

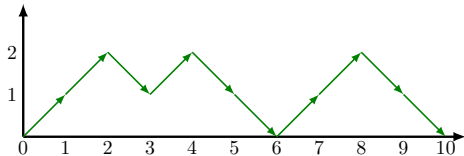
# Sorting probability for Young diagrams

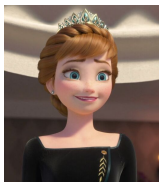
Swee Hong Chan

University of California, Los Angeles

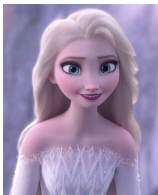
Joint work with Igor Pak and Greta Panova

1	2	4	7	8
3	5	6	9	10





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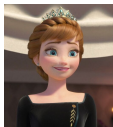


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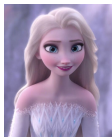




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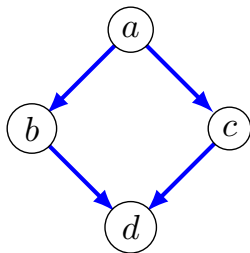


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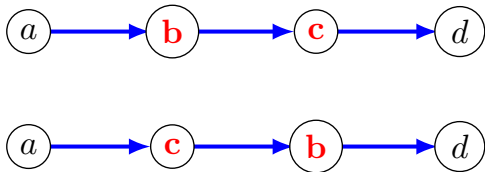
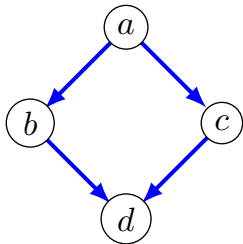
## Partially ordered set

A poset  $P$  is a set  $X$  with a partial order  $\preceq$  on  $X$ .



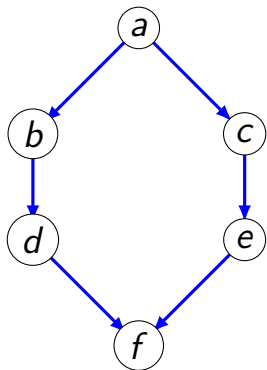
# Linear extension

A linear extension  $L$  is a complete order of  $\preccurlyeq$ .



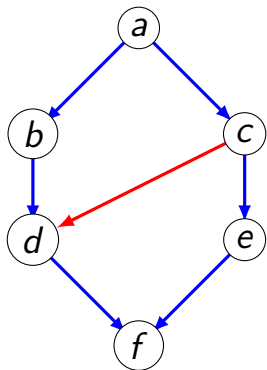
We write  $e(P)$  for number of linear extensions of  $P$ .

How many steps needed to complete a partial order?



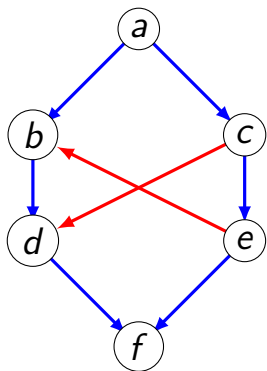
How many steps needed to complete a partial order?

We first compare  $c$  and  $d$ , and get  $c \preceq d$ .



How many steps needed to complete a partial order?

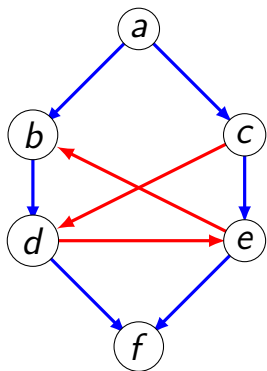
We then compare  $b$  and  $e$ , and get  $e \preceq b$ .





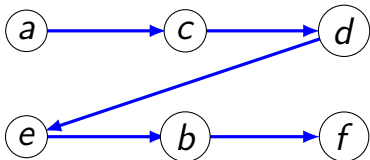
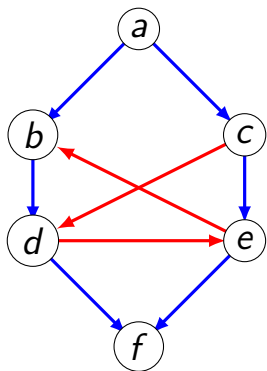
How many steps needed to complete a partial order?

