Local Surface Theory and Gauss Curvature Let S: U > R3 be a "surface patch", that is, with S(u,v) = (x(u,v), y(u,v), Z(u,v)) and $S_u = \left(\frac{3x(u,v)}{3u}, \frac{3y(u,v)}{3u}, \frac{3z(u,v)}{3u}\right)$ and $S_{\nu} = \left(\frac{3 \times (u,v)}{3v}, \frac{3 + (u,v)}{3v}, \frac{3 + (u,v)}{3v}\right)$, we require that SuxSv + 3. Define the unit normal by N = (SuxSv)/IISuxSvII. N=N(4,v) depends on u and v. Definition: The plane generated by Su and Si is called the tangent plane of S (at a given (u, v) value) Example: If Solu,v) = (u,v, VI-u2-v2) then the tangent plane is the plane generated by (1,0, -uf) and (0,1,-1/1). The unit normal is (1,0,-4/5)x (0,1,-v/5)/11 11= (x, =, 1)/11 11=(u,v, \(\int_{1-u^2-\sqrt{2}}\)= \(\int_{\cup}(u,v)\) (Check: This is unit length & I to Su and Su), This coincides with geometric intuition: the position vector is normal to the sphere around the origin.) Note that as u2+v2 -> 1" the normal approaches lying in the (x, y) plane so the tangent plane becomes vertical, i.e., approaches containing (0,0,1). When (u,v)=(0,0), the normal is (0,0,1): the tangent plane is a horizontal. The parch here is defined (nonsingular) only when $u^2 + v^2 < 1$, v = 0, when $u^2 + v^2 < 1$, v = 0, when $u^2 + v^2 < 1$?

We are interested in how N(u,v) varies. This interest arises from noting that change in N corresponds inturbuly to 5 being curved. The extreme case of this intuition is made formal by this result: Thorem: of Uis connected and S: U > R3 is constant, e batch (with Su × 5v + 3), and if N(u,v) is constant, Then S(U) lies in a plane in \mathbb{R}^3 .

Proof: Suppose $N(u,v) = N_0$ for all u,v in U. Then $\frac{\partial}{\partial u} \langle S, N_o \rangle = \langle S_u, N_o \rangle$ since $\frac{\partial}{\partial u} N_o = 0$. Also, by the same reasoning, 3 <5, No> = 0. Hence < 5 (u,v), N. > is a constante so 5 (u,v) les en The glane { =: < v, No) = c}, [of this argument, we used the Lubritz Rule for differentiate of , now products? That we used earlier in curve theory. Now $\langle N, N \rangle \equiv 1$ so $\langle \frac{\partial N}{\partial u}, N \rangle \equiv 0$ and < 3N, N> = 0. Thus the derwative 3N and 3N Lie in the tangent plane: they are I to N! Moreover, $\langle \frac{\partial N}{\partial u}, Su \rangle = -\langle Suu, N \rangle$ < on, S1> = - (Svu, N) = two and $\langle \frac{\partial N}{\partial v}, S_u \rangle = - \langle S_{uv}, N \rangle$ are expreal and < 3N, SVE >= - < Sv, N> and

These formulas come from differentiating $\langle S_4, N \rangle = 0$ and $\langle S_7, N \rangle = 0$! Now Sur, for example, can have both "tangent and normal parts", that is it can, when expressed as Suce = &N + (vectoritaingent plane), 14 can have both facts nonzero. Notation: $L_{II} = -\langle Sun, N \rangle = +\langle Su, \frac{2N}{8u} \rangle$ $\Gamma^{15} = -\langle 2^{nn}, N \rangle = \langle 2^{n}, \frac{2n}{3N} \rangle = \langle 2^{n}, \frac{9n}{3N} \rangle$ L22=-<5vv, N> = <5v, 3N>. So the tangent part of Sun = Sun T < Sun, N) N (since Nisa unit vector!) and this = Sun + LIIN. Sundarly part (Sur) = Sur + L12 N and tang part (Sur) = Sru + L22 N. We think of the L's as a matrix (Liz Lzz), which is symmetric ("Lzi=Liz" in an obvious notation expressing (Suv, N7 = (Su, N)). for temporary but useful notation, write T() = tengent part of whatever is in the () parentheses. Similarly, we write N() for the normal part. We also introduce the (germanent) notations $E = \langle Su, Su \rangle$, $F = \langle Su, Sv \rangle$ and $G = \langle Su, Sv \rangle$

