[G]$ -linear homomorphism $\phi : O^\times \otimes_{\mathbb{Z}} W \rightarrow Ad$ non-zero modulo \mathfrak{m}_W . Therefore, the $W[G]$ -linear homomorphism $\phi : O^\times \otimes_{\mathbb{Z}} W \rightarrow Ad$ is onto, and Ad is generated over $W[G]$ by the image of ε . Since $Ad|_D = \xi \oplus \mathbf{1} \oplus \xi^{-1}$, the composed ξ -projection $O^\times \otimes_{\mathbb{Z}} W \xrightarrow{\phi} Ad \rightarrow Ad[\xi] = W\xi$ is onto producing a non-zero multiple of $\varepsilon_{\varepsilon\delta^{-1}}$ as its image. \square

9. IWASAWA THEORY OVER QUADRATIC FIELDS

Assuming that $\bar{\rho} = \text{Ind}_K^{\mathbb{Q}} \bar{\varphi}$ for a character $\bar{\varphi} : \mathfrak{G}_{\mathbb{Q}} \rightarrow \mathbb{F}^\times$, we describe the size of its adjoint Selmer group in Case D in terms of a Minkowski unit. Let $G = \text{Gal}(F/\mathbb{Q}) \cong \text{Im}(Ad(\bar{\rho}))$. Let φ be the Teichmüller lift of $\bar{\varphi}$, and put $\rho = \text{Ind}_K^{\mathbb{Q}} \varphi$. Then $G \cong \text{Im}(Ad(\rho)) = \text{Im}(Ad(\bar{\rho}))$. We write \mathbb{F} for the field generated by the values of $\bar{\varphi}$. As seen in §6.6, $Ad(\rho) \cong \alpha \oplus \text{Ind}_K^{\mathbb{Q}} \varphi^-$ for $\alpha = \left(\frac{K/\mathbb{Q}}{\cdot}\right)$. Then we take W to be the unramified extension of \mathbb{Z}_p with $W/\mathfrak{m}_W = \mathbb{F}$. We write O (resp. O_K) for the integer ring F (resp. K). Fix a prime $\mathfrak{p}|p$ in O and a prime $\mathfrak{P}|\mathfrak{p}$ in $F(\varphi) = F(\bar{\rho})$. We write $D \subset G$ (resp. D') for the decomposition group of \mathfrak{p} (resp. \mathfrak{P}) such that $\rho|_{D'} = \begin{pmatrix} \varepsilon & 0 \\ 0 & \delta \end{pmatrix}$ with $\delta = \varphi_\sigma|_{D'}$ unramified. Since G is dihedral and p splits in K , $\mu_p(F) = \{1\}$ for $p \geq 3$.

9.1. Galois action on global units. Recall $(O^\times \otimes_{\mathbb{Z}} W) \oplus W\mathbf{1} \cong \text{Ind}_C^G W\mathbf{1} \cong W[G/C]$. Here C is the subgroup of G generated by the fixed complex conjugation c .

Proposition 9.1. *We have*

$$\text{Hom}_{W[G]}(\text{Ind}_K^{\mathbb{Q}} \varphi^-, O^\times \otimes_{\mathbb{Z}} W) = \begin{cases} 0 & \text{if } K \text{ is real,} \\ W & \text{if } K \text{ is imaginary,} \end{cases}$$

$$\text{Hom}_{\mathbb{Z}_p[G]}(\alpha, O^\times \otimes_{\mathbb{Z}} W) = \begin{cases} W & \text{if } K \text{ is real,} \\ 0 & \text{if } K \text{ is imaginary.} \end{cases}$$

If K is imaginary, $\varepsilon_{\varphi^-} \neq 1$ and $\varepsilon_\alpha = 1$ and if K is real, $\varepsilon_\alpha \neq 1$ and $\varepsilon_{\varphi^-} = 1$.

We have $\text{Hom}_{W[G]}(\text{Ind}_K^{\mathbb{Q}} \varphi^-, \text{Ind}_C^G W\mathbf{1}) = \text{Hom}_{W[C]}(\text{Ind}_K^{\mathbb{Q}} \varphi^-|_C, W\mathbf{1})$ and $\text{Hom}_{\mathbb{Z}_p[G]}(\alpha, \text{Ind}_C^G \mathbf{1}) = \text{Hom}_{\mathbb{Z}_p[C]}(\alpha|_C, \mathbf{1})$. The second assertion is clear from the second identity.

