

## Solutions to Homework VI

①

1. Given  $\varepsilon > 0$ , choose  $N$  such that  $|f_N(x) - f_0(x)| < \varepsilon/3$  for all  $x \in X$  (possible by uniform convergence). Since  $f_N$  is continuous, given  $x_0 \in X$ ,  $\exists U_0$  open in  $X$  such that  $x_0 \in U_0$  and  $x \in U_0 \Rightarrow |f_N(x) - f_N(x_0)| < \varepsilon/3$ . With  $N$  and  $U_0$  so chosen, if  $x \in U_0$  then  $|f_0(x) - f_0(x_0)| \leq |f_0(x) - f_N(x)| + |f_N(x) - f_N(x_0)| + |f_N(x_0) - f_0(x_0)| < \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon$ .

So  $x \in U_0 \Rightarrow |f_0(x) - f_0(x_0)| < \varepsilon$ , and  $f_0$  is continuous at  $x_0$ , which was an arbitrary point of  $X$ .  $\square$

2. Given:  $f: E \rightarrow \mathbb{R}$ ,  $E$  closed in a normal topological space  $X$ . To find:  $\hat{f}: X \rightarrow \mathbb{R}$  such that  $\hat{f}(x) = f(x)$ ,  $\forall x \in E$ .

Consider the composition  $H \circ f: E \rightarrow [-1, 1]$ , where  $H(\alpha) = \frac{2}{\pi} \arctan \alpha$ ,  $\alpha \in \mathbb{R}$

(so that  $H: \mathbb{R} \rightarrow (-1, 1)$ ). By the bounded function Tietze Extension Theorem,  $\exists F: X \rightarrow \mathbb{R}$  such that  $F(x) = (H \circ f)(x)$  if  $x \in E$ . By composing  $F$  (if necessary) with the function

$x \rightarrow x$  if  $x \in [-1, 1]$ ,  $x \rightarrow -1$  if  $x < -1$ ,

$x \rightarrow +1$  if  $x > +1$ , we can and do assume

$F(X) \subset [-1, +1]$  and still  $F(x) = H(f(x))$  if  $x \in E$ .

Let  $C =$  the set of  $x \in X$  such that  $F(x) = +1$  or  $F(x) = -1$ . Note that  $C$  is closed and disjoint from  $E$  since, if  $x \in E$ ,  $H(f(x)) \in (-1, 1)$  while  $F(x) = H(f(x))$ . Choose (by Urysohn's Lem.)

(2)

a continuous function  $\lambda: X \rightarrow [0, 1]$  such that  $\lambda(x) = 0$  if  $x \in C$  but  $\lambda(x) = 1$  if  $x \in E$ .

Then  $\lambda * F: X \rightarrow (-1, +1)$  because

$\lambda = 0$  when  $|F(x)| = 1$  so that

$$|(\lambda * F)(x)| = |\lambda(x)| |F(x)| < 1 \text{ for all } x \in X.$$

Consider  $\tan\left(\frac{\pi}{2} \lambda(x) F(x)\right)$  as a function of  $x \in X$ .

It is continuous since  $\tan$  is continuous on  $(-1, +1)$  and  $\lambda(x) F(x) \in (-1, +1)$  for all  $x \in X$ .

If  $x \in E$ , then  $\lambda(x) = 1$  &  $F(x) = \frac{2}{\pi} \arctan f(x)$

so

$$\begin{aligned} \tan\left(\frac{\pi}{2} \lambda(x) F(x)\right) &= \tan\left(\frac{\pi}{2} \cdot \frac{2}{\pi} \arctan f(x)\right) \\ &= f(x). \end{aligned}$$

So  $x \mapsto \tan\left(\frac{\pi}{2} \lambda(x) F(x)\right)$  does the job for  $\hat{f}$   $\square$ .

3. Let  $F: E \rightarrow \mathbb{R}^n$ ,  $E$  closed in a normal topological space,  $F$  continuous. Then we can write  $F(x) = (f_1(x), f_2(x), \dots, f_n(x))$ ,  $x \in E$ , where  $f_i: E \rightarrow \mathbb{R}$ ,  $i=1, 2, \dots, n$  are (uniquely determined by  $F$ ) continuous functions. By problem 2, there are continuous functions  $\hat{f}_i: X \rightarrow \mathbb{R}$ ,  $i=1, 2, \dots, n$  such that  $\hat{f}_i(x) = f_i(x)$  (for each  $i$  and)  $x \in E$ . Define  $\hat{F}: X \rightarrow \mathbb{R}^n$  by

$$\hat{F}(x) = (\hat{f}_1(x), \hat{f}_2(x), \dots, \hat{f}_n(x)).$$

Then  $\hat{F}$  is continuous on  $X$ , and  $\hat{F}(x) = F(x)$  if  $x \in E$ .  $\square$

