

# Residual Properties of 3-Manifold Groups

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# The authors back in 1979 ...



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## Example

The group  $\mathbb{Z}$  is residually  $p$  for every prime  $p$ : any non-zero  $k \in \mathbb{Z}$  is non-zero in  $\mathbb{Z}/p^e\mathbb{Z}$ , where  $e > 0$  is such that  $p^e \nmid k$ .

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## Non-example

The group  $G = \langle a, b : a^{-1}b^2a = b^3 \rangle$  is not residually finite.

## Properties of residually finite groups $G$

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## Residually $p$ is a strong property; e.g.:

Every non-abelian subgroup of a residually  $p$  group has a quotient isomorphic to  $\mathbb{F}_p \times \mathbb{F}_p$ .

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**Theorem (Thurston & Hempel 1987, + Perelman)**

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## Theorem (Thurston & Hempel 1987, + Perelman)

$\pi_1(N)$  is *residually finite*: for every homotopically non-trivial loop  $\gamma: [0, 1] \rightarrow N$  there is a finite-sheeted covering  $\tilde{N} \rightarrow N$  and some lifting  $[0, 1] \rightarrow \tilde{N}$  of  $\gamma$  which is not a loop.

## Remarks

- Let  $S$  be a surface. Then  $\pi_1(S)$  is residually finite (Baumslag, 1962), in fact, residually  $p$  for every prime  $p$ .

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## Question

Is  $\pi_1(N)$  always residually  $p$ ?  
residually nilpotent? residually  
solvable?



$$\pi_1(N) = \langle x, y : x^2 = y^3 \rangle$$

# Residual properties and low-dimensional topology

## Non-example

Suppose  $N = S^3 \setminus \nu(K)$  where  $K \subseteq S^3$  is a knot. Then

$$\pi_1(N) \text{ residually } p \iff \pi_1(N) \cong \mathbb{Z} \iff K \text{ trivial.}$$

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so  $P = \mathbb{Z}/p^k\mathbb{Z}$  for some  $k$ . Thus  $\alpha$  factors through

$$\pi_1(N) \rightarrow \pi_1(N)_{\text{ab}} = \mathbb{Z}.$$

## Definition

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## Example

Every finitely generated abelian group contains a free abelian subgroup of finite index, hence is virtually [residually  $p$  for all  $p$ ].

## Theorem (A & Friedl)

*For all but finitely many primes  $p$ ,  $\pi_1(N)$  is virtually residually  $p$ .*

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## Corollary

- 1 *For all but finitely many primes  $p$ ,  $\text{Aut}(\pi_1(N))$  is virtually residually  $p$ . (Hence  $\text{Aut}(\pi_1(N))$  is virtually torsion-free, so there is a bound on the size of its finite subgroups.)*

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- 2 *If  $N$  is closed and aspherical (i.e.,  $\pi_n(N) = 0$  for all  $n \geq 2$ ), then there is a bound on the size of finite groups of self-homeomorphisms of  $N$  having a common fixed point.*



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More substantial application of our main theorem in the recent proof by Friedl & Vidussi of a conjecture of Taubes.

Our theorem can also be seen as evidence of the following:

## Conjecture (Thurston?)

$\pi_1(N)$  is *linear*, i.e., there is an embedding

$$\pi_1(N) \hookrightarrow \mathrm{GL}(n, \mathbb{C}) \quad (\text{for some } n).$$

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This is due to the following theorem:

## Theorem (Malcev)

*Let  $G \leq \mathrm{GL}(n, \mathbb{C})$  be finitely generated. Then  $G$  is virtually residually  $p$  for all but finitely many primes  $p$ .*

Proof.

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There exists  $\alpha \in \mathbb{N}$  such that for every field  $K$ ,

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Then a non-principal ultraproduct  $K = \prod_{\alpha} K_\alpha / \mathcal{U}$  is an algebraically closed field such that  $V_K(f_1, \dots, f_n) = \emptyset$  and  $1 \notin (f_1, \dots, f_n)K[X]$ , contradicting Hilbert's Nullstellensatz.

Using similar techniques we show an approximation result which plays an important role in our proof:

## Theorem

*Let  $R$  be a finitely generated subring of  $\mathbb{C}$ . For all but finitely many  $p$  there exists a maximal ideal  $\mathfrak{m} \subseteq R$  such that  $R_{\mathfrak{m}}$  is unramified regular of mixed characteristic  $p$ .*

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The theorem is a consequence of the fact that a reduced algebra over a field whose regular locus is open has a regular point, and the following:

## Proposition

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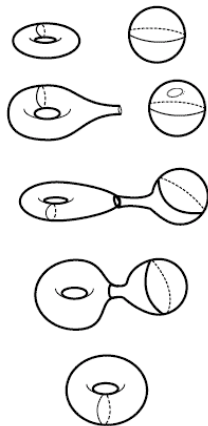
The analogous statement for being an integral domain is false:  $R = \mathbb{Z}[X]/(X^4 + 1)$  is a domain, but  $R/pR = \mathbb{F}_p[X]/(X^4 + 1)$  is never a domain.

