

Deconvolutional determination of the nonlinearity in a semilinear wave equation

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Introduction

Consider the semilinear wave equation

$$\begin{cases} (\partial_{tt} - \Delta_x)u(t, x) = F(u(t, x)), & (t, x) \in \mathbb{R} \times \mathbb{R}^3; \\ u(0, \cdot) = u_0; \\ \partial_t u(0, \cdot) = u_1. \end{cases}$$

When the initial data (u_0, u_1) is “small”, the solution to this equation will “behave in the distant future or past” like the solution to a *linear* wave equation

$$\begin{cases} (\partial_{tt} - \Delta_x)u(t, x) = 0, & (t, x) \in \mathbb{R} \times \mathbb{R}^3; \\ u(0, \cdot) = u_0^*; \\ \partial_t u(0, \cdot) = u_1^*. \end{cases}$$

This phenomenon is called “(small-data) scattering”.

A commonly asked question in the study of nonlinear dispersive PDEs (e.g., NLS, NLW, Klein–Gordon) is:

“Is the nonlinearity determined by how it scatters solutions?”

- ▶ Strong assumptions on the nonlinearity (e.g., analyticity) are often made to obtain a positive answer.
- ▶ (Sá Barreto–Uhlmann–Wang, 2020): quintic-type nonlinearities ($|F(u)| \approx |u|^5$) for the NLW equation in 3D; complicated argument with many assumptions on the nonlinearity.
- ▶ (Killip–Murphy–Vişan, 2023): power-type nonlinearities for the NLS equation in 2D; much simpler argument with few assumptions on the nonlinearity!
- ▶ We adapt the techniques of Killip, Murphy, and Vişan to the setting considered by Sá Barreto, Uhlmann, and Wang.

Introduction: solutions of the NLW equation

The NLW equation can be written as

$$\partial_t \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & 1 \\ \Delta & 0 \end{bmatrix}}_{\mathcal{A}} \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} + \begin{bmatrix} 0 \\ F(u(t)) \end{bmatrix},$$

so

$$e^{-\mathcal{A}t} \partial_t \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = e^{-\mathcal{A}t} \mathcal{A} \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} + e^{-\mathcal{A}t} \begin{bmatrix} 0 \\ F(u(t)) \end{bmatrix}.$$

Hence

$$\partial_t \left(e^{-\mathcal{A}t} \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} \right) = e^{-\mathcal{A}t} \begin{bmatrix} 0 \\ F(u(t)) \end{bmatrix}.$$

Integrating and rearranging, we obtain

$$\begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = e^{\mathcal{A}t} \begin{bmatrix} u(0) \\ \partial_t u(0) \end{bmatrix} + \int_0^t e^{\mathcal{A}(t-s)} \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds.$$

The **propagator** for the linear wave equation is

$$\mathcal{U}(t) := e^{At} = \exp \begin{bmatrix} 0 & t \\ t\Delta & 0 \end{bmatrix} = \begin{bmatrix} \cos(t|\nabla|) & \frac{\sin(t|\nabla|)}{|\nabla|} \\ -|\nabla| \sin(t|\nabla|) & \cos(t|\nabla|) \end{bmatrix},$$

where $|\nabla| = \sqrt{-\Delta}$.

We therefore have the **Duhamel formula**

$$\begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = \mathcal{U}(t) \begin{bmatrix} u(0) \\ \partial_t u(0) \end{bmatrix} + \int_0^t \mathcal{U}(t-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds.$$

Introduction: solutions of the NLW equation

Definition (Solution)

A function $u : \mathbb{R} \times \mathbb{R}^3 \rightarrow \mathbb{R}$ is said to be a **(strong) global solution** of the NLW equation if $(u, \partial_t u) \in C_t^0 \dot{H}_x^1(K \times \mathbb{R}^3) \times C_t^0 L_x^2(K \times \mathbb{R}^3)$ and $u \in L_t^5 L_x^{10}(K \times \mathbb{R}^3)$ for all compact sets $K \subseteq \mathbb{R}$ and if u satisfies the Duhamel formula

$$\begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = \mathcal{U}(t) \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^t \mathcal{U}(t-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds.$$