(3)

4. Let  $\gamma: [0, 1] \rightarrow Y$  be a path from  $p$  to  $q$ , i.e.  $\gamma$  is continuous and  $\gamma(0) = p$  and  $\gamma(1) = q$ . Choose by Urysohn's Lemma and a continuous function  $f_0: X \rightarrow [0, 1]$  such that  $f_0(x) = 0$  if  $x \in E$  while  $f_0(x) = 1$  if  $x \in F$ . Then set  $f_{pq}(x) = \gamma(f_0(x))$  for all  $x \in X$ .

Clearly  $f_{pq}$  is continuous since  $\gamma$  is and so is  $f_0$  and  $\text{range } f_0 \subset \text{domain of } \gamma$ .  
If  $x \in E$  so that  $f_0(x) = 0$ , then  $f_{pq}(x) = \gamma(0) = p$ .  
Similarly if  $x \in F$ , then  $f_{pq}(x) = \gamma(1) = q$ .  $\square$

5. Since  $S'$  is compact (Heine-Borel Theorem),  $h(S')$  is compact in  $X$ , being the image in  $X$  of the compact set  $S'$  under the continuous function  $h$ . ~~Definition~~ Since  $X$  is normal, it is Hausdorff. So every compact subset of  $X$  is closed. In particular,  $h(S')$  is closed.

Define  $F: h(S') \rightarrow \mathbb{R}^2$  by  $F(p) =$  the  $(x, y)$  coordinate point in  $\mathbb{R}^2$   $= h^{-1}(p)$ :  
 $h^{-1}$  formally sends  $h(S')$  onto  $S'$ , but since  $S' \subset \mathbb{R}^2$ , we can consider it as function into  $\mathbb{R}^2$  instead of onto  $S'$ . We call this  $F$ . By problem 3,  $\exists \hat{F}: X \rightarrow \mathbb{R}^2$  such that, for each  $p \in h(S')$ ,  $\hat{F}(p) = F(p) = h^{-1}(p)$ . Now let  $U_0 = \mathbb{R}^2 - \{(0, 0)\}$  and define  $R: U_0 \rightarrow S'$  by  $R(\vec{v}) = \frac{\vec{v}}{\|\vec{v}\|}$ .  
Then consider the function  $f: F^{-1}(U_0) \rightarrow S'$

defined by  $f(q) = h(R(F(q)))$ ,  $q \in F^{-1}(U)$ .  
 Note that  $F^{-1}(U)$  is continuous since  $F$  is continuous on  $X$  and  $U$  is open (in  $\mathbb{R}^2 \supset \text{range } F$ ). Also  $f$  is defined because

$F(F^{-1}(U)) = U \subset \text{domain of } R$   
 and  $\text{range } R = S' \subset \text{domain of } h$ .

Also if  $p \in h(S')$ ,  $p = h(\lambda)$ ,  $\lambda \in S'$ ,  
 then  $F(p) = \lambda$ ,  $R(F(p)) = R(\lambda) = \lambda$

and  $f(p) = h(R(F(p))) = h(\lambda) = p$ . So  $f$  works.  
 with  $U = F^{-1}(U_0)$ ,  $f: U \rightarrow h(S')$  as defined.  $\square$

6. This works exactly like problem 5:

If  $h: S^n \rightarrow X$  is a homeomorphism of  $S^n$  onto its image in a normal topological space  $X$ , then there is a neighborhood  $U$  of  $h(S^n)$  and a continuous function  $f: U \rightarrow h(S^n)$  such that  $f(x) = x$  if  $x \in h(S^n)$ .

Proof as before:  $S^n$  compact  $\Rightarrow h(S^n)$  compact  $\Rightarrow h(S^n)$  closed. Tietze Extension as in prob. 3 gives  $F: X \rightarrow \mathbb{R}^{n+1}$  extending  $h^{-1}: h(S^n) \rightarrow S^n \subset \mathbb{R}^{n+1}$ .