We continue with  $d$  and  $e$ , and get  $d \preceq e$ .



How many steps needed to complete a partial order?

Completing the partial order took 3 steps.



## Strategy to complete the partial order

At each step, compare  $x$  and  $y$  that satisfies

$$\frac{1}{2} - c \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + c,$$

where  $\mathbf{P}$  is uniform on linear extensions of  $P$ .

## Strategy to complete the partial order

At each step, compare  $x$  and  $y$  that satisfies

$$\frac{1}{2} - c \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + c,$$

where  $\mathbf{P}$  is uniform on linear extensions of  $P$ .

Runtime is at most  $\left\lceil \log_{\frac{1}{2}+c} e(P) \right\rceil$  steps, optimal up to a multiplicative constant.

## $\frac{1}{3} - \frac{2}{3}$ Conjecture

Conjecture (Kislitsyn '68, Fredman '75, Linial '84)

*For every finite poset that is not completely ordered, there exists  $x, y$ :*

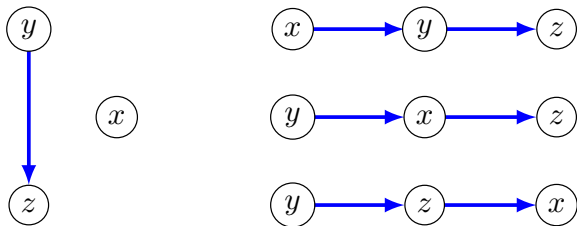
$$\frac{1}{3} \leq \mathbf{P}[x \preceq y] \leq \frac{2}{3}.$$

Quote (Brightwell-Felsner-Trotter '95)

*“This problem remains one of the most intriguing problems in the combinatorial theory of posets ”*

Why  $\frac{1}{3}$  and  $\frac{2}{3}$ ?

The upper, lower bound are achieved by this poset:



$$\mathbf{P}[x \preceq y] = \frac{1}{3}; \quad \mathbf{P}[y \preceq x] = \frac{2}{3}.$$

## What is known so far

### Theorem (Kahn-Saks '84)

*For every finite poset, there always exists  $x, y$ :*

$$\frac{3}{11} \leq \mathbf{P}[x \preceq y] \leq \frac{8}{11},$$

*roughly between 0.273 and 0.727.*

Proof is based on a **geometric approach**, using **mixed-volume inequalities**.

## What is known so far

### Theorem (Brightwell-Felsner-Trotter '95)

*For every finite poset, there always exists  $x, y$ :*

$$\frac{5 - \sqrt{5}}{10} \leq \mathbf{P}[x \preceq y] \leq \frac{5 + \sqrt{5}}{10},$$

*roughly between 0.276 and 0.724.*

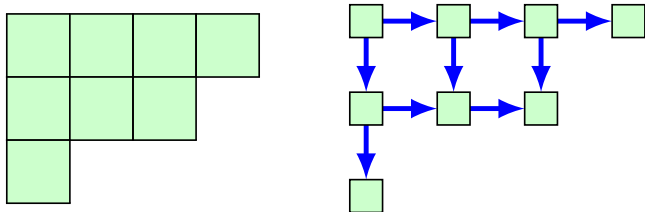
Upper-lower bound is tight for **infinite posets**.



# Young diagrams

Elements of  $P_\lambda$  are **cells** of Young diagram of shape  $\lambda$ .

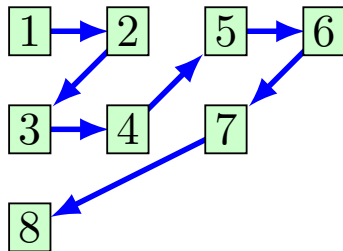
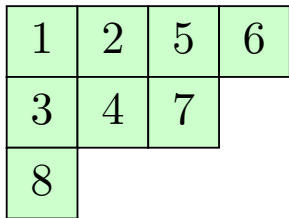
$x \preceq y$  if  $y$  lies to the Southeast of  $x$ .



