

# Positroids, knots, and q,t-Catalan numbers.

joint w/ Thomas Lam

[GL19] arXiv: 1906.03501

[GL20] arXiv: 2012.09745

[GL21] arXiv: 2104.05701

Positroids and cluster algebras.

$$Gr(k, n) = \left\{ V \subset \mathbb{C}^n \mid \dim V = k \right\}$$

$$= \left\{ \text{Full rank } k \times n \text{ matrices} \right\} / \text{(row operations)}$$

Th'm (Scott '06)

$\mathbb{C}[Gr(k, n)]$  is a cluster algebra.

$$\mathbb{C}[Gr(k, n)] = \frac{\mathbb{C}[\Delta_J \mid J \in \binom{[n]}{k}]}{\text{(Plücker relations)}}$$

Gale order on subsets

$$I = \{i_1 < i_2 < \dots < i_k\}$$

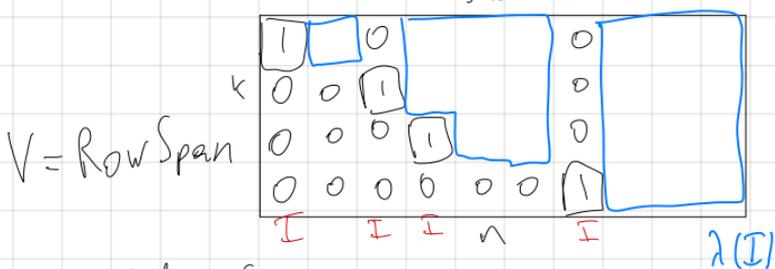
$$J = \{j_1 < j_2 < \dots < j_k\}$$

Say  $I \preceq J$  iff

$$i_1 \leq j_1, \quad i_2 \leq j_2, \quad \dots, \quad i_k \leq j_k.$$

Def. Schubert cells:

$$\Omega_I := \left\{ V \in Gr(k, n) \mid \begin{array}{l} \Delta_I \neq \emptyset \text{ and } I \\ \text{is } \preceq\text{-minimal} \\ \text{such set} \end{array} \right\}$$



Row-echelon form

$I = \text{set of pivot columns}$

Open positroid variety " $=$ " an intersection of  $n$  cyclically shifted Schubert cells.

Def. A Grassmann necklace is a sequence

$$\mathcal{I} = (\overset{\swarrow}{I_1}, \overset{\nwarrow}{I_2}, \dots, I_n) : \text{for each } i \in [n]$$

$\swarrow \nwarrow$   $k$ -elt subsets of  $[n] = \{1, 2, \dots, n\}$

$$I_{i+1} = I_i \setminus \{i\} \cup \{j\} \text{ for some } j \in [n].$$

Positroid  $\mathcal{M}_{\mathcal{I}}$ :

$$\mathcal{M}_{\mathcal{I}} = \left\{ J \in \binom{[n]}{k} \mid I_i \preceq_i J \text{ for all } i \in [n] \right\}$$

where  $\preceq_i$  means

$$i <_i i_{i+1} <_i i_{i+2} <_i \dots <_i i-1$$

Open positroid variety:

$\mathcal{I}$ -Grassmann necklace

$$\Pi_{\mathcal{I}}^{\circ} := \left\{ V \in \text{Gr}(k, n) \mid \begin{array}{l} \Delta_{I_i} \neq 0 \quad I_i \in \mathcal{I} \\ \Delta_J = 0 \quad \text{for } J \notin \mathcal{M}_{\mathcal{I}} \end{array} \right\}$$

Thm  $\mathbb{C}[\Pi_{\mathcal{I}}^{\circ}]$  is a cluster algebra.

