

# NIELSEN NUMBERS OF $n$ -VALUED FIBER MAPS

Robert F. Brown

Department of Mathematics

University of California

Los Angeles, CA 90095

e-mail: rfb@math.ucla.edu

November 12, 2008

## Abstract

The Nielsen number for  $n$ -valued multimaps, defined by Schirmer, has been calculated only for the circle. A concept of  $n$ -valued fiber map on the total space of a fibration is introduced. A formula for the Nielsen numbers of  $n$ -valued fiber maps of fibrations over the circle reduces the calculation to the computation of Nielsen numbers of single-valued maps. If the fibration is orientable, the product formula for single-valued fiber maps fails to generalize, but a “semi-product formula” is obtained. In this way, the class of  $n$ -valued multimaps for which the Nielsen number can be computed is substantially enlarged.

**Subject Classification** 55M20, 54C60

## 1 Introduction

A *multifunction*  $\phi: X \multimap Y$  is a function such that  $\phi(x)$  is a subset of  $Y$  for each  $x \in X$ . For  $S$  a subset of  $Y$ , the set  $\phi^{-1}(S)$  consists of the points  $x \in X$  such that  $\phi(x) \subseteq S$  and the set  $\phi_+^{-1}(S)$  consists of the points  $x \in X$  such that  $\phi(x) \cap S \neq \emptyset$ . A multifunction  $\phi$  is said to be *upper semicontinuous* if  $U$  open in  $Y$  implies  $\phi^{-1}(U)$  is open in  $X$ . It is *lower semicontinuous* if  $U$  open in  $Y$  implies  $\phi_+^{-1}(U)$  is open in  $X$ .

An  *$n$ -valued multimap*  $\phi: X \multimap Y$  is a function such that  $\phi(x)$ , for each  $x \in X$ , is an unordered subset of  $n$  points of  $Y$  and  $\phi$  is both upper and lower semi-continuous. Schirmer introduced the Nielsen fixed point theory of  $n$ -valued multimaps in a series of papers [16], [17], [18]. The main result, there called the “minimum theorem” ([18], Theorem 5.2) states that if  $\phi: X \multimap X$  is an  $n$ -valued multimap of a compact triangulated manifold of dimension at least 3, then  $\phi$  is  $n$ -valued multimap homotopic to an  $n$ -valued multimap

$\psi: X \multimap X$  that has exactly  $N(\phi)$  fixed points, where  $N(\phi)$  is the Nielsen number defined in [17].

Schirmer's papers were not concerned with the calculation of the Nielsen number. There are only two examples in those papers, both are 2-valued multimaps of the circle, for which the Nielsen number is given. In [4], in addition to extending the minimum theorem to  $n$ -valued multimaps of the circle, we determined the Nielsen numbers for all the  $n$ -valued multimaps of the circle as follows. We defined the degree of an  $n$ -valued multimap  $\phi: S^1 \multimap S^1$  and proved that if  $\phi$  is of degree  $d$ , then  $N(\phi) = |n - d|$ . This concept of degree, which is presented in Section 4 below, extends the classical definition for a single-valued map  $f: S^1 \rightarrow S^1$  and thus the result generalizes the well-known formula  $N(f) = |1 - d|$  for  $f$  of degree  $d$ .

The purpose of this paper is to expand substantially the class of  $n$ -valued multimaps for which the Nielsen number can be computed. The type of multimap we consider, which we call an  $n$ -valued fiber map, is  $\Phi: E \multimap E$ , defined on the total space of a fibration  $p: E \rightarrow B$ . In Section 2, we define  $n$ -valued fiber maps and establish their basic properties. In Section 3, we show that the covering homotopy property of fibrations holds in the setting of  $n$ -valued multimaps. As a consequence, we extend a fix-finiteness theorem for  $n$ -valued multimaps, due to Schirmer, to  $n$ -valued fiber maps. The main result of the paper, presented in Section 4, concerns fibrations in which the base space  $B$  is the circle. Under this hypothesis, we obtain an addition formula that reduces the calculation of the Nielsen number  $N(\Phi)$  to the calculation of Nielsen numbers of single-valued functions. (The class of single-valued maps for which the Nielsen number can be calculated is quite large; see for instance [9] and [11].) In Section 5, we consider orientable fibrations over the circle. Nielsen numbers of single-valued fiber maps of such fibrations satisfy a product formula that does not hold in general for  $n$ -valued multimaps. However, we are able to show that a "semi-product formula" does hold and we find additional hypotheses under which the product formula is valid.

I thank Daciberg Goncalves for his help with proving Theorem 5.1 and the referee for improvements in the exposition.

## 2 Fiber maps

Throughout the paper, we will assume that all the spaces are finite polyhedra. Projections from cartesian products will be denoted  $\pi_X: X \times Y \rightarrow X$ . A map  $p: E \rightarrow B$  is a *fibration* if it

satisfies the absolute covering homotopy property. That is, given a homotopy  $H: X \times I \rightarrow B$  and a map  $f: X \times \{0\} \rightarrow E$  such that  $pf(x) = H(x, 0)$  for all  $x \in X$ , there exists a homotopy  $F: X \times I \rightarrow E$  such that  $F(x, 0) = f(x)$  and  $pF(x, t) = H(x, t)$  for all  $(x, t) \in X \times I$ .

Let  $p: E \rightarrow B$  and  $p': E' \rightarrow B'$  be fibrations. A pair of maps  $f: E \rightarrow E', \bar{f}: B \rightarrow B'$  such that  $p'f = \bar{f}p$  is a *morphism of fibrations* ([6], p. 390), more commonly called a *fiber map*  $f$  with *induced map*  $\bar{f}$  ([14], p. 75). If  $\bar{f}(b) = b$  then  $f$  takes the fiber  $p^{-1}(b)$  to itself and the restriction of  $f$  to the fiber is denoted by  $f_b: p^{-1}(b) \rightarrow p^{-1}(b)$ . We extend the class of fiber maps to the setting of  $n$ -valued multimaps in the following manner. An  $n$ -valued multimap  $\Phi: E \multimap E'$  will be called an  *$n$ -valued fiber map* if there is an *induced multimap*, an  $n$ -valued multimap  $\phi: B \multimap B'$  such that  $p'\Phi = \phi p$ , that is, the unordered sets  $p'\Phi(e)$  and  $\phi p(e)$  are identical for each  $e \in E$ . An  $n$ -valued fiber map  $\Phi$  has the properties: (1) if  $e_1, e_2 \in E$  such that  $p(e_1) = p(e_2)$ , then  $p\Phi(e_1) = p\Phi(e_2)$  and (2) for each  $e \in E$ , if  $\Phi(e) = \{e'_1, e'_2, \dots, e'_n\}$ , then  $p(e'_i) \neq p(e'_j)$  for all  $i \neq j$ . If  $p: E \rightarrow B$  is an open map, for instance a fiber bundle, then these properties are sufficient for an  $n$ -valued multimap  $\Phi: E \multimap E'$  to be an  $n$ -valued fiber map.

An  $n$ -valued multimap  $\psi: X \multimap Y$  is  *$w$ -split*, for some  $w$  with  $2 \leq w \leq n$ , if there exist  $n_j$ -valued multimaps  $\psi_j: X \multimap Y$  for  $j = 1, 2, \dots, w$ , where  $n_1 + n_2 + \dots + n_w = n$ , such that

$$\psi(x) = \{\psi_1(x), \psi_2(x), \dots, \psi_n(x)\}$$

for all  $x \in X$ . If  $\psi$  is an  $n$ -split  $n$ -valued multimap so that the  $\psi_j$  are single-valued maps, then  $\psi$  is just called a *split  $n$ -valued multimap*.

The following classical result from [2] is an important tool in the study of  $n$ -valued multimaps.

**Lemma 2.1.** (*Splitting Lemma*) *Let  $\phi: X \multimap Y$  be an  $n$ -valued multimap and let*

$$\Gamma_\phi = \{(x, y) \in X \times Y : y \in \phi(x)\}$$

*be the graph of  $\phi$ . Then  $\pi_X: \Gamma_\phi \rightarrow X$  is a covering space. It follows that if  $X$  is simply connected, then any  $n$ -valued multimap  $\phi: X \multimap Y$  is split.*

The Splitting Lemma permits us to relate the splitting of an  $n$ -valued multimap to the structure of its graph, as follows.

**Proposition 2.1.** *If the graph  $\Gamma_\phi$  of an  $n$ -valued multimap  $\phi: X \multimap Y$  has  $w$  path components, then  $\phi$  is  $w$ -split.*

*Proof.* Let  $C$  be a path component of  $\Gamma_\phi$  then, since  $\pi_X: C \rightarrow X$  is a local homeomorphism, it is a covering space by [15], Exercise 2.4, p. 151, with fibers of cardinality  $n_C \leq n$ . There is a multivalued function  $\phi_C: X \multimap Y$  defined by  $\phi_C(x) = \{y \in \phi(x): (x, y) \in C\}$ . To show that  $\phi_C$  is lower semi-continuous, suppose  $(x_0, y_0) \in C$  and  $U$  is an open subset of  $Y$  containing  $y_0$ . Since the projection  $\pi_Y: \Gamma_\phi \rightarrow Y$  is continuous, there is a neighborhood  $V$  of  $(x_0, y_0)$  in  $\Gamma_\phi$  such that  $(x, y) \in V$  implies  $y \in U$ . Let  $V_0$  be a neighborhood of  $(x_0, y_0)$  in the open subset  $V \cap C$  of  $\Gamma_\phi$  such that the restriction of the covering space  $\pi_X: \Gamma_\phi \rightarrow X$  to  $V_0$  is a homeomorphism. If  $x$  is in the open subset  $\pi_X(V_0)$  of  $X$ , then there exists  $y \in U$  such that  $(x, y) \in C$  and we have proved that  $\phi_C$  is lower semi-continuous. For the proof that  $\phi_C$  is upper semi-continuous, we assume that  $\phi_C(x_0) \subset U$  and, for each  $(x_0, y_k) \in C$ , we obtain a neighborhood  $V_k$  of  $x_0$  as we did  $V_0$  above. Then for  $V$  the intersection of the  $V_k$  we have  $\phi_C(V) \subseteq U$  to complete the proof that  $\phi_C$  is a multimap. Now suppose that  $\Gamma_\phi$  has  $w$  path components  $\{C_1, C_2, \dots, C_w\}$ . Then there are finite-valued multimaps  $\phi_j: X \multimap Y$  defined by  $\phi_j(x) = \{y \in \phi(x): (x, y) \in C_j\}$  such that  $\phi = \{\phi_1, \phi_2, \dots, \phi_w\}$ , that is,  $\phi$  is  $w$ -split.  $\square$

Splitting takes place for  $n$ -valued fiber maps, in the following manner.

