

UPPER BOUNDS ON KRONECKER COEFFICIENTS WITH FEW ROWS

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ABSTRACT. We present three different upper bounds for Kronecker coefficients $g(\lambda, \mu, \nu)$ in terms of Kostka numbers, contingency tables and Littlewood–Richardson coefficients. We then give various examples, asymptotic applications, and compare them with existing lower bounds.

1. INTRODUCTION

Combinatorics is an eternally vibrant and rapidly growing field of mathematics with a number of distinct areas featuring fundamentally different problems, ideas, tools, goals and techniques. This remarkable diversity can, in principle, lead to miscommunication, confusion, and a surprising lack of understanding, but it can also be extremely beneficial both mathematically in terms of inter-area work, and metamathematically in terms of different ways to frame a problem and formulate the answer.

In this paper we present a number of new upper bounds on *Kronecker coefficients*. We employ some remarkable upper bounds on *contingency tables* combined with our earlier bounds on Kronecker and *Littlewood–Richardson (LR–) coefficients*, as well as other tools. There are two distinct motivations behind our work. First, the Kronecker coefficients are famously difficult and mysterious, full of open problems such as the *Saxl Conjecture*. This means that there are very few strong results and those that exist are not very general, so our general bounds can prove helpful in applications.

More importantly, the Kronecker coefficients are famously #P-hard to compute, and NP-hard to decide if they are nonzero [IMW, Nar], so one should not expect a closed formula. What makes the matters worse, it is a long standing open problem [S3] to find a combinatorial interpretation for Kronecker coefficients, so it is not even clear *what* we are counting. Thus, good general bounds is the next best thing one could hope for.

Recall that the *Kronecker coefficients* $g(\lambda, \mu, \nu)$ are defined as structure constants in products of S_n -characters:

$$\chi^\mu \cdot \chi^\nu = \sum_{\lambda \vdash n} g(\lambda, \mu, \nu) \chi^\lambda,$$

where $\lambda, \mu, \nu \vdash n$ (see §2 for the background).

Theorem 1.1 (= Theorem 5.3). *Let $\lambda, \mu, \nu \vdash n$ such that $\ell(\lambda) = \ell$, $\ell(\mu) = m$, and $\ell(\nu) = r$. Then:*

$$g(\lambda, \mu, \nu) \leq \left(1 + \frac{\ell m r}{n}\right)^n \left(1 + \frac{n}{\ell m r}\right)^{\ell m r}.$$

This is perhaps the cleanest and the most attractive upper bound of all bounds we present. In particular, when $\ell m r \leq n$, we have $g(\lambda, \mu, \nu) \leq 4^n$, which is often quite sharp compared to the only general upper bound $g(\lambda, \mu, \nu) \leq \min\{f^\lambda, f^\mu, f^\nu\}$, see §2.3.

The bounds we present are split into the following three approaches:

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- via Kostka numbers and 2-dimensional contingency tables (§4),
- via 3-dimensional contingency tables (§5), and
- via Vallejo's multi-LR coefficients and the inverse Kostka numbers (§7).

The advantage of these approaches is the availability of both exact and asymptotic upper bounds for all ingredients (notably, see §3 for an extensive discussion on counting *contingency tables*). While not always comparable, they give roughly similar results in some examples, leaving room for improvement in various cases, especially in the lower order terms which we intentionally do not optimize.

We also apply the contingency tables technology to obtain the upper bounds for the *reduced Kronecker coefficients*. This is done via remarkable recent identity by Briand and Rosas [BR], see §6.

In the last part of the paper, we compare our upper bounds with the upper and lower bounds coming from counting *binary contingency tables*, see §8 and §9. Let us single out one curious lower bound:

Corollary 1.2 (= Corollary 9.7). *Let $\mathcal{L}_n = \{\lambda \vdash n, \lambda = \lambda'\}$. We have:*

$$\sum_{\lambda \in \mathcal{L}_n} g(\lambda, \lambda, \lambda) \geq e^{cn^{2/3}} \text{ for some } c > 0.$$

We conclude the paper with final remarks and open problems in §10.

2. BASIC DEFINITIONS, RESULTS AND NOTATION

2.1. Partitions and Young tableaux. We use standard notation from [Mac] and [S2, §7] throughout the paper.

Let $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$ be a *partition* of size $n := |\lambda| = \lambda_1 + \lambda_2 + \dots + \lambda_\ell$, where $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell \geq 1$. We write $\lambda \vdash n$ for this partition, and $\mathcal{P} = \{\lambda\}$ for the set of all partitions. The length of λ is denoted $\ell(\lambda) := \ell$. Denote by $p(n)$ the number of partitions $\lambda \vdash n$. Let $\lambda + \mu$ denotes a partition $(\lambda_1 + \mu_1, \lambda_2 + \mu_2, \dots)$

Special partitions include the *rectangular shape* $(a^b) = (a, \dots, a)$, b times, the *hooks shape* $(k, 1^{n-k})$, the *two-row shape* $(n-k, k)$, and the *staircase shape* $\rho_\ell = (\ell, \ell-1, \dots, 1)$.

A *Young diagram* of shape λ is an arrangement of squares $(i, j) \in \mathbb{N}^2$ with $1 \leq i \leq \ell(\lambda)$ and $1 \leq j \leq \lambda_i$. Let $\lambda, \mu \vdash n$. A *semistandard Young tableau* A of shape λ and weight μ is an arrangement of μ_k integers k in squares of λ , which weakly increase along rows and strictly increase down columns. Denote by $\text{SSYT}(\lambda, \mu)$ the set of such tableaux, and $K(\lambda, \mu) = |\text{SSYT}(\lambda, \mu)|$ the *Kostka number*.

A *plane partition* $A = (a_{ij})$ of n is an arrangement of integers $a_{ij} \geq 1$ of a Young diagram shape which sum to n and weakly decrease along rows and columns. Denote by $p_2(n)$ the total number of such plane partitions.

2.2. Representations of S_n . We denote by \mathbb{S}^λ the irreducible representation of S_n corresponding to partition $\lambda \vdash n$, and by χ^λ the corresponding character. Let

$$f^\lambda := \dim \mathbb{S}^\lambda = \chi^\lambda(1) = K(\lambda, 1^n).$$

The *hook-length formula* (HLF) is an explicit product formula for f^λ , see e.g. [Mac, S2].

Denote by $\mathbb{M}^\nu := \text{Ind}_{S(\nu)}^{S_n} 1$ the *induced representation*, where $S(\nu) = S(\nu_1) \times S(\nu_2) \times \dots$, $\nu \vdash n$. Denote by ϕ^ν the corresponding character. Then

$$\phi^\nu(1) = \dim \mathbb{M}^\lambda = \binom{n}{\nu_1, \nu_2, \dots}$$

and

$$\phi^\nu = \sum_{\lambda \vdash n} K(\lambda, \nu) \chi^\lambda.$$

The *Littlewood–Richardson* (LR-) *coefficients* $c_{\mu\nu}^\lambda$ are defined as follows:

$$\chi^{\mu\circ\nu} = \sum_{\lambda \vdash n} c_{\mu\nu}^\lambda \chi^\lambda,$$

where $\chi^{\mu\circ\nu}$ is the character of the induced representation $\text{Ind}_{S_k \times S_{n-k}}^{S_n} \mathbb{S}^\mu \times \mathbb{S}^\nu$, and $\lambda \vdash n$, $\mu \vdash k$, $\nu \vdash n - k$.

2.3. Kronecker coefficients. As in the introduction, the *Kronecker coefficients* $g(\lambda, \mu, \nu)$ are defined as follows:

$$\chi^\mu \cdot \chi^\nu = \sum_{\lambda \vdash n} g(\lambda, \mu, \nu) \chi^\lambda,$$

where $\lambda, \mu, \nu \vdash n$. Equivalently,

$$g(\lambda, \mu, \nu) = \frac{1}{n!} \sum_{\sigma \in S_n} \chi^\lambda(\sigma) \chi^\mu(\sigma) \chi^\nu(\sigma).$$

From here it is easy to see that:

$$(2.1) \quad g(\lambda, \mu, \nu) = g(\mu, \lambda, \nu) = g(\lambda, \nu, \mu) = \dots$$

and

$$(2.2) \quad g(\lambda, \mu, \nu) = g(\lambda', \mu', \nu).$$

Also, for all $f^\nu \leq f^\mu \leq f^\lambda$ we have:

$$(2.3) \quad g(\lambda, \mu, \nu) \leq \frac{f^\mu f^\nu}{f^\lambda} \leq f^\nu,$$

see e.g. [Isa, Ex. 4.12] and [PPY, Eq. (3.2)].

The *Saxl conjecture*, see [PPV], states that $g(\rho_\ell, \rho_\ell, \nu) \geq 1$ for all $\nu \vdash n = |\rho_\ell| = \ell(\ell + 1)/2$, where $\rho_\ell = (\ell, \ell - 1, \dots, 1)$ is the *staircase shape*.