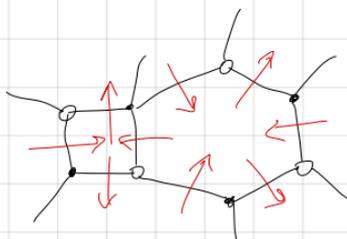
where  $\mathbb{C}[\Pi_{\mathcal{I}}^{\circ}] = \frac{\mathbb{C}[\Delta_{I_i}^{\pm}, \Delta_J \mid \begin{array}{l} I_i \in \mathcal{I} \\ J \in \mathcal{M}_{\mathcal{I}} \end{array}]}{\text{(Plücker relations)}}$

— For "open Schubert varieties", this was shown by [SSBW'19] using [Lec'16]

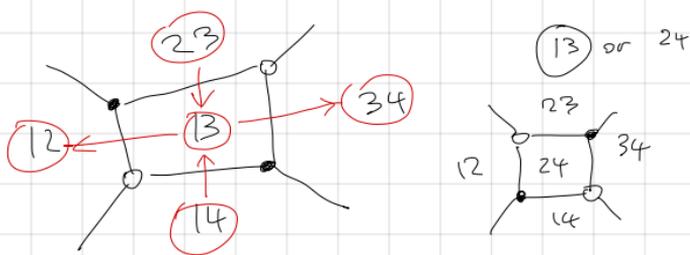
Open problem: do the same for open Richardson varieties.

Q: Why prove such theorems?

Plabic graph

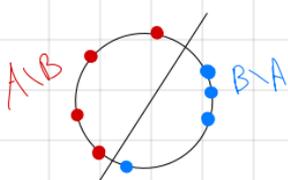


$$\mathcal{I} = \{12, 23, 34, 41\}$$



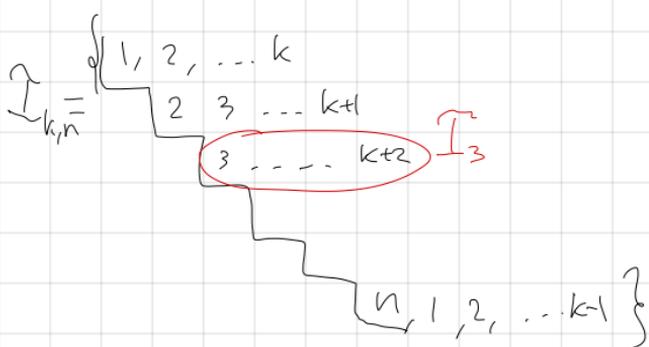
Choose a maximal weakly sep. collection from  $\mathcal{M}_{\mathcal{I}}$ , containing  $\mathcal{I}$ .

$A, B \in \binom{[n]}{k}$  are weakly sep. if there's no  $a < b < c < d$  :  $a, c \in A \setminus B, b, d \in B \setminus A$  or  $a, c \in B \setminus A, b, d \in A \setminus B$



Oh-Postnikov-Speyer '15

### Top open positroid variety



$$\text{Gr}(k, n) = \bigsqcup_{\mathcal{I}} \Pi_{\mathcal{I}}^{\circ}$$

$$\mathcal{M}_{\mathcal{I}, k, n} = \binom{[n]}{k}$$

$$\Pi_{k, n}^{\circ} = \left\{ V \in \text{Gr}(k, n) \mid \Delta_{I_i}(V) \neq 0 \text{ for } i \in [n] \right\}$$

Ex  $k=2, n=4$ .  $\mathcal{I} = \{12, 23, 34, 41\}$

$$\Pi_{2, 4}^{\circ} = \left\{ \begin{array}{|c|c|c|c|} \hline 1 & 0 & a & b \\ \hline 0 & 1 & c & d \\ \hline \end{array} \mid \begin{array}{l} a \neq 0 \\ ad - bc \neq 0 \\ d \neq 0 \end{array} \right\}$$

$\Pi_{k, n}^{\circ}$  is an alg. variety defined /  $\mathbb{Z}$  and over  $\mathbb{C}$

Q1: Point count over  $\mathbb{F}_q$ ?

$$\# \Pi_{2,4}^{\circ}(\mathbb{F}_q) = (q-1) \cdot (q-1) (q^2 - q + 1).$$

$a \neq 0 \Rightarrow q-1$  options for  $a$   
 $d \neq 0 \Rightarrow q-1$  opts for  $d$