Young diagram of shape  $\lambda = (4, 3, 1)$

# Young diagrams

Linear extensions of  $P_\lambda$  correspond to **standard Young tableau** of the Young diagram.



Linear extensions are counted by **hook-length formulas**.

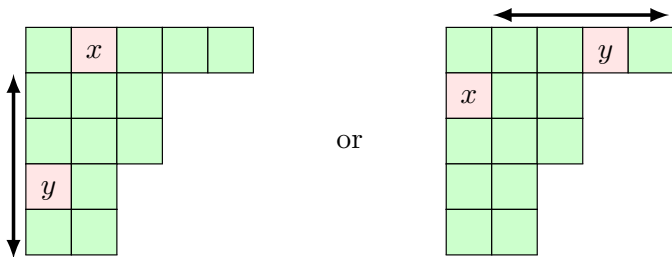
# What is known for Young diagrams

## Theorem (Olson–Sagan '18)

*There always exists  $x, y$ :*

$$\frac{1}{3} \leq \mathbf{P}[x \preceq y] \leq \frac{2}{3},$$

*for posets of **Young diagrams**.*



# What is known for Young diagrams

## Theorem (Olson–Sagan '18)

*There always exists  $x, y$ :*

$$\frac{1}{3} \leq \mathbf{P}[x \preceq y] \leq \frac{2}{3},$$

*for posets of **Young diagrams**.*

We sketch an alternative proof for Young diagrams using **Naruse hook-length formulas**.

## Hook-length formulas

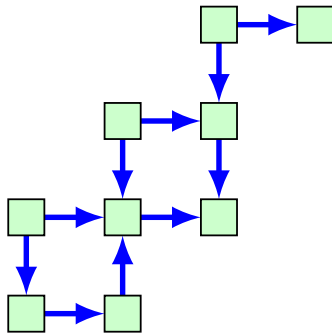
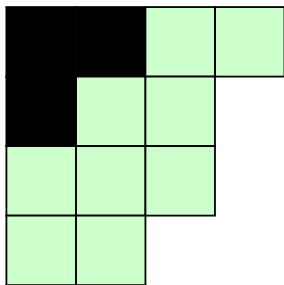
Number of linear extensions of  $P_\lambda$  is equal to

$$\text{HLF}(\lambda) = \frac{(|\lambda|)!}{\prod h_\lambda(x)}.$$

7	6	4	1
5	4	2	
4	3	1	
2	1		

$$\frac{12!}{(7)(6)(4)(1) (5)(4)(2) (4)(3)(1) (2)(1)}$$

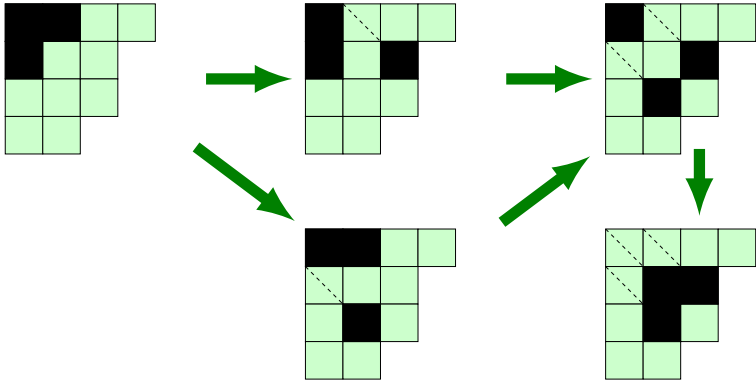
# Skew Young diagrams



Skew Young diagram of shape  $\lambda/\mu$ ,  
 $\lambda = (5, 3, 3, 1)$  and  $\mu = (2, 1)$ .

# Excited diagrams

Black boxes can move on the Southeast direction.