Theorem (Strichartz estimates)

If $u : \mathbb{R} \times \mathbb{R}^3 \rightarrow \mathbb{R}$ is a global solution of the NLW equation, then

$$\|(u, \partial_t u)\|_{L_t^\infty \dot{H}_x^1 \times L_t^\infty L_x^2} + \|u\|_{L_t^5 L_x^{10}} \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2} + \|F(u)\|_{L_t^1 L_x^2}.$$

Definition (Admissible nonlinearity)

A nonlinearity $F : \mathbb{R} \rightarrow \mathbb{R}$ is considered **admissible** if:

- ▶ $F(0) = 0$
- ▶ $|F(u) - F(v)| \lesssim (|u|^4 + |v|^4)|u - v|$ (so $|F(u)| \lesssim |u|^5$)
- ▶ $F(-u) = -F(u)$

Theorem (Small-data scattering)

Let F be an admissible nonlinearity for the NLW equation. Then there exists an $\eta > 0$ such that the NLW equation has a unique global solution u satisfying

$$\|(u, \partial_t u)\|_{L_t^\infty \dot{H}_x^1 \times L_t^\infty L_x^2} + \|u\|_{L_t^5 L_x^{10}} \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}$$

whenever $(u_0, u_1) \in B_\eta$, where

$$B_\eta := \{(u_0, u_1) \in \dot{H}^1(\mathbb{R}^3) \times L^2(\mathbb{R}^3) : \|(u_0, u_1)\|_{\dot{H}^1 \times L^2} < \eta\}.$$

(Continued on the next slide.)

Theorem (Small-data scattering, continued)

This solution **scatters** in $\dot{H}^1(\mathbb{R}^3) \times L^2(\mathbb{R}^3)$ as $t \rightarrow \pm\infty$, meaning that there exist (necessarily unique) asymptotic states $(u_0^\pm, u_1^\pm) \in \dot{H}^1 \times L^2$ for which

$$\left\| \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} - \mathcal{U}(t) \begin{bmatrix} u_0^\pm \\ u_1^\pm \end{bmatrix} \right\|_{\dot{H}^1 \times L^2} \rightarrow 0 \quad \text{as } t \rightarrow \pm\infty.$$

In addition, for all $(u_0^-, u_1^-) \in B_\eta$, there exists a unique global solution u to the NLW equation and a unique asymptotic state $(u_0^+, u_1^+) \in \dot{H}^1 \times L^2$ for which the above holds.

The map

$$(u_0, u_1) \mapsto (u_0^+, u_1^+)$$

implicitly defined by this theorem (on some open ball $B_\eta \subseteq \dot{H}^1 \times L^2$) will be referred to as the **wave operator** and will be denoted W_F .

The map

$$(u_0^-, u_1^-) \mapsto (u_0^+, u_1^+)$$

is known as the **scattering operator** and will be denoted S_F .

Theorem (Determination of the nonlinearity)

Suppose that F and \tilde{F} are admissible nonlinearities for the NLW equation and that B_η and $B_{\tilde{\eta}}$ are corresponding balls given by the small-data scattering theorem. If W_F and $W_{\tilde{F}}$, or S_F and $S_{\tilde{F}}$, agree on $B_\eta \cap B_{\tilde{\eta}}$ (that is, the smaller of the two balls), then $F = \tilde{F}$.

(We will only discuss the case where the wave operators agree as the case where the scattering operators agree can be treated similarly.)