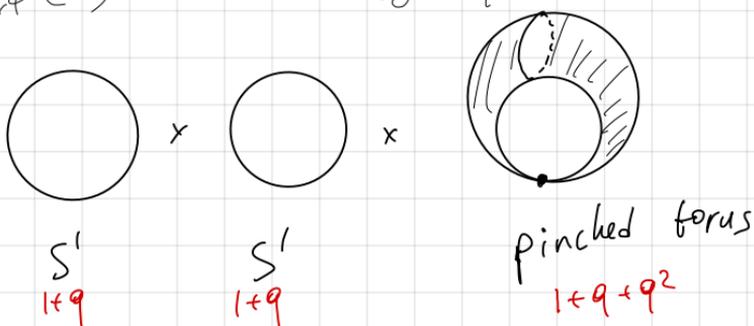
$b \neq a, d$   
 $b=0, c \text{ arb.} \Rightarrow q$  opts  
 $b \neq 0, c \neq \frac{ad}{b} \Rightarrow q-1$  opts

Q2: Betti numbers of  $\Pi_{k,n}^{\circ}(\mathbb{C})$ ?

$$P(\Pi_{k,n}^{\circ}(\mathbb{C}), q) = \sum_{i=0}^{2 \dim \mathbb{C}} q^i \beta_i$$

$\beta_i$   $i$ -th Betti number.

$\Pi_{2,4}^{\circ}(\mathbb{C})$  is homotopy equivalent to



$$P(\Pi_{2,4}^{\circ}(\mathbb{C}), q) = (q+1)(q+1)(q^2+q+1).$$

What happens for  $\text{Gr}(k,n)$ ?

Both point count & Poincaré poly are given by

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!} = \sum_{\lambda \subseteq k \times (n-k)} q^{|\lambda|}$$

$$[n]_q = 1+q+\dots+q^{n-1}, \quad [n]_q! = [1]_q \cdot [2]_q \cdot \dots \cdot [n]_q$$

Reason: Schubert decomp.:

$$\text{Gr}(k,n) = \bigsqcup_{I \subseteq \binom{[n]}{k}} \Omega_I.$$

$$\text{each } \Omega_I \cong \mathbb{C}^{|\lambda(I)|}$$

$$\# \Omega_I(\mathbb{F}_q) = q^{|\lambda(I)|}$$

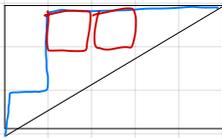
Problem: No such decomposition for  $\Pi_{k,n}^{\circ}$ ?

# Rational Catalan numbers.

Start with  $a, b \geq 1$   $\gcd(a, b) = 1$ .

$$C_{a,b} = \frac{1}{a+b} \binom{a+b}{a} = \# \text{Dyck}_{a,b}$$

Dyck paths in  $a \times b$  rect.



area(P) = 2.

Th'm [GL 20] Let  $\gcd(k, n) = 1$ . Then

Point count:

$$\# \Pi_{k,n}^0(\mathbb{F}_q) = (q-1)^{n-1} \cdot C'_{k,n-k}(q)$$

$$C'_{a,b}(q) = \frac{1}{[a+b]_q} \begin{bmatrix} a+b \\ a \end{bmatrix}_q$$

Poincaré poly:

$$\mathcal{P}(\Pi_{k,n}^0; q) = (q+1)^{n-1} \cdot C''_{k,n-k}(q),$$

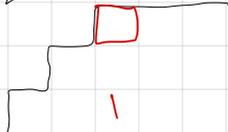
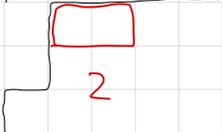
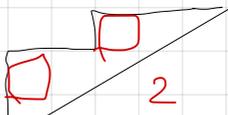
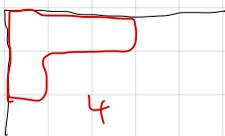
$$C''_{a,b}(q) = \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)}.$$

