

Interacting Fermions Approach to 2D Critical Models

Pierluigi Falco

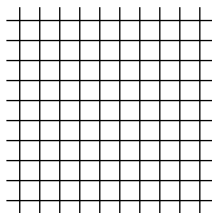
Institute for Advanced Study
School of Mathematics



- (Brief) Introduction on Ising model and Onsager's exact solution.
- Definitions of the Eight-Vertex and Ashkin-Teller models.
- Qualitative discussion of the critical properties.
- List of rigorous results.
- Open problems.

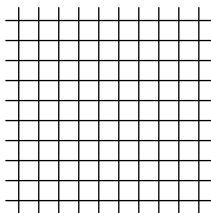
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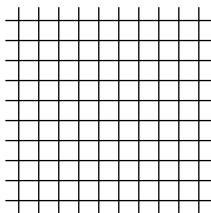


Energy: given J (positive for definiteness)

$$H(\sigma) = -J \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j}$$

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Probability: given the *inverse temperature* $\beta \geq 0$

$$P(\sigma) = \frac{1}{Z(\Lambda, \beta)} e^{-\beta H(\sigma)} \quad Z(\Lambda, \beta) = \sum_{\sigma} e^{-\beta H(\sigma)}$$

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Energy Density

$$G(\mathbf{x} - \mathbf{y}) = \langle O_{\mathbf{x}} O_{\mathbf{y}} \rangle - \langle O_{\mathbf{x}} \rangle \langle O_{\mathbf{y}} \rangle \quad O_{\mathbf{x}} = \sum_{j=0,1} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j}$$

Onsager's Exact Solution [1944]

- free energy

$$\beta f(\beta) = \int_{-\pi}^{\pi} \frac{dk_0}{2\pi} \int_{-\pi}^{\pi} \frac{dk_1}{2\pi} \log \left[\left(1 - \sinh 2\beta J \right)^2 + \alpha(\mathbf{k}) \sinh(2\beta J) \right]$$

for $\alpha(\mathbf{k}) = 2 - \cos(k_0) - \cos(k_1)$

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- correlations for $|\mathbf{x} - \mathbf{y}| \rightarrow \infty$

$$G(\mathbf{x} - \mathbf{y}) \leq C e^{-\mu(\beta)|\mathbf{x} - \mathbf{y}|} \quad \text{if } \beta \neq \beta_c$$

$$G(\mathbf{x} - \mathbf{y}) \sim \frac{C}{|\mathbf{x} - \mathbf{y}|^2} \quad \text{if } \beta = \beta_c$$

Grassmann Algebra [=Fermions] ψ_1, \dots, ψ_n such that

$$\psi_i \psi_j = -\psi_j \psi_i$$

for $i_1 < i_2 < \dots < i_q$

$$\int d\psi_j \psi_{i_1} \cdots \psi_{i_p} \psi_j \psi_{i_{p+2}} \cdots \psi_{i_q} = (-1)^p \psi_{i_1} \cdots \psi_{i_p} \psi_{i_{p+2}} \cdots \psi_{i_q}$$

$$\int d\psi_j [\text{no } \psi_j] = 0$$

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Grassmann Gaussian Integral Two sets of Grassmann variables, ψ_1, \dots, ψ_n and $\bar{\psi}_1, \dots, \bar{\psi}_n$

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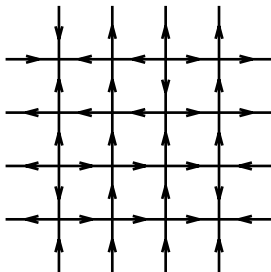
Ising model = system of free fermions

Sutherland (1970),
Fan and Wu (1970):

Draw arrows on the edges of a two-dimensional square lattice, with the restriction that an even number of arrows points into every vertex.