Proof (outline)

- ▶ Small-data scattering and asymptotics for the wave (and scattering) operators

$$W_F = [\text{formula}] = [\text{approximate formula}] + [\text{error}]$$

- ▶ Reduction to a convolution equation

$$W_F = W_{\tilde{F}} \implies H * w = \tilde{H} * w$$

- ▶ Deconvolutional determination of the nonlinearity

$$H * w = \tilde{H} * w \implies H = \tilde{H} \implies F = \tilde{F}$$

Small-data scattering

Theorem (Small-data scattering)

Let F be an admissible nonlinearity for the NLW equation. Then there exists an $\eta > 0$ such that the NLW equation has a unique global solution u satisfying

$$\|(u, \partial_t u)\|_{L_t^\infty \dot{H}_x^1 \times L_t^\infty L_x^2} + \|u\|_{L_t^5 L_x^{10}} \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}$$

whenever $(u_0, u_1) \in B_\eta$, where

$$B_\eta := \{(u_0, u_1) \in \dot{H}^1(\mathbb{R}^3) \times L^2(\mathbb{R}^3) : \|(u_0, u_1)\|_{\dot{H}^1 \times L^2} < \eta\}.$$

Small-data scattering

Proof (sketch)

Consider the nonempty complete metric space (X, d) , where

$$\begin{aligned} X &:= \{u : \mathbb{R} \times \mathbb{R}^3 \rightarrow \mathbb{R} : \\ &\quad (u, \partial_t u) \in C_t^0 \dot{H}_x^1 \times C_t^0 L_x^2, u \in L_t^5 L_x^{10}, \\ &\quad \|(u, \partial_t u)\|_{L_t^\infty \dot{H}_x^1 \times L_t^\infty L_x^2} + \|u\|_{L_t^5 L_x^{10}} \leq 2C \|(u_0, u_1)\|_{\dot{H}^1 \times L^2} \} \end{aligned}$$

for some constant $C > 0$ and

$$d(u, v) := \|(u, \partial_t u) - (v, \partial_t v)\|_{L_t^\infty \dot{H}_x^1 \times L_t^\infty L_x^2} + \|u - v\|_{L_t^5 L_x^{10}}.$$

Define a map Φ on X using the Duhamel formula,

$$\begin{bmatrix} (\Phi(u))(t) \\ (\partial_t \Phi(u))(t) \end{bmatrix} := \mathcal{U}(t) \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^t \mathcal{U}(t-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds.$$

Proof (sketch)

Show that Φ is a contraction on (X, d) whenever $(u_0, u_1) \in B_\eta$ and η is sufficiently small. By the Banach fixed point theorem, we then have

$$\begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = \begin{bmatrix} (\Phi(u))(t) \\ (\partial_t \Phi(u))(t) \end{bmatrix} = \mathcal{U}(t) \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^t \mathcal{U}(t-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds.$$

for some unique $u \in X$, meaning that u is a solution!

Theorem (Small-data scattering, continued)

This solution **scatters** in $\dot{H}^1(\mathbb{R}^3) \times L^2(\mathbb{R}^3)$ as $t \rightarrow \pm\infty$, meaning that there exist (necessarily unique) asymptotic states $(u_0^\pm, u_1^\pm) \in \dot{H}^1 \times L^2$ for which

$$\left\| \begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} - \mathcal{U}(t) \begin{bmatrix} u_0^\pm \\ u_1^\pm \end{bmatrix} \right\|_{\dot{H}^1 \times L^2} \rightarrow 0 \quad \text{as } t \rightarrow \pm\infty.$$

In addition, for all $(u_0^-, u_1^-) \in B_\eta$, there exists a unique global solution u to the NLW equation and a unique asymptotic state $(u_0^+, u_1^+) \in \dot{H}^1 \times L^2$ for which the above holds.

Small-data scattering

Proof (sketch)

WLOG, consider $t \rightarrow +\infty$. We want to show that

$$(u(t), \partial_t u(t)) \approx \mathcal{U}(t)(u_0^+, u_1^+) \quad \text{in } \dot{H}^1 \times L^2 \text{ as } t \rightarrow +\infty.$$

Since $\mathcal{U}(t)$ is unitary on $\dot{H}^1 \times L^2$ for all t and $\mathcal{U}(t)^{-1} = \mathcal{U}(-t)$, this is equivalent to

$$\mathcal{U}(-t)(u(t), \partial_t u(t)) \approx (u_0^+, u_1^+) \quad \text{in } \dot{H}^1 \times L^2 \text{ as } t \rightarrow +\infty.$$