where  $\text{area}(P) = \#$  boxes strictly between  $P$  & the diagonal

Ex.  $a=3, b=5$  ( $k=3, n=8$ )

$$C'_{3,5}(q) = q^8 + q^6 + q^5 + q^4 + q^3 + q^2 + 1.$$

$$C''_{3,5}(q) = q^4 + q^3 + 2q^2 + 2q + 1.$$



area = 0

Cor. Let  $\gcd(k, n) = 1$

$$\text{Prob}(V \in \Pi_{k,n}^0(\mathbb{F}_q)) = \frac{(q-1)^n}{q^n - 1}$$

↖ does not depend on  $k$  ???

( $\text{Gr}(k, n; \mathbb{F}_q)$  is a finite set)

Common generalization.

LHS (cohomology): mixed Hodge structure on  $H^*(\Pi_{k,n}^0)$ .

Let  $X$  be some alg. variety.

Then  $H^*(X)$  ← single graded vect space admits a second grading called the Deligne splitting:

$$H^i(X) = \bigoplus_{p \in \mathbb{Z}} H^{i, (p, p)}(X)$$

So instead of  $\mathcal{P}(X, q)$ , can consider "mixed Hodge poly"  $\mathcal{P}(X; q, t) \in \mathbb{N}[q^{1/2}, t^{1/2}]$ .

Ex Let  $X = \boxed{???$ . Then  $H^*(X)$  can look like

$H^0$	$H^1$	$H^2$	$H^3$	$H^4$	$H^5$	$H^6$	$H^7$	$H^8$
1	0	1	0	2	0	2	0	1

$$\mathcal{P}(X, q) = q^4 + q^3 + 2q^2 + 2q + 1$$

The  $H^{i, (p, p)}(X)$  can look like

$H^{i, (p, p)}(X)$	$H^0$	$H^1$	$H^2$	$H^3$	$H^4$	$H^5$	$H^6$	$H^7$	$H^8$
$p = i$	1	0	1	0	1	0	1	0	1
$p = i - 1$					1	0	1		

$$P(X; q, t) = q^4 + q^3 t + q^2 t^2 + q t^3 + t^4$$

+  $(q^2 t) + (q t^2)$

each row has

terms  $q^d, q^{d-1/2} t^{1/2}, q^{d-1} t, \dots$

RMS (combinatorics):  $\gcd(a, b) = 1$ .

Rat'l  $q, t$  - Catalan number

$$C_{a,b}(q, t) = \sum_{P \in \mathcal{D}_{\text{Dyck}(a,b)}} q^{\text{area}(P)} t^{\text{dinv}(P)}$$

$\text{dinv}(P) = \#$  diagonal inversions of  $P$ , i.e.

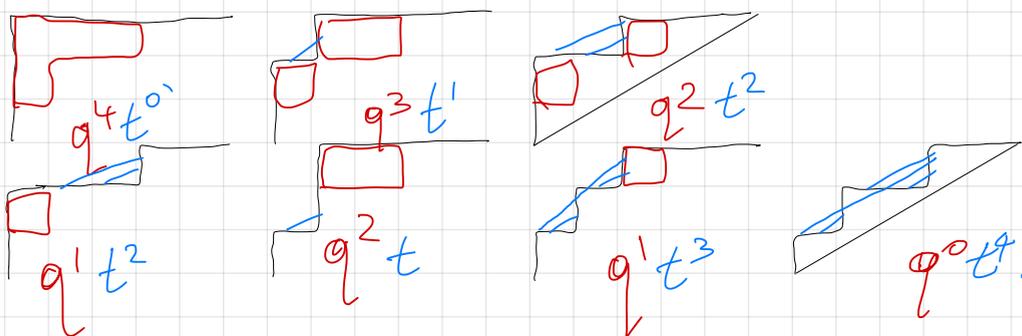
$\#$  pairs  $h, v$ :

$h$  - hor. step,  $v$  - vert. step

$h$  is southwest from  $v$

exists a line of slope  $a/b$  intersecting both  $h$  and  $v$ .