With  $U_0 = \mathbb{R}^{n+1} - \{\vec{0}\}$ ,  $U = F^{-1}(U_0)$  is desired  
 $U$ ,  $f(\cdot) = h(R(F(\cdot)))$  where  $R(\vec{v}) = \vec{v} / \|\vec{v}\|$ ,  
 $\vec{v} \in \mathbb{R}^{n+1}$ ,  $\vec{v} \neq \vec{0}$  as in problem 5 does the job.  $\square$

7. Same pattern as number 6. Write  $R: U_0 \rightarrow Y$  for the problem's  $f: U \rightarrow Y$  for consistency with problems 5 & 6 notation. If  $h: Y \rightarrow X$ ,  $X$  normal is continuous, then  $h(Y)$  is compact

(5)

in  $X$  (since  $Y$  is compact and  $h$  is continuous) so  $h(Y)$  is closed in  $X$ . By Tietze Extension,  $\bar{h}: h(Y) \rightarrow Y \subset \mathbb{R}^n$  extends to  $F: X \rightarrow \mathbb{R}^n$ . Then  $F^{-1}(U_0)$  is open in  $X$  and  $h(F(\cdot))$  is the desired function on  $U_0$  (which is  $U$  in the original notation of the problem).

8. Since  $[0, 1]$  is compact,  $h([0, 1])$  is compact. So  $h([0, 1])$  is closed. And  $h^{-1}: h([0, 1]) \rightarrow \mathbb{R}$  has an extension  $f: X \rightarrow \mathbb{R}$ , which we can assume ~~to have~~ has  $f(X) \subset [0, 1]$  (by composing with  $x \rightarrow x, x \in [0, 1], x \rightarrow -1$  if  $x < -1, x \rightarrow 1$  if  $x > 1$ , as before). Set  $F(\cdot) = h(f(\cdot))$ .  $\square$

9. If  $f: U \rightarrow Y$  is a retraction ( $f(x) = x$  for all  $x \in Y$ ,  $U$  open,  $Y \subset U$ ), choose  $V \subset U$  with  $\bar{V}$  compact,  $Y \subset V$ . This choice is possible since we can first take  $U$  bounded and then, since  $\mathbb{R}^2$  is normal, take  $V$  as with ~~desired~~  $Y \subset V$  and  $\bar{V} \subset U$  so  $\bar{V}$  is closed and bounded, hence compact. Then  $f|_V$  is uniformly continuous because  $f|_{\bar{V}}$  is uniformly continuous by the compactness of  $\bar{V}$ . With  $V$  so chosen: Since  $(0, \frac{1}{2}) \in Y$ , and  $Y \subset V$ , there  $\exists \varepsilon > 0$

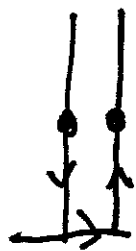
6 8

such that  $B((0, \frac{1}{2}), \varepsilon) \subset V$ . By uniform continuity — or even just continuity at  $(0, \frac{1}{2})$  — we can also choose  $\varepsilon > 0$  so small that the  $y$ -coordinate of  $f(q)$  is  $> \frac{1}{4}$  for all  $q \in B((0, \frac{1}{2}), \varepsilon)$  — this is because the  $y$ -coordinate of  $f((0, \frac{1}{2}))$  is  $\frac{1}{2}$  since  $f((0, \frac{1}{2})) = (0, \frac{1}{2})$  because  $(0, \frac{1}{2}) \in Y$ .

Now choose  $n > 10/\varepsilon$ . Then  $(\frac{1}{n+1}, \frac{1}{2})$  and  $(\frac{1}{n}, \frac{1}{2})$  both lie in  $B((0, \frac{1}{2}), \varepsilon)$  and so the closed line segment between  $(\frac{1}{n+1}, \frac{1}{2})$  and  $(\frac{1}{n}, \frac{1}{2})$  also lies in  $B((0, \frac{1}{2}), \varepsilon)$ .

Now we ask: what is the  $f$ -image of this line segment? Clearly, it is a continuous path in  $Y$  from  $(\frac{1}{n+1}, \frac{1}{2})$  to  $(\frac{1}{n}, \frac{1}{2})$  since  $f$  fixes  $(\frac{1}{n+1}, \frac{1}{2})$  and  $(\frac{1}{n}, \frac{1}{2})$ .

But the only such continuous paths have to contain points with  $y$ -coordinate  $= 0$ , as shown. If we disallow the possibility that  $y=0$  somewhere, then the possible  $x$ -coordinate values belong to the set  $\{0, 1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots\}$  and



hence  $x$  is constant along the path! But  $y > \frac{1}{4}$  along the  $f$ -image of the line segment from  $(\frac{1}{n+1}, \frac{1}{2})$  to  $(\frac{1}{n}, \frac{1}{2})$  by the choice of  $\varepsilon$ . This is a contradiction.  $\square$

10. If  $x \in \mathbb{R} - E$ , then  $x$  lies in the open interval  $(\alpha, \beta)$  where  $\alpha = \sup\{\lambda: \lambda < x, \lambda \in E\}$  and  $\beta = \inf\{\lambda: \lambda > x, \lambda \in E\}$ . ( $\beta$  may be  $+\infty$ ,  $\alpha$  may be  $-\infty$ ). Intervals of this form are either coincident or disjoint (obvious) so  $\mathbb{R} - E = \bigcup_{\text{finite or countable disjoint union!}} \text{open intervals}$ .