We found that

$$\begin{bmatrix} u(t) \\ \partial_t u(t) \end{bmatrix} = \mathcal{U}(t) \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^t \mathcal{U}(t-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds,$$

so we expect (and indeed, it can be shown) that

$$\begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^\infty \mathcal{U}(-s) \begin{bmatrix} 0 \\ F(u(s)) \end{bmatrix} ds = \begin{bmatrix} u_0^+ \\ u_1^+ \end{bmatrix}.$$

This argument shows that the wave operator is given by

$$W_F \left(\begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right) = \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u(t)) \end{bmatrix} dt,$$

where u is the solution of the NLW equation with initial data (u_0, u_1) .

The “Born approximation” to W_F is

$$W_F \left(\begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right) \approx \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u_{\text{lin}}(t)) \end{bmatrix} dt,$$

where

$$\begin{bmatrix} u_{\text{lin}}(t) \\ \partial_t u_{\text{lin}}(t) \end{bmatrix} := \mathcal{U}(t) \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}.$$

Corollary (Small-data asymptotics for the wave operator)

Suppose that F is an admissible nonlinearity for the NLW equation and that B_η is a corresponding ball given by the small-data scattering theorem. If u_{lin} denotes the solution of the linear wave equation with initial data $(u_0, u_1) \in B_\eta$, then (in $\dot{H}^1 \times L^2$) we have

$$W_F \left(\begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right) = \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} + \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u_{\text{lin}}(t)) \end{bmatrix} dt + \mathcal{O} \left(\left\| \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right\|_{\dot{H}^1 \times L^2}^9 \right).$$

Proof

Comparing the formula for the wave operator to that of its Born approximation, we see that we need to prove that

$$\left\| \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u(t)) - F(u_{\text{lin}}(t)) \end{bmatrix} dt \right\|_{\dot{H}^1 \times L^2} \lesssim \left\| \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right\|_{\dot{H}^1 \times L^2}^9,$$

which we will do by duality.

Proof

Fix some $(v_0, v_1) \in \dot{H}^1 \times L^2$ and let v_{lin} denote the solution of the linear wave equation with initial data (v_0, v_1) . Then

$$\begin{aligned} & \left\langle \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u(t)) - F(u_{\text{lin}}(t)) \end{bmatrix} dt, \begin{bmatrix} v_0 \\ v_1 \end{bmatrix} \right\rangle_{\dot{H}^1 \times L^2} \\ &= \int_0^\infty \left\langle \begin{bmatrix} 0 \\ F(u(t)) - F(u_{\text{lin}}(t)) \end{bmatrix}, \mathcal{U}(t) \begin{bmatrix} v_0 \\ v_1 \end{bmatrix} \right\rangle_{\dot{H}^1 \times L^2} dt \\ &= \int_0^\infty \left\langle \begin{bmatrix} 0 \\ F(u(t)) - F(u_{\text{lin}}(t)) \end{bmatrix}, \begin{bmatrix} v_{\text{lin}}(t) \\ \partial_t v_{\text{lin}}(t) \end{bmatrix} \right\rangle_{\dot{H}^1 \times L^2} dt \\ &= \int_0^\infty \langle F(u(t)) - F(u_{\text{lin}}(t)), \partial_t v_{\text{lin}}(t) \rangle_{L^2} dt. \end{aligned}$$

Small-data scattering

Proof

By Hölder's inequality, the properties of F , the estimates for u , and the Strichartz estimates, we have

$$\begin{aligned} & \left| \int_0^\infty \langle F(u(t)) - F(u_{\text{lin}}(t)), \partial_t v_{\text{lin}}(t) \rangle_{L^2} dt \right| \\ & \leq \|F(u) - F(u_{\text{lin}})\|_{L_t^1 L_x^2} \cdot \|\partial_t v_{\text{lin}}\|_{L_t^\infty L_x^2} \\ & \lesssim (\|u\|_{L_t^5 L_x^{10}}^4 + \|u_{\text{lin}}\|_{L_t^5 L_x^{10}}^4) \|u - u_{\text{lin}}\|_{L_t^5 L_x^{10}} \cdot \|\partial_t v_{\text{lin}}\|_{L_t^\infty L_x^2}, \end{aligned}$$