**Lemma 2.2.** *Let  $p: E \rightarrow B$  and  $p': E' \rightarrow B'$  be fibrations, let  $\Phi: E \multimap E'$  be an  $n$ -valued fiber map and let  $b \in B$ , then the restriction  $\Phi_b: p^{-1}(b) \multimap E'$  of  $\Phi$  to  $p^{-1}(b)$  is split.*

*Proof.* For  $\phi: B \multimap B'$  the induced multimap of  $\Phi$ , let  $\phi(b) = \{b'_1, b'_2, \dots, b'_n\}$ . Define  $f_{bj}: p^{-1}(b) \rightarrow p'^{-1}(b'_j)$  by  $f_{bj}(e) = \Phi(e) \cap p'^{-1}(b'_j)$ , then

$$\Phi(e) = \{f_{b1}(e), f_{b2}(e), \dots, f_{bn}(e)\}$$

and we must show that the  $f_{bj}$  are continuous. Let  $e_0 \in p^{-1}(b)$  and let  $u'$  be a neighborhood of  $f_{bj}(e_0)$  in  $p'^{-1}(b'_j)$ . Let  $U'$  be an open subset of  $E'$  such that  $U' \cap p'^{-1}(b'_j) = u'$ . Let  $W' \subseteq B'$  be an open subset such that  $W' \cap \phi(b) = b'_j$ . Since  $\Phi$  is lower semi-continuous, there is an open subset  $V$  of  $E$  containing  $e_0$  such that  $\Phi(e) \cap (U' \cap p'^{-1}(W')) \neq \emptyset$  for all  $e \in V$ . If  $e \in V \cap p^{-1}(b) = v$  then  $W' \cap \phi(b) = b'_j$  implies that no point of  $\Phi(e)$  other than  $f_{bj}(e)$  is in  $U' \cap p'^{-1}(W')$  and since  $f_{bj}(e) \in p'^{-1}(b'_j)$ , then it must be that  $f_{bj}(e) \in u'$ . Thus  $f_{bj}(v) \subseteq u'$  and we conclude that  $f_{bj}$  is continuous.  $\square$

If  $\Phi: E \multimap E$  is an  $n$ -valued multimap and  $b$  is a fixed point of the induced multimap  $\phi: B \multimap B$ , then  $f_{bj}: p^{-1}(b) \rightarrow p^{-1}(b)$  for one

of the  $j = 1, 2, \dots, n$ . We set  $f_b = f_{bj}$  for that  $j$  and we have shown that  $f_b$  is continuous.

An  $n$ -valued homotopy is an  $n$ -valued multimap  $\Delta: X \times I \multimap Y$ . The  $n$ -valued multimaps  $\phi, \psi: X \multimap Y$  defined by  $\phi(x) = \Delta(x, 0)$  and  $\psi(x) = \Delta(x, 1)$  are said to be *homotopic*.

The path space of maps from  $I$  to a polyhedron  $X$ , with the uniform metric topology, will be denoted by  $X^I$ . Given a fibration  $p: E \rightarrow B$ , define

$$\Lambda(p) = \{(e, \omega) \in E \times B^I : p(e) = \omega(0)\}.$$

There is a *lifting function* for  $p$ , that is, a map  $\lambda: \Lambda(p) \rightarrow E^I$  such that  $p\lambda(e, \omega)(t) = \omega(t)$  or all  $t \in I$ . If  $p$  is a covering space, then it has the *unique path lifting property*, that is, if  $\bar{\omega}, \bar{\omega}' \in E^I$  such that  $p\bar{\omega}(t) = p\bar{\omega}'(t)$  for all  $t \in I$  and  $\bar{\omega}(t_0) = \bar{\omega}'(t_0)$  for some  $t_0 \in I$ , then  $\bar{\omega}(t) = \bar{\omega}'(t)$  for all  $t \in I$  ([10], Prop. 1.34, p. 62).

Generalizing Theorem 2.1 of [4], we have

**Proposition 2.2.** *Let  $\Delta: X \times I \multimap Y$  be an  $n$ -valued multimap and define  $\phi, \psi: X \multimap Y$  by  $\phi(x) = \Delta(x, 0)$  and  $\psi(x) = \Delta(x, 1)$ . If  $\phi$  is  $w$ -split as  $\phi = \{\phi_1, \phi_2, \dots, \phi_w\}$  then  $\Delta$  is  $w$ -split as  $\Delta = \{\Delta_1, \Delta_2, \dots, \Delta_w\}$  where  $\Delta_j(x, 0) = \phi_j(x)$ . Therefore  $\psi$  is  $w$ -split as  $\psi = \{\psi_1, \psi_2, \dots, \psi_w\}$ , where  $\psi_j(x) = \Delta_j(x, 1)$ , and each  $\phi_j$  is homotopic to  $\psi_j$  by  $\Delta_j$ .*

*Proof.* We consider the covering space  $\pi_{X \times I}: \Gamma_\Delta \rightarrow X \times I$  and its lifting function  $\lambda: \Lambda(\pi_{X \times I}) \rightarrow (\Gamma_\Delta)^I$ . For  $1 \leq j \leq w$ , define  $\Delta_j: X \times I \multimap Y$  as follows: if  $\phi_j(x) = \{y_1, y_2, \dots, y_{n_j}\}$ , then

$$\Delta_j(x, t) = \{\pi_Y[\lambda((x, 0), y_i), x \times I)(t)]\}_{i=1}^{n_j}.$$

The unique path lifting property implies that  $\Delta_j: X \times I \multimap Y$  is  $n_j$ -valued and that if  $j \neq k$ , then  $\Delta_j(x, t) \cap \Delta_k(x, t) = \emptyset$ . Since  $n_1 + n_2 + \dots + n_w = n$ , we see that  $\{\Delta_1, \Delta_2, \dots, \Delta_w\}$  is the required  $w$ -splitting of  $\Delta$ .  $\square$

**Proposition 2.3.** *Let  $p: E \rightarrow B$  and  $p': E' \rightarrow B'$  be fibrations and let  $\Phi: E \multimap E'$  be an  $n$ -valued fiber map with induced multimap  $\phi: B \multimap B'$ . If  $\phi$  is  $w$ -split as  $\phi = \{\phi_1, \phi_2, \dots, \phi_w\}$ , then  $\Phi$  is  $w$ -split as  $\Phi = \{\Phi_1, \Phi_2, \dots, \Phi_w\}$  such that each  $\phi_j$  is the induced multimap of the  $n_j$ -valued fiber map  $\Phi_j$ .*

*Proof.* For  $j = 1, 2, \dots, w$  define  $\Phi_j: E \multimap E'$  by

$$\Phi_j(e) = \Phi(e) \cap p'^{-1}(\phi_j(p(e)))$$

then  $\Phi(e) = \{\Phi_1(e), \Phi_2(e), \dots, \Phi_w(e)\}$  and  $p'\Phi_j = \phi_j p$ . We will prove that the  $\Phi_j$  are continuous. Let  $e_0 \in E$  and set  $b_0 = p(e_0)$ . There are disjoint open subsets  $W'_1, W'_2, \dots, W'_w$  of  $B'$  such that  $\phi_j(b_0) \subseteq W'_j$  for  $j = 1, 2, \dots, w$ . Since the  $\phi_j$  are upper semi-continuous, there are neighborhoods  $V_1, V_2, \dots, V_w$  of  $b_0$  such that  $\phi_j(V_j) \subseteq W'_j$ . Let  $V = V_1 \cap V_2 \cap \dots \cap V_w$  then for  $b \in V$  we have  $\phi_j(b) \subseteq W'_j$  and  $\phi_k(b) \cap W'_j = \emptyset$  if  $k \neq j$ . Now let  $U'$  be an open subset of  $E'$  such that  $\Phi_j(e_0) \cap U' \neq \emptyset$ . Let  $U'_j = U' \cap p'^{-1}(W'_j)$ . Since  $\Phi$  is lower semi-continuous, there is a neighborhood  $\mathcal{O}$  of  $e_0$  such that  $e \in \mathcal{O}$  implies  $\Phi(e) \cap U'_j \neq \emptyset$ . If  $e \in \mathcal{O} \cap p^{-1}(V)$ , then it must be that  $\Phi_k(e) \cap U'_j = \emptyset$  for  $k \neq j$  because  $\phi_k(p(e)) \cap W'_j = \emptyset$ . We have proved that  $\Phi_j(\mathcal{O} \cap p^{-1}(V)) \cap U'_j \neq \emptyset$  and therefore  $\Phi_j(\mathcal{O} \cap p^{-1}(V)) \cap U' \neq \emptyset$  so  $\Phi_j$  is lower semi-continuous. The upper semi-continuity of  $\Phi_j$  is a consequence of the corresponding property of  $\Phi$  in the same manner.  $\square$

### 3 Fix-finiteness

The main result, Theorem 6, of [16], a generalization of a classical result for single-valued maps due to Hopf [13], states that an  $n$ -valued multimap  $\phi: X \multimap X$  on a finite polyhedron can be approximated arbitrarily closely by an  $n$ -valued multimap with only finitely many fixed points, each of them in a maximal simplex of the polyhedron. According to Lemma 4.1 of [17], sufficiently close  $n$ -valued multimaps are homotopic. Thus  $\phi: X \multimap X$  is homotopic to an  $n$ -valued multimap  $\psi: X \multimap X$  that is *fix-finite* that is, it has finitely many fixed points, and each fixed point lies in a maximal simplex of  $X$ . The purpose of the present section is to prove the corresponding result in the setting of  $n$ -valued fiber maps.

We first extend the covering homotopy property to  $n$ -valued multimaps in order to obtain a tool that we will need for the study of  $n$ -valued fiber maps.

**Theorem 3.1.** *Let  $X$  be a finite polyhedron,  $p: E \rightarrow B$  a fibration,  $\phi: X \multimap E$  an  $n$ -valued multimap and  $\delta: X \times I \multimap B$  an  $n$ -valued multimap such that  $p\phi(x) = \delta(x, 0)$  for all  $x \in X$ , then there exists an  $n$ -valued multimap  $\Delta: X \times I \multimap E$  such that  $\Delta(x, 0) = \phi(x)$  and  $p\Delta(x, t) = \delta(x, t)$  for all  $(x, t) \in X \times I$ .*

*Proof.* Choose  $b_0 \in B$  and set  $Y = p^{-1}(b_0)$ . Define an open cover of  $X \times I$  as follows. For  $(x, t) \in X \times I$ , write  $\delta(x, t) = \{b_1, b_2, \dots, b_n\}$  and let  $U_1, U_2, \dots, U_n$  be  $n$  disjoint open contractible subsets of  $B$ , such that  $U_j \cap \delta(x, t) = b_j$  for each  $j = 1, 2, \dots, n$ . By Corollary

2.8.15 of [19], there are homotopy equivalences  $\zeta_j: p^{-1}(U_j) \rightarrow U_j \times Y$  with  $\pi_{U_j} \zeta_j = p$  and  $\theta_j: U_j \times Y \rightarrow p^{-1}(U_j)$  with  $p\theta_j = \pi_{U_j}$ . Since  $\delta$  is upper semi-continuous with respect to the product topology on  $X \times I$ , there are open subsets  $V$  of  $X$  and  $J$  of  $I$  such that  $(x, t) \in V \times J$  and if  $(x', t') \in V \times J$  then  $\delta(x', t') \subseteq U_1 \cup U_2 \cup \dots \cup U_n$ . Moreover, since  $X$  is locally contractible, we will choose  $V \times J$  to be contractible. By the Splitting Lemma, the restriction of  $\delta$  to  $V \times J$  splits into  $n$  maps  $f_j: V \times J \rightarrow B$  where we number the  $f_j$  so that  $f_j(V \times J) \subseteq U_j$ . Since  $X \times I$  is compact, there is a finite subcover  $\{V_k \times J_\mu\}$  of the cover  $\{V \times J\}$ . By writing open intervals in  $I$  as unions of smaller intervals open in  $I$ , if necessary, we can choose the  $J_\mu$  so that each intersects at most two others and number them  $\{J_1, J_2, \dots, J_r\}$  so that  $J_\mu$  intersects only  $J_{\mu-1}$  and  $J_{\mu+1}$ . Choose  $0 = t_1 < t_2 < \dots < t_r < t_{r+1} = 1$  such that  $t_\mu \in J_{\mu-1} \cap J_\mu$  for  $\mu = 2, \dots, r$ .