# Naruse hook-length formulas

## Theorem

(Naruse '14, Morales-Pak-Panova '17)

*Number of linear extensions of  $P_{\lambda/\mu}$  is equal to*

$$\text{HLF}(\lambda) \frac{(|\lambda| - |\mu|)!}{(|\lambda|)!} \underbrace{\sum}_{\text{excited diagrams}} \underbrace{\prod_{x \in B} h_{\lambda}(x)}_{\text{black cells}} .$$



# Naruse hook-length formulas

7	6		
5			

7			
5		2	

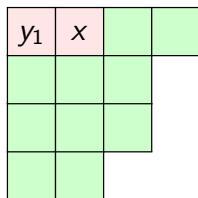
7			
		2	
	3		

7	6		
	3		

	4	2	
	3		

$$\text{HLF}(\lambda) \frac{(12-3)!}{(12)!} \left[ (7)(6)(5) + (7)(5)(2) + (7)(2)(3) \right. \\ \left. + (7)(6)(3) + (4)(2)(3) \right].$$

# Proof of Olson–Sagan result

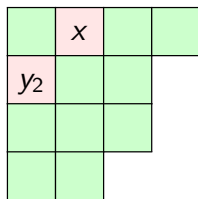


$$\mathbf{P}[x \preceq y_1] = \underbrace{\hspace{15em}}_{0 \quad 1}$$

The **jump probabilities** are

$$p_i := \mathbf{P}[y_i \preceq x \preceq y_{i+1}]$$

# Proof of Olson–Sagan result

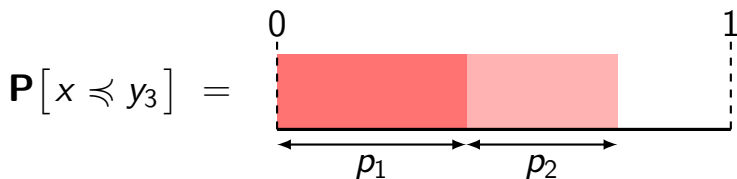
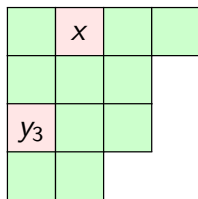


$$\mathbf{P}[x \preceq y_2] = \int_0^1 \mathbf{1}_{[0, p_1]}(t) dt$$

The **jump probabilities** are

$$p_i := \mathbf{P}[y_i \preceq x \preceq y_{i+1}]$$

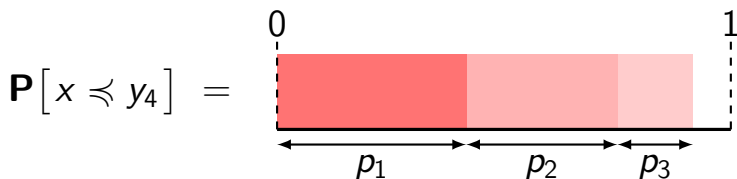
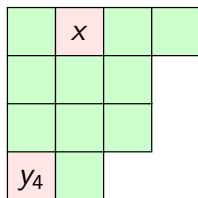
# Proof of Olson–Sagan result



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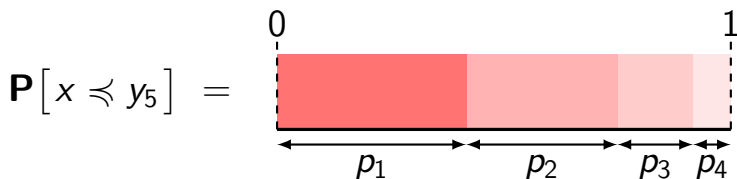
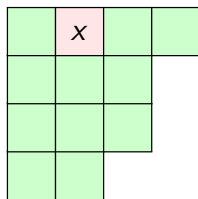
# Proof of Olson–Sagan result



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# Proof of Olson–Sagan result

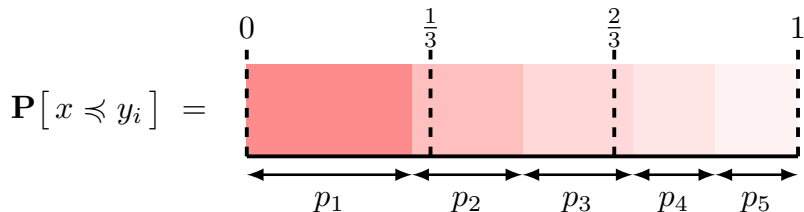


The **jump probabilities** are

$$p_i := \mathbf{P}[y_i \preceq x \preceq y_{i+1}]$$

## Linial-type argument

Suppose that  $p_1, p_2, \dots, p_\ell$  are all  $\leq \frac{1}{3}$ .



