

## Essential dimension

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ABSTRACT. We review and slightly generalize some definitions and results on the essential dimension.

The notion of essential dimension of an algebraic group was introduced by Buhler and Reichstein in [6] and [21]. Informally speaking, essential dimension  $\text{ed}(G)$  of an algebraic group  $G$  over a field  $F$  is the smallest number of algebraically independent parameters required to define a  $G$ -torsor over a field extension of  $F$ . Thus, the essential dimension of  $G$  measures complexity of the category of  $G$ -torsors.

More generally, the essential dimension of a functor from the category  $\mathbf{Fields}/F$  of field extensions of  $F$  to the category  $\mathbf{Sets}$  of sets was discussed in [2].

Let  $p$  be a prime integer. Essential  $p$ -dimension  $\text{ed}_p(G)$  of an algebraic group was introduced in [22]. The integer  $\text{ed}_p(G)$  is usually easier to calculate than  $\text{ed}(G)$ , and it measures the complexity of the category of  $G$ -torsors modulo “effects of degree prime to  $p$ ”.

In the present paper we study essential dimension and  $p$ -dimension of a functor  $\mathbf{Fields}/F \rightarrow \mathbf{Sets}$  in a uniform way (Section 1). We also introduce essential  $p$ -dimension of a class of field extensions of  $F$ , or equivalently, of a *detection* functor  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$ , i.e., a functor  $T$  with  $T(L)$  consisting of at most one element for every  $L$ .

For every functor  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$ , we associate the class of field extensions  $L/F$  such that  $T(L) \neq \emptyset$ . The essential  $p$ -dimension of this class is called *canonical  $p$ -dimension of  $T$* . Note that canonical  $p$ -dimension of a detection functor was introduced in [16] with the help of so-called generic fields that are defined in terms of places of fields. We show that this notion of the canonical  $p$ -dimension coincides with ours under a mild assumption (Theorem 1.16).

In Section 2, we introduce essential  $p$ -dimension of a presheaf of sets  $S$  on the category  $\mathbf{Var}/F$  of algebraic varieties over  $F$ . We associate a functor  $\tilde{S} : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  to every such an  $S$ , and show that  $\text{ed}_p(S) = \text{ed}_p(\tilde{S})$  (Proposition 2.6). In practice, many functors  $\mathbf{Fields}/F \rightarrow \mathbf{Sets}$  are of the form  $\tilde{S}$  for some presheaf of sets  $S$ . This setting allows us to define  *$p$ -generic* elements  $a \in S(X)$  for  $S$  and show that  $\text{ed}_p(S) = \text{ed}_p(a)$  (Theorem 2.9). Thus, to determine  $\text{ed}_p(S)$  or  $\text{ed}_p(\tilde{S})$  it is sufficient to compute the essential  $p$ -dimension of a single generic element.

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Following the approach developed by Brosnan, Reichstein and Vistoli in [3], in Section 3 we define essential  $p$ -dimension of a fibered category over  $\mathbf{Var}/F$ . In Section 4, we consider essential dimension of an algebraic group scheme and in Section 5 the essential  $p$ -dimension of finite groups. Technical results used in the paper are summarized in the Appendix.

We use the following notation:

We write  $\mathbf{Fields}/F$  for the category of finitely generated field extensions over  $F$  and field homomorphisms over  $F$ . For any  $L \in \mathbf{Fields}/F$ , we have  $\mathrm{tr. deg}_F(L) < \infty$ .

In the present paper, the word ‘‘scheme’’ over a field  $F$  means a separated scheme of finite type over  $F$  and a ‘‘variety’’ over  $F$  is an integral scheme over  $F$ . Note that by definition, every variety is nonempty.

The category of *algebraic varieties* over  $F$  is denoted by  $\mathbf{Var}/F$ . For any  $X \in \mathbf{Var}/F$ , the function field  $F(X)$  is an object of  $\mathbf{Fields}/F$  and  $\mathrm{tr. deg} F(X) = \dim(X)$ .

Let  $f : X \dashrightarrow Y$  be a rational morphism of varieties over  $F$  of the same dimension. The *degree*  $\mathrm{deg}(f)$  of  $f$  is zero if  $f$  is not dominant and is equal to the degree of the field extension  $F(X)/F(Y)$  otherwise.

An algebraic group scheme over  $F$  in the paper is a group scheme of finite type over  $F$ .

If  $R$  is a ring, we write  $M(R)$  for the category of finitely generated right  $R$ -modules.

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## 1. Definition of the essential $p$ -dimension

The letter  $p$  in the paper denotes either a prime integer or 0. An integer  $k$  is said to be *prime to  $p$*  when  $k$  is prime to  $p$  if  $p > 0$  and  $k = 1$  if  $p = 0$ .

**1.1. Essential  $p$ -dimension of a functor.** Let  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be a functor. Let  $\alpha \in T(L)$  and  $f : L \rightarrow L'$  a field homomorphism over  $F$ . The field  $L'$  can be viewed as an extension of  $L$  via  $f$ . Abusing notation we shall write  $\alpha_{L'}$  for the image of  $\alpha$  under the map  $T(f) : T(L) \rightarrow T(L')$ .

Let  $K, L \in \mathbf{Fields}/F$ ,  $\beta \in T(K)$  and  $\alpha \in T(L)$ . We write  $\alpha \succ_p \beta$  if there exist a finite field extension  $L'$  of  $L$  of degree prime to  $p$  and a field homomorphism  $K \rightarrow L'$  over  $F$  such that  $\alpha_{L'} = \beta_{L'}$ . In the case  $p = 0$ , the relation  $\alpha \succ_p \beta$  will be written as  $\alpha \succ \beta$  and simply means that  $L$  is an extension of  $K$  with  $\alpha = \beta_L$ .

LEMMA 1.1. *The relation  $\succ_p$  is transitive.*

PROOF. Let  $\alpha \in T(L)$ ,  $\beta \in T(K)$  and  $\gamma \in T(J)$ . Suppose  $\alpha \succ_p \beta$  and  $\beta \succ_p \gamma$ , i.e., there exist finite extensions  $K'$  of  $K$  and  $L'$  of  $L$ , both of degree prime to  $p$  and  $F$ -homomorphisms  $J \rightarrow K'$  and  $K \rightarrow L'$  such that  $\alpha_{L'} = \beta_{L'}$  and  $\beta_{K'} = \gamma_{K'}$ . By Lemma 6.1, there is a field extension  $L''/L'$  of degree prime to  $p$  and a field homomorphism  $K' \rightarrow L''$  extending  $K \rightarrow L'$ . We have  $\alpha_{L''} = \beta_{L''} = \gamma_{L''}$  and  $[L'' : L]$  is prime to  $p$ , hence  $\alpha \succ_p \gamma$ .  $\square$

Let  $K, L \in \mathbf{Fields}/F$ . An element  $\alpha \in T(L)$  is said to be  *$p$ -defined over  $K$*  and  $K$  is a *field of  $p$ -definition of  $\alpha$*  if  $\alpha \succ_p \beta$  for some  $\beta \in T(K)$ . In the case  $p = 0$ , we say that  $\alpha$  is *defined over  $K$*  and  $K$  is a *field of definition of  $\alpha$* . The latter means that  $L$  is an extension of  $K$  and  $\alpha = \beta_L$  for some  $\beta \in T(K)$ .

The *essential  $p$ -dimension* of  $\alpha$ , denoted  $\text{ed}_p(\alpha)$ , is the least integer  $\text{tr. deg}_F(K)$  over all fields of  $p$ -definition  $K$  of  $\alpha$ . In other words,

$$\text{ed}_p(\alpha) = \min\{\text{tr. deg}_F(K)\}$$

where the minimum is taken over all fields  $K/F$  such that there exists an element  $\beta \in T(K)$  with  $\alpha \succ_p \beta$ .