Now  $\Delta(x, t_1) = \phi(x)$  is defined by hypothesis, so we assume that  $\Delta: X \times [0, t_\mu] \multimap E$  has been defined and we will extend  $\Delta$  over  $X \times [t_\mu, t_{\mu+1}]$ . Subdivide  $X$  so that the mesh of the triangulation is less than the Lebesgue number of the cover  $\{V_k\}$ . Let  $x$  be a vertex, then the restriction of  $\delta$  to  $x \times [t_\mu, t_{\mu+1}]$  splits as maps  $d_j: x \times [t_\mu, t_{\mu+1}] \rightarrow B$  for  $j = 1, 2, \dots, n$ . Since  $x \times [t_\mu, t_{\mu+1}]$  is contained in  $V_k \times J_\mu$  for some  $V_k$ , each  $d_j(x \times [t_\mu, t_{\mu+1}]) \subset U_j$  for disjoint contractible neighborhoods  $U_j$ . Define  $\Delta: x \times [t_\mu, t_{\mu+1}] \multimap E$  by

$$\Delta(x, t) = \{\theta_j[d_j(x, t), \pi_Y \zeta_j(\Delta(x, t_\mu) \cap p^{-1}(U_j))]\}_{j=1}^n.$$

Note that  $\Delta(x, t)$  is well-defined because  $\delta(x, t_\mu) \cap U_j$  is a single point for each  $j$ . In this way,  $\Delta$  is extended to  $[t_\mu, t_{\mu+1}]$  over the zero-skeleton of  $X$ . Now assume that  $\Delta$  has been extended over the  $(m-1)$ -skeleton of  $X$  and let  $\sigma$  be an  $m$ -simplex. There is a retraction  $\rho: \sigma \times [t_\mu, t_{\mu+1}] \rightarrow T$  where

$$T = (\sigma \times t_\mu) \cup (\partial\sigma \times [t_\mu, t_{\mu+1}]).$$

The restriction of  $\delta$  to  $T$  splits as maps  $\{d_j: T \rightarrow U_j\}$  to disjoint contractible neighborhoods  $U_j$ . We extend  $\Delta$  to  $\sigma \times [t_\mu, t_{\mu+1}]$  by setting

$$\Delta(x, t) = \{\theta_j[d_j(x, t), \pi_Y \zeta_j(\Delta(\rho(x, t)) \cap p^{-1}(U_j))]\}_{j=1}^n.$$

The required  $n$ -valued multimap  $\Delta: X \times I \multimap E$  is thus defined by induction.  $\square$

An  $n$ -valued fiber map  $\Delta: E \times I \multimap E$  is an  $n$ -valued fiber homotopy. We say that the  $n$ -valued fiber maps  $\Phi, \Psi: E \multimap E$  defined by  $\Phi(e) = \Delta(e, 0), \Psi(e) = \Delta(e, 1)$  are fiber homotopic.

**Theorem 3.2.** *Let  $p: E \rightarrow B$  be a fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map, then there is a fix-finite  $n$ -valued fiber map  $\Psi: E \multimap E$  fiber homotopic to  $\Phi$ .*

*Proof.* As noted above, Theorem 6 of [16] and Lemma 4.1 of [17] imply that there is an  $n$ -valued homotopy  $\delta: B \times I \multimap B$  such that  $\delta(b, 0) = \phi(b)$ , where  $\phi$  is the induced multimap of  $\Phi$ , and  $\delta(b, 1) = \psi(b)$  defines a fix-finite  $n$ -valued multimap. By Theorem 6 of [16], the fixed points of  $\psi$  lie in maximal simplices of  $B$ . By Theorem 3.1, there is an  $n$ -valued multimap  $\Delta: E \times I \multimap E$  such that  $\Delta(e, 0) = \Phi(e)$  and  $p\Delta(e, t) = \delta(p(e), t)$  for all  $(e, t) \in E \times I$ . Then  $\Gamma: E \multimap E$  defined by  $\Gamma(e) = \Delta(e, 1)$  is an  $n$ -valued fiber map with induced multimap  $\psi$  so it has fixed points only in finitely many fibers. Since  $\Gamma$  is fiber homotopic to  $\Phi$ , we may assume, to simplify the notation, that the  $n$ -valued fiber map  $\Phi$  of the statement of the theorem has fixed points only in finitely many fibers.

Let  $p^{-1}(b)$  be one such fiber, that is,  $b \in \phi(b)$  is a fixed point of  $\phi$ . Let  $U$  be a contractible neighborhood of  $b$  containing no other fixed point of  $\phi$ . By the Splitting Lemma,  $\phi = \{\phi_1, \phi_2, \dots, \phi_n\}: U \multimap B$  and so, by Proposition 2.3,  $\Phi = \{\Phi_1, \Phi_2, \dots, \Phi_n\}: p^{-1}(U) \multimap E$ . We may assume  $\phi_1(b) = b$ , so  $\Phi_1(p^{-1}(b)) \subset p^{-1}(b)$ . Let  $h: p^{-1}(b) \times I \rightarrow p^{-1}(b)$  such that  $h(e, 0) = \Phi_1(e)$  and  $h_1(e) = h(e, 1)$  is a fix-finite map. Let  $V$  be a neighborhood of  $b$  whose closure lies in  $U$  and define a subset  $T$  of  $p^{-1}(U) \times I$  by

$$T = (p^{-1}(U) \times \{0\}) \cup (p^{-1}(U \setminus V) \times I) \cup (p^{-1}(b) \times I).$$

Define  $H: T \rightarrow E$  by

$$H(e, t) = \begin{cases} \Phi_1(e) & \text{if } t = 0 \text{ or } e \in p^{-1}(U \setminus V) \\ h(e, t) & \text{if } e \in p^{-1}(b) \end{cases}$$

By the fiber homotopy extension theorem ([1], Theorem 2.2), we can extend  $H$  to a fiber-preserving homotopy  $H: p^{-1}(U) \times I \rightarrow E$ . Define  $\Gamma_1: p^{-1}(U) \rightarrow E$  by  $\Gamma_1(e) = H(e, 1)$ . Noting that  $\Gamma_1(e) = \Phi_1(e)$  for  $e \in p^{-1}(U \setminus V)$ , we define  $\Psi: E \multimap E$  by setting

$$\Psi(e) = \{\Gamma_1(e), \Phi_2(e), \dots, \Phi_n(e)\}$$

for  $e \in p^{-1}(U)$  and  $\Psi(e) = \Phi(e)$  otherwise. In the same way, the homotopy may be extended to an  $n$ -valued fiber homotopy between the  $n$ -valued fiber maps  $\Psi$  and  $\Phi$ . Repeating this construction for each of the finite number of fixed points of  $\phi$  completes the proof.  $\square$



## 4 An addition formula

Throughout the rest of the paper, all spaces are finite polyhedra that are connected.

In [17], Schirmer used the Splitting Lemma to generalize Nielsen's definition of equivalence of fixed points of maps in the following way. For an  $n$ -valued multimap  $\phi: X \multimap X$ , let  $Fix(\phi) = \{x \in X: x \in \phi(x)\}$ . Then  $x_0, x_1 \in Fix(\phi)$  are *equivalent* if there is a path  $c: I \rightarrow X$  with  $c(0) = x_0$  and  $c(1) = x_1$  and a map  $\phi_j: I \rightarrow X$  of the splitting  $\phi c = \{\phi_1, \phi_2, \dots, \phi_n\}: I \multimap X$  such that  $\phi_j(0) = x_0, \phi_j(1) = x_1$  and  $\phi_j$  is homotopic to  $c$  relative to the endpoints. An equivalence class is called a *fixed point class*.

The fixed point index of  $\phi$  at an isolated fixed point  $x$ , denoted  $ind(\phi, x)$  is defined in [17], page 210 in terms of the classical fixed point index by  $ind(\phi, x) = ind(\phi_j, x)$  where  $\phi = \{\phi_1, \phi_2, \dots, \phi_n\}$  is a splitting of  $\phi$  in a neighborhood of  $x$  and  $\phi_j(x) = x$ . Let  $U$  be a neighborhood of a fixed point class  $\mathbf{F}$ , then Theorem 6 of [16] approximates the restriction of  $\phi$  to  $U$  by a fix-finite  $n$ -valued multimap and the index  $ind(\mathbf{F})$  of the fixed point class  $\mathbf{F}$  is defined to be the sum of the indices of the fixed points of the approximation. The Nielsen number  $N(\phi)$  is the number of *essential* fixed point classes, that is, those of nonzero index.

The following result is a consequence of Theorem 4.1 of [12].<sup>1</sup>

**Proposition 4.1.** *Let  $p: E \rightarrow S^1$  be a fibration and let  $f: E \rightarrow E$  be a fiber map with induced map  $\bar{f}: S^1 \rightarrow S^1$  of degree  $d$ . If  $d = 1$ , then  $N(f) = 0$ . Otherwise, let  $b_1, b_2, \dots, b_{|1-d|}$  be points of  $S^1$  such that each  $b_j$  is in a different essential fixed point class of  $\bar{f}$ , then*

$$N(f) = \sum_{j=1}^{|1-d|} N(f_{b_j}).$$

Let  $p: E \rightarrow B$  be a fibration. Since  $B$  is a polyhedron, there is a *regular* lifting function for  $p$ , that is, a map  $\lambda: \Lambda(p) \rightarrow E^I$  such that  $p\lambda(e, \omega)(t) = \omega(t)$  for all  $t \in I$  and, in addition, if  $\omega$  is a constant path, so also is  $\lambda(e, \omega)$ . For a path  $\omega \in B^I$  there is a map  $\tau_\omega: p^{-1}(\omega(0)) \rightarrow p^{-1}(\omega(1))$ , called the *fiber translation* obtained from  $\omega$ , defined by  $\tau_\omega(e) = \lambda(e, \omega)(1)$ . If  $\omega' \in B^I$  such that  $\omega'(0) = \omega(0), \omega'(1) = \omega(1)$  and  $\omega$  and  $\omega'$  are homotopic relative to the endpoints, then the fiber translations  $\tau_\omega$  and  $\tau_{\omega'}$  are homotopic. Therefore a fiber translation  $\tau_\omega$  is a homotopy equivalence with homotopy inverse  $\tau_{\bar{\omega}}$  where  $\bar{\omega}(t) = \omega(1 - t)$ .