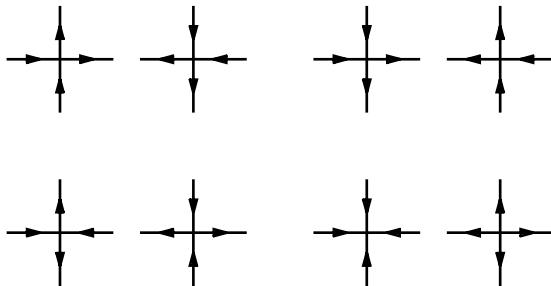
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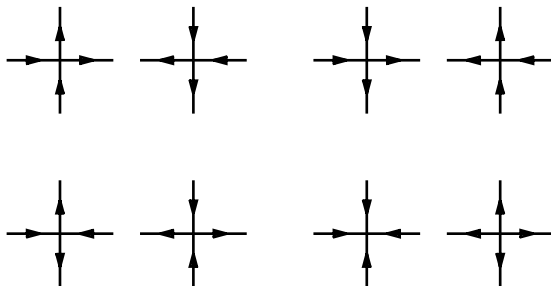


8 possible arrangements of arrows at a site.

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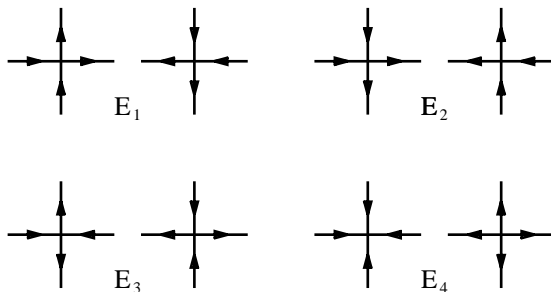


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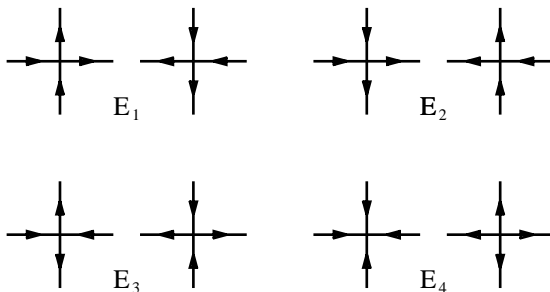
Assign four possible energies ('zero field' case).

8 possible arrangements of arrows at a site.



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Total Energy

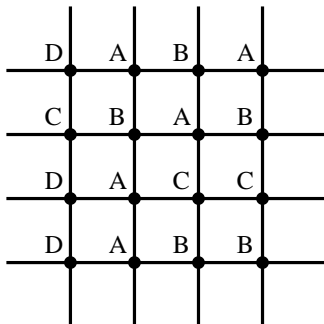
$$H(\omega) = E_1 n_1(\omega) + E_2 n_2(\omega) + E_3 n_3(\omega) + E_4 n_4(\omega)$$

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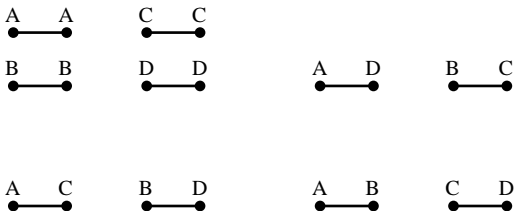


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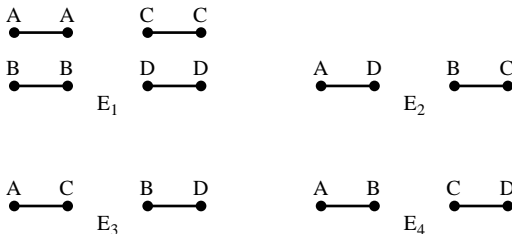


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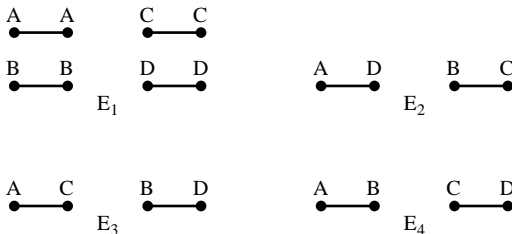
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Probability of a configuration ω , given inverse temperature, $\beta \geq 0$,

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i.e. the independent parameters are 3.
- 8V and AT belong to a bigger class, the *double Ising Models*:
(more intuitive qualitative analysis)

Wu (1971),
Kadanoff and Wegner (1971)
Fan (1972)