Proof. Pick $\sigma \in G$ such that $\sigma|K$ is non-trivial. If K is imaginary, $\text{Ind}_K^{\mathbb{Q}} \varphi^-|_C = \mathbf{1} \oplus \alpha$ as $\text{Tr}(\text{Ind}_K^{\mathbb{Q}} \varphi^-)(c) = \mathbf{1}$. Therefore

$$\text{Hom}_{W[C]}(\text{Ind}_K^{\mathbb{Q}} \varphi^-|_C, W\mathbf{1}) = \text{Hom}_{W[C]}(\mathbf{1} \oplus \alpha, \mathbf{1}) = W.$$

Suppose that K is real. Since

$$Ad(\bar{\rho})(c) \sim \text{diag}[-1, 1, -1] \sim \text{diag}[\varphi^-(c), \alpha(c), (\varphi^-)^{-1}(c)],$$

$\alpha(c) = 1$ implies $\varphi^-(c) = \varphi_\sigma^-(c) = -1$. Therefore

$$\text{Hom}_{W[C]}(\text{Ind}_K^{\mathbb{Q}} \varphi^-|_C, W\mathbf{1}) = \text{Hom}_{W[C]}(\chi \oplus \chi, \mathbf{1}) = 0$$

for $\chi : C \cong \{\pm 1\}$. \square

9.2. Selmer group and ray class group. Recall Lemma 8.1:

Lemma 9.2. *We have a canonical inclusion*

$$\text{Sel}(Ad(\rho)) \subset \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F(p^\infty), Ad(\rho)^*).$$

As before, we put $\mathfrak{H} = \text{Gal}(F^{(p)}/F)$, and we study decomposition group in \mathfrak{H}^{ab} as D -modules. Recall the fixed prime factor $\mathfrak{p}|p$ in O with its decomposition subgroup $D \subset G$. Write simply $M_{\mathfrak{p}} := F_{\mathfrak{p}}^\times \otimes_{\mathbb{Z}} W$ and $U_{\mathfrak{p}} := \widehat{O_{\mathfrak{p}}^\times} \otimes_{\mathbb{Z}_p} W = O_{\mathfrak{p}}^\times \otimes_{\mathbb{Z}} W$. Then for each character $\xi : D \rightarrow W^\times$, $M_{\mathfrak{p}}$ contains as a direct factor the ξ -eigenspace $M_{\mathfrak{p}}[\xi]$. Then writing $\mu_p(F_{\mathfrak{p}})_{/\mathbb{F}} = \mu_p(F_{\mathfrak{p}}) \otimes_{\mathbb{Z}} \mathbb{F}$

$$(U) \quad M_{\mathfrak{p}}[\xi] = U_{\mathfrak{p}}[\xi] \cong \begin{cases} W & \text{if } \xi \notin \{\mathbf{1}, \omega\}, \\ W \oplus \mu_p(F_{\mathfrak{p}})_{/\mathbb{F}} & \text{if } \xi = \omega. \end{cases}$$

(M) We have an exact sequence $0 \rightarrow U_{\mathfrak{p}}[\mathbf{1}] \rightarrow M_{\mathfrak{p}}[\mathbf{1}] \xrightarrow{\text{ord}_{\mathfrak{p}}} W \rightarrow 0$ induced by the valuation $\text{ord}_{\mathfrak{p}} : F_{\mathfrak{p}}^\times \rightarrow \mathbb{Z}$ at \mathfrak{p} , and $U_{\mathfrak{p}}[\mathbf{1}] \cong W$.

9.3. Structure of $M_p[Ad]$ as a G -module in Case D.. For each irreducible factor ϕ of Ad , we consider the ϕ -isotypical component $X[\phi]$, and write $\mu_p(F_p)_{/\mathbb{F}} = \mu_p(F_p) \otimes_{\mathbb{Z}} \mathbb{F}$.

Lemma 9.3. *Assume $\varphi^-|_D \neq 1$ and $p \geq 5$.*

$$\text{Hom}_G(M_p, \phi^*) = \begin{cases} \text{Hom}_D(U_p[\varphi^-] \oplus U_p[\varphi^-], (\phi|_D)^*) \cong W^2 \oplus \mu_p(F_p)_{/\mathbb{F}}[\xi^{\pm 1}] & \dim \phi = 2, \\ \text{Hom}_D(U_p[\phi], \phi^*) \cong W & \phi \subsetneq \text{Ind}_K^{\mathbb{Q}} \varphi^-, \\ \text{Hom}_D(M_p[\mathbf{1}], \mathbf{1}^*) \cong W^2 & \phi = \alpha, \end{cases}$$

where $\xi = \varphi^-$ in the first case.

Proof. Since $M_p = \text{Ind}_D^G M_p$, we have $\text{Hom}_G(M_p, \phi^*) = \text{Hom}_D(M_p, \phi^*|_D)$ by Shapiro's lemma. If $\varphi^-|_D \neq \mathbf{1}$, $\phi|_D$ is

- $(\varphi^- \oplus \varphi^-)|_D$ when $\phi = \text{Ind}_K^{\mathbb{Q}} \varphi^-$ is irreducible ($\text{ord}(\varphi^-) \geq 3$),
- $\varphi^-|_D$ when $\phi \subsetneq \text{Ind}_K^{\mathbb{Q}} \varphi^-$ ($\text{ord}(\varphi^-) = 2$),
- $\mathbf{1}$ when $\phi = \alpha$.