<sup>1</sup>A thorough survey of the Nielsen theory of single-valued fiber maps is presented by Heath in [11].

**Lemma 4.1.** *Let  $p: E \rightarrow B$  be a fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map with induced multimap  $\phi: B \multimap B$ . If  $b_0, b_1 \in \text{Fix}(\phi)$  are equivalent, then  $N(f_{b_0}) = N(f_{b_1})$ .*

*Proof.* Since  $b_0$  and  $b_1$  are equivalent, there is a path  $c \in B^I$  with  $c(0) = b_0$  and  $c(1) = b_1$  and a splitting  $\phi c = \{\phi_1, \phi_2, \dots, \phi_n\}: I \multimap B$  with a path  $\phi_j$  such that  $\phi_j(0) = b_0, \phi_j(1) = b_1$  and  $\phi_j$  is homotopic to  $c$  relative to the endpoints. For  $t \in I$ , define a path  $\phi_j^{[t]}: I \rightarrow B$  by  $\phi_j^{[t]}(s) = \phi_j(s+t)$  for  $0 \leq s \leq 1-t$  and  $\phi_j^{[t]}(s) = \phi_j(1) = b_1$  for  $1-t \leq s \leq 1$ . Define  $H: p^{-1}(b_0) \times I \rightarrow p^{-1}(b_1)$  by

$$H(e, t) = \lambda([\Phi(\lambda(e, c)(t)) \cap p^{-1}(\phi_j(t))], \phi_j^{[t]})(1).$$

The function  $H$  is well-defined because

$$p(\Phi(\lambda(e, c)(t))) = \phi(p(\lambda(e, c)(t))) = \phi c(t)$$

and it is continuous by Lemma 2.2. Now

$$\begin{aligned} H(e, 0) &= \lambda([\Phi(\lambda(e, c)(0)) \cap p^{-1}(\phi_j(0))], \phi_j^{[0]})(1) \\ &= \lambda([\Phi(e) \cap p^{-1}(b_0)], \phi_j)(1) \\ &= \lambda(f_{b_0}(e), \phi_j)(1) = \tau_{\phi_j} f_{b_0}(e) \end{aligned}$$

and

$$\begin{aligned} H(e, 1) &= \lambda([\Phi(\lambda(e, c)(1)) \cap p^{-1}(\phi_j(1))], \phi_j^{[1]})(1) \\ &= \lambda([\Phi(\tau_c(e)) \cap p^{-1}(b_1)], \phi_j^{[1]})(1) \\ &= \lambda(f_{b_1} \tau_c(e), \phi_j^{[1]})(1) = f_{b_1} \tau_c(e) \end{aligned}$$

so  $\tau_{\phi_j} f_{b_0}$  and  $f_{b_1} \tau_c$  are homotopic. Since  $\phi_j$  is homotopic to  $c$ , then  $\tau_{\phi_j} f_{b_0}$  is homotopic to  $\tau_c f_{b_0}$  and therefore  $f_{b_0}$  is homotopic to  $\tau_c f_{b_1} \tau_c$ . By Theorem 5.4 of [14], this implies that  $N(f_{b_0}) = N(f_{b_1})$ .  $\square$

**Lemma 4.2.** *Let  $p: E \rightarrow B$  be a fibration and let  $\Phi, \Psi: E \multimap E$  be fiber homotopic  $n$ -valued fiber maps with induced multimaps  $\phi, \psi: B \multimap B$ . There is a one-to-one correspondence between the essential fixed point classes of  $\phi$  and  $\psi$  such that if  $b_0 \in \text{Fix}(\phi)$  and  $b_1 \in \text{Fix}(\psi)$  are in corresponding essential fixed point classes, then  $N(f_{b_0}) = N(g_{b_1})$  where  $f_{b_0}: p^{-1}(b_0) \rightarrow p^{-1}(b_0)$  and  $g_{b_1}: p^{-1}(b_1) \rightarrow p^{-1}(b_1)$  are defined by  $f_{b_0}(e) = \Phi(e) \cap p^{-1}(b_0)$  and  $g_{b_1}(e) = \Psi(e) \cap p^{-1}(b_1)$ .*

*Proof.* Let  $D: E \times I \multimap E$  be an  $n$ -valued fiber map with induced multimap  $d: B \times I \multimap B$  such that  $D(e, 0) = \Phi(e), D(e, 1) = \Psi(e)$

and  $d$  is an  $n$ -valued homotopy between  $\phi$  and  $\psi$ . Define  $\mathbf{D}: E \times I \multimap E \times I$  as follows: if  $D(e, t) = \{e_1, e_2, \dots, e_n\}$ , then  $\mathbf{D}(e, t) = \{(e_1, t), (e_2, t), \dots, (e_n, t)\}$ . Then  $\mathbf{D}$  is an  $n$ -valued fiber map of the fibration  $p_\times: E \times I \rightarrow B \times I$  defined by  $p_\times(e, t) = (p(e), t)$  with induced multimap  $\mathbf{d}: B \times I \multimap B \times I$ . Let  $\mathbf{F}_0$  be an essential fixed point class of  $\phi$  then, by Lemma 6.3 of [17], there is a unique fixed point class  $\mathbf{F}$  of  $\mathbf{d}$  such that  $\mathbf{F}_0 = \{b \in B: (b, 0) \in \mathbf{F}\}$ . By Lemmas 6.2 and 6.4 of [17], there is an essential fixed point class  $\mathbf{F}_1$ , the corresponding class, such that  $\mathbf{F}_1 = \{b \in B: (b, 1) \in \mathbf{F}\}$ . Let  $b_0 \in \mathbf{F}_0$  and  $b_1 \in \mathbf{F}_1$  then  $(b_0, 0)$  and  $(b_1, 1)$  are equivalent fixed points of  $\mathbf{d}$ . Applying Lemma 4.1 to  $\mathbf{D}: E \times I \multimap E \times I$ , we conclude that  $N(\delta_{b_0}) = N(\delta_{b_1})$  where, for  $v = 0, 1$ , the map  $\delta_{b_v}$  is defined by  $\delta_{b_v}(e, v) = \mathbf{D}(e, v) \cap p_\times^{-1}(b_v, v)$ . Since  $\mathbf{D}(e, 0) = (\Phi(e), 0)$  and  $p_\times^{-1}(b_0) = p^{-1}(b_0) \times \{0\}$ , then  $N(\delta_{b_0}) = N(f_{b_0})$ . Similarly,  $N(\delta_{b_1}) = N(g_{b_1})$ , so  $N(f_{b_0}) = N(g_{b_1})$ .  $\square$

In [4], the *degree*  $\deg(\phi)$  of an  $n$ -valued multimap  $\phi: S^1 \multimap S^1$  is defined as follows. Let  $\eta: \mathbf{R} \rightarrow S^1$  be the universal covering space defined by  $\eta(t) = e^{i2\pi t}$ . There is a splitting  $\{f_0, f_1, \dots, f_{n-1}\}$  of  $\phi\eta: \mathbf{R} \multimap S^1$  where the  $f_j$  are ordered so that  $f_j(0) = \eta(t_j)$  for  $0 \leq t_0 < t_1 < \dots < t_{n-1} < 1$ . Let  $\tilde{f}_0$  be the lift of  $f_0$  to  $t_0$ , then  $\tilde{f}_0(1) = v + t_J$  for some integer  $v$  and  $0 \leq J \leq n-1$ . The degree of  $\phi$  is defined by  $\deg(\phi) = nv + J$ .

To illustrate the definition, represent  $S^1$  as the unit circle in the complex plane and define  $\phi: S^1 \multimap S^1$  by  $\phi(z) = \{z^{3/2}\}$ . In terms of the covering space  $\eta$ ,

$$\phi(\eta(t)) = \{\eta(\frac{3}{2}t), \eta(\frac{3}{2}t + \frac{1}{2})\}$$

so  $\phi\eta = \{f_0, f_1\}$  where  $f_0(t) = \eta(\frac{3}{2}t)$  and  $f_1(t) = \eta(\frac{3}{2}t + \frac{1}{2})$  and thus  $t_0 = 0$  and  $t_1 = \frac{1}{2}$ . Now  $\tilde{f}_0(t) = \frac{3}{2}t$  so

$$\tilde{f}_1(1) = \frac{3}{2} = 1 + \frac{1}{2} = v + t_1$$

and therefore  $\deg(\phi) = nv + J = 2(1) + 1 = 3$ .

An equivalent definition of  $\deg(\phi)$  that resembles the definition of degree for single-valued maps is developed in [5]. A homomorphism of integer homology  $\phi_*: H_1(S^1) \rightarrow H_1(S^1)$  is induced by  $\phi$  in such a way that  $\phi_*(1) = \deg(\phi) \cdot 1$ , where 1 is a generator of  $H_1(S^1)$ . However, we will need the covering space definition of the degree to prove the following result.

**Lemma 4.3.** *Let  $p: E \rightarrow S^1$  be a fibration, let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map and let  $b_0 \in S^1$  be a fixed point of the induced*

multimap  $\phi: S^1 \multimap S^1$ . If  $\deg(\phi) \neq n$ , then  $\mathbf{f}$  is a fixed point class of  $f_{b_0}: p^{-1}(b_0) \rightarrow p^{-1}(b_0)$  if and only if there is a fixed point class  $\mathbf{F}$  of  $\Phi$  such that  $\mathbf{F} \cap p^{-1}(b_0) = \mathbf{f}$ .

*Proof.* Suppose  $e_0$  and  $e_1$  are equivalent fixed points of  $f_{b_0}$  in a fixed point class  $\mathbf{f}$ , then there exists a map  $c: I \rightarrow p^{-1}(b_0)$  such that  $c(0) = e_0, c(1) = e_1$  and  $f_{b_0}c$  is homotopic to  $c$  relative to the endpoints. Since, by Lemma 2.2, the restriction  $\Phi_0$  of  $p^{-1}(b_0)$  splits with  $f_{b_0}$  as a factor, then  $e_0$  and  $e_1$  are equivalent fixed points of  $\Phi$ . Therefore, there is a fixed point class  $\mathbf{F}$  of  $\Phi$  such that  $\mathbf{f} \subseteq \mathbf{F}$ .

To prove that  $\mathbf{F} \cap p^{-1}(b_0) \subseteq \mathbf{f}$ , let  $e_0, e_1 \in \mathbf{F} \cap p^{-1}(b_0)$ . Therefore there is a path  $c: I \rightarrow E$  such that  $c(0) = e_0, c(1) = e_1$  and a splitting  $\Phi c = \{\Phi_1, \Phi_2, \dots, \Phi_n\}: I \multimap E$  such that the paths  $c$  and  $\Phi_1$  are homotopic relative to the endpoints. We must prove that  $e_0$  and  $e_1$  are equivalent fixed points of  $f_{b_0}$ .