The *essential  $p$ -dimension of the functor  $T$*  is the integer

$$\text{ed}_p(T) = \sup\{\text{ed}_p(\alpha)\}$$

where the supremum is taken over all  $\alpha \in T(L)$  and fields  $L \in \mathbf{Fields}/F$ .

We write  $\text{ed}(T)$  for  $\text{ed}_0(T)$  and simply call  $\text{ed}(T)$  the *essential dimension of  $T$* . Clearly,  $\text{ed}(T) \geq \text{ed}_p(T)$  for all  $p$ .

Informally speaking, the essential dimension of  $T$  is the smallest number of algebraically independent parameters required to define  $T$ .

An element  $\alpha \in T(L)$  is called  *$p$ -minimal* if  $\text{ed}_p(\alpha) = \text{tr. deg}_F(L)$ , i.e., whenever  $\alpha \succ_p \beta$  for some  $\beta \in T(K)$ , we have  $\text{tr. deg}_F(K) = \text{tr. deg}_F(L)$ . By Lemma 1.1, for every  $\alpha \in T(L)$  there is a  $p$ -minimal element  $\beta \in T(K)$  with  $\alpha \succ_p \beta$ . It follows that  $\text{ed}_p(T)$  is the supremum of  $\text{ed}_p(\alpha)$  over all  $p$ -minimal elements  $\alpha$ .

**1.2. Essential  $p$ -dimension of a scheme.** Let  $X$  be a scheme over  $F$ . We can view  $X$  as a functor from  $\mathbf{Fields}/F$  to  $\mathbf{Sets}$  taking a field extension  $L/F$  to the set of  $L$ -points  $X(L) := \text{Mor}_F(\text{Spec } L, X)$ .

PROPOSITION 1.2. *For any scheme  $X$  over  $F$ , we have  $\text{ed}_p(X) = \dim(X)$  for all  $p$ .*

PROOF. Let  $\alpha : \text{Spec } L \rightarrow X$  be a point over a field  $L \in \mathbf{Fields}/F$  with image  $\{x\}$ . Every field of  $p$ -definition of  $\alpha$  contains an image of the residue field  $F(x)$ . Moreover,  $\alpha$  is  $p$ -defined over  $F(x)$  hence  $\text{ed}_p(\alpha) = \text{tr. deg}_F F(x) = \dim(x)$ . It follows that  $\text{ed}_p(X) = \dim(X)$ .  $\square$

**1.3. Classifying variety of a functor.** Let  $f : S \rightarrow T$  be a morphism of functors from  $\mathbf{Fields}/F$  to  $\mathbf{Sets}$ . We say that  $f$  is  *$p$ -surjective* if for any field  $L \in \mathbf{Fields}/F$  and any  $\alpha \in T(L)$ , there is a finite field extension  $L'/L$  of degree prime to  $p$  such that  $\alpha_{L'}$  belongs to the image of the map  $S(L') \rightarrow T(L')$ .

PROPOSITION 1.3. *Let  $f : S \rightarrow T$  be a  $p$ -surjective morphism of functors from  $\mathbf{Fields}/F$  to  $\mathbf{Sets}$ . Then  $\text{ed}_p(S) \geq \text{ed}_p(T)$ .*

PROOF. Let  $\alpha \in T(L)$  for a field  $L \in \mathbf{Fields}/F$ . By assumption, there is a finite field extension  $L'/L$  of degree prime to  $p$  and an element  $\beta \in S(L')$  such that  $f(\beta) = \alpha_{L'}$  in  $T(L')$ . Let  $K$  be a field of  $p$ -definition of  $\beta$ , i.e., there is a field extension  $L''/L'$  of degree prime to  $p$ , an  $F$ -homomorphism  $K \rightarrow L''$  and an element  $\gamma \in S(K)$  such that  $\beta_{L''} = \gamma_{L''}$ . It follows from the equality

$$f(\gamma)_{L''} = f(\gamma_{L''}) = f(\beta_{L''}) = f(\beta)_{L''} = \alpha_{L''}$$

that  $\alpha$  is  $p$ -defined over  $K$ , hence  $\text{ed}_p(\beta) \geq \text{ed}_p(\alpha)$ . The result follows.  $\square$

Let  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be a functor. A scheme  $X$  over  $F$  is called  *$p$ -classifying for  $T$*  if there is  $p$ -surjective morphism of functors  $X \rightarrow T$ .

Propositions 1.2 and 1.3 yield:

COROLLARY 1.4. *Let  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be a functor and let  $X$  be a  $p$ -classifying scheme for  $T$ . Then  $\dim(X) \geq \text{ed}_p(T)$ .*

**1.4. Restriction.** Let  $K \in \mathbf{Fields}/F$  and  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  a functor. The restriction  $T_K$  of the functor  $T$  is the composition of  $T$  with the natural functor  $\mathbf{Fields}/K \rightarrow \mathbf{Fields}/F$  that is the identity on objects.

PROPOSITION 1.5. *Let  $K \in \mathbf{Fields}/F$  and let  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be a functor. Then for every  $p$ , we have:*

- (1)  $\text{ed}_p(T_K) \leq \text{ed}_p(T)$ .
- (2) *If  $[K : F]$  is finite and relatively prime to  $p$ , then  $\text{ed}_p(T_K) = \text{ed}_p(T)$ .*

PROOF. (1): Let  $\alpha \in T_K(L)$  for a field  $L \in \mathbf{Fields}/K$ . We write  $\alpha'$  for the element  $\alpha$  considered in the set  $T(L)$ . Every field of  $p$ -definition of  $\alpha$  is also a field of  $p$ -definition of  $\alpha'$ , hence  $\text{ed}_p(\alpha) \leq \text{ed}_p(\alpha')$  and  $\text{ed}_p(T_K) \leq \text{ed}_p(T)$ .

(2): Let  $\alpha \in T(L)$  for some  $L \in \mathbf{Fields}/F$ . By Lemma 6.1, there is a field extension  $L'/L$  of degree prime to  $p$  and an  $F$ -homomorphism  $K \rightarrow L'$ . As  $L' \in \mathbf{Fields}/K$ , there is a field extension  $L''/L'$  of degree prime to  $p$ , a subfield  $K' \subset L''$  in  $\mathbf{Fields}/K$  and an element  $\beta \in T(K')$  with  $\beta_{L''} = \alpha_{L''}$  and  $\text{tr. deg}_F(K') = \text{tr. deg}_K(K') \leq \text{ed}_p(T_K)$ . Hence  $\alpha$  is  $p$ -defined over  $K'$ . It follows that  $\text{ed}_p(\alpha) \leq \text{ed}_p(T_K)$  and  $\text{ed}_p(T) \leq \text{ed}_p(T_K)$ .  $\square$

**1.5. Essential  $p$ -dimension of a class of field extensions.** In this section we introduce essential  $p$ -dimension of a class of fields and relate it to the essential  $p$ -dimension of certain functors.

Let  $L$  and  $K$  be in  $\mathbf{Fields}/F$ . We write  $L \succ_p K$  if there is a finite field extension  $L'/L$  of degree prime to  $p$  and a field homomorphism  $K \rightarrow L'$  over  $F$ . In particular,  $L \succ_p K$  if  $K \subset L$ . The relation  $\succ_p$  coincides with the relation introduced in Section 1.1 for the functor  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  defined by  $T(L) = \{L\}$  (one-element set). It follows from Lemma 1.1 that this relation is transitive.