where

$$\begin{aligned} \|u\|_{L_t^5 L_x^{10}}^4 & \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}^4, \\ \|u_{\text{lin}}\|_{L_t^5 L_x^{10}}^4 & \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}^4, \\ \|u - u_{\text{lin}}\|_{L_t^5 L_x^{10}} & \lesssim \|F(u)\|_{L_t^1 L_x^2} \lesssim \|u\|_{L_t^5 L_x^{10}}^5 \lesssim \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}^5, \\ \|\partial_t v_{\text{lin}}\|_{L_t^\infty L_x^2} & \lesssim \|(v_0, v_1)\|_{\dot{H}^1 \times L^2}. \end{aligned}$$

Reduction to a convolution equation

Proposition (Reduction to a convolution equation)

Suppose that F and \tilde{F} are admissible nonlinearities for the NLW equation. For $\tau \in \mathbb{R}$, define

$$H(\tau) := F'(e^\tau)e^{-4\tau} + F(e^\tau)e^{-5\tau}$$

and define $\tilde{H}(\tau)$ analogously. Then $H, \tilde{H} \in L^\infty(\mathbb{R})$, and under the hypotheses of the main theorem, we have

$$H * w = \tilde{H} * w,$$

where

$$w(\tau) := [\text{some function in } L^1(\mathbb{R})].$$

Reduction to a convolution equation

The proof of this proposition involves considering a specific solution u_{lin} of the linear wave equation with initial data $(u_0, u_1) \in \dot{H}^1 \times L^2$.

For $\alpha, \varepsilon > 0$, we define

$$u_{\text{lin}}^{\alpha, \varepsilon}(t, x) := \alpha u_{\text{lin}}((\alpha/\varepsilon)^2 t, (\alpha/\varepsilon)^2 x),$$

which solves the linear wave equation with initial data

$$(u_0^{\alpha, \varepsilon}, u_1^{\alpha, \varepsilon}) := (u_{\text{lin}}^{\alpha, \varepsilon}(0), \partial_t u_{\text{lin}}^{\alpha, \varepsilon}(0)).$$

Under this rescaling,

$$\|(u_0^{\alpha, \varepsilon}, u_1^{\alpha, \varepsilon})\|_{\dot{H}^1 \times L^2} = \varepsilon \|(u_0, u_1)\|_{\dot{H}^1 \times L^2}.$$

In particular, if F is an admissible nonlinearity for the NLW equation and B_η is a corresponding ball given by the small-data scattering theorem, then $(u_0^{\alpha, \varepsilon}, u_1^{\alpha, \varepsilon}) \in B_\eta$ for all $\varepsilon \ll \eta$.

Reduction to a convolution equation

This solution will also have the property that

$$u_{\text{lin}}(t, x) = \partial_t v_{\text{lin}}(t, x),$$

where v_{lin} is itself a solution of the linear wave equation with initial data $(v_0, v_1) \in \dot{H}^1 \times L^2$.

For $\alpha, \varepsilon > 0$, we define $v_{\text{lin}}^{\alpha, \varepsilon}$ so that

$$u_{\text{lin}}^{\alpha, \varepsilon}(t, x) = \partial_t v_{\text{lin}}^{\alpha, \varepsilon}(t, x).$$

Then $v_{\text{lin}}^{\alpha, \varepsilon}$ solves the linear wave equation with initial data

$$(v_0^{\alpha, \varepsilon}, v_1^{\alpha, \varepsilon}) := (v_{\text{lin}}^{\alpha, \varepsilon}(0), \partial_t v_{\text{lin}}^{\alpha, \varepsilon}(0)).$$

Under this rescaling,

$$\|(v_0^{\alpha, \varepsilon}, v_1^{\alpha, \varepsilon})\|_{\dot{H}^1 \times L^2} = (\alpha/\varepsilon)^{-2} \varepsilon \|(v_0, v_1)\|_{\dot{H}^1 \times L^2}.$$