The quantities (functions of ulu) E, E, a or of mount because

they specify the "geometry of 5": in particular, if v(t) = (u(t), v(t)) is a curve in U, then the arclength of the curve 5(8(t)) in \mathbb{R}^3 is given by $\int \sqrt{E(\frac{du(t)}{dt})^2 + 2F(\frac{du(t)}{dt},\frac{dv(t)}{dt}) + G(\frac{dv(t)}{dt})^2}$ since the tangent vector of S(8/+)) is (by the Chain Rule) Su dutt) + Su dv(t) (or, putting the numbers in front of the vectors to be more conventionals du(t) Sh + dutt) Sr).

Example: Consider the patch $S(v, \theta)$, 0 < r < T, $T < \theta < T$, $S(v, \theta) = (\sin v \cos \theta, \sin v \sin \theta, \cos r)$. Note that this is (part of a) sphere, namely, the sphere

of radius 1 around of because

(sin r coso)² + (sin r sin b)² + cos²r = 5in²r (cos²0 + sin²0)

t cos²r = 1.

We compute E, F, and G for this patch. Sr = (cosr coso, cosr smo, - smr)

 $S_{\theta} = (-sursm\theta, sur cos\theta, 0)$

So $E = \langle S_r, S_r \rangle = \cos^2 r \cos^2 \theta + \cos^2 r \sin^2 \theta + \sin r \rangle^2 = 1$ F = <Sv, So> = - cosremv Cost em 0 + cosrem rent cost = 0 $G = \langle S_0, S_0 \rangle = (-8m(8m0)^2 + (sin r cos 6)^2$ = (sm²r) (sm²0+cog²0) = sm²r.

Let's compute Lu, liz, and Lzz also:

N = (sen r cost), sen r sunt, socr) (Normal = 5 position vector - < 5rv, N> compute Sr x So/11 11) =-< 3 (cosr cuso, cosr smo, - sugr), N> =>(-smr coso,-smr smo,-805r)(smrcoso, senrsmo, cosr)> = 41. Similarly, L12 = - (Sro, N) = <(-cosr sin 0, cosr cost, 0), N) = 0 and Lzz= - <500,N)=>(-smrcos0,-smrsin0,0),N) We shall see laxer that there is some serious geometric meaning to the fact that (Lil Lzz-Liz)/(EG-F2)=1! Returning now to general considerations and recalling our "tangent part" notation T(), we want to establish a remarkable fact first observed by Gauss: the "tangent parts" T(Suu), T(Suu) and T(Sur) can be computed from E, F, and G (and their derivatives). Let us try T(Suu) first. Now $\langle T(Suu), Su \rangle = \langle Suu, u \rangle$ since $\langle N(Su, u), Su \rangle = 0$ and of course Sun = T(Sun) + N(Sun) where N= the normal part as before. Pout < Sun, Su> = $\frac{1}{2} \frac{\partial}{\partial u} \langle Su, Su \rangle = \frac{1}{2} \frac{\partial E}{\partial u} = \frac{1}{2} E_u$, the latter equality being a convenient notation now. What about <Sun, Sv> = <T (Sun), Sv>? < Sun, Sv> = = = (2 3u < Su, Sv> - 3v < Su, Su>). To Chech: 2 Su < Sr, Su> = 2 < Suu, Su> +2 < Su, Su> 3v < Su, Su> = 2 < Su, Suv>. So works.