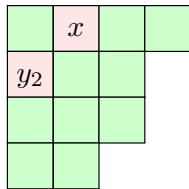
Look at when the probability exceeds  $\frac{1}{3}$ . Then

$$\frac{1}{3} \leq \mathbf{P}[x \preceq y_{i+1}] \leq \frac{2}{3}.$$

## First jump is less than $\frac{1}{3}$

We have  $p_1 < \frac{1}{3}$  or  $p_1 > \frac{2}{3}$ , as otherwise

$$\frac{1}{3} \leq p_1 = \mathbf{P}[x \preceq y_2] \leq \frac{2}{3}.$$



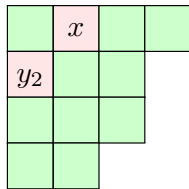
By symmetry,  $p_1 < \frac{1}{3}$ .



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By symmetry,  $p_1 < \frac{1}{3}$ .

Thus suffices to show  $p_1 \geq p_2 \geq \dots \geq p_\ell$ .

## Skew diagrams enter the scene

Probabilities  $p_1$  and  $p_2$  are equal to

$$p_1 = \mathbf{P}[y_1 \preceq x \preceq y_2] =$$

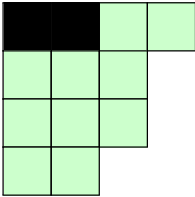
1	2		

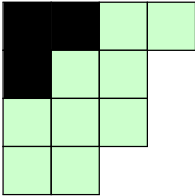
$$p_2 = \mathbf{P}[y_2 \preceq x \preceq y_3] =$$

1	3		
2			

## Skew diagrams enter the scene

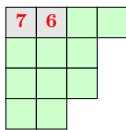
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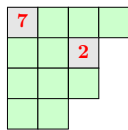
$$p_2 = \mathbf{P}[y_2 \preceq x \preceq y_3] =$$


We can now use **NHLF**.

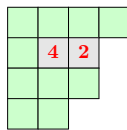
$p_1$  is greater than  $p_2$



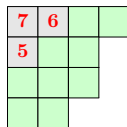
$(10!)(7)(6)$



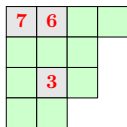
$(10!)(7)(2)$



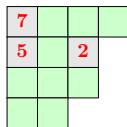
$(10!)(4)(2)$



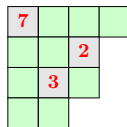
$(9!)(7)(6)(5)$



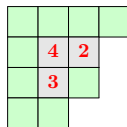
$(9!)(7)(6)(3)$



$(9!)(7)(2)(5)$



$(9!)(7)(2)(3)$



$(9!)(4)(2)(3)$

Thus we complete the proof of this theorem.

## Theorem

*There always exists  $x, y$ :*

$$\frac{1}{3} \leq \mathbf{P}[x \preceq y] \leq \frac{2}{3},$$

*for poset  $P_\lambda$  of Young diagram of shape  $\lambda$ .*



## What we will do next

Previously, we want to find  $x, y$ :

$$\frac{1}{3} \leq \mathbf{P}[x \preceq y] \leq \frac{2}{3},$$

Now, we want to find  $x, y$ :

$$\frac{1}{2} \approx \mathbf{P}[x \preceq y] \approx \frac{1}{2},$$

# Kahn–Saks Conjecture

## Conjecture (Kahn-Saks '84)

For every finite poset  $P$ , there exists  $x, y$ :

$$\frac{1}{2} - \delta(P) \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + \delta(P),$$

with  $\delta(P) \rightarrow 0$  as  $\text{width}(P) \rightarrow \infty$ .

Here  $\text{width}(P)$  is the largest size of anti-chains in  $P$ .

Komlós '90 proved such a result for posets with large number of minimal elements.