Ex  $a=3, b=5$



$C_{a,b}(q, t)$  specializes to

$$q^{\frac{(a-1)(b-1)}{2}} \cdot C_{a,b}(q, 1/q) = C'_{a,b}(q)$$

$$C_{a,b}(q, 1) = C''_{a,b}(q)$$

Striking property of  $C_{a,b}(q,t)$ : ([Mel 16])

$$C_{a,b}(q,t) = C_{a,b}(t,q)$$

Open problem: prove this bijectively.

Thm [GL 20] Let  $\gcd(k,n)=1$ . Then

$$(1) \mathcal{P}(\Pi_{k,n}^0; q,t) = (q^{1/2} + t^{1/2})^{n-1} C_{k,n-k}(q,t).$$

(2) Torus  $T$  of diag. matrices in  $SL_n$  acts on  $\Pi_{k,n}^0$  freely, and

$$\mathcal{P}(\Pi_{k,n}^0/T; q,t) = C_{k,n-k}(q,t).$$

Corollary  $C_{a,b}(q,t)$  are  $q,t$ -symmetric and  $q,t$ -unimodal.

unimodal means for each  $d$ , sequence of coeffs at  $q^d, q^{d+1}t, \dots, t^d$  is unimodal.  
unimodality is new.

Q: how is this a corollary of this?

Reason: cluster algebras.

(1) On any cluster variety  $\mathcal{A}(\mathcal{Q})$ ,

we have a mult-invariant GSV form  $\gamma_Q$

$$\gamma_Q = \sum_{u \rightarrow v} \frac{dx_u}{x_u} \wedge \frac{dx_v}{x_v}$$

(2) if  $Q$  is loc. acyclic  $\Rightarrow \gamma_Q \in H^{2, (2,2)}(A(Q))$   
 mult. by  $\gamma_Q$  acts as a curious  
Lefschetz operator on  $H^*(A(Q))$

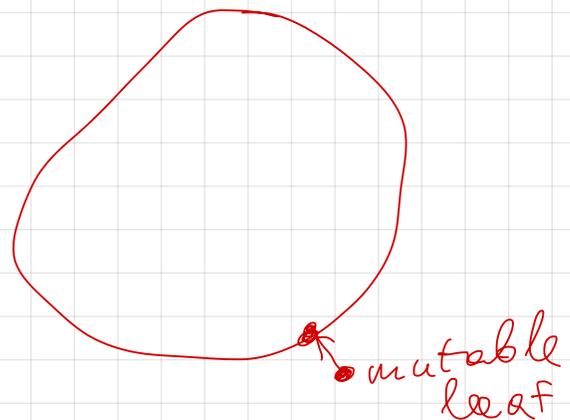
$H^{i, (p,p)}(X)$	$H^0$	$H^1$	$H^2$	$H^3$	$H^4$	$H^5$	$H^6$	$H^7$	$H^8$
$p = i$	1	0	1	0	1	0	1	0	1
$p = i - 1$			$\gamma_Q$		1	0	1		

(3)  $\Pi_{k,n}^0 / T$  is a cluster variety  
 (loc. acyclic by [MS'16]).

Ex.  $k=3, n=8$   $\Pi_{3,8}^0 / T$  is a cluster  
 variety,  $Q = E_8$  without frozen vars.

Thanks!

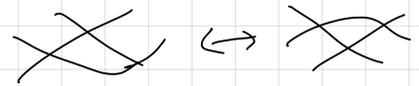
amplituhedron form



Richardson quivers:  $V, w \in S_n \quad v \in W$

- Ingemarsson

- Leclerc



X - not planar

✓ - braid moves preserve quiver (up to mut)

X - weak sep

✓ - quiver point count appears to =  $\#R_{r,w}^0(Fq)$

✓ - all quivers appear to be loc. acyclic

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