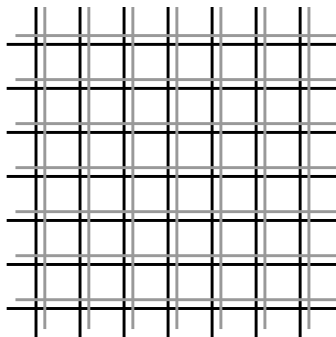
A configuration (σ, σ') is the product of two configurations of spins

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The **energy** of (σ, σ') is function of J , J' and J_4

$$H(\sigma, \sigma') = -J \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} - J' \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j} - J_4 V(\sigma, \sigma')$$

where V quartic in σ and σ' :

$$V(\sigma, \sigma') = \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sum_{\substack{\mathbf{x}' \in \Lambda \\ j'=0,1}} v_{j-j'}(\mathbf{x} - \mathbf{x}') \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} \sigma'_{\mathbf{x}'} \sigma'_{\mathbf{x}'+\mathbf{e}_{j'}}$$

for $v_j(\mathbf{x})$ a lattice function such that $|v_j(\mathbf{x})| \leq ce^{-\kappa|\mathbf{x}|}$.

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Probability of a configuration (σ, σ')

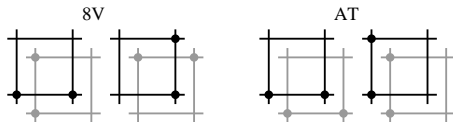
$$P(\sigma, \sigma') = \frac{1}{Z} e^{-\beta H(\sigma, \sigma')} \quad Z = \sum_{\sigma, \sigma'} e^{-\beta H(\sigma, \sigma')}$$

The 8V and AT models are equivalent to a doubled Ising model if:

$$\begin{aligned}
 E_1 &= -J - J' - J_4 & E_2 &= J + J' - J_4 \\
 E_3 &= J - J' + J_4 & E_4 &= -J + J' + J_4
 \end{aligned}$$

$$8V : \quad V(\sigma, \sigma') = \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}+\mathbf{e}_0} \sigma_{\mathbf{x}+j(\mathbf{e}_0+\mathbf{e}_1)} \sigma'_{\mathbf{x}+\mathbf{e}_1} \sigma'_{\mathbf{x}+j(\mathbf{e}_0+\mathbf{e}_1)}$$

$$\text{AT} : \quad V(\sigma, \sigma') = \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j}$$



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Energy Density - Crossover

$$G_\varepsilon(\mathbf{x} - \mathbf{y}) = \langle O_{\mathbf{x}}^\varepsilon O_{\mathbf{y}}^\varepsilon \rangle - \langle O_{\mathbf{x}}^\varepsilon \rangle \langle O_{\mathbf{y}}^\varepsilon \rangle$$

where

$$O_{\mathbf{x}}^+ = \sum_{j=0,1} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} + \sum_{j=0,1} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j} \qquad O_{\mathbf{x}}^- = \sum_{j=0,1} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} - \sum_{j=0,1} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j}$$

Typical case: $\mu(\beta) > 0$

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(inverse) **critical temperature** β_c s.t. $\mu(\beta_c) = 0$, then:

- algebraic decay of correlations

$$G_\varepsilon(\mathbf{x} - \mathbf{y}) \sim \frac{C}{1 + |\mathbf{x} - \mathbf{y}|^{2x_\varepsilon}}, \quad |C(\beta)| = \infty$$

and x_+ and x_- are the energy and crossover **critical exponents**

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- $\mu(\beta) \sim C|\beta - \beta_c|^\nu$ and $\nu > 0$ is the correlation-length **critical exponent**
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Finally we have four critical exponents:

$$x_+ \quad x_- \quad \nu \quad \alpha$$

Assume for definiteness $J, J' > 0$

$$H(\sigma, \sigma') = -J \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} - J' \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j}$$