Let $\xi = \varphi^-|_D$. Since $M_p[\xi^{\pm 1}] = U_p[\xi^{\pm 1}]$ (by $\xi \neq \mathbf{1}$),

$$(\text{Ind}_D^G U_p[\xi^{\pm 1}])[Ad] = \begin{cases} \phi \oplus \text{Ind}_D^G \mu_p(F_p)[\phi] & \text{if } \xi \neq \xi^{-1} \text{ and } \dim \phi = 2, \\ \phi \oplus \phi\alpha & \text{if } \phi \subsetneq \text{Ind}_K^{\mathbb{Q}} \varphi^-, \\ 0 & \text{if } \phi = \alpha, \end{cases}$$

$$(\text{Ind}_D^G M_p[\mathbf{1}])[Ad] = \begin{cases} 0 & \text{if } \phi \subset \text{Ind}_K^{\mathbb{Q}} \varphi^-, \\ \alpha \oplus \alpha & \text{if } \phi = \alpha. \end{cases}$$

This is because $M_p[\xi^{\pm 1}] = U_p[\xi^{\pm 1}] \cong W \oplus \mu_p(F_p)_{/\mathbb{F}}$ by (U) and by Shapiro's lemma

$$\begin{aligned} \text{Hom}_G(\text{Ind}_K^{\mathbb{Q}} \varphi^-, \text{Ind}_D^G U_p[\xi]) &= \text{Hom}_D(\text{Ind}_K^{\mathbb{Q}} \varphi^-|_D, \xi \oplus (\mu_p(F_p) \otimes_{\mathbb{Z}} \mathbb{F})) \\ &= \text{Hom}_D(\xi \oplus \xi^{-1}, \xi \oplus (\mu_p(F_p) \otimes_{\mathbb{Z}} \mathbb{F})) \end{aligned}$$

as $D \subset \text{Gal}(F/K)$. The second formula follows from (M). \square

9.4. Theorem for $\text{Sel}(\text{Ind}_K^{\mathbb{Q}} \varphi^-)$. The representations $\Phi := \text{Ind}_K^{\mathbb{Q}} \varphi^-$ and α in $Ad(\rho)$ fits into the following exact sequence of G -modules:

$$0 \rightarrow \overbrace{\Phi \oplus \text{Ind}_D^G (\mu_p(F_p) \otimes_{\mathbb{Z}} \mathbb{F})[\Phi] \oplus \alpha \oplus \Phi}^{\text{inertia part}} \rightarrow M_p[Ad] \rightarrow \alpha \rightarrow 0.$$

Here Φ can be reducible.

Theorem 9.4. *Assume that we are in Case D with irreducible $\text{Ind}_K^{\mathbb{Q}} \varphi^-$. Then we have an exact sequence*

$$\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \Phi^*) \hookrightarrow \text{Sel}(\Phi) \twoheadrightarrow \text{Hom}_{W[D]}(U_p[\varphi^-]/\overline{\langle \varepsilon_{\varphi^-} \rangle}, W^{\vee}),$$

where ε is a Minkowski unit, ε_{φ^-} is the projection of ε in the direct summand $U_p[\varphi^-]$ under $O^{\times} \rightarrow U_p \rightarrow U_p[\varphi^-]$, and $\overline{\langle \varepsilon_{\varphi^-} \rangle}$ is the p -adic closure of the subgroup $\varepsilon_{\varphi^-}^{\mathbb{Z}}$ generated by ε_{φ^-} .

Proof. *Proof of $\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \Phi^*) \hookrightarrow \text{Sel}(\Phi)$.* We proceed as in Case E (in §8.10) replacing Ad by Φ . Since $\widehat{Cl}_F^{(p)}$ (surjective image of $\text{Ind}_D^G \mathbf{1}$) does not contain $\Phi = \text{Ind}_K^{\mathbb{Q}} \varphi^-$, we can ignore it and can work with the entire \widehat{Cl}_F . Elements in $\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \Phi^*)$ are everywhere unramified and trivial at p ; so, they gives rise to a subgroup of $\text{Sel}(\Phi)$ of classes everywhere unramified and trivial at p . Indeed, by $H^1(\mathfrak{G}, \Phi^*) \cong \text{Hom}_{\mathbb{Z}_p[G]}(\mathfrak{H}^{ab}, \Phi^*)$, any $u \in \text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \Phi^*)$ extends uniquely the cocycle $u : \mathfrak{G} \rightarrow \Phi^*$ unramified everywhere over \mathfrak{H} . Since the inertia group $I_l \subset G$ of any prime $l \in S$ has order prime to p , $u|_{I_l} = 0$, and hence $[u] \in \text{Sel}(\Phi)$.