We have a splitting  $\{p\Phi_1, p\Phi_2, \dots, p\Phi_n\}: I \multimap S^1$  of  $p\Phi c = \phi(pc)$  such that  $pc$  is homotopic to  $p\Phi_1$ . We first assume  $pc$  is contractible, so there is a map  $h: I \times I \rightarrow B$  that contracts  $pc$  to the constant loop at  $b_0$ . By the covering homotopy property, we may lift  $h$  to  $c$  to obtain a homotopy  $H: I \times I \rightarrow E$ , relative to the endpoints, between  $c$  and a path  $c_0: I \rightarrow p^{-1}(b_0)$ . Let  $\Phi H = \{\Phi_1^*, \Phi_2^*, \dots, \Phi_n^*\}$  be a splitting such that the restriction of  $\Phi_1^*$  is  $\Phi_1$ . Then  $\Phi_1^*: I \times I \rightarrow E$  is a homotopy between  $\Phi_1$  and  $f_{b_0}c_0$ . Since  $c$  is homotopic to  $\Phi_1$ , we conclude that  $c_0$  and  $f_{b_0}(c_0)$  are paths in  $p^{-1}(b_0)$  from  $e_0$  to  $e_1$  that are homotopic in  $E$ . In a fibration over  $S^1$ , fibers are  $\pi_1$ -injective into the total space, so  $c_0$  and  $f_{b_0}(c_0)$  are paths that are homotopic in  $p^{-1}(b_0)$  and therefore  $e_0$  and  $e_1$  are equivalent fixed points of  $f_{b_0}$ .

Now suppose that the loop  $pc$  is not contractible. We claim that if  $pc$  is homotopic to  $\phi_1 = p\Phi_1$ , then the degree of  $\phi$  must be  $n$  and thus, since  $\deg(\phi) \neq n$  by hypothesis, no such loop exists and therefore  $e_0$  and  $e_1$  must have been equivalent as fixed points of  $f_{b_0}$  by the previous step, which will complete the proof. Let  $\eta: \mathbf{R} \rightarrow S^1$  be the covering space and let  $\tilde{p}c: I \rightarrow \mathbf{R}$  be the lift of  $pc$  to the origin. Since  $pc$  is a loop, then  $\tilde{p}c(1) = m$  for some integer  $m$ , which is nonzero since  $pc$  is not contractible. Lifting the homotopy between  $pc$  and  $\phi_1$  to the origin, the lift  $\tilde{\phi}_1$  of  $\phi_1$  must have the property  $\tilde{\phi}_1(1) = m$  also. Since  $m$  is an integer,  $J = 0$  in the definition of the degree of  $\phi$  and therefore  $\phi$  is split by Corollary 5.1 of [4]. Thus  $\phi_1: S^1 \rightarrow S^1$  is a well-defined map such that the homomorphism  $\phi_{1\pi}: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, b_0)$  fixes the non-zero element  $[pc] \in \pi_1(S^1, b_0)$ . The map  $\phi_1$  must therefore be of degree one so, by Theorem 2.2 of [4],  $\deg(\phi) = n \cdot 1 = n$ .  $\square$

We may now generalize Proposition 4.1 as follows.

**Theorem 4.1.** (*Addition Formula*) Let  $p: E \rightarrow S^1$  be a fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map with induced multimap  $\phi: S^1 \multimap S^1$  of degree  $d$ . If  $d = n$ , then  $N(\Phi) = 0$ . Otherwise, let  $b_1, b_2, \dots, b_{|n-d|} \in S^1$ , such that each  $b_j$  is in a different essential fixed point class of  $\phi$ , then

$$N(\Phi) = \sum_{j=1}^{|n-d|} N(f_{b_j}).$$

*Proof.* If  $d = n$  then, by Theorem 5.1 of [4], there is a homotopy of  $\phi$  to a fixed point free  $n$ -valued multimap  $\psi$ . By Theorem 3.1, there is an  $n$ -valued fiber map  $\Psi: E \multimap E$  homotopic to  $\Phi$  such that  $\psi$  is the induced multimap of  $\Psi$ . Since  $\psi$  has no fixed points,  $\Psi$  is also fixed point free so  $N(\Psi) = 0$ , and therefore  $N(\Phi) = 0$  by Theorem 6.5 of [17].

Now we assume that  $d \neq n$ . By Theorems 3.1 and 4.1 of [4],  $\phi$  is homotopic to the  $n$ -valued power map  $\phi_{n,d}: S^1 \multimap S^1$  that has fixed points  $\beta_1, \beta_2, \dots, \beta_{|n-d|}$ , all of index  $+1$  or all of index  $-1$ , such that no two of these fixed points are equivalent. By the proof of Theorem 3.2, there is a fix-finite  $n$ -valued fiber map  $\Psi: E \multimap E$  that is fiber homotopic to  $\Phi$  and the induced multimap of  $\Phi$  is  $\phi_{n,d}$ . Let  $\beta_j$  be a fixed point of  $\phi_{n,d}$  and let  $\mathbf{f} = \{e_1, e_2, \dots, e_r\}$  be an essential fixed point class of  $g_{\beta_j}: p^{-1}(\beta_j) \rightarrow p^{-1}(\beta_j)$  defined by  $g_{\beta_j}(e) = \Psi(e) \cap p^{-1}(\beta_j)$ . By Lemma 4.3, there is a fixed point class  $\mathbf{F}$  of  $\Psi$  such that  $\mathbf{F} \cap p^{-1}(\beta_j) = \mathbf{f}$ . If  $\mathbf{F} \cap p^{-1}(\beta_k) \neq \emptyset$  for some  $k \neq j$ , let  $e_0 \in \mathbf{F} \cap p^{-1}(\beta_k)$ , then there is a path  $c: I \rightarrow E$  such that  $c(0) = e_0$  and  $c(1) = e_1 \in \mathbf{f}$  such that, for a splitting  $\Psi c = \{\Psi_1, \Psi_2, \dots, \Psi_n\}: I \multimap E$ , some path  $\Psi_u$  is homotopic to  $c$  relative to the endpoints, which are fixed by  $\Psi_u$ . But then there is a splitting  $p\Psi c = \phi_{n,d}(pc) = \{p\Psi_1, p\Psi_2, \dots, p\Psi_n\}: I \multimap B$  such that  $p\Psi_u$  is homotopic to  $pc$  relative to the endpoints  $\beta_k$  and  $\beta_j$ , which would imply that these are equivalent fixed points of  $\phi_{n,d}$ . Therefore,  $\mathbf{f} = \mathbf{F}$  is a fixed point class of  $\Psi$ .

Let  $U \subseteq S^1$  be a closed interval with  $\beta_j$  in the interior and  $U \cap \beta_k = \emptyset$  for  $k \neq j$ . The restriction of  $\phi_{n,d}$  to  $U$  splits as  $\{\psi_1^U, \psi_2^U, \dots, \psi_n^U\}: U \multimap S^1$ . By Proposition 2.3, the restriction of  $\Psi$  to  $p^{-1}(U)$  splits as  $\{\Psi_1^U, \Psi_2^U, \dots, \Psi_n^U\}: p^{-1}(U) \multimap E$  with each  $\psi_j^U$  the induced multimap of  $\Psi_j^U$ . Let  $\mathbf{f} \subseteq p^{-1}(\beta_j)$  be an essential fixed point class of  $g_{\beta_j}$  and let  $e_v \in \mathbf{f}$ , then  $\Psi_k^U(e_v) = e_v$  for some  $k$ .

By Lemma 3.1 on page 84 of [14], the index of  $\Psi$  at  $e_v$  satisfies

$$\begin{aligned} \text{ind}(\Psi, e_v) &= \text{ind}(\Psi_k^U, e_v) \\ &= \text{ind}(\psi_k^U, \beta_j) \cdot \text{ind}(g_{\beta_j}, e_v) \\ &= \text{ind}(\phi_{n,d}, \beta_j) \cdot \text{ind}(g_{\beta_j}, e_v). \end{aligned}$$

Since  $\text{ind}(\mathbf{f}) \neq 0$ , then

$$\begin{aligned} \text{ind}(\mathbf{F}) &= \sum_{v=1}^r \text{ind}(\Psi, e_v) \\ &= \text{ind}(\phi_{n,d}, \beta_j) \sum_{v=1}^r \text{ind}(g_{\beta_j}, e_v) \\ &= \pm \sum_{v=1}^r \text{ind}(g_{\beta_j}, e_v) = \pm \text{ind}(\mathbf{f}) \neq 0 \end{aligned}$$

so  $\mathbf{f} = \mathbf{F}$  is also an essential fixed point class of  $\Psi$ .

Conversely, if  $\mathbf{F}$  is an essential fixed point class of  $\Psi$  such that  $\mathbf{F} \cap p^{-1}(\beta_j) \neq \emptyset$ , then  $\mathbf{f} = \mathbf{F} \cap p^{-1}(\beta_j)$  is a fixed point class of  $g_{\beta_j}$  by Lemma 4.3 and, again,  $\text{ind}(\mathbf{F}) = \pm \text{ind}(\mathbf{f})$  so  $\mathbf{f}$  is also an essential fixed point class of  $g_{\beta_j}$ . We conclude that the essential fixed point classes of  $\Psi$  are the essential fixed point classes of the  $g_{\beta_j}$  for  $j = 1, 2, \dots, |n-d|$  so

$$N(\Psi) = \sum_{j=1}^{|n-d|} N(g_{\beta_j}).$$

Since  $\Phi$  is fiber homotopic to  $\Psi$ , by Lemma 4.2 there is a one-to-one correspondence between the fixed points  $\beta_1, \beta_2, \dots, \beta_{|n-d|}$  of  $\phi_{n,d}$  and the essential fixed point classes of  $\phi$  such that, if we choose  $b_1, b_2, \dots, b_{|n-d|} \in S^1$  in each of the corresponding essential fixed point classes of  $\phi$ , then  $N(f_{b_j}) = N(g_{\beta_j})$  for  $j = 1, 2, \dots, |n-d|$ . Since  $N(\Phi) = N(\Psi)$  by Theorem 6.5 of [17], we have proved that

$$N(\Phi) = \sum_{j=1}^{|n-d|} N(f_{b_j}).$$

□

To illustrate the addition formula, let  $\mathbf{R}^2$  denote the plane and represent the Klein bottle as  $K = \mathbf{R}^2 / \sim$  where  $(x, y) \sim (x + k, (-1)^k y)$  and  $(x, y) \sim (x, y + \ell)$  for all integers  $k, \ell$ . The projection  $\tilde{p}: \mathbf{R}^2 \rightarrow \mathbf{R}$  on the first factor induces the fibration  $p: K \rightarrow S^1$

with fiber  $S^1$ , where  $S^1 = \mathbf{R}/\sim$  with  $x \sim x + k$ . Define a 2-valued multimap  $\tilde{\Phi}: \mathbf{R}^2 \multimap \mathbf{R}^2$  by

$$\tilde{\Phi}(x, y) = \{(-x + 1, 2y), (-x + \frac{3}{2}, 3y)\}$$

then  $\tilde{\Phi}$  induces a 2-valued fiber map  $\Phi: K \multimap K$ . The induced multimap  $\phi: S^1 \multimap S^1$  has four fixed points  $v = 0, \frac{1}{4}, \frac{1}{2}, \frac{3}{4}$ ; let  $f_v: p^{-1}(v) \rightarrow p^{-1}(v)$  be the corresponding restrictions of  $\Phi$ . Since  $\tilde{\Phi}(0, y) = \{(1, 2y), (\frac{3}{2}, 3y)\}$  and  $(1, 2y) \sim (0, -2y)$ , the degree of  $f_0$  is  $-2$ . Similarly,  $f_{\frac{1}{4}}$  is of degree  $-3$ . Furthermore,  $\tilde{\Phi}(\frac{1}{2}, y) = \{(\frac{1}{2}, 2y), (1, 3y)\}$  so  $f_{\frac{1}{2}}$  is of degree  $2$  and, similarly,  $f_{\frac{3}{4}}$  is of degree  $3$ . Therefore, by Theorem 2.4,

$$N(\Phi) = N(f_0) + N(f_{\frac{1}{4}}) + N(f_{\frac{1}{2}}) + N(f_{\frac{3}{4}}) = 3 + 4 + 1 + 2 = 10.$$