Reduction to a convolution equation

Proof (of the reduction)

Observe that

$$\begin{aligned} & \int_0^\infty \langle F(u_{\text{lin}}^{\alpha,\varepsilon}(t)), u_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt \\ &= \int_0^\infty \langle F(u_{\text{lin}}^{\alpha,\varepsilon}(t)), \partial_t v_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt \\ &= \int_0^\infty \left\langle \begin{bmatrix} 0 \\ F(u_{\text{lin}}^{\alpha,\varepsilon}(t)) \end{bmatrix}, \mathcal{U}(t) \begin{bmatrix} v_0^{\alpha,\varepsilon} \\ v_1^{\alpha,\varepsilon} \end{bmatrix} \right\rangle_{\dot{H}^1 \times L^2} dt \\ &= \left\langle \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u_{\text{lin}}^{\alpha,\varepsilon}(t)) \end{bmatrix} dt, \begin{bmatrix} v_0^{\alpha,\varepsilon} \\ v_1^{\alpha,\varepsilon} \end{bmatrix} \right\rangle_{\dot{H}^1 \times L^2}. \end{aligned}$$

Reduction to a convolution equation

Proof

Since $W_F((u_0^{\alpha,\varepsilon}, u_1^{\alpha,\varepsilon})) = W_{\tilde{F}}((u_0^{\alpha,\varepsilon}, u_1^{\alpha,\varepsilon}))$ (for all $\varepsilon \ll \eta, \tilde{\eta}$), we have

$$\begin{aligned} & \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ F(u_{\text{lin}}^{\alpha,\varepsilon}(t)) \end{bmatrix} dt \\ &= \int_0^\infty \mathcal{U}(-t) \begin{bmatrix} 0 \\ \tilde{F}(u_{\text{lin}}^{\alpha,\varepsilon}(t)) \end{bmatrix} dt + \mathcal{O}\left(\left\| \begin{bmatrix} u_0^{\alpha,\varepsilon} \\ u_1^{\alpha,\varepsilon} \end{bmatrix} \right\|_{\dot{H}^1 \times L^2}^9\right). \end{aligned}$$

Hence

$$\begin{aligned} & \int_0^\infty \langle F(u_{\text{lin}}^{\alpha,\varepsilon}(t)), u_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt \\ &= \int_0^\infty \langle \tilde{F}(u_{\text{lin}}^{\alpha,\varepsilon}(t)), u_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt + \mathcal{O}(\varepsilon^9) \left\| \begin{bmatrix} v_0^{\alpha,\varepsilon} \\ v_1^{\alpha,\varepsilon} \end{bmatrix} \right\|_{\dot{H}^1 \times L^2} \\ &= \int_0^\infty \langle \tilde{F}(u_{\text{lin}}^{\alpha,\varepsilon}(t)), u_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt + \mathcal{O}_\alpha(\varepsilon^{12}). \end{aligned}$$

Reduction to a convolution equation

Proof

On the other hand, if $G(u) := F(u)u$, then

$$\begin{aligned} & \int_0^\infty \langle F(u_{\text{lin}}^{\alpha,\varepsilon}(t)), u_{\text{lin}}^{\alpha,\varepsilon}(t) \rangle_{L^2} dt \\ &= \int_0^\infty \int_{\mathbb{R}^3} G(u_{\text{lin}}^{\alpha,\varepsilon}(t)) dx dt \\ &= \int_0^\infty \int_{\mathbb{R}^3} \int_0^{u_{\text{lin}}^{\alpha,\varepsilon}(t)} G'(\lambda) d\lambda dx dt \\ &= \int_0^\infty G'(\lambda) \int_0^\infty \int_{\mathbb{R}^3} \mathbf{1}_{\{\lambda < u_{\text{lin}}^{\alpha,\varepsilon}(t,x)\}}(t, x, \lambda) dx dt d\lambda \\ &= \int_0^\infty G'(\lambda) \int_0^\infty \int_{\mathbb{R}^3} \mathbf{1}_{\{\lambda < u_{\text{lin}}(t,x)\}}((\alpha/\varepsilon)^2 t, (\alpha/\varepsilon)^2 x, \lambda/\alpha) dx dt d\lambda. \end{aligned}$$

