

## An example of the difference between weak closedness and sequential weak closedness for Banach spaces

In response to a query in section, here I'll give hints on the analysis of an example of a Banach space  $X$  and a subset  $A$  of  $X$  whose weak closure and weak sequential closure are different.

In fact, the  $X$  we choose is very familiar:  $X := \ell_{\mathbb{R}}^1(S) = \ell^1$  for some countably infinite set  $S$  (it doesn't matter which such  $S$  we choose). In this space we will let  $A$  be the set of those functions  $f : S \rightarrow \mathbb{R}$  for which there are  $s, t \in S$  such that  $f(s) = 1$ ,  $f(t) = -1$  and  $f(z) = 0$  for all  $z \in S \setminus \{s, t\}$  (note, in particular, that this requires  $s \neq t$ ). Let us denote this particular  $f$  by  $\delta_s - \delta_t$ .

**Claim 1** *The zero function  $0 \in \ell^1$  lies in the weak closure of  $A$ , but not in its sequential weak closure.*

**Proof** This falls into two parts. I'll here give a complete proof for the first part, and reduce the second to an exercise for the reader.

**Part 1** We show that  $0$  lies in the weak closure of  $A$ . We use the identification of  $(\ell^1)^*$  with  $\ell^\infty$  (Theorem 6.15 in Folland's *Real Analysis*), so we need to show that for any  $g_1, g_2, \dots, g_k \in \ell^\infty$  and  $\varepsilon > 0$  there is a function  $f \in A$  with  $|\langle g_i, f \rangle| < \varepsilon$  for all  $i \leq k$ .

To do this, first observe that every  $g_i$  is bounded, so the combined function

$$\mathbf{g} : \mathbb{N} \rightarrow \mathbb{R}^k : x \mapsto (g_1(x), g_2(x), \dots, g_k(x))$$

takes values in some bounded subset  $K \subseteq \mathbb{R}^k$ . It follows that  $K$  is totally bounded, since this is equivalent to boundedness for subsets of  $\mathbb{R}^k$ . Therefore we can cover  $K$  by finitely many open balls of radius  $\varepsilon/2$  for the norm  $\|\cdot\|_\infty$

on  $\mathbb{R}^k$ , and so by cutting these balls down further we can partition  $K$  into finitely many subsets  $K_1, K_2, \dots, K_N$  each of radius less than  $\varepsilon$ .

Now the pulled-back sets  $\mathbf{g}^{-1}(K_1), \mathbf{g}^{-1}(K_2), \dots, \mathbf{g}^{-1}(K_N)$  form a partition of the infinite set  $S$ , and so one of these sets must be infinite, say  $\mathbf{g}^{-1}(K_i)$ . Therefore we can pick distinct  $s, t \in \mathbf{g}^{-1}(K_i)$ , and now set  $f := \delta_s - \delta_t$ . Now we have that

$$|\langle g_i, f \rangle| = |g_i(s) - g_i(t)|,$$

but we know that  $\mathbf{g}(s)$  and  $\mathbf{g}(t)$  both lie in  $K_i$ , and hence

$$|g_i(s) - g_i(t)| \leq \|\mathbf{g}(s) - \mathbf{g}(t)\|_\infty \leq \text{diam } K_i < \varepsilon,$$

so  $|\langle g_i, f \rangle| < \varepsilon$ , as desired.

**Part 2** On the other hand, suppose now that  $f_n$  is a sequence in  $A$ , say  $f_n = \delta_{s_n} - \delta_{t_n}$ . We will show that this cannot converge weakly to  $0 \in X$ : that is, that there is a subsequence  $(f_{n_k})_{k \geq 1}$  and some  $g \in \ell^\infty$  such that  $\langle g, f_{n_k} \rangle$  stays bounded away from  $\langle g, 0 \rangle = 0$  as  $k \rightarrow \infty$ .

In fact we will take  $g$  of the form  $1_E$  for some carefully-chosen  $E \subseteq \mathbb{N}$ . In this case we have  $\langle g, f_n \rangle = |E \cap \{s_n\}| - |E \cap \{t_n\}|$ , so the desired result is now a consequence of the following exercise:

**Exercise** Suppose that  $(s_n, t_n)_{n \geq 1}$  is a sequence of pairs in  $S \times S$  with  $s_n \neq t_n$  for all  $n \geq 1$ . As a matter of notation, let us say that a sequence  $s_n$  in  $S$  converges to  $\infty$ , or write that  $s_n \rightarrow \infty$ , if for every finite subset  $F \subset S$  there is an  $N$  such that  $s_n \in S \setminus F$  for all  $n \geq N$ .

(a) Show that at least one of the following must be true:

- Some pair  $(s, t)$  occurs in the sequence infinitely many times, and therefore there is some  $s$  with  $[s_n = s \text{ but } t_n \neq s]$  for infinitely many  $n$ ;
- There is an infinite subsequence  $(s_{n_k}, t_{n_k})_{k \geq 1}$  such that  $s_{n_k} \rightarrow \infty$  as  $k \rightarrow \infty$  but  $t_{n_k}$  is constant;
- There is an infinite subsequence  $(s_{n_k}, t_{n_k})_{k \geq 1}$  such that  $t_{n_k} \rightarrow \infty$  as  $k \rightarrow \infty$  but  $s_{n_k}$  is constant;
- Both  $s_n \rightarrow \infty$  and  $t_n \rightarrow \infty$ .

**(b)** Deduce that for any such sequence of pairs there is a subset  $E \subseteq S$  with  $[E \ni s_n \text{ but } E \not\ni t_n]$  for infinitely many  $n$ , so that  $\langle 1_E, f_n \rangle = 1$  for some subsequence of  $f_n$ .

By the above remarks this completes the proof of Claim 1. □

**Remark** Notice that in this example the set  $A$  is actually countable, so this feature by itself is not enough to close the gap between closedness and sequential closedness.

**Corollary 1** *The weak sequential closure of  $A$  (that is, the set of all weak limit points of weakly convergent sequences in  $A$ ) is not the same as its weak closure (the set of all weak limit points of weakly convergent nets in  $A$ ), since the latter contains  $0$  but the former does not.*

**Follow-up exercise** Describe either the weak closure or weak sequential closure of  $A$  as explicitly as you can.