## 5 Orientable fibrations over $S^1$

Given a fibration  $p: E \rightarrow B$ , choose a basepoint  $b_0 \in B$  and set  $Y = p^{-1}(b_0)$ . Let  $[Y, Y]$  denote the homotopy classes of homotopy equivalences and define  $\tau: \pi_1(B, b_0) \rightarrow [Y, Y]$  by  $\tau[\omega] = \tau_\omega$ , the fiber translation obtained from a loop  $\omega$  representing  $[\omega]$ . The fibration  $p$  is *orientable* if  $\tau$  is the constant function, that is, the fiber translation  $\tau_\omega$  is homotopic to the identity map for all  $[\omega] \in \pi_1(B, b_0)$ . The definition is independent of the choice of the regular lifting function that determines  $\tau$ .

The following product formula is a consequence of Theorem 5.6 of [21].

**Proposition 5.1.** *Let  $p: E \rightarrow S^1$  be an orientable fibration. If  $f: E \rightarrow E$  is a fiber map with induced map  $\bar{f}: S^1 \rightarrow S^1$ , then  $N(f) = N(\bar{f})N(f_b)$ .*

When we consider  $n$ -valued fiber maps of such fibrations, the formula fails to hold. For example, for  $Y = S^1$  viewed as the unit circle in the complex plane, define a 2-valued fiber map  $\Phi: S^1 \times S^1 \multimap S^1 \times S^1$  by  $\Phi(w, z) = \{(1, z^3), (-1, z^4)\}$ , then

$$N(\Phi) = N(f_1) + N(f_{-1}) = 2 + 3 = 5$$

by Theorem 4.2 whereas, since the induced multimap  $\phi$  is constant,  $N(\phi) = 2$  by [17], Corollary 7.3.

Fibrations  $p: E \rightarrow B$  and  $p': E' \rightarrow B$  are *fiber homotopy equivalent* if there exist fiber maps  $\theta: E \rightarrow E'$  and  $\zeta: E' \rightarrow E$  such that  $p'\theta = p$ ,  $p\zeta = p'$  and  $\theta\zeta$  and  $\zeta\theta$  are fiber homotopic to the identity maps.

**Theorem 5.1.** *An orientable fibration  $p: E \rightarrow S^1$  with fiber  $Y = p^{-1}(b_0)$  is fiber homotopy equivalent to  $\pi_{S^1}: S^1 \times Y \rightarrow S^1$ .*

*Proof.* By the theorem of [8], the fibration is fiber homotopy equivalent to a bundle. Since orientability is preserved by fiber homotopy equivalence, we may assume without loss of generality that  $p: E \rightarrow B$  is an orientable bundle, with fiber  $Y$ . Let  $S^1 = c_+ \cup c_-$  where  $S^1$  is the unit circle in the complex plane and  $c_+$  and  $c_-$  are the intersections of  $S^1$  with the closed upper and lower half-planes, respectively. Since  $c_+$  and  $c_-$  are contractible, by Corollary 11.6 on page 53 of [20] there are fiber-preserving homeomorphisms  $h_+: c_+ \times Y \rightarrow p^{-1}(c_+)$  and  $h_-: c_- \times Y \rightarrow p^{-1}(c_-)$ . Let  $c_+ \cap c_- = \{z_0, z_1\} = S^0$  and orient  $c_+$  and  $c_-$  from  $z_0$  to  $z_1$ . For  $\epsilon = +, -$  and  $v = 0, 1$ , define homeomorphisms  $h_{\epsilon,v}: Y \rightarrow p^{-1}(z_v)$  by  $h_{\epsilon,v}(y) = h_{\epsilon}(z_v \cdot y)$  and also set  $\mu_v = h_{-,v}^{-1} h_{+,v}: Y \rightarrow Y$ . Since  $c_+$  and  $c_-$  contract to  $z_1$ , we may use Theorem 2.8.10 of [19] to homotope  $h_{-,1}^{-1}$  and  $h_{+,1}$  so that  $\mu_1$  is homotopic to the identity map, see page 102 of [19].

We define a lifting function  $\lambda: \Lambda(p) \rightarrow E^I$  as follows. Again let  $\eta: \mathbf{R} \rightarrow S^1$  be the covering space. Given  $(e_0, \omega) \in \Lambda(p)$  then  $\omega(0) = \eta(t_0)$  for some  $0 \leq t_0 < 1$ . Let  $\tilde{\omega}$  be the lift of  $\omega$  to  $\mathbf{R}$  at  $t_0$  and define  $\tilde{\omega}': I \rightarrow \mathbf{R}$  by  $\tilde{\omega}'(s) = (1-s)t_0 + s\tilde{\omega}(1)$ , then  $\tilde{\omega}'$  is homotopic to  $\tilde{\omega}$  by a homotopy  $L$  keeping  $t_0$  and  $\tilde{\omega}(1)$  fixed. The path  $\omega' = \eta\tilde{\omega}'$  is homotopic to  $\omega$  by  $\eta L$  and it is a union of oriented arcs:  $\omega' = \alpha_0 \cdot \alpha_1 \cdot \alpha_2 \cdots \alpha_m$  where  $\alpha_j \subseteq c_{\epsilon_j}$  for  $\epsilon_j = +$  or  $\epsilon_j = -$  and  $\alpha_j \cap \alpha_{j+1} \in S^0$ . Lift  $\alpha_0$  to  $E$  by sending it to  $h_{e_0}(\alpha_0 \times \pi_Y h_{e_0}^{-1}(e_0))$ . Let  $e_1 \neq e_0$  be the point in the lift of  $\alpha_0$  that lies in  $p^{-1}(S^0)$  and lift  $\alpha_1$  to  $h_{e_1}(\alpha_1 \times \pi_Y h_{e_1}^{-1}(e_1))$ . In general, lift  $\alpha_j$  to  $E$  by sending it to  $h_{e_j}(\alpha_j \times \pi_Y h_{e_j}^{-1}(e_j))$ , where  $e_j \neq e_{j-1}$  is the point in the lift of  $\alpha_{j-1}$  that lies in  $p^{-1}(S^0)$ . This construction defines the path  $\lambda(e_0, \omega')$ . By the covering homotopy property, we lift the homotopy  $\eta L$  to  $\lambda(e_0, \omega')$  and the lifted homotopy determines  $\lambda(e_0, \omega)$ .

Let  $\omega = \alpha_0 \alpha_1 = c_+ c_-^{-1}$  and let  $e_0 \in p^{-1}(z_0)$ . Then

$$e_1 = h_+(z_1, \pi_Y h_+^{-1}(e_0))$$

and

$$\lambda(e_0, \omega) = h_+(c_+ \times \pi_Y h_+^{-1}(e_0)) \cdot h_-(c_-^{-1} \times \pi_Y h_-^{-1}(e_1)).$$

Therefore,

$$\tau_\omega(e_0) = \tau_\omega(h_+(z_0, y_0)) = h_-(z_0, \pi_Y h_-^{-1} h_+(z_1, y_0)) = h_{-,0}(h_{-,1}^{-1} h_{+,1}(y))$$

and so

$$\pi_Y h_-^{-1} \tau_\omega h_{+,0}(y) = h_{-,1}^{-1} h_{+,1}(y) = \mu_1(y).$$



Since the fiber translation  $\tau_\omega$  is homotopic to the identity by the orientability assumption, then  $\mu_1$  is homotopic to

$$\pi_Y h_-^{-1} h_{+,0} = h_{-,0}^{-1} h_{+,0} = \mu_0$$

and we conclude that  $\mu_0$  is homotopic to the identity because  $\mu_1$  is. Therefore the restrictions of  $h_+$  and  $h_-$  to  $S^0 \times Y$  are homotopic and we let  $h_t: S^0 \times Y \rightarrow p^{-1}(S^0)$  be a homotopy such that  $h_0(z_v, y) = h_{-,v}(y)$  and  $h_1(z_v, y) = h_{+,v}(y)$ . Define

$$H: (S^0 \times Y \times I) \cup (c_- \times Y \times \{0\}) \rightarrow E$$

by

$$H(z, y, t) = \begin{cases} h_t(z, y) & \text{if } z \in S^0 \\ h_-(z, y) & \text{if } t = 0 \end{cases}$$

and extend  $H$  to  $H: c_- \times Y \times I \rightarrow E$  by the fiber homotopy extension theorem [1]. Define  $h'_-: c_- \times Y \rightarrow E$  by  $h'_-(z, y) = H(z, y, 1)$ . Finally, define  $h: S^1 \times Y \rightarrow E$  by

$$h(z, y) = \begin{cases} h_+(z, y) & \text{if } z \in c_+ \\ h'_-(z, y) & \text{if } z \in c_- \end{cases}$$

which is a well-defined fiber map because  $h'_-(z_v, y) = h_+(z_v, y)$  for  $v = 0, 1$ . Since the restriction of  $h_+$  to  $z \times Y$  for any  $z \in c_+$  is a homotopy equivalence, [7] implies that  $h$  is a fiber homotopy equivalence.  $\square$