 $\langle Suu, Su \rangle = \frac{1}{2} Eu$ $\langle Suu, Su \rangle = Fu - \frac{1}{2} Ev$. $\langle (*)$ From this, we can compute T(Suu) by linear algebra (of a simple kind: two equations in two unknowns!)
Namely, suppose, as must be true, that T(5 un) = a Su+bSv for some a, b R-valued. Then $\langle Suu, Su \rangle = a \langle Su, Su \rangle + b \langle Su, Sv \rangle$ = at+bF and < suu, Sv> = aF+ bG. Then at+bF= == = = and at+bG= Fu-== Ev. We can solve these for a and b (in terms of E, F, G and their dervatives) be cause (FF) is an invertible matrix. And why is (FF) invertible? Be cause EG-F2>0 by the Cauchy Schwarz Inequality! (since Sn and Sv are linearly independent).
The corresponding Hems for Suv and Svv are < Suv, Su> = \(\frac{1}{2}\) (\(\frac{1}{2}\) \(\frac{1}{2}\) (\(\frac{1}{2}\) \(\frac{1}{2}\) \(\frac{1}\) \(\frac{1}{2}\) \ $\langle S_{uv}, S_{v} \rangle = \frac{1}{2} \frac{\partial}{\partial u} \langle S_{v}, S_{v} \rangle = \frac{1}{2} G_{u}$ $\langle S_{vv}, S_{u} \rangle = F_{v} - \frac{1}{2} G_{u}$ $\langle S_{vr}, S_{v} \rangle = \frac{1}{2} G_{v}$

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So, if following Gauss, we call items "intrinsic"
       if they can be computed from E. F.G and their
      derivatives (of any orders), then we have shown
      that T(Sun), T(Sur), and T(Sur) are intrinsic
  Continuing our spherical patch S(v, 0) = (\sin v \cos \theta, \sin v \sin \theta, \cos v)
example, let us compute T(Soo)'' intrinsically":
  \langle T(S_{00}), S_{0} \rangle = \frac{1}{2} \frac{3}{50} \langle S_{0}, S_{0} \rangle = \frac{1}{2} \frac{3}{50} (\sin^{2} r) = 0
  \langle T(S_{00}), S_{1} \rangle = \frac{1}{2} (2\frac{3}{50} \langle S_{0}, S_{1} \rangle - \frac{3}{12} \langle S_{0}, S_{0} \rangle)
                     =\frac{1}{2}(O-\frac{3}{57}\sin^2r)=-\sin r\cos r
  Since T(Soo) = a Sr + b So recessarily for some 9, b,
\langle T(S_{\Theta\Theta}), S_r \rangle = \alpha = -s_{nr} cosr, \langle T(S_{\Theta\Theta}), S_{\Theta} \rangle = 0 = b sin^2 r
Do T(500) = -8mr cosr Sr.
for can check this directly: See = (- sur cost, - sin sunt, 0)
= <500,N) = -sin2r. So normal part of Ses=(-sm21)N
   T(500) = 500 - normal part
             = (-sur coso, -sur sind, 0) + sin'r (sur coso, sursino, cos)
            = ( smr(-1+sen2r) coso, sen O(smr)(-1+sen2r), costjint)
          = (Sinr cost) (cost cost, cost sub, - sun)
                                  (- senr cost) Sr as we found intrinsically!
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Returning to generalities:

We all know examples of surfaces that are

not pieces of a plane and so are curved in

that sense, but that are not curved intrisically:

that sense, but that are not presenting a half-cylinder.

just think of a giece of paper made, into a half-cylinder. Intrinsically, it is still a piece of R2: flat, unconved - the making it cylindrical did not change the length of curves on it. We can make this explicit: set $S(u,v) = (u, \cos v, \sin v), -\omega < u < +\infty,$ $-\frac{\pi}{2} < V < \frac{\pi}{2}$, for example. Then $S_u = (1,0,0)$, $S_v = \frac{\pi}{2} < V < \frac{\pi}{2}$ (0, -smv, co3v). So E=1, F=0, G=1, the Same E, F, and G as for the "planar embedding" (u,v) = (u,v,0). On the other hand, intuitively it seems that the ophere is curved intrinsically: we ought to be able to compute just in terms of E, F, and G that it is not like a plane. (Otherwise, we could make distance-perfect maps of at least preces of the earth's surface, and we all have the feeling from experience that this doesn't work: we cannot flatten a prece of paper on a basketball without crumpling it some). To see how to try to identify "intrinsic" curvature, in this instructive sense (we'll make this precise soon), let us look first at another example:

Let 5(u,v) = (u,v) + (u,v) where $f(o_0) = 0$ 9 and fu (0,0) = 0 = f, (0,0). Actually, any surface can be written like this if you translate it and totate it (Exercise for you). Then Su = (1,0, Fx), $S=(0,1,f_v)$, N(0,0)=(0,0,1), $L_{11}=-(S_{uu},N)>80$ $L_{11}(0,0) = -\langle (0,0,fuu),(0,01)\rangle = -fuu$ $L_{12}(0,0) = -\langle (0,0,f_{uv}),(0,0,1)\rangle = -f_{uv}$ $L_{22} = -\langle (0,0,f_{vv}),(0,0,1)\rangle = -f_{vv}$ $E = (1+f_u^2)$, $F = f_u f_v$ $G = (1+f_v^2)$. So at (0,0), E=1, F=0, G=1 and all first derivative of E, F, G vanish (at (0,0)). So we can see that S 15 not part of a plane at (0,0) if some second derivative of f at (0,0) is nonzero by looking at the L's r But we cannot see that S is curved from bookings the tangent parts of So. Hemo - two derivatives-T(Suu) etc. - at (0,0) even if f does have look at formulas for So. to see why:

Some nonzero second derwatives, Thinking about This for a while suggests that to see intrinsic curvature" - assuming it exists(!) - we ought to look at third order 5 derwatures: things like Suru and so on. We need this motivating example because things are about to get a title messy computationally. But we have nowhere else to go, as

Gauss had a lot of determination, and eventually 10 he figured out that he could get somewhere with This thud derwature business. Here is one way it can be made to work: Let's start off by noticing that (Surlu = (Suu)v. This is just an aspect of equality of mixed partials. Softhis is just what works!) $\langle (Suv)u, Sv \rangle = \langle (Suu)v, Sv \rangle$. Now <(Sur)u, Sv> = 3u < Sur, Sv> - < Sur, Sur> = 3 < Suv, Sv) - < T(Suv), T(Suv)> - < N(Suv), N(Suv)> since < Suv, Suv) = <T (Suv) + N (Suv), T (Suv) + N (Suv) > and the mixed N & T terms give 0. Similarly <Suus Svu> $\langle (\tilde{Su}u)_{v}, \tilde{S}u \rangle = \frac{3}{3v} \langle Suu, \tilde{S}u \rangle -$ = 0 < Suu, Sx> - < T(Suu), T(Sxv)> - < N(Suu), N(Svv)> Now < N(Suv), N(Suv)) = L12 while < N(Suu), N(Suv)>= 41/22 Since <(Suv)u,Sv> - </(Suu)v,Sv> =0,000 combining all this we get L11 L22 - L12 = - 3 < Sur, Sv> + < T(Sur), T(Sur)> + 3 < Suu, Sv> - < T(Suu), T(Svv)>

Why is this interesting? Because the right hand side is intrinsic(!) since <5uu, 5v> = <T (Suu), 5v> and 25uv, 5v> = <T (Suv), 5u) are, and so are the other, two terms

This is already impressive. But, while the right "hand side is intrinsic in the sense of being computable in terms of E, F and G and their derivatives with respect to u and v, the right-hand side (and hence the lefthand side) does defend on the choice of "coordinates u and v. For on the choice of "coordinates u and v. For example if we doubted u and v, then the right-hand example if we doubted by 16.

What we would like most of all is something that is not only propositates but in Intrinsic hut also "invariant"—independent of choice of but also "invariant"—independent of choice of u and v, too, unchanging under reparametery atron.

Such an item lies close at hand:

Definition/femma: (LII Lzz-Lzz)/(EG-Fz) number! is called the Gauss curvature: it is entrinsic and coordinate-choice independent, depending only on the chosen point of the surface.