Elements of $\text{Sel}(\Phi)$ modulo $\text{Hom}_{\mathbb{Z}_p[G]}(\widehat{Cl}_F, \Phi^*)$ is determined by its restriction to M_p as they are unramified outside p as they factor through $\widehat{Cl}_F(p^{\infty})$ and $p \nmid |I_l|$.

Inertia part. Recall $\xi = \epsilon\delta^{-1} = \varphi^-$. A Selmer cocycle $u|_{\mathfrak{H}^{ab}}$ regarded as a $W[\Gamma^-]$ -linear homomorphism in $\text{Hom}_{W[\Gamma^-]}(\mathcal{Y}(\varphi^-), (\varphi^-)^*)$ has values in $(\varphi^-)^*$ over U_p . Since $M_p/U_p \cong \text{Ind}_D^G W\mathbf{1}$ does not contain Φ , we can ignore M_p/U_p . By its G -equivariance,

$$u|_{U_p} \in \text{Hom}_{W[G]}(U_p, \Phi^*).$$

By Shapiro's lemma,

$$\text{Hom}_{W[G]}(U_p, \Phi^*) \cong \text{Hom}_{W[D]}(U_p[\varphi^-], (\varphi^-)^*).$$

Since u factors through $O_p^\times/\overline{O^\times}$, u factors through $U_p[\varphi^-]/\overline{\langle \epsilon_{\varphi^-} \rangle}$. \square

Corollary 9.5. *If K is imaginary, we have*

$$|\text{Sel}(\text{Ind}_K^{\mathbb{Q}} \varphi^-)| = |\widehat{Cl}_F \otimes_{\mathbb{Z}_p[\text{Gal}(F/K)]} \varphi^-| |(U_p[\varphi^-]/\overline{\langle \epsilon_{\varphi^-} \rangle})|$$

which is finite, otherwise it has W -corank 1 (up to finite W -torsion).

Proof. By Proposition 9.1, $\epsilon_{\varphi^-} \neq 1$ only when K is imaginary. Thus the finiteness of the Selmer group follows. When K is real, we have $U_p[\varphi^-] \cong W$, and therefore from Theorem 9.4, the Selmer group has corank 1. \square

10. “ $R = \mathbb{T}$ ” THEOREM AND ADJOINT SELMER GROUPS

On the way to prove FLT, Wiles and Taylor identified the universal ring R for the deformation functor \mathcal{D}_κ with a p -adic Hecke algebra \mathbb{T} . The algebra \mathbb{T} is known to be free of finite rank over the Iwasawa algebra, and they also showed that $R = \mathbb{T}$ is a local complete intersection over Λ . We explore consequences of these result in our study of the adjoint Selmer groups of modular Galois representations.

10.1. Local complete intersection ring. Let $B \in CL/W$ be the base ring which is an integral domain. An object $A \in CL/B$ is called a (relative) local complete intersection over B if A is free of finite rank over B with a presentation $A \cong B[[X_1, \dots, X_r]]/(f_1, \dots, f_r)$ for a positive integer r . Then the following facts are known

- $\text{Hom}_B(A, B)$ is free of rank 1 over B (i.e., A is a Gorenstein ring over B);
- $x \mapsto f_j x$ is an injection over $A/(f_1, \dots, f_{j-1})$ for all $j = 1, \dots, r$ (i.e., (f_1, \dots, f_r) is a regular sequence;
- If A is generated over B by m elements, the minimal choice of r is m .

For these facts, see [CRT, §21].

Theorem 10.1 (J. Tate). *If B is normal noetherian and $P : A \rightarrow B$ is a B -algebra homomorphism with $A \otimes_B \text{Frac}(B) = \text{Frac}(B) \oplus (\text{Ker}(P) \otimes_B \text{Frac}(B))$ as an algebra direct summand, we have*

$$\text{char}(C_0) = \text{char}(C_1),$$

where $C_0 = C_0(P) = A \otimes_A S$ for the image S of A in the algebra $\text{Ker}(P) \otimes_B \text{Frac}(B)$ and $C_1 = C_1(P) = \Omega_{A/B} \otimes_{A,P} B$.

Tate actually proved a finer equality $\text{Fitt}(C_0) = \text{Fitt}(C_1)$ of Fitting B -ideals for any commutative algebra B with identity. For Tate's proof, see the appendix to the paper by Mazur–Robert [MR70].