If  $(\alpha, \beta)$  is such a maximal open interval in  $\mathbb{R} - E$ , we set (when  $\alpha, \beta \in \mathbb{R}$ )

$$\hat{f}(x) = \frac{x - \alpha}{\beta - \alpha} f(\alpha) + \frac{\beta - x}{\beta - \alpha} f(\beta)$$

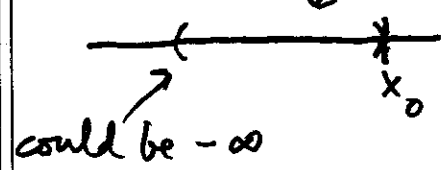
for  $f: E \rightarrow \mathbb{R}$ . (On  $(-\infty, \beta)$  if it occurs, we set  $\hat{f}(x) = f(\beta)$ ,  $x \in (-\infty, \beta)$  and on  $(\alpha, +\infty)$ ,  $\hat{f}(x) = f(\alpha)$ ,  $x \in (\alpha, +\infty)$ ; set  $\hat{f}(x) = f(x)$  if  $x \in E$ . This defines  $\hat{f}: \mathbb{R} \rightarrow \mathbb{R}$ .

We want to show that  $\hat{f}$  is continuous. Continuity at a point  $x_0$  in one of the open intervals is clear:  $\hat{f}$  is (constant or) linear in an open set around  $x_0$ . It remains to check continuity of  $\hat{f}$  at  $x_0$ ,  $x_0 \in E$ . For this, it suffices to check continuity from each side, that is continuity at  $x_0$  of  $\hat{f}|_{(-\infty, x_0]}$

and of  $\hat{f}|_{[x_0, +\infty)}$ . We do  $\hat{f}|_{(-\infty, x_0]}$ . The other case is similar.

(8)

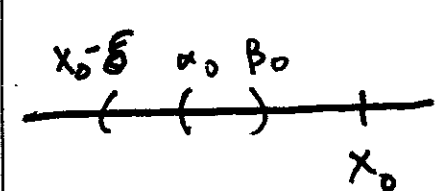
If  $x_0$  is the right-hand endpoint of one of the maximal intervals in  $\mathbb{R} - E$ , continuity is obvious  $\swarrow CE$  since to the left of  $x_0$ ,



$\hat{f}$  is (constant or) linear on some interval with  $x_0$  as right-hand endpoint and by definition  $\hat{f}(x)$  on this interval converges to  $f(x_0)$  as  $x \rightarrow x_0^-$ . If  $E \supset$  a closed interval of nonzero length with righthand endpoint =  $x_0$ , continuity is similarly obvious since  $f$  is continuous on  $E$  and  $\hat{f} = f$  on  $E$ .

It remains to consider the case where, for each  $\delta > 0$ ,  $(x_0 - \delta, x_0)$  contains points of  $E$  and points not in  $E$ . In this case, given  $\epsilon > 0$ , we choose  $\delta$  such that

$|x - x_0| < \delta$  and  $x \in E$  (recall  $x_0$  is in  $E$ ),  
 $|f(x) - f(x_0)| < \epsilon$ . Now there is an  $(\alpha, \beta)$



interval (of the maximal kind)  $C \mathbb{R} - E$  with  $(\alpha, \beta) C (x_0 - \delta, x_0)$ .

(Otherwise we would not have points of  $\mathbb{R} - E$  and points of  $E$  arbitrarily close to  $x_0$  but  $< x_0$ ). Claim: With  $(\alpha, \beta)$  so chosen,  $x_0 > x - \delta$   
 $|f(x) - f(x_0)| < \epsilon$  iff  $x \in (\alpha, x_0)$ .  
Reason: If  $x \in E$ , this is true by choice of  $\delta$ .  
If  $x \notin E$ , then  $x \in (\alpha, \beta)$  with



(9)

$x_0 - \delta < \alpha_1 < \beta_1 < x_0$ . Since

$\hat{f}(x)$  is a linear interpolation of  $f(\alpha_1)$  and  $f(\beta_1)$ , both of which are within  $\varepsilon$  of  $f(x_0)$ , it follows that  $\hat{f}(x)$  is within  $\varepsilon$  of  $f(x_0)$ .

This completes the proof of continuity.  $\square$