# **Our results**



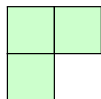
## First result

### Theorem (C.-Pak-Panova '20+)

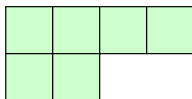
There exists  $C > 0$  and  $x, y$ :

$$\frac{1}{2} - \frac{C}{\sqrt{n}} \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + \frac{C}{\sqrt{n}},$$

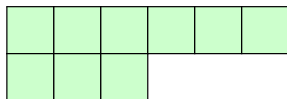
for poset  $P_\lambda$  of *Young diagram* of shape  $\lambda = \lambda' n$ .



$\lambda'$



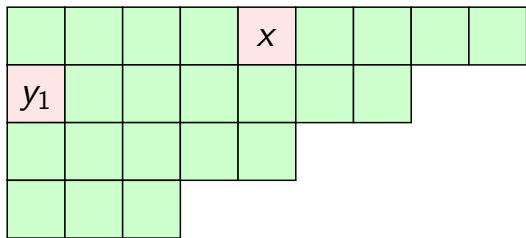
$2\lambda'$



$3\lambda'$

# Where is the improvement?

$x$  and  $y$  are different from Olson–Sagan.

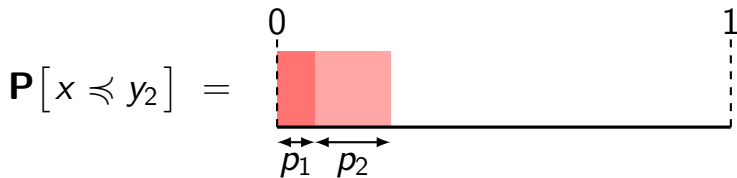
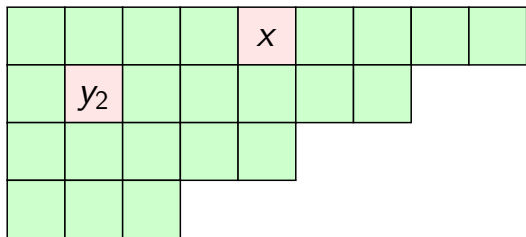


$$\mathbf{P}[x \preceq y_1] =$$

The diagram shows a horizontal line segment from 0 to 1. A red shaded region is shown from 0 to  $p_1$ . A double-headed arrow below the x-axis indicates the length of this region is  $p_1$ .

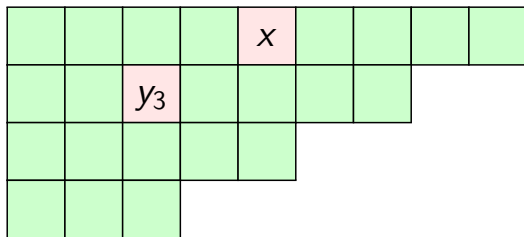
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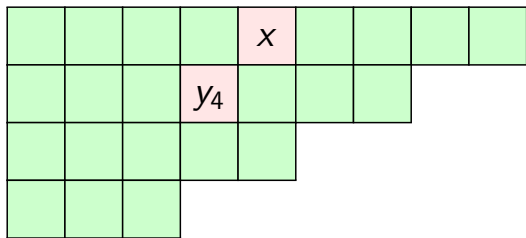


$$\mathbf{P}[x \preceq y_3] =$$

A horizontal bar representing the interval  $[0, 1]$ . The bar is divided into three segments of lengths  $p_1$ ,  $p_2$ , and  $p_3$ . The first segment is dark red, the second is medium red, and the third is light red. Dashed vertical lines are at 0 and 1. Arrows below the bar indicate the lengths  $p_1$ ,  $p_2$ , and  $p_3$ .

# Where is the improvement?

$x$  and  $y$  are different from Olson–Sagan.

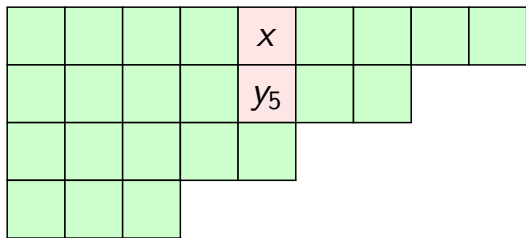


$$\mathbf{P} [x \preceq y_4] =$$

A horizontal bar from 0 to 1, divided into four segments of lengths  $p_1$ ,  $p_2$ ,  $p_3$ , and  $p_4$ . The first segment is dark red, and the others are light red.