Reduction to a convolution equation

Proof

Thus, if

$$m(\lambda) := |\{(t, x) \in (0, \infty) \times \mathbb{R}^3 : u_{\text{lin}}(t, x) > \lambda\}|,$$

then

$$\begin{aligned} & \int_0^\infty \langle F(u_{\text{lin}}^{\alpha, \varepsilon}(t)), u_{\text{lin}}^{\alpha, \varepsilon}(t) \rangle_{L^2} dt \\ &= \int_0^\infty G'(\lambda) (\alpha/\varepsilon)^{-8} m(\lambda/\alpha) d\lambda \\ &= \frac{\varepsilon^8}{\alpha^8} \int_{-\infty}^\infty G'(e^\tau) e^\tau m(e^{\tau - \log \alpha}) d\tau \quad (\lambda =: e^\tau) \\ &= \dots = \frac{16\pi\varepsilon^8}{3\alpha^2} (H * w)(\log 2\alpha), \end{aligned}$$

where $w(\tau) := \frac{12}{\pi} e^{-6\tau} m(e^{-(\tau - \log 2)})$.

Proof

Finally, given a $\tau_0 \in \mathbb{R}$, let $\alpha := \frac{1}{2}e^{\tau_0}$ so that $\tau_0 = \log 2\alpha$. Combining the above, we deduce that

$$(H * w)(\tau_0) = (\tilde{H} * w)(\tau_0) + \mathcal{O}(\varepsilon^4).$$

Taking $\varepsilon \rightarrow 0$, we arrive at the conclusion.

Reduction to a convolution equation

For the solution u_{lin} of the linear wave equation arising from the initial data

$$u_0(x) := 0 \in \dot{H}^1(\mathbb{R}^3),$$
$$u_1(x) := \begin{cases} \frac{2}{|x|} & \text{if } 0 < |x| \leq 1, \\ 0 & \text{if } |x| > 1 \end{cases} \in L^2(\mathbb{R}^3),$$

we indeed have $u_{\text{lin}}(t, x) = \partial_t v_{\text{lin}}(t, x)$ with $(v_0, v_1) \in \dot{H}^1 \times L^2$.

After some computation, we find that

$$w(\tau) = \left(e^{-3\tau} - \frac{4e^{-6\tau}}{(e^{-\tau} + 1)^3} \right) \mathbf{1}_{(0, \infty)}(\tau).$$

Deconvolutional determination of the nonlinearity

Deconvolutional determination of the nonlinearity

Now that we have $H * w = \tilde{H} * w$, we seek to formally “deconvolve” with w to conclude that $H = \tilde{H}$, from which it will follow that $F = \tilde{F}$.

The tool that will enable us to do so is the following Tauberian theorem due to Wiener.

Theorem (Wiener's Tauberian theorem)

Suppose that $f \in L^1(\mathbb{R})$. Then the span of all translates of f is dense in $L^1(\mathbb{R})$ if and only if \hat{f} has no zeroes.

Proposition

Let w be as defined previously. Then \hat{w} has no zeroes.

Deconvolutional determination of the nonlinearity

Proof (of main theorem)

As $H, \tilde{H} \in L^\infty(\mathbb{R})$, the map

$$f \mapsto \int_{\mathbb{R}} [H(\tau) - \tilde{H}(\tau)] f(\tau) d\tau$$

is a continuous linear functional on $L^1(\mathbb{R})$.

Since $H * w = \tilde{H} * w$, this functional vanishes on the translates of $w \in L^1(\mathbb{R})$.

By Wiener's Tauberian theorem, it vanishes on all of $L^1(\mathbb{R})$.

By duality, $H = \tilde{H}$. Retracing the definitions of H and \tilde{H} , we find that $F = \tilde{F}$.

Thank you for your attention!