Let  $\mathcal{C}$  be a class of fields in  $\mathbf{Fields}/F$  closed under extensions, i.e., if  $K \in \mathcal{C}$  and  $L \in \mathbf{Fields}/K$ , then  $L \in \mathcal{C}$ . For any  $L \in \mathcal{C}$ , let  $\text{ed}_p^{\mathcal{C}}(L)$  be the least integer  $\text{tr. deg}_F(K)$  over all fields  $K \in \mathcal{C}$  with  $L \succ_p K$ . The *essential  $p$ -dimension of the class  $\mathcal{C}$*  is the integer

$$\text{ed}_p(\mathcal{C}) := \sup\{\text{ed}_p^{\mathcal{C}}(L)\}$$

over all fields  $L \in \mathcal{C}$ . We simply write  $\text{ed}(\mathcal{C})$  for  $\text{ed}_p(\mathcal{C})$  with  $p = 0$ .

Essential  $p$ -dimensions of classes of fields and functors are related as follows. Let  $\mathcal{C}$  be a class of fields in  $\mathbf{Fields}/F$  closed under extensions. Consider the functor  $T_{\mathcal{C}} : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  defined by

$$T_{\mathcal{C}}(L) = \begin{cases} \{L\}, & \text{if } L \in \mathcal{C}; \\ \emptyset, & \text{otherwise.} \end{cases}$$

By the definition of the essential  $p$ -dimension, we have

$$\text{ed}_p(\mathcal{C}) = \text{ed}_p(T_{\mathcal{C}}).$$

Recall that a field  $L \in \mathcal{C}$ , considered as an elements of  $T_{\mathcal{C}}(L)$ , is called  *$p$ -minimal* if  $\text{ed}_p^{\mathcal{C}}(L) = \text{tr. deg}_F(L)$ . In other words,  $L$  is  $p$ -minimal if for any  $K \in \mathcal{C}$  with  $L \succ_p K$  we have  $\text{tr. deg}_F(L) = \text{tr. deg}_F(K)$ . It follows from the definition that

$$\text{ed}_p(\mathcal{C}) = \sup\{\text{tr. deg}_F(L)\}$$

over all  $p$ -minimal fields in  $\mathcal{C}$ .

The functor  $T_{\mathcal{C}}$  is a *detection* functor, i.e., a functor  $T$  such that the set  $T(L)$  has at most one element for every  $L$ . The correspondence  $\mathcal{C} \mapsto T_{\mathcal{C}}$  is a bijection between classes of field extensions closed under extensions and detection functors.

**1.6. Canonical  $p$ -dimension of a functor.** Let  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be a functor. Write  $\mathcal{C}_T$  for the class of all fields  $L \in \mathbf{Fields}/F$  such that  $T(L) \neq \emptyset$ . The *canonical  $p$ -dimension*  $\text{cdim}_p(T)$  of the functor  $T$  is the integer  $\text{ed}_p(\mathcal{C}_T)$ . Equivalently,  $\text{cdim}_p(T) = \text{ed}_p(T_{\mathcal{C}})$  for the detection functor  $T_{\mathcal{C}}$  with  $\mathcal{C} = \mathcal{C}_T$ .

In more details, for a field  $L \in \mathbf{Fields}/F$  satisfying  $T(L) \neq \emptyset$  we have  $\text{ed}_p^{\mathcal{C}}(L)$  is the least integer  $\text{tr. deg}_F K$  over all fields  $K$  with  $L \succ_p K$  and  $T(K) \neq \emptyset$ . Then

$$\text{cdim}_p(T) = \sup\{\text{ed}_p^{\mathcal{C}}(L)\}$$

over all fields  $L \in \mathbf{Fields}/F$  satisfying  $T(L) \neq \emptyset$ .

Note that the canonical dimension (respectively, canonical  $p$ -dimension) of a functor to the category of pointed sets was defined in [1] (respectively, [16]) by means of generic splitting fields. We consider a relation to generic fields in Section 1.7.

**PROPOSITION 1.6.** *For a functor  $T : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$ , we have  $\text{cdim}_p(T) \leq \text{ed}_p(T)$ . If  $T$  is a detection functor, then  $\text{cdim}_p(T) = \text{ed}_p(T)$ .*

**PROOF.** There is a (unique) natural surjective morphism  $T \rightarrow T_{\mathcal{C}}$  with  $\mathcal{C} = \mathcal{C}_T$ . It follows from Proposition 1.3 that  $\text{cdim}_p(T) = \text{ed}_p(T_{\mathcal{C}}) \leq \text{ed}_p(T)$ .  $\square$

Let  $X$  be a scheme over  $F$ . Viewing  $X$  as a functor from  $\mathbf{Fields}/F$  to  $\mathbf{Sets}$ , we have the *canonical  $p$ -dimension*  $\text{cdim}_p(X)$  of  $X$  defined. In other words,  $\text{cdim}_p(X)$  is the essential  $p$ -dimension of the class

$$\mathcal{C}_X := \{L \in \mathbf{Fields}/F \text{ such that } X(L) \neq \emptyset\}.$$

By Propositions 1.2 and 1.6,  $\text{cdim}_p(X) \leq \text{ed}_p(X) = \dim(X)$ .

**PROPOSITION 1.7.** *Let  $X$  be a smooth complete variety over  $F$ . Then  $\text{cdim}_p(X)$  is the least dimension of the image of a morphism  $X' \rightarrow X$ , where  $X'$  is a variety over  $F$  admitting a dominant morphism  $X' \rightarrow X$  of degree prime to  $p$ . In particular,  $\text{cdim}(X)$  is the least dimension of the image of a rational morphism  $X \dashrightarrow X$ .*

**PROOF.** Let  $Z \subset X$  be a closed subvariety and let  $X' \rightarrow X$  and  $X' \rightarrow Z$  be dominant morphisms with the first one of degree prime to  $p$ . Replacing  $X'$  by the closure of the graph of the diagonal morphism  $X' \rightarrow X \times Z$  we may assume that  $X'$  is complete.

Let  $L$  be in  $\mathbf{Fields}/F$  with  $X(L) \neq \emptyset$  and  $f : \text{Spec } L \rightarrow X$  a morphism over  $F$ . Let  $\{x\}$  be the image of  $f$ . As  $x$  is non-singular, there is a geometric valuation  $v$  of  $F(X)$  over  $F$  with center  $x$  and  $F(v) = F(x) \subset L$  (cf. Lemma 6.6). We view  $F(X)$  as a subfield of  $F(X')$ . As  $F(X')/F(X)$  is a finite extension of degree prime to  $p$ , by Lemma 6.4 there is an extension  $v'$  of  $v$  on  $F(X')$  such that  $F(v')/F(v)$  is a finite extension of degree prime to  $p$ . Let  $x'$  be the center of  $v'$  on  $X'$  and  $z$  the image of  $x'$  in  $Z$ . As  $F(x') \subset F(v')$ , the extension  $F(x')/F(x)$  is finite of degree prime to  $p$ . Since  $L \succ_p F(x) \succ_p F(z)$ , we have  $L \succ_p F(z)$  by Lemma 1.1. Therefore,

$$\text{ed}_p^{\mathcal{C}}(L) \leq \text{tr. deg}_F F(z) \leq \dim(Z),$$

where  $\mathcal{C} = \mathcal{C}_X$  and hence  $\text{cdim}_p(X) \leq \dim(Z)$ .