10.2. Homological dimension. For a noetherian local ring A in CL/W , we define the homological dimension $\text{hdim}_B M$ of a finitely generated B -module M is the minimum length h of exact sequence $0 \rightarrow F_h \rightarrow F_{h-1} \rightarrow \dots \rightarrow F_0 \rightarrow M \rightarrow 0$ made of R -free module F_j of finite rank. If $R_{A/B}$ is a local complete intersection free of finite rank over B , we have a presentation $A = B[[T_1, \dots, T_r]]/(f_1, \dots, f_r)$ for a regular sequence f_1, \dots, f_r . Then the 2nd fundamental exact sequence (Corollary 2.2) gives an exact sequence

$$(f_1, \dots, f_r)/(f_1, \dots, f_r)^2 \xrightarrow{i} \Omega_{B[[T_1, \dots, T_r]]/B} \otimes_{B[[T_1, \dots, T_r]]} A \rightarrow \Omega_{A/B}.$$

If further B is a domain of characteristic 0 and A is reduced, $\Omega_{A/B}$ is a torsion A -module (as the extension $\text{Frac}(A)/\text{Frac}(B)$ is a finite semi-simple extension). Since $(f_1, \dots, f_r)/(f_1, \dots, f_r)^2 \cong A^r$ as (f_1, \dots, f_r) is a regular sequence, torsion-property of $\Omega_{A/B}$ tells us that i is injective; so, we get from $\Omega_{B[[T_1, \dots, T_r]]/B} \otimes_{B[[T_1, \dots, T_r]]} A \cong \bigoplus_j \text{Ad}T_j$

$$\boxed{\text{hdim } \Omega_{A/B} = 1} \text{ if } A \text{ is local complete intersection over } B.$$

10.3. Taylor-Wiles theorem. Taylor and Wiles proved

Theorem 10.2. *Under (ord_l) for $l \in S \cup \{p\}$, R_χ/B is a local complete intersection with presentation $R_\chi = B[[T_1, \dots, T_r]]/(f_1, \dots, f_r)$ for $r = \dim_{\mathbb{F}} \text{Sel}(Ad(\bar{\rho}))$, where $B = W$ if χ has values in W^\times and $B = \Lambda$ if $\chi = \kappa$. In particular, we have $\text{hdim}_B \Omega_{R_\chi/B} \leq 1$.*

What Taylor–Wiles proved is a bit different from this theorem for the number of variables. There presentation has the number of variables r_0 possibly slightly bigger than $\dim_{\mathbb{F}} \text{Sel}(Ad(\bar{\rho})(1)) \geq r = \dim_{\mathbb{F}} \text{Sel}(Ad(\bar{\rho}))$. Since R_χ is generated by r elements over B as seen in Lecture No.3, by [CRT, Theorem 21.2 (ii)], we can change Taylor–Wiles presentation so that it is valid for r . For a proof, see [TW] and [HMI, §3.2].

10.4. Existence of p -adic L.. Let $\rho : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(A)$ be a deformation of $\bar{\rho}$ such that $\rho \cong P \circ \rho_\chi$. If $r = 1$, $R_\chi = B[[T_1]]/(f_1)$ and we have an exact sequence for $(P : R_\chi \rightarrow A) \in \text{Hom}_{B\text{-alg}}(R_\chi, A)$

$$\begin{array}{ccccc} A = (f_1)/(f_1^2) \otimes_{R_\chi} A & \longrightarrow & A \cdot dT_1 & \xrightarrow{\quad} & \Omega_{R_\chi/B} \otimes_{R_\chi} A \\ \parallel \uparrow L_\rho \mapsto f_1 & & \parallel \uparrow 1 \mapsto dT_1 & & \uparrow \iota \\ A \cdot L_\rho & \longrightarrow & A & \xrightarrow{\quad} & \text{Sel}(Ad(\rho))^\vee. \end{array}$$

If $B = W$, $|L_\rho|_p^{-1} = |\text{Sel}(Ad(\rho))|$ and $L_\rho(P) := P(L_\rho) = L_\rho$. If $B = \Lambda$ ($\chi = \kappa$), L_ρ gives rise to a p -adic L-function with

$$\text{Spec}(R_\kappa)(W) \ni P \mapsto |L_\rho(P)|_p^{-1} = |\text{Sel}(Ad(P \circ \rho))|.$$

If $r > 1$, we define

$$L_\rho := \det((f_1, \dots, f_r)/(f_1, \dots, f_r)^2) \rightarrow \bigoplus_{j=1}^r R_\kappa \cdot dT_j,$$

and the outcome is the same.