Example 2.1. Let $n = \ell^3$, $k = \ell^2$, $\lambda = \mu = (k^\ell)$, and $\ell \rightarrow \infty$. The HLF gives:

$$f^\lambda = f^\mu = \exp[\ell^3 \log \ell + O(\ell^2)].$$

Similarly, in the case $\nu = (n/r)^r$, $r = O(1)$, we have

$$f^\nu = \exp[n \log r + o(n)] = \exp \Theta(\ell^3 \log r).$$

In fact, the upper bounds on $g(\lambda, \mu, \nu)$ implied by (2.3) are very far from being tight. For example, for $r = 2$, we have:

$$g(\lambda, \mu, \nu) \leq \binom{k + \ell}{\ell} = \exp O(\ell \log \ell).$$

See [MPP, PP2] for substantially better lower and upper bounds in this case.

3. CONTINGENCY TABLES

3.1. Definition. Let $\mathbf{a} = (a_1, \dots, a_m)$ and $\mathbf{b} = (b_1, \dots, b_n)$, be two integer sequences with equal sum:

$$\sum_{i=1}^m a_i = \sum_{j=1}^n b_j = N.$$

A *contingency table* with *margins* (\mathbf{a}, \mathbf{b}) is an $m \times n$ matrix of non-negative integers whose i -th row sums to a_i and whose j -th column sums to b_j . We denote by $\mathcal{T}(\mathbf{a}, \mathbf{b})$ the set of all such matrices, and let $T(\mathbf{a}, \mathbf{b}) := |\mathcal{T}(\mathbf{a}, \mathbf{b})|$. Finally, denote by $\mathcal{P}(\mathbf{a}, \mathbf{b}) \in \mathbb{R}^{mn}$ be the polytope of *real* contingency tables, i.e. table with row and column sums as above, and non-negative real entries.

Counting $T(\mathbf{a}, \mathbf{b})$ is a difficult problem, both mathematically and computationally. In fact, even a change in a single row and column sum can lead to a major change in the count, see [B3, DLP]. Three-dimensional tables are even harder to count, see [B4, DO]. We refer to [B3, DG, FLL] for an introduction to the subject and further references in many areas.

Note that $T(\mathbf{a}, \mathbf{b})$ is invariant under permutation of the order of margins. For simplicity of notation, throughout the paper we use partitions to denote the margins.

3.2. Bounds for 2-dimensional tables. Let $\lambda, \mu \vdash n$, $\ell = \ell(\lambda)$, $m = \ell(\mu)$. Denote by $\mathcal{T}(\lambda, \mu)$ the set of $\ell \times m$ contingency tables with row sums λ and column sums μ . Let $T(\lambda, \mu) = |\mathcal{T}(\lambda, \mu)|$.

Theorem 3.1. *Let $\ell = \ell(\lambda)$, $m = \ell(\mu)$. Let $Z = (z_{ij}) \in \mathcal{P}(\lambda, \mu)$ be the unique point maximizing a strictly concave function*

$$g(Z) := \sum_{i=1}^{\ell} \sum_{j=1}^m (z_{ij} + 1) \log(z_{ij} + 1) - z_{ij} \log z_{ij}$$

Then:

$$T(\lambda, \mu) \leq \exp g(Z).$$

Remark 3.2. In [Sha] (see also [B4, §3]), an exponential improvement in the upper bound was obtained. Unfortunately, it does not seem to improve our estimates except for the lower order terms.

Example 3.3. Let $n = \ell^3$, $k = \ell^2$, $\lambda = \mu = (k^\ell)$, and $\ell \rightarrow \infty$. Note that contingency tables in $\mathcal{T}(\lambda, \mu, \nu)$ all have equal margins. Thus, the convex polytope $\mathcal{P}(\lambda, \mu, \nu)$ is symmetric with respect to $S_\ell \times S_\ell$ action, and since the $Z \in \mathcal{P}(\lambda, \mu, \nu)$ maximizing $g(Z)$ is unique it must be uniform. In other words, $z_{ij} = \ell$ for all $1 \leq i, j \leq \ell$. This gives

$$g(Z) = \ell^2 [(\ell + 1) \log(\ell + 1) - \ell \log \ell] = \ell^2 \log \ell + \ell^2 + \frac{1}{2} \ell + O(1).$$

and

$$T(\lambda, \mu) \leq \exp g(Z) = \exp[\ell^2 \log \ell + O(\ell^2)].$$

We should mention that in the uniform case and $\ell = n^\varepsilon$, $\varepsilon < 1/3$, very precise asymptotics are known. We will not use the matching lower bounds and only include one such result in a somewhat simplified form.

Theorem 3.4 (Cor. 1 in [CM]). *Let $\lambda = (k^\ell)$, $\mu = (s^m)$, so $\ell k = ms = n \rightarrow \infty$. Let $\alpha = s/\ell = k/m$, s.t. $\ell m = o(\alpha^2)$. Then*

$$T(\lambda, \mu) = (\alpha + 1/2)^{(\ell-1)(m-1)} \frac{(\ell m)!}{(\ell!)^m (m!)^\ell} \cdot O(1).$$

See also [BH, GM] for more general bounds in the near-uniform case.

3.3. Bounds for 3-dimensional tables. Let $\lambda, \mu, \nu \vdash n$. Denote by $T(\lambda, \mu, \nu)$ the number of 3-dimensional $\ell(\lambda) \times \ell(\mu) \times \ell(\nu)$ contingency tables with 2-dimensional sums orthogonal to x, y and z coordinates given by λ, μ and ν , respectively. Denote by $\mathcal{P}(\lambda, \mu, \nu)$ the corresponding polytope of real 3-dimensional contingency tables.

Theorem 3.5 (Barvinok [B4, §3] and Benson-Putnins [Ben]). *Let $\ell = \ell(\lambda), m = \ell(\mu), r = \ell(\nu)$. Let $Z = (z_{ijk}) \in \mathcal{P}(\lambda, \mu, \nu)$ be the unique point maximizing a strictly concave function*

$$g(Z) := \sum_{i=1}^{\ell} \sum_{j=1}^m \sum_{k=1}^r (z_{ijk} + 1) \log(z_{ijk} + 1) - z_{ijk} \log z_{ijk}.$$

Then:

$$T(\lambda, \mu, \nu) \leq \exp g(Z).$$

This result will prove quite sharp and allows us to bound Kronecker coefficients in the rectangular case.

3.4. Bounds for binary tables. Denote by $\mathcal{B}(\lambda, \mu, \nu)$ the set of 3-dimensional *binary* (0/1) contingency tables, and let $B(\lambda, \mu, \nu) = |\mathcal{B}(\lambda, \mu, \nu)|$. Denote by

$$\mathcal{Q}(\lambda, \mu, \nu) := \mathcal{P}(\lambda, \mu, \nu) \cap_{ijk} \{0 \leq z_{ijk} \leq 1\}$$

the intersection of the polytope of contingency tables with the unit cube.

Theorem 3.6 (Barvinok, see e.g. [B3, §3]). *Let $\ell = \ell(\lambda), m = \ell(\mu), r = \ell(\nu)$. Let $Z = (z_{ijk}) \in \mathcal{Q}(\lambda, \mu, \nu)$ be the unique point maximizing a strictly concave function*

$$h(Z) := \sum_{i=1}^{\ell} \sum_{j=1}^m \sum_{k=1}^r z_{ijk} \log \frac{1}{z_{ijk}} + (1 - z_{ijk}) \log \frac{1}{1 - z_{ijk}}.$$

Then:

$$B(\lambda, \mu, \nu) \leq \exp h(Z).$$

Example 3.7. Let $n = k^3, \ell = k^2, \lambda = \mu = (k^\ell)$, and $k \rightarrow \infty$. Consider $B(\lambda, \mu)$. By the symmetry, $z_{ij} = 1/\ell$ for all $1 \leq i, j \leq \ell$. This gives

$$h(Z) = \ell^2 \cdot \left[\frac{1}{\ell} \log \ell + (1 - 1/\ell) \log \frac{1}{1 - 1/\ell} \right] = \ell \log \ell + \ell + O(1)$$

and

$$B(\lambda, \mu) \leq \exp[\ell \log \ell + O(\ell)],$$

which is also tight [B3].

3.5. Majorization. Let $\lambda, \mu \vdash n$. The *dominance order* is defined as follows: $\lambda \trianglelefteq \mu$ if $\lambda_1 \leq \mu_1, \lambda_1 + \lambda_2 \leq \mu_1 + \mu_2$, etc. For $\lambda \vdash n$ and a set of partitions \mathcal{L} , we write $\lambda \trianglelefteq \mathcal{L}$ if $\lambda \trianglelefteq \mu$ for all $\mu \in \mathcal{L}$. This is a special case of *majorization*, equivalent for partitions and studied extensively in many fields of mathematics and applications, see e.g. [MOA]. The following result is standard in the area (see e.g. [Mac, S2]):

Theorem 3.8. *Let $\lambda, \mu \vdash n$. Then $K(\nu, \lambda) \geq K(\nu, \mu)$ for all $\lambda \trianglelefteq \mu$. Moreover, we have $K(\lambda, \lambda) = 1$, and $K(\lambda, \mu) = 0$ unless $\mu \trianglelefteq \lambda$.*

We refer to [Mac, §1.7] for an algebraic proof, to [Whi] for a direct bijective proof, and to [Pak] for the context and generalizations.