Conversely, note that  $X$  has a point over the field  $F(X)$ . Choose a finite extension  $L'/F(X)$  of degree prime to  $p$  and a subfield  $K \subset L'$  such that  $X(K) \neq \emptyset$  and  $\text{tr. deg}_F(K) = \text{ed}_p^C(F(X))$ . Let  $Z$  be the closure of the image of a point  $\text{Spec } K \rightarrow X$ . We have  $\dim(Z) \leq \text{tr. deg}_F(K)$ . The compositions  $\text{Spec } L' \rightarrow \text{Spec } F(X) \rightarrow X$  and  $\text{Spec } L' \rightarrow \text{Spec } K \rightarrow Z$  yield a model  $X'$  of  $L'$  and two dominant morphisms  $X' \rightarrow X$  of degree prime to  $p$  and  $X' \rightarrow Z$  (cf. Appendix 6.1). We have

$$\text{cdim}_p(X) \geq \text{ed}_p^C(F(X)) = \text{tr. deg}_F(K) \geq \dim(Z). \quad \square$$

As we noticed above, one has  $\text{cdim}_p(X) \leq \dim(X)$  for every scheme  $X$ . We say that a scheme  $X$  over  $F$  is *p-minimal* if  $\text{cdim}_p(X) = \dim(X)$ . A scheme  $X$  is *minimal* if it is *p-minimal* with  $p = 0$ . Every *p-minimal* scheme is minimal.

Proposition 1.7 then yields:

**COROLLARY 1.8.** *Let  $X$  be a smooth complete variety over  $F$ . Then*

- (1)  *$X$  is  $p$ -minimal if and only if for any variety  $X'$  over  $F$  admitting a surjective morphism  $X' \rightarrow X$  of degree prime to  $p$ , every morphism  $X' \rightarrow X$  is dominant.*
- (2)  *$X$  is minimal if and only if every rational morphism  $X \dashrightarrow X$  is dominant.*

Let  $X$  and  $Y$  be varieties over  $F$  and  $d = \dim(X)$ . A *correspondence from  $X$  to  $Y$* , denoted  $\alpha: X \rightsquigarrow Y$ , is an element  $\alpha \in \text{CH}_d(X \times Y)$ . If  $\dim(Y) = d$ , we write  $\alpha^t: Y \rightsquigarrow X$  for the image of  $\alpha$  under the exchange isomorphism  $\text{CH}_d(X \times Y) \simeq \text{CH}_d(Y \times X)$ .

Let  $\alpha: X \rightsquigarrow Y$  be a correspondence. Assume that  $Y$  is complete. The projection morphism  $p: X \times Y \rightarrow X$  is proper and hence the push-forward homomorphism

$$p_*: \text{CH}_d(X \times Y) \rightarrow \text{CH}_d(X) = \mathbb{Z} \cdot [X]$$

is defined [11, § 1.4]. The integer  $\text{mult}(\alpha) \in \mathbb{Z}$  such that  $p_*(\alpha) = \text{mult}(\alpha) \cdot [X]$  is called the *multiplicity* of  $\alpha$ . For example, if  $\alpha$  is the class of the closure of the graph of a rational morphism  $X \dashrightarrow Y$  of varieties of the same dimension, then  $\text{mult}(\alpha) = 1$  and  $\text{mult}(\alpha^t) = \deg(f)$ .

**PROPOSITION 1.9.** *Let  $X$  be a complete variety of dimension  $d$  over  $F$ . Suppose that for a prime integer  $p$  and every correspondence  $\alpha \in \text{CH}_d(X \times X)$  one has  $\text{mult}(\alpha) \equiv \text{mult}(\alpha^t) \pmod{p}$ . Then  $X$  is  $p$ -minimal.*

**PROOF.** Let  $f$  and  $g: X' \rightarrow X$  be morphisms from a complete variety  $X'$  of dimension  $d$  and let  $\alpha \in \text{CH}_d(X \times X)$  be the class of the closure of the image of  $(f, g): X' \rightarrow X \times X$ . Then  $\text{mult}(\alpha) = \deg(f)$  and  $\text{mult}(\alpha^t) = \deg(g)$ . Hence by assumption,  $\deg(f) \equiv \deg(g) \pmod{p}$ . If  $\deg(f)$  is relatively prime to  $p$ , then so is  $\deg(g)$ . In particular,  $g$  is dominant. By Corollary 1.8(1),  $X$  is  $p$ -minimal.  $\square$

**EXAMPLE 1.10.** Let  $q$  be a non-degenerate anisotropic quadratic form on a vector space  $V$  over  $F$  of dimension at least 2 and let  $X$  be the associated quadric hypersurface in  $\mathbb{P}(V)$  (cf. [9, §22]). The *first Witt index*  $i_1(q)$  of  $q$  is the Witt index of  $q$  over the function field  $F(X)$ . It is proved in [15, Prop. 7.1] that the condition of Proposition 1.9 holds for  $X$  and  $p = 2$  if and only if  $i_1(q) = 1$ . In this case  $X$  is 2-minimal. It follows that  $\text{cdim}_2(X) = \text{cdim}(X) = \dim(X)$  if  $i_1(q) = 1$ . In general,  $\text{cdim}_2(X) = \text{cdim}(X) = \dim(X) - i_1(q) + 1$  (cf. [15, Th. 7.6]).

EXAMPLE 1.11. Let  $A$  be a central simple algebra over  $F$  of dimension  $n^2$  and  $X = \text{SB}(A)$  the *Severi-Brauer variety* of right ideals in  $A$  of dimension  $n$ . In is shown in [15, Th. 2.1] that if  $A$  is a division algebra of dimension a power of a prime integer  $p$ , then the condition of Proposition 1.9 holds for  $X$  and  $p$ . In particular,  $X$  is  $p$ -minimal. It follows that for any central simple algebra  $A$  of  $p$ -primary index, we have  $\text{cdim}_p(X) = \text{cdim}(X) = \text{ind}(A) - 1$ . Moreover, the equality  $\text{cdim}_p(X) = \text{ind}_p(A) - 1$ , where  $\text{ind}_p(A)$  is the largest power of  $p$  dividing  $\text{ind}(A)$ , holds for every central simple algebra  $A$ .

This example can be generalized as follows.

EXAMPLE 1.12. Let  $p$  be a prime integer and  $D$  a (finite)  $p$ -subgroup of the Brauer group  $\text{Br}(F)$  of a field  $F$ . Let  $A_1, A_2, \dots, A_s$  be central simple  $F$ -algebras whose classes in  $\text{Br}(F)$  generate  $D$ . Let  $X = X_1 \times \dots \times X_s$ , where  $X_i = \text{SB}(A_i)$  for every  $i = 1, \dots, s$ . Suppose that  $\dim(X)$  is the smallest possible (over all choices of the generators). Then the condition of Proposition 1.9 holds for  $X$  and  $p$  (cf. [14, Cor. 2.6, Rem. 2.9]) and hence  $X$  is  $p$ -minimal.