10.5. Universal modular deformation. Let N be the prime-to- p Artin conductor of $\bar{\rho}$ with $\det \bar{\rho}(c) = -1$. By the solution of Serre’s mod p modularity conjecture, we have Hecke eigenforms f (actually infinitely many) whose p -adic Galois representation ρ_f is in $\mathcal{D}_\kappa(A_f)$ for a finite extension A_f of W generated by $\text{Tr}(\rho_f)$. We can define the p -adic Hecke algebra \mathbb{T} interpolating all modular Galois representation $\rho_f \in \mathcal{D}_\kappa(A_f)$ as follows: The algebra $\mathbb{T} \subset \prod_f A_f$ topologically generated by $\prod_f \text{Tr}(\rho_f(g))$ for all $g \in \mathfrak{G}_{\mathbb{Q}}$. Then by my old result in 1986, we have a Galois representation $\rho_{\mathbb{T}} : \mathfrak{G}_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathbb{T})$ such that $\rho_{\mathbb{T}} \in \mathcal{D}_\kappa(\mathbb{T})$ (in particular $\mathbb{T} \in CL_{/\Lambda}$). The proof of Theorem 10.2 actually produces the following

Corollary 10.3. *Suppose (ord_l) in §5.1 for $l \in S \cup \{p\}$. Then we have $\iota : R_\kappa \cong \mathbb{T}$ such that $\iota \circ \rho \cong \rho_{\mathbb{T}}$.*

See [TW] and [HMI, §3.2].

10.6. Lifting to an extension \mathbb{I} of Λ . Let $\lambda : R_\kappa = \mathbb{T} \rightarrow \mathbb{I}$ be a Λ -algebra surjective homomorphism for an integral domain \mathbb{I} finite torsion-free over Λ . Let $\mathbb{T}_{\mathbb{I}} := \mathbb{T} \otimes_{\Lambda} \mathbb{I}$ and $\tilde{\lambda}$ be the composite $\mathbb{T}_{\mathbb{I}} \rightarrow \mathbb{I} \otimes_{\Lambda} \mathbb{I} \xrightarrow{a \otimes b \mapsto ab} \mathbb{I}$. Then for each $P \in \text{Spec}(\mathbb{I})(W) = \text{Hom}_{W\text{-alg}}(\mathbb{I}, W)$, $\tilde{\lambda}$ induces $\Lambda \hookrightarrow \mathbb{T}_{\mathbb{I}} \xrightarrow{\tilde{\lambda}} \mathbb{I} \xrightarrow{P} W$ by composition.

Writing $\rho_P := P \circ \lambda \circ \rho$. Then $\det \rho_P$ is a deformation of $\det \bar{\rho}$; so, we have a unique morphism $\iota_P : \Lambda \rightarrow W$ such that $\iota_P \circ \kappa = \det(\rho_P)$. Since the Λ -algebra structure $\iota : \Lambda \rightarrow \mathbb{T}$ of $\mathbb{T} = R_\kappa$ is given by $\det(\rho) = \det(\rho_{\mathbb{T}}) = \iota \circ \kappa$, we find out that the above composite is just ι_P .

Let $\mathbb{T}_P = \mathbb{T}_{\mathbb{I},P} \otimes_{\mathbb{I},P} W$ under the above algebra homomorphism. Note that

$$\mathbb{T}_P = \mathbb{T} \otimes_{\Lambda} \mathbb{I} \otimes_{\mathbb{I},P} W \cong \mathbb{T} \otimes_{\Lambda, \iota_P} W$$

by associativity of tensor product.

10.7. Modular and admissible points. By construction, we have $\lambda_P : \mathbb{T}_P \rightarrow W$ induced by λ . Even if $\iota_P = \iota_{P'}$, λ_P may be different from $\lambda_{P'}$. If λ_P is associated to a Hecke eigenform of weight ≥ 2 , we call P a **modular** point. If $\mathbb{T}_P \otimes_W \text{Frac}(W) = \text{Frac}(W) \oplus (\text{Ker}(\lambda_P) \otimes_W \text{Frac}(W))$ as algebra direct sum, we call P **admissible**. If P is admissible, $C_0(\lambda_P)$ is well defined. If P is modular, it is admissible.

If $\rho \in \mathcal{D}_\chi(A)$ for W -valued $\chi = \det(\rho_P)$, then $\rho \in \mathcal{D}_\kappa(A)$ and hence $\rho = \phi \circ \rho$ for $\phi : R_\kappa \rightarrow A$. By definition, ϕ factors through

$$R_\kappa/R(\det(\rho)(g) - \chi(g))_g R = R_\kappa/R(\kappa(g) - \chi(g))_g R = R \otimes_{\Lambda, \chi} W.$$

This shows that $R_\chi = R \otimes_{\Lambda, \chi} W$ for $\chi : \Lambda = W[[\Gamma]] \rightarrow W$ induced by χ . Applying this to \mathbb{T}_P , we get $R_{\det(\rho_P)} = \mathbb{T}_P$.