Theorem 3.9 (Barvinok). *Let $\lambda, \mu, \alpha, \beta \vdash n$, and suppose $\ell(\lambda) = \ell(\alpha)$, $\ell(\mu) = \ell(\beta)$, $\lambda \supseteq \alpha$, $\mu \supseteq \beta$. Then:*

$$T(\lambda, \mu) \leq T(\alpha, \beta).$$

The proof in [B1, Eq. (2.4)] is a one line application of Theorem 3.8 to the *RSK identity* (see e.g. [S2]):

$$T(\lambda, \mu) = \sum_{\nu \vdash n} K(\lambda, \nu) \cdot K(\mu, \nu) \leq \sum_{\nu \vdash n} K(\alpha, \nu) \cdot K(\beta, \nu) = T(\alpha, \beta).$$

Alternatively, it can be deduced from [V4, Thm. 4.9]. We refer [Pak] (Note 36, 37 in the expanded version on the paper), for a explicit combinatorial proof. The following is a helpful extension of Theorem 3.9.

Theorem 3.10. *Let $\lambda, \mu, \nu, \alpha, \beta, \gamma \vdash n$, and suppose $\ell(\lambda) = \ell(\alpha)$, $\ell(\mu) = \ell(\beta)$, $\ell(\nu) = \ell(\gamma)$, $\lambda \supseteq \alpha$, $\mu \supseteq \beta$, $\nu \supseteq \gamma$. Then:*

$$T(\lambda, \mu, \nu) \leq T(\alpha, \beta, \gamma).$$

Proof. For a contingency table $T \in \mathcal{T}(\lambda, \mu, \nu)$, let $A = A(T) \in \mathcal{T}(\mu, \nu)$ be a partition of the 1-margins, i.e. of sums along lines parallel to x axis. Thus, projecting along the x axis and applying Theorem 3.9, we have:

$$T(\lambda, \mu, \nu) = \sum_{A \in \mathcal{T}(\mu, \nu)} T(\lambda, A) \leq \sum_{A \in \mathcal{T}(\mu, \nu)} T(\alpha, A) = T(\alpha, \mu, \nu).$$

Applying this two more times, we obtain:

$$T(\lambda, \mu, \nu) \leq T(\alpha, \mu, \nu) \leq T(\alpha, \beta, \nu) \leq T(\alpha, \beta, \gamma),$$

as desired. \square

Remark 3.11. Theorem 3.10 can be easily generalized to d -dimensional contingency tables. The proof by induction follows verbatim the proof above.

4. KOSTKA NUMBERS APPROACH

4.1. Bounds on Kostka numebrs. We start with the following easy but useful bounds:

Lemma 4.1. *For all $\lambda, \mu \vdash n$ we have:*

$$K(\lambda, \mu) \leq T(\lambda, \mu) \quad \text{and} \quad K(\lambda, \mu) \leq B(\lambda', \mu).$$

Proof. For the first inequality, observe that every tableau $T \in \text{SSYT}(\lambda, \mu)$ is encoded by an $\ell \times m$ array $X_T = (x_{ij})$, where x_{ij} is the number of i -s in j -th row. Observe that $X_T \in \mathcal{T}(\lambda, \mu)$ by the definition of $\text{SSYT}(\lambda, \mu)$. Thus, $T \rightarrow X_T$ is an injection, which implies the claim.

For the second inequality, notice that in the same encoding of $T' \in \text{SSYT}(\lambda', \mu)$ the resulting $X_{T'}$ is binary. This follows from the fact that tabelau T is strictly increasing in columns. \square

Example 4.2. let $\lambda = \mu \vdash n$. It is easy to see that $K(\lambda, \mu) = 1$. On the other hand, $T(\lambda, \mu)$ can be quite large. For example, for $\lambda = \mu = (k, k)$, $n = 2k$, we have $T(\lambda, \mu) = k + 1$. For $\lambda = \mu = 1^n$, we have $T(\lambda, \mu) = n!$, while $B(\lambda, \mu) = 1$ gives a sharp bound.

When $\lambda = \lambda'$ be a self-conjugate partition, $B(\lambda', \mu) = B(\lambda, \mu) \subset T(\lambda, \mu)$, giving often a better bound. In the case $\lambda = \mu = (\ell^\ell)$, $n = \ell^2$, we have $K(\lambda, \mu) = B(\lambda, \mu) = 1$ as $\mathcal{P}(\ell^\ell, \ell^\ell)$ in this case is an all-one $\ell \times \ell$ array.

4.2. Upper bound. Let $\lambda, \mu, \nu \vdash n$, $\ell = \ell(\lambda)$, $m = \ell(\mu)$. We somewhat extend the notation as follows. For $A = (a_{ij}) \in \mathcal{T}(\lambda, \mu)$, denote by $K(\nu, A) = \text{SSYT}(\nu, A)$ the number of semistandard Young tableaux of shape ν and weight $(a_{11}, a_{12}, \dots, a_{\ell m})$.

Proposition 4.3. *Let $\lambda, \mu, \nu \vdash n$ and suppose $A \trianglelefteq \mathcal{T}(\lambda, \mu)$. Then:*

$$g(\lambda, \mu, \nu) \leq \sum_{B \in \mathcal{T}(\lambda, \mu)} K(\nu, B) \leq \text{T}(\lambda, \mu) \cdot K(\nu, A) \leq \text{T}(\lambda, \mu) \cdot \text{T}(\nu, A).$$

First proof. For the first inequality, recall a result by James and Kerber [JK, Lemma 2.9.16] that

$$(4.1) \quad \phi^\lambda \cdot \phi^\mu = \sum_{B \in \mathcal{T}(\lambda, \mu)} \phi^B = \sum_{B \in \mathcal{T}(\lambda, \mu)} \sum_{\nu \triangleright B} \chi^\nu,$$

where ϕ^B is an induced representation corresponding to ordering of $\{b_{ij}\}$ in the contingency table $B = (b_{ij}) \in \mathcal{T}(\lambda, \mu)$. On the other hand,

$$(4.2) \quad \phi^\lambda \cdot \phi^\mu = \sum_{\alpha \triangleright \lambda} \sum_{\beta \triangleright \mu} K(\alpha, \lambda) K(\beta, \mu) [\chi^\alpha \cdot \chi^\beta] \geq \chi^\lambda \cdot \chi^\mu = \sum_{\nu \vdash n} g(\lambda, \mu, \nu) \chi^\nu,$$

where the inequality follows from Theorem 3.8 by taking the term $\alpha = \lambda$ and $\beta = \mu$. Comparing terms in χ^ν in equation (4.1) and inequality (4.2) finishes the proof of the first inequality.

The second inequality follows immediately from Theorem 3.8 and the definition of A . The last inequality follows from Lemma 4.1 above. \square

Second proof. Another way to see all the inequalities in the statement is through the following Schur function identities and inequalities:

$$\begin{aligned} g(\lambda, \mu, \nu) &= \langle s_\nu[\mathbf{x} \cdot \mathbf{y}], s_\lambda(\mathbf{x}) s_\mu(\mathbf{y}) \rangle = \left\langle \sum_B K(\nu, B) \prod_{i,j} (x_i y_j)^{B_{i,j}}, s_\lambda(\mathbf{x}) s_\mu(\mathbf{y}) \right\rangle \\ &\leq \sum_B K(\nu, B) \left\langle \mathbf{x}^{\text{row}B} \mathbf{y}^{\text{col}B}, \left(\sum_{\alpha \trianglelefteq \lambda} K(\lambda, \alpha) s_\alpha(\mathbf{x}) \right) \left(\sum_{\beta \trianglelefteq \mu} K_{\mu, \beta} s_\beta(\mathbf{y}) \right) \right\rangle \\ &\leq \sum_B K(\nu, B) \left\langle \mathbf{x}^{\text{row}B} \mathbf{y}^{\text{col}B}, h_\lambda(\mathbf{x}) h_\mu(\mathbf{y}) \right\rangle = \sum_{B \in \mathcal{T}(\lambda, \mu)} K(\nu, B), \end{aligned}$$

since the monomial and the homogenous symmetric functions are orthonormal. Here B goes through all 2-dimensional tables and $\text{row}B, \text{col}B$ are the row/column sums of its entries. \square

Example 4.4. Let $n = \ell^3$, $k = \ell^2$, $\lambda = \mu = (k^\ell)$, $\alpha = \ell$, and $\ell \rightarrow \infty$. Then

$$\text{T}(\lambda, \mu) \leq \exp[\ell^2 \log \ell + O(\ell^2)].$$

by Example 3.3. We can take $A = (a_{ij})$, where $a_{ij} = \ell$ for all $1 \leq i, j \leq \ell$. Denote by $\gamma = (\ell^{\ell^2})$ the corresponding partition. Let $\nu \vdash n$, s.t. $\ell(\nu) = r$. Then

$$\begin{aligned} g(\lambda, \mu, \nu) &\leq \text{T}(\lambda, \mu) \cdot K(\nu, \gamma) \leq \exp[\ell^2 \log \ell + O(\ell^2)] \cdot \binom{\ell + r - 1}{r - 1}^{\ell^2} \\ &\leq \exp[\ell^2 \log \ell + O(\ell^2)] \cdot (\ell + r - 1)^{(r-1)\ell^2} \leq \exp[r\ell^2 \log(\ell + r) + O(\ell^2)] \end{aligned}$$

Here the second inequality follows from the structure of $\text{SSYT}(\nu, \gamma)$. We need to place ℓ numbers a into r rows, for all $1 \leq a \leq \ell^2$. Note that these favorably compare to the dimension bounds for $r = o(\ell)$, see Example 2.1.