Let  $A$  be a central simple  $F$ -algebra of degree  $n$ . Consider the class  $\mathcal{C}_A$  of all splitting fields of  $A$  in  $\text{Fields}/F$ . Let  $X = \text{SB}(A)$ , so  $\dim(X) = n - 1$ . We write  $\text{cdim}_p(A)$  for  $\text{cdim}_p(X)$  and  $\text{cdim}(A)$  for  $\text{cdim}(X)$ . Since  $A$  is split over a field extension  $E/F$  if and only if  $X(E) \neq \emptyset$ , we have

$$\text{cdim}_p(A) = \text{cdim}_p(\mathcal{C}_A) = \text{cdim}_p(X)$$

for every  $p \geq 0$ . Write  $n = q_1 q_2 \dots q_r$  where the  $q_i$  are powers of distinct primes. Then  $A$  is a tensor product  $A_1 \otimes A_2 \otimes \dots \otimes A_r$ , where  $A_i$  is a central division  $F$ -algebra of degree  $q_i$ . A field extension  $E/F$  splits  $A$  if and only if  $E$  splits  $A_i$  for all  $i$ . In other words,  $X$  has an  $E$ -point if and only if the variety  $Y = \text{SB}(A_1) \times \text{SB}(A_2) \times \dots \times \text{SB}(A_r)$  has an  $E$ -point. Hence

$$(1) \quad \text{cdim}(A) = \text{cdim}(X) = \text{cdim}(Y) \leq \dim(Y) = \sum_{i=1}^r (q_i - 1).$$

It was conjectured in [8] that the inequality in (1) is actually an equality. This is proved in [15, Th. 2.1] (see also [1, Th. 11.4]) in the case when  $r = 1$ , i.e., when  $\deg(A)$  is power of a prime integer. The case  $n = 6$  was settled in [8].

**1.7. Canonical dimension and generic fields.** Let  $F$  be a field and let  $\mathcal{C}$  be a class of fields in  $\text{Fields}/F$ . A field  $L \in \mathcal{C}$  is called  *$p$ -generic in  $\mathcal{C}$*  if for any field  $K \in \mathcal{C}$  there is a geometric  $F$ -place  $L \rightarrow K'$ , where  $K'$  is a finite extension of  $K$  of degree prime to  $p$  (cf. Appendix 6.2). In the case  $p = 0$  we simply say that  $L$  is *generic in  $\mathcal{C}$* . Clearly, if  $L$  is generic, then it is  $p$ -generic for all  $p$ .

EXAMPLE 1.13. If  $X$  is a smooth variety, then by Lemma 6.6, the function field  $F(X)$  is generic.

LEMMA 1.14. *If  $L$  is a  $p$ -generic field in  $\mathcal{C}$  and  $L \succ_p M$  with  $M \in \mathcal{C}$ , then  $M$  is  $p$ -generic.*

PROOF. Take any  $K \in \mathcal{C}$ . There are field extensions  $K'/K$  and  $L'/L$  of degree prime to  $p$ , a geometric  $F$ -place  $L \rightarrow K'$  and an  $F$ -homomorphism  $M \rightarrow L'$ . By Lemma 6.5, there is a field extension  $K''/K'$  of degree prime to  $p$  and a geometric

$F$ -place  $L' \rightarrow K''$  extending the place  $L \rightarrow K'$ . The composition  $M \rightarrow L' \rightarrow K''$  is a geometric place and  $K''/K$  is an extension of degree prime to  $p$ . Hence  $M$  is  $p$ -generic.  $\square$

We say that a class  $\mathcal{C}$  is *closed under specializations*, if for any  $F$ -place  $L \rightarrow K$  with  $L \in \mathcal{C}$  we have  $K \in \mathcal{C}$ . Clearly if  $\mathcal{C}$  is closed under specializations, then  $\mathcal{C}$  is closed under extensions.

EXAMPLE 1.15. If a variety  $X$  is complete, then the class  $\mathcal{C}_X$  is closed under specializations. Indeed, let  $L \rightarrow K$  be an  $F$ -place with  $X(L) \neq \emptyset$ . If  $R \subset L$  is the valuation ring of the place, then  $X(R) \neq \emptyset$  as  $X$  is complete. It follows that  $X(K) \neq \emptyset$  since there is an  $F$ -homomorphism  $R \rightarrow K$ .

THEOREM 1.16. *Let  $\mathcal{C}$  be a class of fields in  $\mathbf{Fields}/F$  and  $p \geq 0$  satisfying:*

- (1)  $\mathcal{C}$  has a  $p$ -generic field.
- (2)  $\mathcal{C}$  is closed under specializations.

*Then  $\text{ed}_p(\mathcal{C})$  is the least  $\text{tr. deg}_F(L)$  over all  $p$ -generic fields  $L \in \mathcal{C}$ .*

PROOF. Let  $L \in \mathcal{C}$  be a  $p$ -generic field with the least  $\text{tr. deg}_F(L)$ . By Lemma 1.14, any field  $M \in \mathcal{C}$  with  $L \succ_p M$  is also  $p$ -generic. Hence  $L$  is  $p$ -minimal. It follows that  $\text{tr. deg}_F(L) \leq \text{ed}_p(\mathcal{C})$ .

Let  $L \in \mathcal{C}$  be a  $p$ -generic field and  $K \in \mathcal{C}$  an arbitrary  $p$ -minimal field. There is a place  $L \rightsquigarrow K'$  over  $F$ , where  $K'$  is an extension of  $K$  of degree prime to  $p$ . Let  $K'' \subset K'$  be the image of the place. As  $\mathcal{C}$  is closed under specializations, we have  $K'' \in \mathcal{C}$ . Since  $K \succ_p K''$  and  $K$  is  $p$ -minimal, we have  $\text{tr. deg}_F(K'') = \text{tr. deg}_F(K)$ . Hence

$$\text{tr. deg}_F(L) \geq \text{tr. deg}_F(K'') = \text{tr. deg}_F(K).$$

Therefore,  $\text{tr. deg}_F(L) \geq \text{ed}_p(\mathcal{C})$ .  $\square$

REMARK 1.17. By Examples 1.13 and 1.15, for a smooth complete variety  $X$  over  $F$ , the class  $\mathcal{C}_X$  satisfies the conditions of the theorem. In particular, for such an  $X$ , the integer  $\text{cdim}_p(X)$  coincides with the canonical  $p$ -dimension introduced in [16].

EXAMPLE 1.18. Let  $G$  be either a (finite) étale or a split (connected) reductive group over  $F$ . Let  $B$  be a Borel subgroup in  $G$  and  $E$  a  $G$ -torsor over a field extension  $L$  of  $F$ . Then  $E$  has an  $L$ -point if and only if  $E/B$  has an  $L$ -point. As  $E/B$  is a smooth complete variety, the class the class  $\mathcal{C}_E$  satisfies the conditions of Theorem 1.16, hence  $\text{cdim}_p(E)$  can be computed using  $p$ -generic splitting fields as in [16].

## 2. Essential $p$ -dimension of a presheaf of sets

By a *presheaf of sets on  $\mathbf{Var}/F$*  we mean a functor  $S : (\mathbf{Var}/F)^{op} \rightarrow \mathbf{Sets}$ . If  $f : X' \rightarrow X$  is a morphism in  $\mathbf{Var}/F$  and  $a \in S(X)$ , then abusing notation we shall often write  $a_{X'}$  for the image of  $a$  under the map  $S(f) : S(X) \rightarrow S(X')$ .

DEFINITION 2.1. Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ . Let  $X, Y \in \mathbf{Var}/F$  and  $a \in S(X), b \in S(Y)$ . We write  $a \succ_p b$  if there is a variety  $X' \in \mathbf{Var}/F$ , a morphism  $g : X' \rightarrow Y$  and a dominant morphism  $f : X' \rightarrow X$  of degree prime to  $p$  such that  $a_{X'} = b_{X'}$  in  $S(X')$ .



Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$  and  $a \in S(X)$  for some  $X \in \mathbf{Var}/F$ . The *essential dimension of  $a$* , denoted  $\mathrm{ed}_p(a)$ , is the least  $\dim(Y)$  over all elements  $b \in S(Y)$  for a variety  $Y$  with  $a \triangleright_p b$ . As  $a \triangleright_p a$ , we have  $\mathrm{ed}_p(a) \leq \dim(X)$ .