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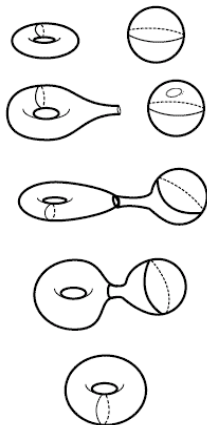
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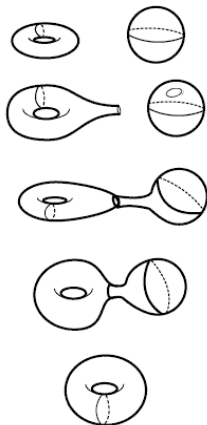
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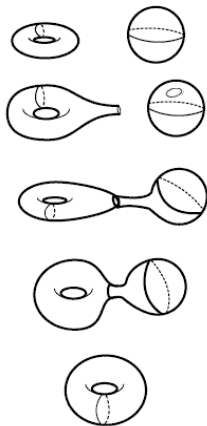
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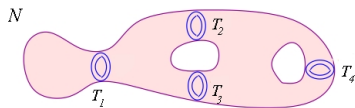
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$N = S^2 \times S^1$  is prime, but for technical reasons it's best to exclude it among prime 3-manifolds, which we do from now on.



## JSJ (or Torus) Decomposition

Suppose  $N$  is closed, prime, and orientable.



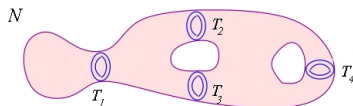
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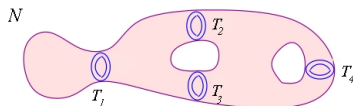
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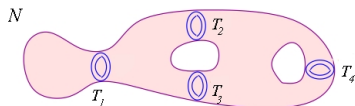
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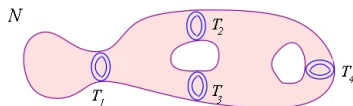
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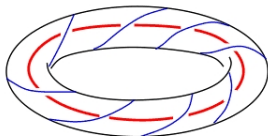
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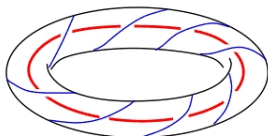


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Well-known:  $N$  Seifert fibered  $\Rightarrow \pi_1(N)$  linear (over  $\mathbb{Z}$ ).



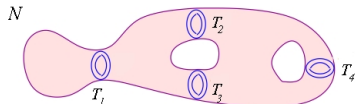
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There is a lifting

$$\begin{array}{ccc} & & \text{SL}(2, \mathbb{C}) \\ & \nearrow \text{dashed arrow} & \downarrow \\ \pi_1(N) \cong \Gamma & \longrightarrow & \text{PSL}(2, \mathbb{C}) = \text{SL}(2, \mathbb{C}) / (\text{center}) \end{array}$$

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It is much easier to be residually finite than residually  $p$

The amalgamated product  $G_1 *_H G_2$  of finite groups  $G_1, G_2$  over a common subgroup  $H$  is always residually finite. If  $G_1, G_2$  are  $p$ -groups,  $G_1 *_H G_2$  might not be residually  $p$ . (Higman)

Useful criterion for  $\pi_1(\mathcal{G})$  with finite vertex groups to be residually  $p$ :

There exists a morphism

$$\pi_1(\mathcal{G}) \xrightarrow{\text{injective on vertex groups}} P \text{ (} p\text{-group)}.$$

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[Main ingredients:

- a refinement of an amalgamation theorem by Higman, for which we need some facts on group rings;
- a criterion for HNN extensions to be residually  $p$  by Chatzidakis.]

**5. Unfolding  $\mathcal{G}$ .** Sufficient condition for  $\pi_1^*(\mathcal{G}_\rho, T)$  residually  $p$ : all identification isomorphisms between associated subgroups in the iterated HNN extension  $\pi_1^*(\mathcal{G}_\rho, T)$  of  $\Sigma$  are the identity.

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Show that one can pass to finite cover of  $N$  to achieve this, using kernel of

$$\pi_1(N) = \pi_1(\mathcal{G}) \rightarrow \text{Aut}(\Sigma)$$

obtained by extending each identification map in  $\mathcal{G}_\rho$  to an automorphism of the  $\mathbb{F}_p$ -linear space  $\Sigma$ .



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