Remark 4.5. The reason these estimates are reasonable, is because the irreducible rep \mathbb{S}^λ is the largest part of the induced representation \mathbb{M}^λ . On the other hand, even in this case the lower bound is not expected to be anywhere close. Cf. [PPY, Cor. 3.12], which gives $\lambda = (a - 1)^{a^2}$ and $g(\lambda, \lambda, \lambda) = 0$.

Theorem 4.6. *Let $\lambda, \mu, \nu \vdash n$ such that $\ell(\lambda) = \ell$, $\ell(\mu) = m$, and $\ell(\nu) = r$. Then:*

$$g(\lambda, \mu, \nu) \leq \left(1 + \frac{\ell m r}{n}\right)^n \left(1 + \frac{\ell m}{n}\right)^n \left(1 + \frac{n}{\ell m r}\right)^{\ell m r} \left(1 + \frac{n}{\ell m}\right)^{\ell m}.$$

Remark 4.7. When $\lambda = \mu' \vdash n$, the smallest A^* is a 0/1 matrix, so $\gamma = (1^n)$. In this case $K(\nu, \gamma) = f^\nu$ and the bound in the theorem is useless since we already have $g(\lambda, \mu, \nu) \leq f^\nu$. This is why it helps to have $\ell(\lambda), \ell(\mu) = o(\sqrt{n})$.

4.3. Proof of the upper bound. We start with the following useful result:

Lemma 4.8. *Let $\lambda = (\lambda_1, \dots, \lambda_\ell)$, $\alpha = (\alpha_1, \dots, \alpha_\ell)$, $\lambda, \alpha \in \mathbb{R}_+^\ell$. Similarly, let $\mu = (\mu_1, \dots, \mu_m)$, $\beta = (\beta_1, \dots, \beta_m)$, $\mu, \beta \in \mathbb{R}_+^m$. Denote by $G(\lambda, \mu) := e^{g(Z)}$ the upper bound in Theorem 3.1. Then:*

$$G(\lambda, \mu) \leq G(\alpha, \beta) \quad \text{for all } \lambda \succeq \alpha, \mu \succeq \beta.$$

Proof. As in the discrete case, it is easy to see that if two weakly decreasing sequences λ, α majorize one another, $\lambda \succeq \alpha$, then λ can be obtained from α by a finite sequence of operations adding vectors of the form $te(i, j)$, where $e(i, j)_r = 0$ for $r \neq i, j$ and $e(i, j)_i = 1, e(i, j)_j = -1$, see e.g. [MOA, §2]. Now it is enough to prove the inequality in the case when $\mu = \beta$ and $\lambda = \alpha + te(i, j)$, and apply it consecutively in the algorithm obtaining (α, β) from (λ, μ) by changing α to λ first, and then β to μ .

Let $w \in \mathbb{R}_+^{\ell^2}$ be the unique maximizer of $g(Z)$ for $G(\lambda, \mu)$, and let $\alpha = \lambda - te(i, j)$ and assume for simplicity that $i = 1, j = 2$. Consider the $2 \times \ell$ section with rows 1, 2, and let its column margins be a_1, \dots, a_ℓ . We have:

$$\sum_{i=1}^{\ell} (w_{1i} - w_{2i}) = \lambda_1 - \lambda_2 \geq 2t,$$

so the positive terms among $(w_{1i} - w_{2i})$ add up to at least $2t$. Assume for simplicity that the positive terms are for $i = 1, \dots, r$, and choose $0 \leq t_i \leq \frac{1}{2}(w_{1i} - w_{2i})$, so that $t_1 + \dots + t_r = t$. Let $z_{ij} = w_{ij}$ for $i \neq 1, 2$ or $j > r$, and let $z_{1j} = w_{1j} - t_j, z_{2j} = w_{2j} + t_j$. Then z has margins (α, μ) , and we will show that $g(z) \geq g(w)$.

To see this, let $f(x) = (1+x)\log(1+x) - x\log x$, and note that $f(a-x) + f(b+x)$ is increasing when $a > b$ and $x \in [0, \frac{a-b}{2}]$. Hence,

$$f(z_{1j}) + f(z_{2j}) = f(w_{1j} - t_j) + f(w_{2j} + t_j) \geq f(w_{1j}) + f(w_{2j})$$

for $j = 1, \dots, r$, and equal for the other indices. Thus, $g(z) \geq g(w)$. We have:

$$G(\alpha, \mu) = \max_{Z \in \mathcal{P}(\alpha, \mu)} \exp g(Z) \geq \exp g(z) \geq \exp g(w) = G(\lambda, \mu),$$

which completes the proof. □

Note that α, β in the lemma are not necessarily integral. When they are in fact integer partitions, this lemma on majorization over margins is motivated by the similar majorization inequality for the number of contingency tables:

$$\mathbb{T}(\lambda, \mu) \leq \mathbb{T}(\alpha, \beta) \quad \text{for all } \lambda \succeq \alpha, \mu \succeq \beta,$$

see e.g. [B2].

Proof of Theorem 4.6. Let $\tau = (\lceil n/\ell m \rceil^a \lfloor n/\ell m \rfloor^b)$, s.t. $a + b = \ell m$. Clearly, $\tau \preceq \mathcal{T}(\lambda, \mu)$. By Proposition 4.3, we have:

$$g(\lambda, \mu, \nu) \leq \mathbb{T}(\lambda, \mu) \cdot \mathbb{T}(\nu, \tau).$$

Let $\alpha := (n/\ell)^\ell$, $\beta := (n/m)^m$, $\gamma := (n/r)^r$, $\omega = (n/\ell m)^{\ell m}$. By Theorem 3.1 and Lemma 4.8, we have:

$$\begin{aligned} \mathbb{T}(\lambda, \mu) &\leq G(\lambda, \mu) \leq G(\alpha, \beta) \\ \mathbb{T}(\nu, \tau) &\leq G(\nu, \tau) \leq G(\gamma, \omega). \end{aligned}$$

A direct calculation gives:

$$\begin{aligned} G(\alpha, \beta) &= \exp \left[\ell m \cdot \left[\left(\frac{n}{\ell m} + 1 \right) \log \left(\frac{n}{\ell m} + 1 \right) - \frac{n}{\ell m} \log \frac{n}{\ell m} \right] \right] \\ &= \exp \left[n \log \left(\frac{\ell m}{n} + 1 \right) + m \ell \log \left(\frac{n}{\ell m} + 1 \right) \right], \end{aligned}$$

and

$$\begin{aligned} G(\gamma, \omega) &= \exp \left[\ell m r \cdot \left[\left(\frac{n}{\ell m r} + 1 \right) \log \left(\frac{n}{\ell m r} + 1 \right) - \frac{n}{\ell m r} \log \frac{n}{\ell m r} \right] \right] \\ &= \exp \left[n \log \left(\frac{\ell m r}{n} + 1 \right) + \ell m r \log \left(\frac{n}{\ell m r} + 1 \right) \right] \end{aligned}$$

Combining the bound above gives the result. \square

5. THE 3-DIMENSIONAL CTs APPROACH

Let $\lambda, \mu, \nu \vdash n$. Denote by $\mathbb{T}(\lambda, \mu, \nu)$ the number of 3-dimensional contingency tables with 2-dimensional sums given by λ, μ and ν .

Theorem 5.1. *We have: $g(\lambda, \mu, \nu) \leq \mathbb{T}(\lambda, \mu, \nu)$.*

Proof. Recall *Schur's theorem*¹ [S2, Exc. 7.78f], that:

$$\sum_{(\lambda, \mu, \nu) \in \mathcal{P}^3} g(\lambda, \mu, \nu) s_\lambda(\mathbf{x}) s_\mu(\mathbf{y}) s_\nu(\mathbf{z}) = \sum_{(\alpha, \beta, \gamma) \in \mathcal{P}^3} \mathbb{T}(\alpha, \beta, \gamma) m_\alpha(\mathbf{x}) m_\beta(\mathbf{y}) m_\gamma(\mathbf{z}).$$

Taking the coefficients in $\mathbf{x}^\alpha \mathbf{y}^\beta \mathbf{z}^\gamma$ on both sides gives:

$$\mathbb{T}(\alpha, \beta, \gamma) = \sum_{\lambda \supseteq \alpha, \mu \supseteq \beta, \nu \supseteq \gamma} g(\lambda, \mu, \nu) K_{\lambda\alpha} K_{\mu\beta} K_{\nu\gamma} \geq g(\alpha, \beta, \gamma),$$

where the inequality follows from $K_{\alpha\alpha} = 1$ for all $\alpha \vdash n$. \square

Example 5.2. Let $n = \ell^3$, $k = \ell^2$, $\lambda = \mu = (k^\ell)$, and $\ell \rightarrow \infty$. Let $\nu = (n/r)^r \vdash n$, where $\ell(\nu) = r = o(\ell)$. By Theorem 3.5, we have:

$$g(\lambda, \mu, \nu) \leq \mathbb{T}(\lambda, \mu, \nu) \leq G(\lambda, \mu, \nu) = \exp g(Z),$$

where $Z = (z_{ijs})$, $z_{ijs} = 1/\ell^2 r$. We have:

$$\begin{aligned} g(Z) &= \ell^2 r \left[\left(\frac{n}{\ell^2 r} + 1 \right) \log \left(1 + \frac{n}{\ell^2 r} \right) - \left(\frac{n}{\ell^2 r} \right) \log \left(\frac{n}{\ell^2 r} \right) \right] \\ &= n \log \left(1 + \ell^2 r/n \right) + \ell^2 r \left[\log n + \log \left(1 + \ell^2 r/n \right) - \log(\ell^2 r) \right] \\ &= \ell^2 r + O((\ell^2 r)^2/n) + \ell^2 r (3 \log \ell + O(\ell^2 r/n) - 2 \log \ell - \log r) \\ &= \ell^2 r \log \ell - \ell^2 r \log r + O(\ell^2 r). \end{aligned}$$

Therefore,

$$g(\lambda, \mu, \nu) \leq \exp \left[\ell^2 r \log \ell - \ell^2 r \log r + O(\ell^2 r) \right].$$

Note that this is a stronger bound than the one in Example 4.4.