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- for $J \neq J'$, $J_4 = 0$: two critical temperatures,

$$\beta_c = \frac{1}{2J} \ln(\sqrt{2} + 1) \quad \beta'_c = \frac{1}{2J'} \ln(\sqrt{2} + 1)$$

critical exponents

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- for $0 < |J_4| \ll |J - J'|$: two critical temperatures, for $\lambda = J_4/J$ and $\lambda' = J_4/J'$

$$\beta_c = \frac{1}{2J} \ln(\sqrt{2} + 1) + O(\lambda, \lambda') \quad \beta'_c = \frac{1}{2J'} \ln(\sqrt{2} + 1) + O(\lambda, \lambda')$$

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[universality]

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- for $J = J'$, $J_4 = 0$: one critical temperature,

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$$x_+ = x_- = 1$$

Assume for definiteness $J > 0$

$$H(\sigma, \sigma') = -J \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} - J \sum_{\substack{\mathbf{x} \in \Lambda \\ j=0,1}} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j} - J_4 V(\sigma, \sigma')$$

- for $J = J'$, $J_4 = 0$: one critical temperature,

$$\beta_c = \frac{1}{2J} \ln(\sqrt{2} + 1)$$

critical exponents

$$x_+ = x_- = 1$$

- for $0 < |J_4| \ll J$: one critical temperature, for $\lambda = J_4/J$

$$\beta_c = \frac{1}{2J} \ln(\sqrt{2} + 1) + O(\lambda)$$

critical exponents

$$x_+ = 1 + X_+(\lambda) \quad x_- = 1 + X_-(\lambda)$$

[non-universality]

For $|J' - J| \rightarrow 0$,

$$|\beta_{1,c} - \beta_{2,c}| \sim |J - J'|^{x_T}$$

A 5^o index, the **transition index** x_T . Then we have 5 critical exponents:

$$x_+(\lambda) \quad x_-(\lambda) \quad \nu(\lambda) \quad \alpha(\lambda) \quad x_T(\lambda)$$

Motivation:

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- When critical indexes are model-**independent**, they can be compared with experiments.

	u. class	ν	ν_{th}	α	α_{th}
Rb ₂ COF ₄	Ising	.99±.04	1		0(log)
K ₂ COF ₄	Ising	.97±.04	1		0(log)
⁴ He/graphite	Potts-3			.36±.03	.33...
H ₂ /graphite	Potts-3			.36±.05	.33...
H/Ni (111)	Potts-4			.68±.07	.66...
PVA	SAW	.79±.01	.75		
PMMA	θ -SAW	.56±.01	.57...		
3-MP-NE	3D Ising	.625±.003	.630±.002		
SF6	3D Ising			.11±.03	.110±.003
⁴ He	3D XY	.6702±.0002	.669±.001		

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- In 8V and AT critical exponents are model-**dependent**: still a weak form of universality is retained: some *universal* formulas have been conjectured for these *nonuniversal* indexes.

Kadanoff and Wegner (1971)
Luther and Peschel (1975)

$$d\nu = 2 - \alpha \qquad \nu = \frac{1}{2 - \alpha}$$

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Widom scaling relations: valid at criticality for *any model* in *any dimension* < 4 ;
they don't *characterize* classes of models

Kadanoff (1977)

$$x_+ x_- = 1$$

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Extended scaling relation: characterize models with scaling limit given by *Thirring Model*

Thirring model (Thirring 1955) is a toy model of interacting, 2-dimensional, fermion, quantum field theory. The Action is

$$\int d\mathbf{x} \bar{\psi}_{\mathbf{x}} \not{\partial} \psi_{\mathbf{x}} + \lambda \int d\mathbf{x} (\bar{\psi}_{\mathbf{x}} \psi_{\mathbf{x}})^2$$

for

$$\psi_{\mathbf{x}} = (\psi_{1,\mathbf{x}}, \psi_{2,\mathbf{x}}) \quad \bar{\psi}_{\mathbf{x}} = \begin{pmatrix} \bar{\psi}_{1,\mathbf{x}} \\ \bar{\psi}_{2,\mathbf{x}} \end{pmatrix} \quad \not{\partial} = 2 \times 2 \text{ matrix}$$

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From the *formal* explicit solution of the Thirring model (Klaiber 1967, Hagen 1967)

$$x_+^{Th} = \frac{1 - \frac{\lambda}{4\pi}}{1 + \frac{\lambda}{4\pi}} \quad x_-^{Th} = \frac{1 + \frac{\lambda}{4\pi}}{1 - \frac{\lambda}{4\pi}}$$

Rigorous Results

Lieb (1967), Sutherland (1967)

- $f(\beta)$, β_c and α for 6V.
By-product: $f(\beta_c)$ and α for AT

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No exact solution for x_+ , x_- , x_T ; no exact solution for other Double Ising models.