**Lemma 5.1.** *Let  $p: E \rightarrow S^1$  be an orientable fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map. Let  $b_0$  and  $b_1$  be fixed points of the induced multimap  $\phi: S^1 \multimap S^1$  so  $\Phi$  induces maps  $f_{b_v}: p^{-1}(b_v) \rightarrow p^{-1}(b_v)$  for  $v = 0, 1$ . If there is a path in the graph*

$$\Gamma_\phi = \{(b, b') \in S^1 \times S^1: b' \in \phi(b)\}$$

*from  $(b_0, b_0)$  to  $(b_1, b_1)$ , then  $N(f_{b_0}) = N(f_{b_1})$ .*

*Proof.* By Theorem 5.1, there are homotopy equivalences  $\theta: S^1 \times Y \rightarrow E$  and  $\zeta: E \rightarrow S^1 \times Y$  such that  $p\theta = \pi_{S^1}$ ,  $\pi_{S^1}\zeta = p$  and  $\theta\zeta$  and  $\zeta\theta$  are fiber homotopic to the identity maps. Define a multivalued function  $\Psi: S^1 \times Y \multimap S^1 \times Y$  as follows: for  $(b, y) \in S^1 \times Y$  and  $e = \theta(b, y)$ , if  $\Phi(e) = \{e_1, e_2, \dots, e_n\}$ , then  $\Psi(b, y) = \{\zeta(e_1), \zeta(e_2), \dots, \zeta(e_n)\}$ . By Theorems 1 and 1' on page 113 of [3],  $\Psi$  is continuous, so  $\Psi$  is an  $n$ -valued fiber map and its induced multimap is  $\phi$ . For  $v = 0, 1$ , let  $g_{b_v}: \{b_v\} \times Y \rightarrow \{b_v\} \times Y$  be the restriction of  $\Psi$ . Let  $\theta_{b_v}: \{b_v\} \times Y \rightarrow p^{-1}(b_v)$  and  $\zeta_{b_v}: p^{-1}(b_v) \rightarrow \{b_v\} \times Y$

be the restrictions of  $\theta$  and  $\zeta$  respectively, then  $\theta_{b_v}$  is a homotopy equivalence with homotopy inverse  $\zeta_{b_v}$ . Since  $g_{b_v} = \theta_{b_v} f_{b_v} \zeta_{b_v}$ , Theorem 5.4 of [14] implies that  $N(g_{b_v}) = N(f_{b_v})$ . To prove the theorem, we will show that  $N(g_{b_0}) = N(g_{b_1})$ .

Define a function  $\widehat{\Psi}: Y \times \Gamma_\phi \rightarrow S^1 \times Y$  as follows: for  $(y, (b, b')) \in Y \times \Gamma_\phi$ , set

$$\widehat{\Psi}(y, (b, b')) = \Psi(b, y) \cap p^{-1}(b').$$

To prove  $\widehat{\Psi}$  continuous at  $(y, (b, b')) \in Y \times \Gamma_\phi$ , write  $\widehat{\Psi}(y, (b, b')) = (b', y')$  and choose a neighborhood of  $(b', y')$  which we may assume to be of the form  $U \times W$  where  $U$  is open in  $S^1 \subseteq \mathbf{R}^2$  and  $W$  is open in  $Y$ . Let

$$\gamma(\phi) = \inf\{|b_j - b_k| : b_j, b_k \in \phi(b), b \in S^1, b_j \neq b_k\},$$

then  $\gamma(\phi) > 0$  because  $S^1$  is compact ([17], page 211). We will find a neighborhood of  $(y, (b, b'))$  that is mapped by  $\widehat{\Psi}$  into  $u \times W$  where  $u$  is a neighborhood of  $b'$  in  $U$  of diameter less than  $\gamma(\phi)$ . Since  $\Psi: S^1 \times Y \rightarrow S^1 \times Y$  is lower semi-continuous, there is a neighborhood of  $(b, y)$  in  $S^1 \times Y$ , which we may assume is of the form  $V \times \mathcal{O}$  where  $V$  is open in  $S^1$  and  $\mathcal{O}$  open in  $Y$ , such that  $(\bar{b}, \bar{y}) \in V \times \mathcal{O}$  implies  $\Psi(\bar{b}, \bar{y}) \cap (u \times W) \neq \emptyset$ . Since  $u$  is of diameter less than  $\gamma(\phi)$ , it must be that  $\Psi(\bar{b}, \bar{y}) \cap (u \times W)$  is a single point of  $S^1 \times Y$ . The multimap  $\phi$  is lower semi-continuous so there is a neighborhood  $\mathcal{N}$  of  $b$  such that  $\bar{b} \in \mathcal{N}$  implies  $\phi(\bar{b}) \cap u \neq \emptyset$ , and the intersection must be a single point of  $B$ . Let  $\mathcal{M} \subseteq \Gamma_\phi$  be the open subset consisting of all points  $(\bar{b}, \bar{b}')$  such that  $\bar{b} \in \mathcal{N} \cap V$  and  $\bar{b}' = \phi(\bar{b}) \cap u$ . We claim that  $\widehat{\Psi}$  takes the open subset  $\mathcal{O} \times \mathcal{M}$  of  $Y \times \Gamma_\phi$  into  $u \times W$ . To prove it, let  $\bar{y} \in \mathcal{O}$  and  $(\bar{b}, \bar{b}') \in \mathcal{M}$  so  $\bar{b} \in \mathcal{N} \cap V$ . Since  $\bar{b} \in V$  and  $\bar{y} \in \mathcal{O}$ , then  $\Psi(\bar{b}, \bar{y}) \cap (u \times W) \neq \emptyset$ . On the other hand,  $\bar{b} \in \mathcal{N}$  so  $\phi(\bar{b}) \cap u = \bar{b}'$  which implies  $\Psi(\bar{b}, \bar{y}) \subseteq p^{-1}(\bar{b}') \times Y$ . Thus the single point of  $\Psi(\bar{b}, \bar{y})$  that lies in  $u \times W$  must be  $\widehat{\Psi}(\bar{y}, (\bar{b}, \bar{b}')) = \Psi(\bar{b}, \bar{y}) \cap p^{-1}(\bar{b}')$ . We conclude that  $\widehat{\Psi}(\mathcal{O} \times \mathcal{M}) \subseteq u \times W \subseteq U \times W$  so  $\widehat{\Psi}: Y \times \Gamma_\phi \rightarrow S^1 \times Y$  is continuous.

By hypothesis, there is a path  $a: I \rightarrow \Gamma_\phi$  such that  $a(v) = (b_v, b_v)$  for  $v = 0, 1$ . Define  $H: Y \times I \rightarrow Y$  by

$$H(y, t) = \pi_Y \widehat{\Psi}(y, a(t)).$$

The continuity of  $H$  follows from the continuity of  $\widehat{\Psi}$  that we just established. For  $b \in S^1$ , define  $i_b: Y \rightarrow p^{-1}(b) \subseteq S^1 \times Y$  by  $i_b(y) =$

$(b, y)$ . For  $v = 0, 1$  we have

$$\begin{aligned} H(y, v) &= \pi_Y \widehat{\Psi}(y, (b_v, b_v)) \\ &= \pi_Y(\Psi(b_v, y) \cap p^{-1}(b_v)) \\ &= \pi_Y g_{b_v} i_{b_v}(y). \end{aligned}$$

Thus the maps  $\pi_Y g_{b_0} i_{b_0}, \pi_Y g_{b_1} i_{b_1}: Y \rightarrow Y$  are homotopic by  $H$  so  $N(\pi_Y f_{b_0} i_{b_0}) = N(\pi_Y f_{b_1} i_{b_1})$ . Since  $i_{b_j}$  and the restriction of  $\pi_Y$  to  $p^{-1}(b_j)$  are homeomorphisms,  $N(g_{b_j}) = N(\pi_Y g_{b_j} i_{b_j})$  by Theorem 5.4 of [14] and we conclude that  $N(g_{b_0}) = N(g_{b_1})$ .  $\square$

**Lemma 5.2.** *If  $\phi, \psi: X \multimap Y$  are homotopic  $n$ -valued multimaps, then their graphs  $\Gamma_\phi$  and  $\Gamma_\psi$  are the same homotopy type and thus, in particular, they have the same number of path components.*

*Proof.* By hypothesis, there is an  $n$ -valued multimap  $\Delta: X \times I \multimap Y$  such that  $\Delta(x, 0) = \phi(x)$  and  $\Delta(x, 1) = \psi(x)$  for all  $x \in X$ . The strong deformation retraction  $R: X \times I \rightarrow X \times \{0\}$  induces a strong deformation retraction of covering spaces  $\widetilde{R}: \Gamma_\Delta \rightarrow \Gamma_\phi$  so  $\Gamma_\Delta$  and  $\Gamma_\phi$  are the same homotopy type. The strong deformation retraction of  $X \times I$  to  $X \times \{1\}$  establishes the same relationship between  $\Gamma_\Delta$  and  $\Gamma_\psi$  and completes the proof.  $\square$

For  $n$  a positive integer, we understand the greatest common divisor of 0 and  $n$  to be  $n$ .

**Proposition 5.2.** *Let  $\phi: S^1 \multimap S^1$  be an  $n$ -valued multimap of degree  $d$ , then its graph  $\Gamma_\phi$  has  $w$  path components, where  $w$  is the greatest common divisor of  $n$  and  $d$ .*

*Proof.* For  $\eta: \mathbf{R} \rightarrow S^1$  the covering space, we represent points of  $S^1$  by  $\eta(t)$  for  $0 \leq t < 1$ . The  $n$ -valued power map  $\phi_{n,d}: S^1 \multimap S^1$  is defined by

$$\phi_{n,d}(\eta(t)) = \left\{ \eta\left(\frac{d}{n}t\right), \eta\left(\frac{d}{n}t + \frac{1}{n}\right), \dots, \eta\left(\frac{d}{n}t + (n-1)\frac{1}{n}\right) \right\}.$$

We will first prove that if  $n$  and  $d \neq 0$  are relatively prime, then  $\Gamma_{\phi_{n,d}}$  is path connected. Define  $P: \mathbf{R}^2 \rightarrow S^1 \times S^1$  by  $P(x, y) = (\eta(x), \eta(y))$ . Let

$$G_{n,d} = \left\{ \left(x, \frac{d}{n}x\right) \in \mathbf{R}^2 : 0 \leq x < n \right\}$$

and note that  $G_{n,d}$  is path connected. For  $(x, y) \in G_{n,d}$ , write  $x = t + m$  where  $0 \leq t < 1$  and  $0 \leq m \leq n-1$  is an integer, then

$$\eta\left(\frac{d}{n}x\right) = \eta\left(\frac{d}{n}t + \frac{dm}{n}\right) = \eta\left(\frac{d}{n}t + j\frac{1}{n}\right)$$

where the integer  $j$ , with  $0 \leq j \leq n-1$ , is congruent to  $dm$  modulo  $n$ . Since  $\eta(x) = \eta(t)$ , we have shown that  $P(G_{n,d}) \subseteq \Gamma_{\phi_{n,d}}$ .