¹Sometimes also called *generalized Cauchy identity*.

Theorem 5.3. *Let $\lambda, \mu, \nu \vdash n$ such that $\ell(\lambda) = \ell$, $\ell(\mu) = m$, and $\ell(\nu) = r$. Then:*

$$g(\lambda, \mu, \nu) \leq \left(1 + \frac{\ell m r}{n}\right)^n \left(1 + \frac{n}{\ell m r}\right)^{\ell m r}.$$

This is clearly a better bound than the one in Theorem 4.6.

Proof. In notation the proof of Theorem 4.6, we have $G(\alpha, \beta, \gamma) = G(\gamma, \omega)$. On the other hand, $g(\lambda, \mu, \nu) \leq G(\alpha, \beta, \gamma)$ by Theorem 5.1. The result follows. \square

Corollary 5.4. *Let $\lambda, \mu, \nu \vdash n$ such that $\ell(\lambda) = \ell$, $\ell(\mu) = m$, and $\ell(\nu) = r$. Suppose $\ell m r = o(n)$ as $n \rightarrow \infty$. Then:*

$$g(\lambda, \mu, \nu) \leq \exp[\ell m r \log n + O(\ell m r)].$$

Remark 5.5. In summary, we obtain stronger general bounds in Theorem 5.3 using contingency tables than that in Theorem 4.6 using Kostka numbers. At the same time, more careful estimates on Kostka numbers for $r = O(1)$ in Example 4.4 give the same bounds than that in the Example 5.2 via contingency tables. It is unclear if upper bounds on Kostka numbers can be improved to beat bounds on contingency tables in full generality.

6. BOUNDS FOR THE REDUCED KRONECKER COEFFICIENTS

The *reduced Kronecker coefficients* were introduced by Murnaghan in 1938 as the stable limit of *Kronecker coefficients*, when a long first row is added:

$$(6.1) \quad \bar{g}(\alpha, \beta, \gamma) := \lim_{n \rightarrow \infty} g(\alpha[n], \beta[n], \gamma[n]),$$

where

$$\alpha[n] := (n - |\alpha|, \alpha_1, \alpha_2, \dots), \quad \text{for all } n \geq |\alpha| + \alpha_1,$$

see [Mur1, Mur2]. They generalize the classical *Littlewood–Richardson (LR–) coefficients*:

$$\bar{g}(\alpha, \beta, \gamma) = c_{\beta\gamma}^{\alpha} \quad \text{for } |\alpha| = |\beta| + |\gamma|,$$

see [Lit]. As such, they occupy the middle ground between the Kronecker and the LR–coefficients. We apply the results above to give an upper bound for the reduced Kronecker coefficients with few rows. Note that only other upper bound we know for $\bar{g}(\alpha, \beta, \gamma)$ is the upper bound for the maximal value given in [PP3].

Theorem 6.1. *Let $\alpha \vdash a$, $\beta \vdash b$, $\gamma \vdash c$, such that $\ell(\alpha) = \ell$, $\ell(\beta) = m$, and $\ell(\gamma) = r$. Denote $N := a + b + c$. Then:*

$$\bar{g}(\alpha, \beta, \gamma) \leq \sum_{n=0, n=N \bmod 2}^{\min\{a, b, c\}} \mathbb{E}(\ell m r, n) \cdot \mathbb{E}(\ell m, v - c) \cdot \mathbb{E}(\ell r, v - b) \cdot \mathbb{E}(m r, v - a),$$

where

$$\mathbb{E}(s, w) := \left(1 + \frac{s}{w}\right)^w \left(1 + \frac{w}{s}\right)^s \quad \text{for } w > 0,$$

$$\mathbb{E}(s, 0) := 1, \quad \text{and } v := \frac{1}{2}(N - 3n).$$

The proof follows the previous pattern. We begin with the following combinatorial lemma.

Lemma 6.2.

$$g(\alpha, \beta, \gamma) \leq R(\alpha, \beta, \gamma),$$

where

$$R(\alpha, \beta, \gamma) = \sum_{\Phi(\alpha, \beta, \gamma)} T(\lambda, \mu, \nu) T(\pi, \rho) T(\sigma, \tau) T(\eta, \zeta),$$

and

$$\Phi(\alpha, \beta, \gamma) := \{(\lambda, \mu, \nu, \pi, \rho, \sigma, \tau, \eta, \zeta) \in \mathcal{P}^9, (\alpha, \beta, \gamma) = (\lambda, \mu, \nu) + (\pi, \rho, \emptyset) + (\sigma, \emptyset, \tau) + (\emptyset, \eta, \zeta)\}.$$

Proof. The result follows from the identity given recently in [BR], and by taking the coefficients in $\mathbf{x}^\alpha \mathbf{y}^\beta \mathbf{z}^\gamma$ on both sides:

$$\begin{aligned} & \sum_{(\alpha, \beta, \gamma) \in \mathcal{P}^3} \bar{g}(\alpha, \beta, \gamma) s_\alpha(\mathbf{x}) s_\beta(\mathbf{y}) s_\gamma(\mathbf{z}) \\ &= \left[\prod_{i,j,k=1}^{\infty} \frac{1}{1 - x_i y_j z_k} \right] \left[\prod_{i,j=1}^{\infty} \frac{1}{1 - x_i y_j} \right] \left[\prod_{i,k=1}^{\infty} \frac{1}{1 - x_i z_k} \right] \left[\prod_{j,k=1}^{\infty} \frac{1}{1 - y_j z_k} \right] \\ &= \sum_{(\alpha, \beta, \gamma) \in \mathcal{P}^3} R(\alpha, \beta, \gamma) m_\alpha(\mathbf{x}) m_\beta(\mathbf{y}) m_\gamma(\mathbf{z}). \end{aligned}$$

Here the nine partitions in the definition of $\Phi(\alpha, \beta, \gamma)$ come from a combinatorial interpretation of one 3-dimensional and three 2-dimensional contingency tables. \square

Proof of Theorem 6.1. In notation of Lemma 6.2, denote $n := |\lambda| = |\mu| = |\nu|$. Note that $n \leq a, b, c$, and has the same parity as $N = a + b + c$. Observe that n determines the remaining six partitions sizes: $\pi, \rho \vdash v - c$, $\sigma, \tau \vdash v - b$, and $\eta, \zeta \vdash v - a$. By assumption as in the theorem, we have an upper bound on the number of rows of all nine partitions: $\ell(\lambda), \ell(\pi), \ell(\sigma) \leq \ell$, $\ell(\mu), \ell(\rho), \ell(\eta) \leq m$, and $\ell(\nu), \ell(\tau), \ell(\zeta) \leq r$. In notation of the theorem, from the proof in the previous section we obtain:

$$T(\lambda, \mu, \nu) \leq G((n/\ell)^\ell, (n/m)^m, (n/r)^r) = E(\ell m r, n).$$

The same holds for the remaining pairs of partitions:

$$T(\pi, \rho) \leq G(((v-c)/\ell)^\ell, ((v-c)/m)^m) = E(\ell m, v-c),$$

$$T(\sigma, \tau) \leq G(((v-b)/\ell)^\ell, ((v-b)/r)^r) = E(\ell r, v-c),$$

$$T(\eta, \zeta) \leq G(((v-a)/m)^m, ((v-a)/r)^r) = E(m r, v-c).$$

Note that in the case of empty partitions of zero, we have a unique zero contingency table, giving $T(\cdot) = E(\cdot, 0) = 1$, by definition in the statement of the theorem. Putting all these bounds into the main inequality in Lemma 6.2, implies the result. \square

Example 6.3. Suppose $a = N/6$, $b = N/3$ and $c = N/2$, with $\ell = m = r = N^{1/3}$. Then the sum over n in Theorem 6.1 maximizes at $n = a = N/6$. Thus $v = N/2$, and we have:

$$\begin{aligned} \bar{g}(\alpha, \beta, \gamma) &\leq (n/2) \cdot E(N, N/6) \cdot E(N^{2/3}, 0) \cdot E(N^{2/3}, N/6) \cdot E(N^{2/3}, N/3) \\ &\leq \left(1 + \frac{1}{6}\right)^N (1+6)^{N/6} \cdot \exp O(N^{2/3}) \\ &\leq \exp [cN + O(N^{2/3})]. \end{aligned}$$

where $c = (\log 7/6) + (\log 7)/6 \approx 0.4785$.