# Where is the improvement?

$x$  and  $y$  are different from Olson–Sagan.

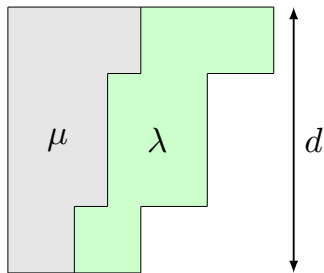


$$\mathbf{P} [x \preceq y_5] =$$

## Key steps in the proof

After several asymptotic reductions,

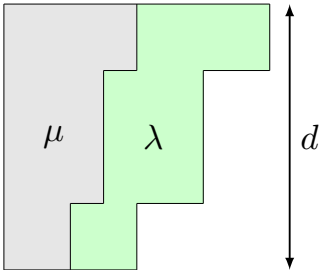
$$\left| \mathbf{P}[x \preceq y] - \frac{1}{2} \right| \leq \text{sums of}$$



$$(\mu_1, \dots, \mu_d) \approx \left( \frac{\lambda_1}{2} \pm \sqrt{\lambda_1}, \dots, \frac{\lambda_d}{2} \pm \sqrt{\lambda_d} \right).$$

## Key steps in the proof

After several asymptotic reductions,

$$\left| \mathbf{P} [x \preceq y] - \frac{1}{2} \right| \leq \text{sums of}$$


The diagram shows two Young diagrams,  $\mu$  (shaded gray) and  $\lambda$  (shaded green), positioned side-by-side. The diagram  $\mu$  is on the left and  $\lambda$  is on the right. They are both contained within a rectangular frame of height  $d$ , indicated by a vertical double-headed arrow on the right side labeled  $d$ . The diagrams are partially overlapping, with  $\mu$  extending further to the left and  $\lambda$  extending further to the right.

$$(\mu_1, \dots, \mu_d) \approx \left( \frac{\lambda_1}{2} \pm \sqrt{\lambda_1}, \dots, \frac{\lambda_d}{2} \pm \sqrt{\lambda_d} \right).$$

By **NHLF**, reduces to asymptotic of **Schur polynomials**.



# Schur polynomial

Schur polynomial is

$$s_{\lambda}(z_1, \dots, z_d) = \sum_{T \in \text{SSYT}(\lambda)} z_1^{t_1(T)} \dots z_n^{t_d(T)},$$

summed over semistandard Young tableau.

1	1	1	2
2	2	2	
3	3	4	
4			

$$z_1^3 z_2^4 z_3^2 z_4^2$$

# Key lemma

## Lemma

For  $d > 0$  and  $z_1 > \dots > z_d > \varepsilon z_1 > 0$ ,

$$\frac{s_\lambda(z_1, \dots, z_d)}{z_1^{\lambda_1} \dots z_d^{\lambda_d}} \lesssim \prod_{1 \leq i < j \leq d} \left\{ \lambda_i - \lambda_j + 1, \frac{z_i}{z_i - z_j} \right\},$$

under *some technical conditions*.

## Back to first result

### Theorem (C.-Pak-Panova '20+)

There exists  $C > 0$  and  $x, y$ :

$$\frac{1}{2} - \frac{C}{\sqrt{n}} \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + \frac{C}{\sqrt{n}},$$

for poset  $P_\lambda$  of *Young diagram* of shape  $\lambda = \lambda'n$ .



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### Theorem (C.-Pak-Panova '20+)

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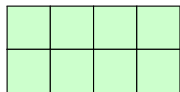
for poset  $P_\lambda$  of *Young diagram* of shape  $\lambda = \lambda' n$ .

But we can do better for Catalan posets!