Spencer, Pinson and Spencer (2000)

Ising model with finite range (even) perturbation:

$$H(\sigma) = -J \sum_{\substack{x \in \Lambda \\ j=0,1}} \sigma_x \sigma_{x+e_j} - J_4 V(\sigma)$$

If $\varepsilon = J_4/J$

- $x_+ = 1$ for ε small enough.

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Method of the proof:

- Functional integral representation of the Ising model

$$Z(\Lambda, \beta) = \int D\psi D\bar{\psi} \exp \left\{ \sum \bar{\psi} M \psi + \lambda \sum (\bar{\psi} \partial \psi)^2 \right\} \quad \lambda \sim J_4/J$$

- Renormalization group approach for computing x_+ .
based on RG approach for fermion system [Feldman, Knörrer, Trubowitz, \(1998\)](#)

Mastropietro (2004)

Double Ising: for $J' = J$ and J_4/J small enough

- convergent power series for $\beta_c(J_4/J)$
- convergent power series for $\nu(J_4/J)$ and $x_+(J_4/J)$.

Giuliani and Mastropietro (2005)

Double Ising: for $J \neq J'$ and $J_4/J, J_4/J'$ small enough

- convergent power series for $\beta_c(J_4/J, J_4/J')$ and $\beta'_c(J_4/J, J_4/J')$
- convergent power series for $x_T(J_4/J)$ [*First time the index x_T was introduced*]

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based on RG approach for system with 'vanishing beta function' Benfatto, Gallavotti, Procacci, Scoppola (1994);
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Above power series are convergent but no explicit formulas: not useful for extended scaling formula.

Benfatto, Falco, Mastropietro (2007), (2009)

Thirring model for $|\lambda|$ small enough:

- Existence of the theory (in the sense of the Osterwalder-Schrader)
- Proof of Hagen and Klaiber's formula for correlations.

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Benfatto, Falco, Mastropietro (2009)

Double Ising model: for J_4/J small enough

- proof of the universal formulas

$$2\nu = 2 - \alpha \quad \nu = \frac{1}{2 - x_+} \quad x_+ x_- = 1$$

- a new scaling relation for the index x_T

$$x_T = \frac{2 - x_+}{2 - x_-}$$

Similar results for the XYZ quantum chain

Multi-scale decomposition:

$$\begin{aligned} Z &= \int dP(\psi) e^{\lambda V(\psi)} = E \left[e^{\lambda V(\psi)} \right] \\ &= \lim_{h \rightarrow -\infty} E_h \circ E_{h+1} \cdots E_{-1} \circ E_0 \left[e^{\lambda V(\psi_h + \cdots + \psi_{-1} + \psi_0)} \right] \end{aligned}$$

where $\psi_h, \dots, \psi_{-1}, \psi_0$ are i.r.v. and

$$E_j[\psi_{j,\mathbf{x}}\psi_{j,\mathbf{y}}] = \Gamma_j(\mathbf{x} - \mathbf{y}) \quad \text{with} \quad |\partial^m \Gamma_j(\mathbf{x})| \leq \gamma^{mj} C e^{c\gamma^j |\mathbf{x}|}$$

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Define

$$\begin{aligned} e^{\lambda_{-1} V(\varphi) + R_{-1}(\varphi)} &= E_0 \left[e^{\lambda V(\varphi + \psi_0)} \right] \\ e^{\lambda_{-2} V(\varphi) + R_{-2}(\varphi)} &= E_{-1} \left[e^{\lambda_{-1} V(\varphi + \psi_{-1}) + R_{-1}(\varphi + \psi_{-1})} \right] \\ &\dots \\ e^{\lambda_j V(\varphi) + R_j(\varphi)} &= E_{j+1} \left[e^{\lambda_{j+1} V(\varphi + \psi_{j+1}) + R_{j+1}(\varphi + \psi_{j+1})} \right] \\ &\dots \end{aligned}$$