The *essential  $p$ -dimension of the functor  $S$*  is the integer

$$\mathrm{ed}_p(S) = \sup\{\mathrm{ed}_p(a)\}$$

over all  $a \in S(X)$  and varieties  $X \in \mathbf{Var}/F$ . We also write  $\mathrm{ed}(S)$  for  $\mathrm{ed}_p(S)$  if  $p = 0$ .

The relation  $\triangleright_p$  is not transitive in general. We refine this relation as follows. We write  $a \triangleright_p b$  if  $a \triangleright_p b$  and in addition, in Definition 2.1, the morphism  $g$  is dominant. We also write  $a \blacktriangleright_p b$  if  $a \triangleright_p b$  and in addition, in Definition 2.1, the morphism  $f$  satisfies the following condition: for every point  $x \in X$ , there is a point  $x' \in X'$  with  $f(x') = x$  and  $[F(x') : F(x)]$  prime to  $p$ .

LEMMA 2.2. *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ ,  $a \in S(X)$ ,  $b \in S(Y)$  and  $c \in S(Z)$ .*

- (1) *If  $a \triangleright_p b$  and  $b \blacktriangleright_p c$ , then  $a \triangleright_p c$ .*
- (2) *If  $a \triangleright_p b$  and  $b \triangleright_p c$ , then  $a \triangleright_p c$ .*

PROOF. In the definition of  $a \triangleright_p b$ , let  $f : X' \rightarrow X$  be a dominant morphism of degree prime to  $p$  and  $g : X' \rightarrow Y$  a morphism. In the definition of  $b \triangleright_p c$ , let  $h : Y' \rightarrow Y$  be a dominant morphism of degree prime to  $p$  and  $k : Y' \rightarrow Z$  a morphism. Let  $y \in Y$  be the image of the generic point of  $X'$  under  $g$ . In the case (1), there is an  $y' \in Y'$  such that  $f(y') = y$  and  $[F(y') : F(y)]$  is prime to  $p$ . In the case (2),  $y$  is the generic point of  $Y$ . If  $y'$  is the generic point of  $Y'$ , then  $[F(y') : F(y)]$  is prime to  $p$ . Thus in any case,  $[F(y') : F(y)]$  is prime to  $p$ . Hence by Lemma 6.3, there is a commutative square of morphisms of varieties

$$\begin{array}{ccc} X'' & \xrightarrow{m} & X' \\ \downarrow l & & \downarrow g \\ Y' & \xrightarrow{h} & Y \end{array}$$

with  $m$  dominant of degree prime to  $p$ . Then the compositions  $f \circ m$  and  $k \circ l$  yield  $a \triangleright_p c$ .  $\square$

Let  $a \in S(X)$  and  $V \subset X$  a subvariety. We write  $a|_V$  for the restriction of  $a$  on  $V$ .

LEMMA 2.3. *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ ,  $a \in S(X)$  and  $b \in S(Y)$ . Suppose that  $a \triangleright_p b$ . Then:*

- (1) *There is an open subvariety  $U \subset X$  such that  $(a|_U) \blacktriangleright_p b$ .*
- (2) *There is a closed subvariety  $Z \subset Y$  such that  $a \triangleright_p (b|_Z)$ .*

PROOF. Choose a variety  $X' \in \mathbf{Var}/F$ , a morphism  $g : X' \rightarrow Y$  and a dominant morphism  $f : X' \rightarrow X$  of degree prime to  $p$  such that  $a_{X'} = b_{X'}$  in  $S(X')$ .

(1): By Lemma 6.2, there exists a nonempty open subset  $U \subset X$  such that for every  $x \in U$  there is a point  $x' \in X'$  with  $f(x') = x$  and the degree  $[F(x') : F(x)]$  prime to  $p$ . Then the restrictions  $f^{-1}(U) \rightarrow U$  and  $f^{-1}(U) \rightarrow Y$  yield  $(a|_U) \blacktriangleright_p b$ .

(2): Let  $Z$  be the closure of the image of  $g$ . We have  $a \triangleright_p (b|_Z)$ .  $\square$

COROLLARY 2.4. *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$  and  $a \in S(X)$ . Then there is an element  $b \in S(Y)$  such that  $\mathrm{ed}_p(a) = \dim(Y)$  and  $a \triangleright_p b$ .*

PROOF. By the definition of the essential  $p$ -dimension, there is  $b \in S(Y)$  such that  $\text{ed}_p(a) = \dim(Y)$  and  $a \succ_p b$ . By Lemma 2.3, there is a closed subvariety  $Z \subset Y$  such that  $a \triangleright_p (b|_Z)$ . In particular,  $a \succ_p (b|_Z)$ . As  $\dim(Y)$  is the smallest integer with the property that  $a \succ_p b$ , we must have  $\dim(Z) = \dim(Y)$ , i.e.,  $Z = Y$ . It follows that  $a \triangleright_p b$ .  $\square$

**2.1. The associated functor  $\tilde{S}$ .** Let  $S$  be a presheaf of sets on  $\text{Var}/F$ . We define a functor  $\tilde{S} : \text{Fields}/F \rightarrow \text{Sets}$  as follows. Let  $L \in \text{Fields}/F$ . The sets  $S(X)$  over all models  $X$  of  $L$  form a direct system with respect to morphisms of models (cf. Appendix 6.1). Set

$$\tilde{S}(L) = \text{colim } S(X).$$

In particular, for any  $X \in \text{Var}/F$ , we have a canonical map  $S(X) \rightarrow \tilde{S}(L)$  with  $L = F(X)$ . We write  $\tilde{a} \in \tilde{S}(L)$  for the image of an element  $a \in S(X)$ . For every  $L \in \text{Fields}/F$ , any element of  $\tilde{S}(L)$  is of the form  $\tilde{a}$  for some  $a \in S(X)$ , where  $X$  is a model of  $L$ .

An  $F$ -homomorphism of fields  $L \rightarrow L'$  yields a morphism  $X' \rightarrow X$  of the corresponding models and hence the maps of sets  $S(X) \rightarrow S(X')$  and  $\tilde{S}(L) \rightarrow \tilde{S}(L')$  making  $\tilde{S}$  a functor.

Recall that we have the relations  $\succ_p$  and  $\succsim_p$  defined for the functors  $S$  and  $\tilde{S}$  respectively.

LEMMA 2.5. *Let  $S$  be a presheaf of sets on  $\text{Var}/F$ ,  $X \in \text{Var}/F$ ,  $K \in \text{Fields}/F$   $a \in S(X)$  and  $\beta \in \tilde{S}(K)$ . Then  $\tilde{a} \succsim_p \beta$  if and only if there is a model  $Y$  of  $K$  and an element  $b \in S(Y)$  such that  $\tilde{b} = \beta$  and  $a \triangleright_p b$ .*

PROOF.  $\Rightarrow$ : There is a finite field extension  $L'/F(X)$  of degree prime to  $p$  and an  $F$ -homomorphism  $K \rightarrow L'$  such that  $\tilde{a}_{L'} = \beta_{L'}$ . One can choose a model  $X'$  of  $L'$  and  $Y$  of  $K$  together with two dominant morphisms  $X' \rightarrow X$  and  $X' \rightarrow Y$ , the first of degree prime to  $p$ , that induce field homomorphisms  $F(X) \rightarrow L'$  and  $K \rightarrow L'$  respectively. Replacing  $Y$  and  $X'$  by open subvarieties, we may assume that there is  $b \in S(Y)$  with  $\tilde{b} = \beta$ . The elements  $a_{X'}$  and  $b_{X'}$  may not be equal in  $S(X')$  but they coincide when restricted to an open subvariety  $U \subset X'$ . Replacing  $X'$  by  $U$ , the variety  $Y$  by an open subvariety  $W$  in the image of  $U$  and  $b$  by  $b|_W$  we get the  $a \triangleright_p b$ .