Note that in the limit (o) it suffices to take $n \geq |\alpha| + |\beta| + |\gamma|$, see [BOR, V2]. Since the number of rows increases only by 1 under the limit, Theorem 5.3 still gives an exponential bound for $\bar{g}(\alpha, \beta, \gamma)$, but with a much larger constant.

7. MULTI-LR APPROACH

Let $K_{\lambda,\mu}^{(-1)}$ be the inverse of the Kostka matrix. Recall that $K_{\lambda,\mu}^{(-1)} = 0$ unless $\lambda \supseteq \mu$.

Lemma 7.1. *For all $\lambda, \mu \vdash n$, we have:*

$$|K_{\lambda,\mu}^{(-1)}| \leq \ell(\mu)!$$

First proof. Let $\ell = \ell(\lambda)$, $m = \ell(\mu)$. By [ER, Theorem 1], $K_{\lambda,\mu}^{(-1)}$ is a signed sum of the ‘‘special’’ ribbon (rim hook) tableaux of shape μ with hook length λ_i . By the definition in [ER] and trivial induction, these are uniquely determined by positions of starting squares of ribbons along the first column. Thus the number of ribbon tableaux is at most $m(m-1) \cdots (m-\ell+1) \leq m!$, as desired. \square

In special cases, the combinatorial interpretation in [ER] can perhaps lead to further improvements of the upper bound. For completeness, we enclose a short proof which avoids [ER].

Second proof. Note that these are the coefficients in the Schur function expansion

$$s_\lambda = \sum_{\mu} K_{\lambda,\mu}^{(-1)} h_\mu,$$

see e.g. [Mac, S2]. On the other hand, by the Jacobi–Trudi identity we have:

$$s_\lambda = \det \left[h_{\lambda_i - i + j} \right]_{i,j=1}^{\ell(\lambda)}.$$

This gives:

$$\sum_{\mu \vdash n} |K_{\lambda,\mu}^{(-1)}| = \ell(\lambda)!$$

By Theorem 3.8, we have $\ell(\lambda) \leq \ell(\mu)$, and the inequality follows. \square

Theorem 7.2 ([V2]). *Let $\lambda, \mu, \nu \vdash n$ and $\ell(\nu) = r$.*

$$g(\lambda, \mu, \nu) = \sum_{\pi \supseteq \nu} K_{\pi,\nu}^{(-1)} \text{LR}(\lambda, \mu | \pi),$$

where

$$\text{LR}(\lambda, \mu | \pi) = \sum_{\rho^{(1)} \vdash \pi_1, \dots, \rho^{(s)} \vdash \pi_s} c(\lambda | \rho^{(1)}, \dots, \rho^{(s)}) \cdot c(\mu | \rho^{(1)}, \dots, \rho^{(s)}),$$

$s = \ell(\pi) \leq r$, and $c(\lambda | \rho^{(1)}, \dots, \rho^{(s)})$ is a multi-LR coefficient.

Theorem 7.3 ([PPY, Cor. 4.12]). *Let $\lambda \vdash n$, $|\mu| + |\nu| = n$, $\ell = \ell(\lambda)$. Then*

$$c_{\mu\nu}^\lambda \leq (\lambda_1 + \ell)^{\ell^2/2}$$

Lemma 7.4. *In the notation above, let $\ell(\lambda) = \ell$, $\ell(\pi) = s$. Then:*

$$c(\lambda | \rho^{(1)}, \dots, \rho^{(s)}) \leq p(n)^{s-1} \cdot (\ell + \lambda_1)^{s\ell^2/2}$$

Proof. This follows from the definition of multi-LR coefficient in [V2] as an increasing chain of LR-tableaux of length s . The number of such chains is trivially bounded by $p(n)^{s-1}$, while the numbers of each LR-tableaux is at most $(\lambda_1 + \ell)^{\ell^2/2}$ from above. \square

Theorem 7.5. *Let $\ell(\lambda) = \ell$, $\ell(\mu) = m$, $\ell(\nu) = r$. Then:*

$$g(\lambda, \mu, \nu) \leq r! \cdot p(n)^{3r-2} \cdot n^{r-1} \cdot (\ell + \lambda_1)^{r\ell^2/2} (m + \mu_1)^{r m^2/2}$$

Proof. This bound follows by combining the lemma and estimates above. Indeed, in the summation for $\text{LR}(\cdot)$, the sum is over at most $p(n)^r$ terms. On the other hand, there are at most n^{r-1} partitions π in the summation for $g(\lambda, \mu, \nu)$. This follows from $\pi \supseteq \nu$, and the fact that there are at most n^{r-1} partitions π with $\ell(\pi) \leq r$. \square

Corollary 7.6. *Let $\lambda, \mu, \nu \vdash n$ such that $\ell(\lambda) = \ell$, $\ell(\mu) = m$, and $\ell(\nu) = r$. Suppose $\ell^2 r = o(n)$, $m^2 r = o(n)$ as $n \rightarrow \infty$. Then:*

$$\begin{aligned} g(\lambda, \mu, \nu) &\leq \exp \left[\frac{1}{2} \ell^2 r \log(\ell + \lambda_1) + \frac{1}{2} m^2 r \log(m + \mu_1) + O(r\sqrt{n}) \right] \\ &\leq \exp \left[\frac{1}{2} (\ell^2 + m^2) r \log n + O(r\sqrt{n}) \right]. \end{aligned}$$

Remark 7.7. This bound is *always* asymptotically weaker than that in Corollary 5.4 for $\ell \neq m$. On the other hand, for $\ell, m = o(n^{1/4})$ the dominant term is $O(r\sqrt{n})$, which is too crude a bound for the number of diagram chains.

Example 7.8. As before, let $n = \ell^3$, $k = \ell^2$, $\lambda = \mu = (k^\ell)$, and $\ell \rightarrow \infty$. Let $\nu = (n/r)^r \vdash n$, where $\ell(\nu) = r \leq \ell$. The theorem gives:

$$g(\lambda, \mu, \nu) \leq \exp [r\ell^2 \log \ell + O(r\ell^{3/2})].$$

This bound gives the same leading term than that in examples 4.4 and 5.2 and has the error term somewhere in between.

Remark 7.9. Note that the bounds in Theorem 7.5 are tight for $\ell(\lambda), \ell(\mu) = \Theta(\sqrt{n})$, since *all terms* in the product become $\exp \Theta(n \log n)$, while we already know that for *some* such λ, μ, ν we get $g(\lambda, \mu, \nu)$ of the same order:

$$\max_{\lambda, \mu, \nu \vdash n} g(\lambda, \mu, \nu) \geq \sqrt{n!} \exp[-c\sqrt{n}] \quad \text{for some } c > 0,$$

see [PPY, §3].

8. BINARY CONTINGENCY TABLES APPROACH

8.1. Upper bound. Let $\lambda, \mu, \nu \vdash n$. As before, denote by $\mathcal{B}(\lambda, \mu, \nu)$ and $B(\lambda, \mu, \nu)$ the set and the number of 3-dimensional *binary* contingency tables, respectively.

Theorem 8.1 (see §10.1). *We have: $g(\lambda, \mu, \nu) \leq B(\lambda', \mu', \nu')$.*

Although this result is well known in the literature, we include two short proofs for completeness.

First proof. By analogy with the proof of Theorem 5.1, recall the *dual Schur's theorem*:

$$\sum_{(\lambda, \mu, \nu) \in \mathcal{P}^3} g(\lambda, \mu, \nu) s_{\lambda'}(\mathbf{x}) s_{\mu'}(\mathbf{y}) s_{\nu'}(\mathbf{z}) = \sum_{(\alpha, \beta, \gamma) \in \mathcal{P}^3} B(\alpha, \beta, \gamma) m_\alpha(\mathbf{x}) m_\beta(\mathbf{y}) m_\gamma(\mathbf{z}).$$

The result now follows by taking the coefficients in $\mathbf{x}^\alpha \mathbf{y}^\beta \mathbf{z}^\gamma$ on both sides. \square

Second proof. Recall the proof of the first inequality in Proposition 4.3. Equation (4.1) states:

$$\phi^\lambda \cdot \phi^\mu = \sum_{B \in \mathcal{T}(\lambda, \mu)} \phi^B.$$

Now, for every $B = (b_{ij}) \in \mathcal{T}(\lambda, \mu)$ take $X_B := (x_{ijk}) \in \mathcal{B}(\lambda, \mu, B')$, where $x_{ijk} = 1$ if $k \leq b_{ij}$ and $x_{ijk} = 0$ otherwise. Taking the binary tables with $B' = \nu'$, we conclude:

$$g(\lambda, \mu, \nu) \leq \sum_{B \in \mathcal{T}(\lambda, \mu)} K(\nu, B) \leq B(\lambda, \mu, \nu'),$$

and the result follows from the symmetry $g(\lambda, \mu, \nu') = g(\lambda', \mu', \nu')$. \square

Example 8.2. Let $\lambda = \mu = \nu = (m+1, 1^m)$, $n = 2m+1$. Note that in this case $B(\lambda, \mu, \nu) > m!$, by placing $(m+1)$ ones along a line and a permutation in S_m into an orthogonal 2-plane. On the other hand, $g(\lambda, \mu, \nu) \leq f^\lambda = \binom{2m}{m} < 4^m$ is a much better estimate. In fact, $g(\lambda, \mu, \nu) = 1$ in this case, see e.g. [Rem, Ros].