10.8. Modular adjoint p -adic L : L^{mod} . Suppose (ord_l) in §5.1 for $l \in S \cup \{p\}$. Here is a theorem I proved long ago (e.g., [MFG, §5.3.6]) for canonical periods $\Omega_{f, \pm}$ of f :

Theorem 10.4. *Let $\lambda : \mathbb{T} \rightarrow \mathbb{I}$ be a surjective Λ -algebra homomorphism for a domain \mathbb{I} containing Λ and $\tilde{\lambda} : \mathbb{T}_{\mathbb{I}} \rightarrow \mathbb{I}$ be its scalar extension to \mathbb{I} as in §10.6. Then there exists $L^{mod} \in \mathbb{I}$ such that $C_0(\lambda) = \mathbb{I}/(L^{mod})$ and for each admissible $P \in \text{Spec}(\mathbb{I})$, $C_0(\lambda_P) = W/P(\lambda(L^{mod}))$ and if $P \circ \lambda \circ \rho_{\mathbb{T}} \cong \rho_f$ for a modular form of weight ≥ 2 , we have $|C_0(\lambda_P)| = |W/P(\lambda(L^{mod}))| = |\frac{L(1, Ad(\rho_f))}{\Omega_{f, +} \Omega_{f, -}}|_p^{-1}$ (see [MFG, Corollary 5.31]).*

If f is of weight 2 on a modular curve X , for $\mathcal{W} = W \cap \overline{\mathbb{Q}}$, we have $H^1(X, \mathcal{W})[\lambda_P] = \mathcal{W}\omega_+(f) \oplus \mathcal{W}\omega_-(f)$ (\pm -eigenspace under the pull-back action of $z \mapsto -\bar{z}$ on the upper half complex plane) and $H^1(X, \mathbb{C}) = \mathbb{C}\delta_+(f) + \mathbb{C}\delta_-(f)$ for $\delta_{\pm}f = f(z)dz \mp f(-\bar{z})d\bar{z}$. Then $\Omega_{f, \pm}\omega_{\pm}(f) = \delta_{\pm}(f)$. We use Eichler-Shimura isomorphism to define $\Omega_{f, \pm}$ for higher weight.

10.9. Sketch of Proof of the existence of L^{mod} . Write $X^* := \text{Hom}_{\mathbb{I}}(X, \mathbb{I})$ for an \mathbb{I} -module X . Let S be the image of $\mathbb{T}_{\mathbb{I}}$ in $\mathfrak{B} \otimes_{\mathbb{I}} \text{Frac}(\mathbb{I})$ for $\mathfrak{B} = \text{Ker}(\tilde{\lambda})$ in the decomposition $\mathbb{T} \otimes_{\Lambda} \text{Frac}(\mathbb{I}) = \text{Frac}(\mathbb{I}) \oplus (\mathfrak{B} \otimes_{\mathbb{I}} \text{Frac}(\mathbb{I}))$. Let $\mu : \mathbb{T}_{\mathbb{I}} \rightarrow S$ be the projection and put $\mathfrak{A} = \text{Ker}(\mu)$. So we have a split exact sequence $\mathfrak{B} \hookrightarrow \mathbb{T}_{\mathbb{I}} \rightarrow \mathbb{I}$. A local complete intersection $\mathbb{T}_{\mathbb{I}}$ over \mathbb{I} has such a self-dual pairing (\cdot, \cdot) with values in \mathbb{I} such that $(xy, z) = (x, yz)$ for $x, y, z \in \mathbb{T}_{\mathbb{I}}$. Thus $\mathfrak{B}^* \cong \mathbb{T}_{\mathbb{I}}^*/\mathbb{I}^*$, and $\mathbb{I}^* \subset \mathbb{T}_{\mathbb{I}} = \mathbb{T}_{\mathbb{I}}^*$ is a maximal submodule of $\mathbb{T}_{\mathbb{I}}$ on which $\mathbb{T}_{\mathbb{I}}$ acts through $\tilde{\lambda}$; so, $\mathbb{I}^* = \mathfrak{A}$ inside $\mathbb{T}_{\mathbb{I}}$. This implies $\mathfrak{B}^* \cong S$; so, S is \mathbb{I} -free. In other words, applying \mathbb{I} -dual, we get a reverse exact sequence

$$\begin{array}{ccccc} \mathbb{I}^* & \hookrightarrow & \mathbb{T}_{\mathbb{I}}^* & \twoheadrightarrow & \mathfrak{B}^* \\ \wr \downarrow & & \wr \downarrow & & \wr \downarrow \\ ? & \longrightarrow & \mathbb{T}_{\mathbb{I}} & \longrightarrow & S \end{array}$$

This shows $? = \mathfrak{A} \cong \mathbb{I}^* \cong \mathbb{I}$; so, \mathfrak{A} is principal to have $L^{mod} \in \mathbb{I}$ such that $\mathfrak{A} = (L^{mod})$. Note that $C_0(\tilde{\lambda}) = \mathbb{I}/\mathfrak{A}$ (see §2.6).