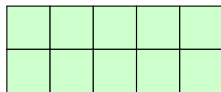
# Catalan posets



$n = 2$

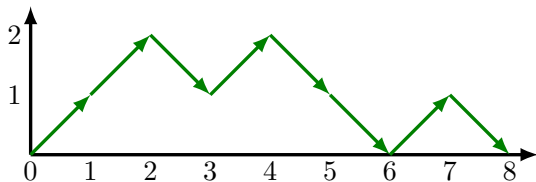


$n = 4$



$n = 5$

1	2	4	7
3	5	6	8



## Second result

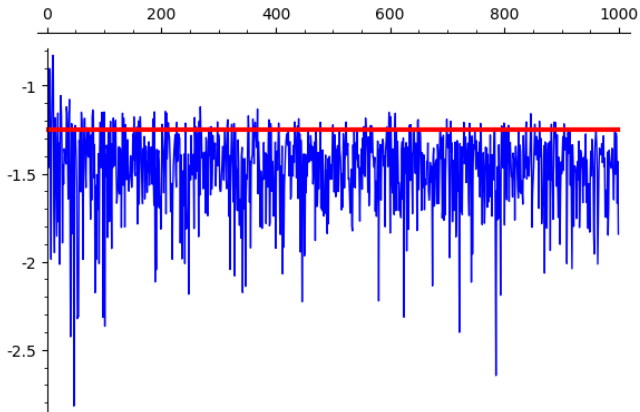
### Theorem (C.-Pak-Panova '20+)

There exists  $C > 0$  and  $x, y$ :

$$\frac{1}{2} - \frac{C}{n^{1.25}} \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + \frac{C}{n^{1.25}},$$

For Catalan posets with  $2n$  cells.

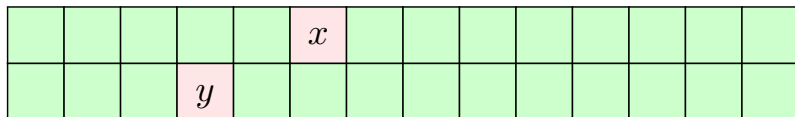
How good is this bound?



Exponent of the error for  $n \leq 1000$ .

How to improve to  $n^{1.25}$ ?

Calculations are done with **HLF** instead of **NHLF**.





## What is next?

### Theorem (C.-Pak-Panova '20+)

*There exists  $x, y$ :*

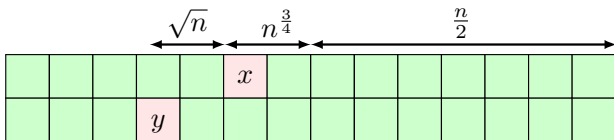
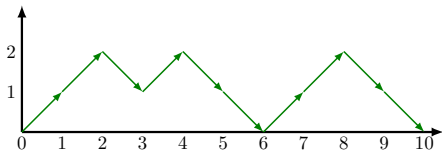
$$\frac{1}{2} - \delta(n) \leq \mathbf{P}[x \preceq y] \leq \frac{1}{2} + \delta(n),$$

*where  $\delta(n) \rightarrow 0$  for the poset of **Young diagram** of shape  $\lambda n$ .*

### Open Problem

*Prove same result for other **families of posets**, e.g.,  **$k$ -dimensional Young diagrams** and **periodic posets**.*

1	2	4	7	8
3	5	6	9	10

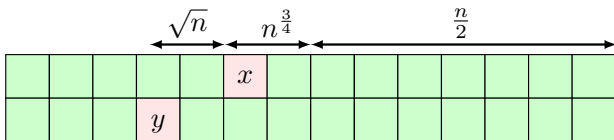
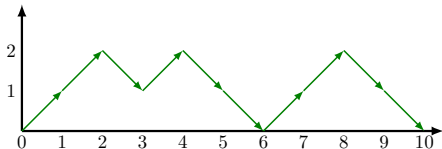


ArXiv preprints: [2005.08390](https://arxiv.org/abs/2005.08390) and [2005.13686](https://arxiv.org/abs/2005.13686).

Webpage: <http://math.ucla.edu/~sweehong/>

# THANK YOU!

1	2	4	7	8
3	5	6	9	10



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