$\Leftarrow$ : Choose a variety  $X' \in \text{Var}/F$ , a dominant morphism  $g : X' \rightarrow Y$  and a dominant morphism  $f : X' \rightarrow X$  of degree prime to  $p$  such that  $a_{X'} = b_{X'}$  in  $S(X')$ . Then  $F(Y)$  and  $F(X')$  are subfields of  $F(X')$ , the degree  $[F(X') : F(X)]$  is prime to  $p$  and  $\tilde{a}_{F(X')} = \tilde{b}_{F(X')} = \beta_{F(X')}$ , hence  $\tilde{a} \succsim_p \beta$ .  $\square$

PROPOSITION 2.6. *Let  $S$  be a presheaf of sets on  $\text{Var}/F$ ,  $X \in \text{Var}/F$  and  $a \in S(X)$ . Then  $\text{ed}_p(a) = \text{ed}_p(\tilde{a})$  for all  $p$ . Moreover,  $\text{ed}_p(S) = \text{ed}_p(\tilde{S})$ .*

PROOF. By Corollary 2.4, there is  $b \in S(Y)$  such that  $\text{ed}_p(a) = \dim(Y)$  and  $a \triangleright_p b$ . It follows from Lemma 2.5 that  $\tilde{a} \succsim_p \tilde{b}$ . Hence

$$\text{ed}_p(\tilde{a}) \leq \text{tr. deg}_F F(Y) = \dim(Y) = \text{ed}_p(a).$$

Let  $\beta \in \tilde{S}(L)$  be so that  $\tilde{a} \succsim_p \beta$  and  $\text{ed}_p(\tilde{a}) = \text{tr. deg}_F(L)$ . By Lemma 2.5, we can choose a model  $Y$  of  $L$  and an element  $b \in S(Y)$  so that  $\tilde{b} = \beta$  and  $a \triangleright_p b$ . Hence

$$\text{ed}_p(a) \leq \dim(Y) = \text{tr. deg}_F(L) = \text{ed}_p(\tilde{a}). \quad \square$$

**2.2. Generic elements.** Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$  and  $X \in \mathbf{Var}/F$ . An element  $a \in S(X)$  is called  $p$ -generic for  $S$  if for any open subvariety  $U \subset X$  and any  $b \in S(Y)$  with the infinite field  $F(Y)$  we have  $b >_p (a|_U)$ . Note that  $F(Y)$  is infinite if either  $F$  is infinite or  $\dim(Y) > 0$ . We say that  $a$  is generic if  $a$  is  $p$ -generic for  $p = 0$ . If  $a$  is generic, then  $a$  is  $p$ -generic for all  $p$ .

Generic elements provide an upper bound for the essential dimension.

**PROPOSITION 2.7.** *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$  and  $a \in S(X)$  a  $p$ -generic element for  $S$ . Then  $\mathrm{ed}_p(S) \leq \dim(X)$ .*

**PROOF.** Let  $b \in S(Y)$ . If the field  $F(Y)$  is finite, we have  $\mathrm{ed}_p(b) = 0$ . If  $F(Y)$  is infinite,  $b >_p a$  since  $a$  is  $p$ -generic. By the definition of the essential  $p$ -dimension, in any case,  $\mathrm{ed}_p(b) \leq \dim(X)$ , hence  $\mathrm{ed}_p(S) \leq \dim(X)$ .  $\square$

Clearly, if  $a$  is  $p$ -generic, then so is the restriction  $a|_U \in S(U)$  for any open subvariety  $U \subset X$ . This can be generalized as follows.

**PROPOSITION 2.8.** *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ ,  $X, Y \in \mathbf{Var}/F$ ,  $a \in S(X)$  and  $b \in S(Y)$ . Suppose that  $a >_p b$  and  $a$  is  $p$ -generic. Then  $b$  is also  $p$ -generic for  $S$ .*

**PROOF.** Let  $c \in S(Z)$  with the field  $F(Z)$  infinite and  $V \subset Y$  an open subvariety. Clearly,  $a >_p (b|_V)$ . By Lemma 2.3(1), we have  $(a|_U) \blacktriangleright_p (b|_V)$  for an open subvariety  $U \subset X$ . Since  $a$  is  $p$ -generic, we have  $c >_p (a|_U)$ . By Lemma 2.2(1),  $c >_p (b|_V)$ , hence  $b$  is  $p$ -generic.  $\square$

**THEOREM 2.9.** *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ . If  $a \in S(X)$  is a  $p$ -generic element for  $S$ , then*

$$\mathrm{ed}_p(S) = \mathrm{ed}_p(\tilde{S}) = \mathrm{ed}_p(\tilde{a}) = \mathrm{ed}_p(a).$$

**PROOF.** In view of Proposition 2.6, it suffices to prove that  $\mathrm{ed}_p(S) \leq \mathrm{ed}_p(a)$ . Choose an element  $c \in S(Z)$  such that  $a >_p c$  and  $\mathrm{ed}_p(a) = \dim(Z)$ . By Lemma 2.3(1), there is an open subvariety  $U \subset X$  such that  $(a|_U) \blacktriangleright_p c$ .

Let  $Y \in \mathbf{Var}/F$  and let  $b \in S(Y)$  be any element. If the field  $F(Y)$  is finite, we have  $\mathrm{ed}_p(b) = 0$ . Otherwise, as  $a$  is  $p$ -generic, we have  $b >_p (a|_U)$ . It follows from Lemma 2.2(1) that  $b >_p c$ . Hence, in any case,  $\mathrm{ed}_p(b) \leq \dim(Z) = \mathrm{ed}_p(a)$  and therefore,  $\mathrm{ed}_p(S) \leq \mathrm{ed}_p(a)$ .  $\square$

Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$ . An element  $\alpha \in \tilde{S}(L)$  is called  $p$ -generic for  $\tilde{S}$  if  $\alpha = \tilde{a}$  for a  $p$ -generic element  $a$  for  $S$ .

**EXAMPLE 2.10.** One can view a scheme  $X$  over  $F$  as a presheaf of sets on  $\mathbf{Var}/F$  by  $X(Y) := \mathrm{Mor}_F(Y, X)$  for every  $Y \in \mathbf{Var}/F$ . Then the functor  $\tilde{X} : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  coincides with the one in Proposition 1.2. It follows from Theorem 2.9 that  $\mathrm{ed}_p(X) = \dim(X)$  for all  $p$ .

By Proposition 2.7, for a  $p$ -generic element  $a \in S(X)$ , one has  $\mathrm{ed}_p(S) \leq \dim(X)$ . The following proposition asserts that  $\mathrm{ed}_p(S)$  is equal to the dimension of a closed subvariety of  $X$  with a certain property.

**PROPOSITION 2.11.** *Let  $S$  be a presheaf of sets on  $\mathbf{Var}/F$  and  $a \in S(X)$  a  $p$ -generic element for  $S$ . Suppose that either  $F$  is infinite or  $\mathrm{ed}_p(S) > 0$ . Then  $\mathrm{ed}_p(S) = \min \dim(Z)$  over all closed subvarieties  $Z \subset X$  such that  $a >_p (a|_Z)$ .*

PROOF. For any closed subvariety  $Z \subset X$  with  $a \succ_p (a|_Z)$  one has  $\text{ed}_p(S) = \text{ed}_p(a) \leq \dim(Z)$ . We shall show that the equality holds for some  $Z \subset X$ .