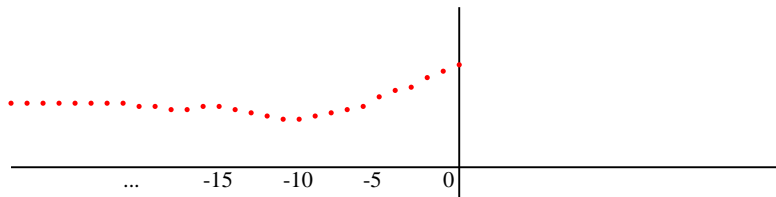
In correspondence there is a sequence of effective couplings

$$\lambda_h, \lambda_{h+1}, \dots, \lambda_{-1}, \lambda$$

The flow of the effective coupling λ_j is

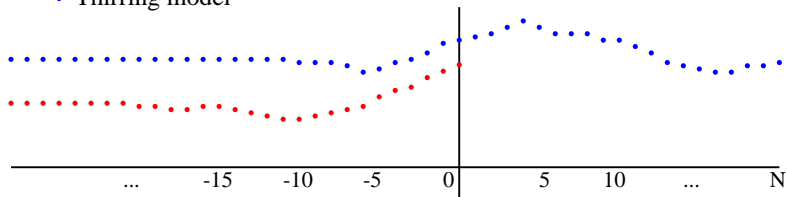
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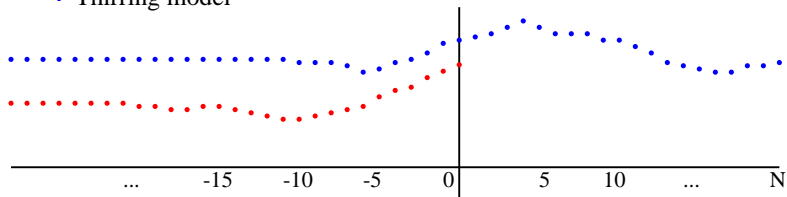
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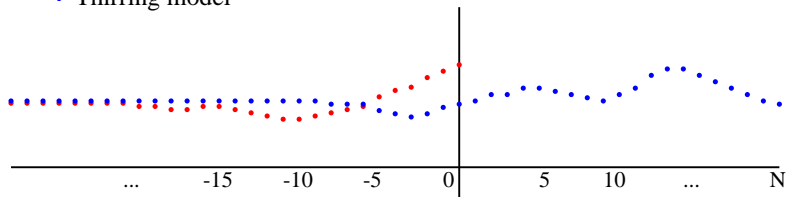


The crucial fact is that, given $\lambda = J_4/J$, it is possible to choose λ^{Th} such that

$$\lambda_{-\infty} = \lambda_{-\infty}^{Th}$$

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The crucial fact is that, given $\lambda = J_4/J$, it is possible to choose λ^{Th} such that

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Therefore

$$x_\varepsilon(\lambda) = x_\varepsilon^{Th}(\lambda^{Th}) \quad \varepsilon = \pm$$

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- Threshold in J_4/J for the Kadanoff law: no **numerical simulation** (but there are simulations of other exponents...)

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- Connection with real laboratory experiments [Se/Ni (100)]? No **experimental** verification of Kadanoff law.

model	lattice	scaling limit
Ising	free fermions	free fermions
Ising + n.n.n.	interacting fermions	free fermions
8V, AT, XYZ	interacting fermions	Thirring

in preparation:

$(1+1)$ D Hubbard	interacting fermions	$SU(2)$ Thirring
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Open problems:

- Interacting dimers / 6V Model
numerical simulations in [Alet, Ikhlef, Jacobsen, Misguich, Pasquier \(2006\)](#)
- Four Coupled Ising / Two Coupled 8V

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- q -States Potts / Completely Packed Loop / ...
- Spin-Spin Correlation in Ising / Other Kadanoff Formula