10.10. Specialization property. We have $\mathfrak{B}^* = S$ and a split exact sequence $\mathfrak{B} \rightarrow \mathbb{T}_{\mathbb{I}} \rightarrow \mathbb{I}$; so, \mathfrak{B} is an \mathbb{I} -direct summand of $\mathbb{T}_{\mathbb{I}}$. Tensoring W over \mathbb{I} via P , $\mathfrak{B} \otimes_{\mathbb{I}, P} W \rightarrow \mathbb{T}_P \rightarrow W$ is exact, and we get $\mathfrak{B}_P = \mathfrak{B} \otimes_{\mathbb{I}, P} W = \text{Ker}(\lambda_P)$. Since \mathbb{T} is Λ -free of finite rank, $\mathbb{T}_{\mathbb{I}}$ is \mathbb{I} -free of finite rank. Thus \mathfrak{B} is \mathbb{I} -projective and hence \mathbb{I} -free; so, $S \cong \mathfrak{B}^*$ is \mathbb{I} -free. Tensoring W over \mathbb{I} via P , we get

$$0 \rightarrow \mathfrak{A} \otimes_{\mathbb{I}, P} W \rightarrow \mathbb{T}_P \rightarrow S \otimes_{\mathbb{I}, P} W \rightarrow 0.$$

Thus if P is admissible, $S_P := S \otimes_{\mathbb{I}, \lambda_P} W$ gives rise to the decomposition: $\mathbb{T}_P \otimes_W \text{Frac}(W) = \text{Frac}(W) \oplus (S_P \otimes_W \text{Frac}(W))$. By $\mathfrak{B}_P = \mathfrak{B} \otimes_{\mathbb{I}, P} W = \text{Ker}(\lambda_P)$, we get $C_0(\lambda_P) = S_P/\mathfrak{B}_P = (S/\mathfrak{B}) \otimes_{\mathbb{I}, P} W = C_0(\tilde{\lambda}) \otimes_{\mathbb{I}, P} W$, as desired. \square

10.11. Relation between L_ρ and L^{mod} . Tensoring \mathbb{I} with the exact sequence of \mathbb{T} -modules:

$$(f_1, \dots, f_r)/(f_1, \dots, f_r)^2 \xrightarrow{f \mapsto df} \Omega_{\Lambda[[T_1, \dots, T_r]]/\Lambda} \otimes_{\Lambda[[T_1, \dots, T_r]]} \mathbb{T} \rightarrow \Omega_{\mathbb{T}/\Lambda}$$

over \mathbb{T} , we get an exact sequence

$$\bigoplus_j \mathbb{I}df_j \xrightarrow{d \otimes 1 = \lambda(d)} \bigoplus_j \mathbb{I}dT_j \rightarrow \Omega_{\mathbb{T}/\Lambda} \otimes_{\mathbb{T}, \lambda} \mathbb{I} \rightarrow 0.$$

Since $\mathbb{T}_{\mathbb{I}} = \mathbb{I}[[T_1, \dots, T_r]]/(f_1, \dots, f_r)_{\mathbb{I}}$, we have

$$\Omega_{\mathbb{T}_{\mathbb{I}}/\mathbb{I}} \otimes_{\mathbb{T}_{\mathbb{I}}, \tilde{\lambda}} \mathbb{I} = \bigoplus_j \mathbb{I}dT_j / \bigoplus_j \mathbb{I}df_j = \Omega_{\mathbb{T}/\Lambda} \otimes_{\mathbb{T}, \lambda} \mathbb{I}.$$

They have the same characteristic ideals (and Fitting ideals) by Tate's theorem. Thus in general, we get

$$\begin{aligned} (\lambda(L_\rho)) &= (\lambda(\det(d))) = (\det(d \otimes 1)) \\ &= \text{char}(C_1(\tilde{\lambda})) \stackrel{\text{Tate}}{=} \text{char}(C_0(\tilde{\lambda})) = (L^{mod}). \end{aligned}$$

10.12. **Conclusion.** Thus we obtain

Corollary 10.5. *Let the notation and the assumption be as in Theorem 2. Then we have $\lambda(L_\rho)/L^{mod} \in \mathbb{I}^\times$.*

The corollary tells us that $L_{mod} \in \mathbb{I}$ glues (up to units) well to L_ρ so that the image $\lambda(L_\rho)$ of L_ρ in \mathbb{I} is equal to L^{mod} of \mathbb{I} up to units as long as \mathbb{I} contains Λ as a subalgebra.

As seen in Corollary 2.2, $C_1 = C_1(\tilde{\lambda}) = \mathfrak{B}/\mathfrak{B}^2$ and $C_0 = C_0(\tilde{\lambda}) = S/\mathfrak{B}$. If $r \leq 1$, C_1 is cyclic, and by Nakayama's lemma, \mathfrak{B} is generated by an element θ of S . Since $C_1 \cong C_0$ by Tate's theorem and C_0 is \mathbb{I} -torsion, θ is a non-zero-divisor of S . Thus the multiplication by θ gives rise to $C_0 \cong C_1$.

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