Example 8.3. Let $\lambda = \mu = \nu = (\ell^k)$, where $n = \ell^3$, $k = \ell^2$. Then $B(\lambda, \mu, \nu) = 1$ since there is a unique $\ell \times \ell \times \ell$ binary table with all 2-dim sums ℓ^2 . It is easy to see directly that $g(\lambda, \mu, \nu) = 1$ in this case.

Remark 8.4. Note that Theorem 8.1 and the upper bound in Theorem 3.6 give *some* upper bound on the Kronecker coefficients $g(\lambda, \mu, \nu)$. We do not include this bound since majorization property does not hold for the number $B(\lambda, \mu, \nu)$ of binary contingency tables, and as a result there is no closed form inequality in terms of the numbers of rows of three partitions.

8.2. Tensor squares. Let $\lambda = \nu \vdash n$, $\ell(\lambda) = \ell$, $\lambda_1 = m$, $\ell(\nu) = r$. By Theorem 8.1, we have:

$$g(\lambda, \lambda, \nu) = g(\lambda', \lambda, \nu') \leq B(\lambda, \lambda', \nu).$$

Let us show that this bound is weaker than the dimension bound:

Proposition 8.5. *We have: $f^\nu \leq B(\lambda, \lambda', \nu)$.*

This implies that the upper bounds in the theorem cannot disprove the Saxl conjecture. In fact, in sharp contrast with examples 4.4 and 5.2, Theorem 8.1 does not give non-trivial upper bound for any tensor square.

Proof of Proposition 8.5. The result follows from two inequalities:

$$f^\nu \leq \binom{n}{\nu_1, \dots, \nu_r} \leq B(\lambda, \lambda', \nu).$$

The first inequality is a trivial consequence of

$$f^\nu = \chi^\nu(1) \leq \phi^\nu(1) = \binom{n}{\nu_1, \dots, \nu_r}.$$

The second inequality follows from the following interpretation of the multinomial coefficient. Start with an $\ell \times m$ matrix $X = (x_{ij})$, where $x_{ij} = 1$ if $j \leq \lambda_i$, and $x_{ij} = 0$ otherwise. Consider all binary contingency tables $Y = (y_{ijk}) \in \mathcal{B}(\lambda, \lambda', \nu)$ which project onto X along the third (vertical) coordinate. Because the horizontal margins are equal to ν_i , the number of such Y is exactly the multinomial coefficient as above. \square

9. PYRAMIDS APPROACH

9.1. Lower bound. Let $\lambda, \mu, \nu \vdash n$. A 3-dimensional binary contingency table $X = (x_{ijk}) \in \mathcal{B}(\lambda, \mu, \nu)$ is called a *pyramid* if whenever $x_{ijk} = 1$, we also have $x_{pqr} = 1$ for all $p \leq i$, $q \leq j$, $r \leq k$. Denote by $\mathcal{Pyr}(\lambda, \mu, \nu)$ the set of pyramids with margins λ, μ, ν . Finally, let $\text{Pyr}(\lambda, \mu, \nu) := |\mathcal{Pyr}(\lambda, \mu, \nu)|$ denote the number of pyramids.

Theorem 9.1 (§10.1). *We have: $\text{Pyr}(\lambda', \mu', \nu') \leq g(\lambda, \mu, \nu)$.*

Example 9.2. In notation of Example 8.3, the unique binary table is a pyramid. This implies that $g(\lambda, \mu, \nu) = 1$ in this case.

Example 9.3. In notation of the Saxl conjecture, we have $\text{Pyr}(\rho_\ell, \rho_\ell, \nu) = 0$, unless $\nu = (n)$. Indeed, suppose $X = (x_{ijk}) \in \mathcal{B}(\rho_\ell, \rho_\ell, \nu)$. Then $x_{\ell 1 1} = x_{1 \ell 1} = 1$ since the last margins $a_\ell = b_\ell > 0$. Since the first margins $a_1 = b_1 = \ell$, this implies that $x_{i 1 2} = x_{1 j 2} = 0$. Thus, $x_{i j 2} = 0$ for all $1 \leq i, j \leq \ell$. This implies that $\nu = (n)$, as desired. Note also that $g(\lambda, \lambda, (n)) = 1$ by definition and the symmetry $g(\lambda, \lambda, (n)) = g(\lambda, (n), \lambda)$.

In other words, the above argument and Proposition 8.5 imply that neither Theorem 8.1 nor Theorem 9.1 are useful for bounding $\text{Pyr}(\rho_\ell, \rho_\ell, \nu)$ as in the Saxl conjecture.

In fact, the argument generalizes verbatim for $\text{Pyr}(\lambda, \lambda', \nu)$, for all $\lambda \vdash n$. This shows that other instances of potential solutions for the *tensor square conjecture* [PPV] are also unreachable with this approach.

9.2. Explicit construction. It was shown by Stanley [S3] that

$$\max_{\lambda \vdash n} \max_{\mu \vdash n} \max_{\nu \vdash n} g(\lambda, \mu, \nu) = \sqrt{n!} e^{-O(\sqrt{n})}$$

In [PPY], we refined this to

$$\frac{f^\lambda f^\mu}{\sqrt{p(n)} n!} \leq \max_{\nu \vdash n} g(\lambda, \mu, \nu) \leq \min\{f^\lambda, f^\mu\},$$

where $p(n)$ is the number of partitions of n . Stanley's result follows from an easy asymptotic formula:

$$\max_{\lambda \vdash n} f^\lambda = \sqrt{n!} e^{-O(\sqrt{n})}$$

(cf. [VK2]). While we know the asymptotic shape maximizing f^λ , see [VK1], we do not know any explicit construction of $\lambda, \mu, \nu \vdash n$ which satisfy $g(\lambda, \mu, \nu) \geq \exp \Omega(n \log n)$. Here by an *explicit construction* we mean a complexity notion (there is a deterministic poly-time algorithm for generating the triple), but in fact we do not have a randomized algorithm either. In fact, for the purposes of this section, the naive combinatorial notion of an “explicit construction” will suffice.

The current best explicit construction of triples in [PP2, Thm 1.2] has $g(\lambda, \mu, \nu) = \exp \Theta(\sqrt{n})$, based on a technical proof using both algebraic and analytic arguments in the case $\lambda = \mu = (\ell^\ell)$, for $\nu = (k, k)$, $n = \ell^2 = 2k$. See also [MPP] which gives precise asymptotics in this case. It is a major challenge to improve upon this (relatively weak) bound. Below we give an elementary explicit construction of a better lower bound.

Theorem 9.4. *There is an explicit construction of $\lambda, \mu, \nu \vdash n$, such that:*

$$g(\lambda, \mu, \nu) = \exp \Omega(n^{2/3}).$$

To understand this result, observe the following:

Proposition 9.5. *For some $\lambda, \mu, \nu \vdash n$, we have*

$$\text{Pyr}(\lambda, \mu, \nu) = \exp \Theta(n^{2/3}).$$

Proof. Denote by

$$p_2(n) := \sum_{\lambda, \mu, \nu \vdash n} \text{Pyr}(\lambda, \mu, \nu)$$

the number of *plane partitions* of n , see e.g. [S2]. Recall that the number of triples of margins $\lambda, \mu, \nu \vdash n$ is

$$p(n)^3 = \exp \Theta(\sqrt{n}), \quad \text{while} \quad p_2(n) = \exp \Theta(n^{2/3}),$$

see e.g. [FS, §VIII.24-25]. This implies the result. \square

Lemma 9.6 ([V1, Ex. 3.3]). *For $\alpha = \beta = \gamma = (7, 4, 2) \vdash 13$ we have $\text{Pyr}(\alpha, \beta, \gamma) = 2$.*

Proof of Theorem 9.4. Fix $N = 13$, and two distinct $X, X' \in \mathcal{Pyr}(\alpha, \beta, \gamma)$ for some $\alpha = \beta = \gamma = (7, 3, 2) \vdash N$ as in the example above. Denote $\ell = \ell(\alpha) = 3$.