Proof the demma part: We have disposed of the "intrinsic" part. To get the "invariant" part, suppose \hat{u} , \hat{v} are another coordinate system at the same point. Then N and \hat{N} are the same (up to \pm : by interchanging \hat{u} and \hat{v} , which leaves the $(LL-L^2)/(EG-F^2)$ Hern unchanged, we can assume $N=\pm \hat{N}$). Then

since $\hat{L}_{11} = -\langle S_{\hat{u}\hat{u}} \hat{N} \rangle = \langle S_{\hat{u}_{12}}, N_{\hat{u}} \rangle$ and similarly for \hat{L}_{12} , \hat{L}_{22} we get from the Chain Rule: $\hat{L}_{11} = \langle S_u \frac{\partial u}{\partial \hat{u}} + S_v \frac{\partial v}{\partial \hat{u}}, N_u \frac{\partial u}{\partial \hat{u}} + N_v \frac{\partial v}{\partial \hat{u}} \rangle$ $= \left(\frac{\partial u}{\partial a}\right)^{2} L_{11} + 2 \frac{\partial v}{\partial a} \frac{\partial u}{\partial a} L_{12} + \left(\frac{\partial v}{\partial a}\right)^{2} L_{22}$ and similar formulas for Liz and Lize. Tedrous but rolline calculation gives $L_{11}^{2} L_{22}^{2} - L_{12}^{2} = (L_{11} L_{22} - L_{12}^{2}) \left(\left(\frac{\partial u}{\partial \hat{u}} \right) \frac{\partial v}{\partial \hat{v}} \right) - \left(\frac{\partial u}{\partial \hat{v}} \right) \frac{\partial v}{\partial \hat{v}} \right)$ A similar calculation gross $\hat{\mathcal{E}}\hat{\mathcal{G}} - \hat{\mathcal{F}}^2 = \left(\mathcal{E}\mathcal{G} - \mathcal{F} \right) \left(\left(\frac{\partial u}{\partial \hat{u}} \left(\frac{\partial v}{\partial \hat{v}} \right) - \left(\frac{\partial u}{\partial \hat{v}} \left(\frac{\partial v}{\partial \hat{u}} \right) \right) \right)^2$ So L_11 L22 - L12/ EG-F2 is "invariant". This "fedious but routine calculation" may seem at first glance mysterious as to motivation. But it all becomes clear expressed in terms of linear algebra of quadratic forms: Think of (LIZ LZZ) transforming under "change of basis": Las a matrix
one side

= Las a metrix multiplied on the facobran $\left(\frac{\partial u}{\partial a} \frac{\partial u}{\partial b}\right)$ and on the other by its transfore.

Then det (the L' matrix) = det (Jacobian) det (Jacobian)

= (det (Jacobian))² det (L matrix)

The proof that the Gauss cuwature was both 13 intrinsic and coordinate-choice-independent was complicated. But in practice the calculation of complicated. But in practice the calculation of auticular, complicated to usually easy. In particular, can curvature is usually easy. In particular, if you go back to the (u, v, f(u,v)) example we talked about before, the calculation is very simple: Recall that we were look at the case where floso)=0 and $f_{\nu}(0,0) = f_{\nu}(0,0) = 0$. In this situation be found $L_{11} = -f_{uu}$, $L_{12} = -f_{uv}$ and $L_{22} = -f_{vv}$. So the Gauss curvature at (0,0) = funfor - fur. In words, the Gauss curvature = the Hessian determinant of f. (Two is the same tem that turned up in two variable marinum/minimum problems). So Gauss curvature >0 => locally the surfaces on one order of 18 tangent plane Gans curvature < 0 => locally the surface is partly on one side, partly on the other and for Gauss curvature O the situation is not defermined and has to be examined on a case-by-case tases. This is in effect the same result one had for the maximum problems:

(weal)

Hersian determinant > 0 => local max or min Hessian determinant <0 => "saddle point", neither local min Histian determinant so: situation not determined,