Now let  $(\eta(t), \eta(\frac{d}{n}t + j\frac{1}{n})) \in \Gamma_{\phi_{n,d}}$  where  $0 \leq t < 1$  and  $0 \leq j \leq n-1$  is an integer. Since  $d$  and  $n$  are relatively prime, there exist integers  $a, b$  such that  $1 = ad + bn$ . Let  $0 \leq m \leq n-1$  be the integer congruent to  $ja$  modulo  $n$  and let  $x = t + m$ . We find that

$$\begin{aligned} \left(\frac{d}{n}t + \frac{dm}{n}\right) - \left(\frac{d}{n}t + j\frac{1}{n}\right) &= \frac{dm - j}{n} \\ &= \frac{dm - j(ad + bn)}{n} \\ &= \frac{d(m - ja) - jbn}{n}, \end{aligned}$$

which is an integer because  $m - ja$  is divisible by  $n$ . Therefore

$$\eta\left(\frac{d}{n}x\right) = \eta\left(\frac{d}{n}t + \frac{dm}{n}\right) = \eta\left(\frac{d}{n}t + j\frac{1}{n}\right)$$

and we conclude that  $\Gamma_{\phi_{n,d}} = P(G_{n,d})$  so  $\Gamma_{\phi_{n,d}}$  is path connected.

Now consider  $\phi_{n,d}$  for  $n \geq 1$  an integer and  $d$  any nonzero integer. The power map is  $w$ -split as

$$\phi_{n,d} = \{\phi_{n,d}^{(0)}, \phi_{n,d}^{(1)}, \dots, \phi_{n,d}^{(w-1)}\},$$

for  $w$  the greatest common divisor of  $n$  and  $d$ , where  $\phi_{n,d}^{(k)}$  is the  $\frac{n}{w}$ -valued multimap defined by

$$\phi_{n,d}^{(k)}(\eta(t)) = \left\{\eta\left(\frac{d}{n}t + \frac{k}{n}\right), \eta\left(\frac{d}{n}t + \frac{k}{n} + \frac{w}{n}\right), \dots, \eta\left(\frac{d}{n}t + \frac{k}{n} + \left(\frac{n}{w} - 1\right)\frac{w}{n}\right)\right\}$$

for  $k = 0, 1, \dots, w-1$ . Since  $\Gamma_{\phi_{n,d}}$  is the union of the  $\Gamma_{\phi_{n,d}^{(k)}}$ , and the  $\Gamma_{\phi_{n,d}^{(k)}}$  are disjoint sets that are homeomorphic to each other through rotations of  $S^1 \times S^1$ , it is sufficient to prove that  $\Gamma_{\phi_{n,d}^{(0)}}$  is path connected. Noting that  $\phi_{n,d}^{(0)} = \phi_{\frac{n}{w}, \frac{d}{w}}$  and that  $\frac{n}{w}$  and  $\frac{d}{w}$  are relatively prime, the argument above shows that  $\Gamma_{\phi_{n,d}^{(0)}}$  is path connected and thus  $\Gamma_{\phi_{n,d}}$  has  $w$  path components. Now let  $\phi: S^1 \multimap S^1$  be an  $n$ -valued multimap of degree  $d \neq 0$ . Then by Theorem 3.1 of [4],  $\phi$  is homotopic to  $\phi_{n,d}$ . Therefore, by Lemma 5.2,  $\Gamma_\phi$  also has  $w$  path components.

If  $d = 0$ , then  $\phi$  is homotopic to  $\phi_{n,0}$ . Since  $\Gamma_{\phi_{n,0}}$  is the product  $\{z_0, z_1, \dots, z_{n-1}\} \times S^1$  where  $z_j = \eta(\frac{j}{n})$ , Lemma 5.2 implies that  $\Gamma_\phi$  has  $w = n$  path components in this case also.  $\square$

**Theorem 5.2.** (Semi-Product Formula) *Let  $p: E \rightarrow S^1$  be an orientable fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map with induced multimap  $\phi: S^1 \multimap S^1$  of degree  $d$ . Let  $b_1, b_2, \dots, b_w \in S^1$ , where  $w$  is the greatest common divisor of  $n$  and  $d$ , be fixed points in distinct essential fixed point classes of  $\phi$  such that the points  $(b_j, b_j)$  are in distinct path components of  $\Gamma_\phi$ , then*

$$N(\Phi) = \left| \frac{n}{w} - \frac{d}{w} \right| \sum_{j=1}^w N(f_{b_j}).$$

*Proof.* By Proposition 5.2,  $\Gamma_\phi$  has  $w$  path components, so  $\phi$  is  $w$ -split by Proposition 2.1 and we may write  $\phi = \{\phi_1, \phi_2, \dots, \phi_w\}$ . By Theorem 3.1 of [4],  $\phi$  is homotopic to  $\phi_{n,d}$  so, by Proposition 2.2, each  $\phi_j$  is homotopic to some  $\phi_{n,d}^{(k)}$ , which is homotopic to  $\phi_{\frac{n}{w}, \frac{d}{w}}$ , and therefore, by Theorem 4.1 of [4],

$$N(\phi_j) = N(\phi_{n,d}^{(k)}) = N(\phi_{\frac{n}{w}, \frac{d}{w}}) = \left| \frac{n}{w} - \frac{d}{w} \right|$$

for each  $j$ . Proposition 2.3 implies that  $\Phi$   $w$ -splits as  $\Phi = \{\Phi_1, \Phi_2, \dots, \Phi_w\}$ , where each  $\Phi_j$  is an  $\frac{n}{w}$ -valued fiber map with induced map  $\phi_j$ . By Theorem 4.1,

$$N(\Phi_j) = \sum_{j=1}^{\left| \frac{n}{w} - \frac{d}{w} \right|} N(f_{b_j})$$

where  $b_1, b_2, \dots, b_{\left| \frac{n}{w} - \frac{d}{w} \right|}$  are fixed points, one in each essential fixed point class of  $\phi_j$ . Since  $\Gamma_{\phi_j}$  is path connected,  $N(f_{b_j}) = N(f_{b_k})$  for all  $j, k = 1, 2, \dots, \left| \frac{n}{w} - \frac{d}{w} \right|$  by Lemma 5.1 so  $N(\Phi_j) = \left| \frac{n}{w} - \frac{d}{w} \right| N(f_{b_j})$  where  $b_j$  is in any essential fixed point class of  $\phi_j$ . Therefore, by the Remark on page 218 of [17],

$$N(\Phi) = \sum_{j=1}^w N(\Phi_j) = \sum_{j=1}^w \left| \frac{n}{w} - \frac{d}{w} \right| N(f_{b_j})$$

where the  $b_j$  are in distinct essential fixed point classes of  $\phi$  such that  $(b_j, b_j)$  are in distinct path components of  $\Gamma_\phi$ .  $\square$

We obtain the following product formula result:

**Corollary 5.1.** *Let  $p: E \rightarrow S^1$  be an orientable fibration and let  $\Phi: E \multimap E$  be an  $n$ -valued fiber map with induced multimap  $\phi: S^1 \multimap S^1$  of degree  $d \neq 0$ . If  $d$  is relatively prime to  $n$ , then*

$$N(\Phi) = N(\phi)N(f_b) = |n - d| N(f_b)$$

where  $b \in B$  is in any essential fixed point class of  $\phi$ .

Suppose  $B$  and  $Y$  are sets,  $S = \{x_1, x_2, \dots, x_n\}$  is an unordered subset of  $n$  points of  $B$  and  $y \in Y$ , then  $S \times y$  will denote the unordered subset  $\{(x_1, y), (x_2, y), \dots, (x_n, y)\}$  of  $B \times Y$ . For  $\phi: B \multimap B$  an  $n$ -valued function and  $f: Y \rightarrow Y$  single-valued, define the *product  $n$ -valued function*  $\Phi = \phi \times f: E = B \times Y \multimap B \times Y$  by  $\Phi(b, y) = \phi(b) \times f(y)$ . If  $\phi$  and  $f$  are continuous, so also is  $\Phi$ , that is, it is a *product  $n$ -valued multimap*. A product  $n$ -valued multimap  $\Phi$  is an  $n$ -valued fiber map with respect to the product bundle  $\pi_B: B \times Y \rightarrow B$  with induced map  $\phi$  and  $f_b = f$  for all  $b \in \phi(b)$ . From Theorem 5.2 we also have the product formula result

**Corollary 5.2.** *Let  $\phi \times f: S^1 \times Y \multimap S^1 \times Y$  be a product  $n$ -valued multimap where  $\phi$  is of degree  $d$ , then*

$$N(\phi \times f) = N(\phi)N(f) = |n - d| N(f).$$

## References

- [1] Allaud, G. and Fadell, E., *A fiber homotopy extension theorem*, Trans. Amer. Math. Soc., **104**, 239 - 251 (1962).
- [2] Banach, S. and Mazur, S., *Über mehrdeutige stetige Abbildungen*, Studia Math., **5**, 174 - 178 (1934).
- [3] Berge, C., *Topological Spaces*, Oliver & Boyd (1963).
- [4] Brown, R., *Fixed points of  $n$ -valued multimaps of the circle*, Bull. Pol. Acad. Sci. Math., **54**, 153 - 162 (2006).
- [5] Brown, R., *The Lefschetz number of an  $n$ -valued multimap*, JP Jour. Fixed Point Theory Appl., **2**, 53 - 60 (2007).
- [6] Dieudonne, J., *A History of Algebraic and Differential Topology, 1900 - 1960*, Birkhauser (1989).
- [7] Fadell, E., *On fiber homotopy equivalence*, Duke Math. J. **26**, 699 - 706 (1959).
- [8] Fadell, E., *The equivalence of fiber spaces and bundles*, Bull. Amer. Math. Soc. **66**, 50 - 53 (1960).
- [9] Hart, E., *Algebraic techniques for calculating the Nielsen number on hyperbolic surfaces*, Handbook of Topological Fixed Point Theory, Springer, 463 - 488 (2005).
- [10] Hatcher, A., *Algebraic Topology*, Cambridge U. Press (2002).

- [11] Heath, P., *Fibre techniques in Nielsen theory calculations*, Handbook of Topological Fixed Point Theory, Springer, 489 - 544 (2005).
- [12] Heath, P., Keppelmann, E. and Wong, P., *Addition formulae for Nielsen numbers and Nielsen type numbers of fiber preserving maps*, Top. Appl. **67**, 133 - 157 (1995).
- [13] Hopf, H., *Über die algebraische Anzahl von Fixpunkten*, Math. Z., **29**, 493 - 524 (1929).
- [14] Jiang, B., *Lectures on Nielsen Fixed Point Theory*, Contemporary Math. **14**, American Math. Soc. (1983)
- [15] Massey, W., *Algebraic Topology: An Introduction*, Harcourt, Brace and World (1967).
- [16] Schirmer, H., *Fix-finite approximations of  $n$ -valued multifunctions*, Fund. Math. **121**, 73 - 80 (1984).
- [17] Schirmer, H., *An index and Nielsen number for  $n$ -valued multifunctions*, Fund. Math. **124**, 207 - 219 (1984).
- [18] Schirmer, H., *A minimum theorem for  $n$ -valued multifunctions*, Fund. Math. **126**, 83 - 92 (1985).
- [19] Spanier, E., *Algebraic Topology*, McGraw-Hill (1966).
- [20] Steenrod, N., *The Topology of Fibre Bundles*, Princeton, 1951.
- [21] You, C., *Fixed point classes of a fiber map*, Pacific J. Math. **100**, 217 - 241 (1982).