Let $s \geq 1$. Consider a matrix

$$Y = (y_{ijk}) \in \mathcal{Pyr}(\theta_{s-1}, \theta_{s-1}, \theta_{s-1})$$

given by $y_{ijk} = 1$ for all $i + j + k \leq s + 1$, and $y_{ijk} = 0$ otherwise, so $\theta_{s-1} = \left(\binom{s}{2}, \binom{s-1}{2}, \dots, \binom{2}{2}\right)$. Replace each 1 by an all-1 matrix of size $\ell \times \ell \times \ell$, each 0 with $i + j + k = s + 2$ with X or X' , and the remaining 0's by an all-0 matrix of the same size. There are $2^{s(s+1)/2} = \exp \Omega(s^2)$ resulting pyramids which all have the same margins $(\lambda^{(s)}, \mu^{(s)}, \nu^{(s)})$, where

$$n_s := |\lambda^{(s)}| = |\mu^{(s)}| = |\nu^{(s)}| = \ell^3 \cdot (1 + 3 + \dots + s(s-1)/2) + N \cdot \binom{s+1}{2} = \Theta(s^3).$$

By Theorem 9.1, this gives a lower bound

$$g((\lambda^{(s)})', (\mu^{(s)})', (\nu^{(s)})') \geq \text{Pyr}((\lambda^{(s)}), \mu^{(s)}, \nu^{(s)}) = \exp \Theta(n_s^{2/3}),$$

as desired. \square

Corollary 9.7. *Let $\mathcal{L}_n = \{\lambda \vdash n, \lambda = \lambda'\}$. We have:*

$$\sum_{\lambda \in \mathcal{L}_n} g(\lambda, \lambda, \lambda) = \exp \Omega(n^{2/3}).$$

Proof. A plane partition A is called *totally symmetric* if the corresponding pyramid has an S_3 -symmetry, see Case 4 in [Kra, S1] and [OEIS, A059867]. Denote by \mathcal{A}_n the set of totally symmetric plane partitions of n . By the symmetry, the margins of $A \in \mathcal{A}_n$ are triples $(\lambda, \lambda, \lambda)$, where $\lambda \in \mathcal{L}_n$. From Theorem 9.1, we have:

$$\sum_{\lambda \in \mathcal{L}_n} g(\lambda, \lambda, \lambda) \geq \sum_{\lambda \in \mathcal{L}_n} \text{Pyr}(\lambda, \lambda, \lambda) \geq |\mathcal{A}_n|.$$

It is easy to see by an argument similar to the proof of Theorem 9.4 above (or from the explicit product form GF), that:

$$|\mathcal{A}_n| = \exp \Omega(n^{2/3}).$$

This completes the proof. \square

Recall that $|\mathcal{L}_n| = \exp \Theta(n^{1/2})$, see e.g. [OEIS, A000700]. It was shown in [BB] that $g(\lambda, \lambda, \lambda) \geq 1$ for all $\lambda \in \mathcal{L}_n$. This gives only the $\exp \Omega(n^{1/2})$ lower bound for the LHS in Corollary 9.7. Of course, we believe a much stronger bound lower holds:

Conjecture 9.8. *Let $\mathcal{L}_n := \{\lambda \vdash n, \lambda = \lambda'\}$. We have:*

$$\sum_{\lambda \in \mathcal{L}_n} g(\lambda, \lambda, \lambda) = \exp \left[\frac{1}{2} n \log n + O(n) \right].$$

In the conjecture, the upper bound follows from equation (2.3). We refer to [PPY, §3] for partial motivation behind this conjecture.

10. FINAL REMARKS AND OPEN PROBLEMS

10.1. The history of theorems 5.1, 8.1 and 9.1 is a bit confusing. As we show, the upper bounds are immediate consequences of the standard symmetric functions identities, yet the binary version has been rediscovered in multiple times. On the one hand, as we show in the first proof of Theorem 8.1, it already follows from James and Kerber [JK, Lemma 2.9.16], and the combinatorics of Kostka numbers. Manivel [Man] discusses the same type of inequalities in a different context, and Vallejo proves Theorem 9.1 in a special case [V3, Cor. 3.5]. The first explicit statement of Theorem 8.1 (up to a restatement as in the second proof of Theorem 8.1), was given by Vallejo [V3]. In [IMW, Lemma 2.6], these two theorems are included in exactly the same form as we state. Theorem 5.1 can be deduced from Eq. (6) in [AV], although it is not stated in this form.

10.2. Note that in many cases no nontrivial bounds on Kronecker coefficients are known. For example, here are the best known bounds in our favorite example:

$$1 \leq g(\rho_k, \rho_k, \rho_k) \leq f^{\rho_k} = \sqrt{n!} e^{-O(n)},$$

see [BB, PPY]. Towards the Saxl conjecture, it is known that $g(\rho_k, \rho_k, \mu) > 0$ for $\exp \Omega(\sqrt{n})$ partitions μ with the same principal hooks as ρ_k [PPV, Prop. 4.14]. Same result holds for $\{\mu \succeq \rho_k\}$ [Ike]. Of course, the constants implied by the $\Omega(\cdot)$ notation is smaller than that in $p(n)$. Compare this with a remarkable recent result [BBS, Thm. B], that

$$g(\rho_k, \rho_k, \mu) > 0 \quad \text{for all } f^\mu \text{ odd.}$$

The number of partitions $\mu \vdash n$ s.t. f^μ is odd is computed in [McK], see also [OEIS, A059867], and is bounded from above by $\exp O((\log n)^2)$.

10.3. In addition to explicit constructions of Kronecker coefficients, one can ask similar questions about Kostka numbers and LR-coefficients. In fact, this question is trivial for Kostka numbers, since $K(\lambda, \mu)$ maximizes for $\mu = (1^n)$ and $\lambda \vdash n$ is of Plancherel shape, cf. [PPY]. Recall that $K(\lambda, \mu)$ is a special case of LR-coefficients of size $O(n^2)$, see [PV]. This gives an $\exp \Omega(\sqrt{n} \log n)$ lower bound for an explicit construction of LR-coefficients. In turn, Mur-naghan's theorem

$$g((N + |\mu|, \nu), (N + |\nu|, \mu), (N, \lambda)) = c_{\mu, \nu}^\lambda, \quad \text{for all } N > |\lambda| = |\mu| + |\nu|$$

(see e.g. [BOR]), would give an explicit construction for Kronecker coefficients of the same order. This is weaker than Theorem 9.4.

We expect that one should be able to improve the LR- and Kronecker coefficients explicit constructions to $\exp \Theta(n)$ by using a construction in [PPY, proof of Thm. 4.14] based on the *Knutson-Tao puzzles*. Of course, that proof is non-explicit and uses a counting argument similar to the one preceding Lemma 9.6, but we expect it to be made explicit in a way similar to the proof of Theorem 9.4. We intend to return to this problem in the future.

10.4. The breakthrough paper [IMW] not only proves that the vanishing problem $g(\lambda, \mu, \nu) > 0$ is NP-hard, it also proves that computing $g(\lambda, \mu, \nu)$ is *strongly #P-hard*, i.e. #P-hard when the input is in unary.² This would give further evidence in favor of computing $K(\lambda, \mu)$ and $T(\alpha, \beta)$ being strongly #P-complete, cf. [PP1].

10.5. Our original version of Lemma 9.6 was based on Proposition 9.5 as follows. Check that $p_2(2100) \approx 1.47 \cdot 10^{141}$, while $p(2100)^3 \approx 4.46 \cdot 10^{140}$, see tables in [OEIS, A000219] and [OEIS, A000041], respectively. Since $p_2(2100) > p(2100)^3$, we obtain an explicit construction of $\text{Pyr}(\alpha, \beta, \gamma) \geq 2$ for some $\alpha, \beta, \gamma \vdash N = 2100$. In principle, one should be able to avoid even this calculation, and obtain a bound $p_2(n) > p(n)^3$ for an explicit n by using tight asymptotic estimates. Unfortunately, bounds on the error term for $p(n)$, see e.g. [DP], are lacking for $p_2(n)$, cf. [GP].

²C. Ikenmeyer, personal communication (2020).

The value $N = 2100$ is much larger than $N = 13$ in Lemma 9.6. We first learned of the example $\lambda = (7, 4, 2)$ from John Machacek.³ In fact, Gjergji Zaimi showed that $n = 13$ is the smallest possible (ibid.) Vallejo later informed us that he published this example in [V1]. To understand and generalize this example, take a *cyclically symmetric* but not totally symmetric plane partition A (see [Kra, S1], Case 3), with margins $(\lambda, \lambda, \lambda)$, s.t. $\lambda = \lambda'$. Then $A' \neq A$ but both plane partitions have the same margins, implying that $g(\lambda, \lambda, \lambda) \geq 2$.

10.6. In the context of §9, we believe in the following claims.

Conjecture 10.1. *Denote by $a(n)$ and $b(n)$ the number of triples (λ, μ, ν) , $\lambda, \mu, \nu \vdash n$, s.t. $B(\lambda, \mu, \nu) \geq 1$ and $\text{Pyr}(\lambda, \mu, \nu) \geq 1$, respectively. Then:*

$$\frac{a(n)}{p(n)^3} \rightarrow 1 \quad \text{and} \quad \frac{b(n)}{p(n)^3} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

On the other hand, we believe that the Kronecker coefficients are non-vanishing a.s.

Conjecture 10.2. *Denote by $c(n)$ the number of triples (λ, μ, ν) , such that $\lambda, \mu, \nu \vdash n$ and $g(\lambda, \mu, \nu) \geq 1$. Then:*

$$\frac{c(n)}{p(n)^3} \rightarrow 1 \quad \text{as } n \rightarrow \infty.$$

These conjectures are motivated by Conjecture 8.3 in [PPV] which states that $\chi^\lambda[\mu] \neq 0$ a.s. In the opposite direction, it is known that the Kostka numbers $K(\lambda, \mu) = 0$ a.s. This is equivalent to the former *Wilf's conjecture* that the probability $P(\lambda \trianglelefteq \mu) \rightarrow 0$ for uniform random $\lambda, \mu \vdash n$. This conjecture was resolved by Pittel in [Pi1] (see also recent [Pi2] for effective bounds).

10.7. In the context of Section 9, it is worth noting that the limit shape for plane partitions is well known [CK], see also [Ok]. The limit shape is totally symmetric with margin curves corresponding to partitions $\lambda \vdash n$ with $f^\lambda = \sqrt{n!} e^{-O(n)}$. This does not immediately suggest that Conjecture 9.8 holds, but only that there is a large gap between the upper and lower bounds.

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³See <https://mathoverflow.net/questions/351376/plane-partitions-with-equal-margins>.

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