By Corollary 2.4, there is  $b \in S(Y)$  with  $\dim(Y) = \text{ed}_p(a) = \text{ed}_p(S)$  and  $a \triangleright_p b$ . By assumption, the field  $F(Y)$  is infinite. As  $a$  is  $p$ -generic, we have  $b \succ_p a$ . By Lemma 2.3(2), there is a closed subvariety  $Z \subset X$  such that  $b \triangleright_p (a|_Z)$ . It follows that  $\dim(Z) \leq \dim(Y) = \text{ed}_p(S)$ . By Lemma 2.2(2),  $a \succ_p (a|_Z)$ .  $\square$

REMARK 2.12. The assumption in the proposition can not be dropped (cf. Remark 4.7).

An element  $a \in S(X)$  is called  $p$ -minimal if  $\text{ed}_p(a) = \dim(X)$ , i.e., whenever  $\alpha \succ_p \beta$  for some  $\beta \in S(Y)$ , we have  $\dim(X) \leq \dim(Y)$ . By Lemma 2.2(2) and Corollary 2.4, for every  $a \in S(X)$ , there is a  $p$ -minimal  $b \in S(Y)$  such that  $\text{ed}_p(a) = \dim(Y)$  and  $a \triangleright_p b$ . It follows that  $\text{ed}_p(S)$  is the maximum of  $\text{ed}_p(\alpha)$  over all  $p$ -minimal elements  $\alpha$ .

A  $p$ -minimal element with  $p = 0$  is called *minimal*.

If  $a \in S(X)$  is  $p$ -generic  $p$ -minimal, then  $\text{ed}_p(S) = \dim(X)$ .

If  $a \in S(X)$  is a  $p$ -generic element for  $S$  and  $b \in S(Y)$  is a  $p$ -minimal element satisfying  $a \triangleright_p b$ , then by Proposition 2.8,  $b$  is also  $p$ -generic, and hence  $\text{ed}_p(S) = \dim(Y)$ .

The following statement gives a characterization of  $p$ -generic  $p$ -minimal elements.

PROPOSITION 2.13. *Let  $S$  be a presheaf of sets on  $\text{Var}/F$  and  $a \in S(X)$  a  $p$ -generic element for  $S$ . Suppose that either  $F$  is infinite or  $\text{ed}_p(S) > 0$ . Then  $a$  is  $p$ -minimal if and only if for any two morphisms  $f$  and  $g$  from a variety  $X'$  to  $X$  such that  $S(f)(a) = S(g)(a)$  with  $f$  dominant of degree prime to  $p$ , the morphism  $g$  is also dominant.*

PROOF. Suppose  $a$  is  $p$ -minimal and let  $f$  and  $g$  be morphisms in the statement of the proposition. Let  $Z$  be the closure of the image of  $g$ , so  $a \succ_p (a|_Z)$ . By Proposition 2.11,  $\dim(X) = \text{ed}_p(S) \leq \dim(Z)$ , hence  $Z = X$  and  $g$  is dominant.

Suppose  $a$  is not  $p$ -minimal. By Proposition 2.11, there is a proper closed subvariety  $Z \subset X$  such that  $a \succ_p (a|_Z)$ , i.e., there are morphisms  $f : X' \rightarrow X$  and  $g' : X' \rightarrow Z$  such that  $S(f)(a) = S(g')(a|_Z)$  and  $f$  is dominant of degree prime to  $p$ . If  $g : X' \rightarrow X$  is the composition of  $g'$  with the embedding of  $Z$  into  $X$ , then  $S(f)(a) = S(g)(a)$  and  $g$  is not dominant.  $\square$

Specializing to the case  $p = 0$  we have:

COROLLARY 2.14. *In the conditions of the proposition,  $a$  is minimal if and only if for any two morphisms  $f$  and  $g$  from a variety  $X'$  to  $X$  such that  $S(f)(a) = S(g)(a)$  with  $f$  a birational isomorphism, the morphism  $g$  is dominant.*

### 3. Essential $p$ -dimension of fibered categories

The notion of the essential  $p$ -dimension can be defined for fibered categories over  $\text{Var}/F$  or  $\text{Fields}/F$  as follows (cf. [3]).

Let  $\mathcal{A}$  be a category and  $\varphi : \mathcal{A} \rightarrow \text{Var}/F$  a functor. For a variety  $Y \in \text{Var}/F$ , we write  $\mathcal{A}(Y)$  for the *fiber category* of all objects  $\xi$  in  $\mathcal{A}$  with  $\varphi(\xi) = Y$  and morphisms over the identity of  $Y$ . We assume that the category  $\mathcal{A}(Y)$  is essentially small for all  $Y$ , i.e., the isomorphism classes of objects form a set.

Suppose that  $\mathcal{A}$  is a *fibered category over  $\mathbf{Var}/F$*  (cf. [26]). In particular, for any morphism  $f : Y \rightarrow Y'$  in  $\mathbf{Var}/F$ , there is a *pull-back functor*  $f^* : \mathcal{A}(Y') \rightarrow \mathcal{A}(Y)$  such that for any two morphisms  $f : Y \rightarrow Y'$  and  $g : Y' \rightarrow Y''$  in  $\mathbf{Var}/F$ , the composition  $f^* \circ g^*$  is isomorphic to  $(g \circ f)^*$ .

Let  $\mathcal{A}$  be a fibered category over  $\mathbf{Var}/F$ . For any  $Y \in \mathbf{Var}/F$ , we write  $S_{\mathcal{A}}(Y)$  for the set of isomorphism classes of objects in the category  $\mathcal{A}(Y)$ . The functor  $f^*$  for a morphism  $f : Y \rightarrow Y'$  in  $\mathbf{Var}/F$  induces a map of sets  $S_{\mathcal{A}}(Y') \rightarrow S_{\mathcal{A}}(Y)$  making  $S_{\mathcal{A}}$  a presheaf of sets on  $\mathbf{Var}/F$ . We call  $S_{\mathcal{A}}$  the *presheaf of sets associated with  $\mathcal{A}$* . The *essential  $p$ -dimension*  $\mathrm{ed}_p(\mathcal{A})$  of  $\mathcal{A}$  (respectively, the *canonical  $p$ -dimension*  $\mathrm{cdim}_p(\mathcal{A})$  of  $\mathcal{A}$ ) is defined as  $\mathrm{ed}_p(S_{\mathcal{A}})$  (respectively,  $\mathrm{cdim}_p(S_{\mathcal{A}})$ ).

REMARK 3.1. In a similar fashion, one can define the essential  $p$ -dimension for fibered categories over  $\mathbf{Fields}/F$ . This notion agrees with the one given above in view of Theorem 2.9.

EXAMPLE 3.2. Let  $X$  be a scheme over  $F$ . Consider the category  $\mathbf{Var}/X$  of varieties over  $X$ , i.e., morphisms  $Y \rightarrow X$  for a variety  $Y$  over  $F$ . Morphisms in  $\mathbf{Var}/X$  are morphisms of varieties over  $X$ . The functor  $\mathbf{Var}/X \rightarrow \mathbf{Var}/F$  taking  $Y \rightarrow X$  to  $Y$  together with the obvious pull-back functors  $f^*$  make  $\mathbf{Var}/X$  a fibered category. For any variety  $Y$ , the fiber category over  $Y$  is equal to the set  $\mathrm{Mor}_F(Y, X)$ . Hence the associated presheaf of sets on  $\mathbf{Var}/F$  coincides with  $X$  viewed as a presheaf as in Example 2.10. It follows that  $\mathrm{ed}_p(\mathbf{Var}/X) = \dim(X)$  for all  $p$ .

EXAMPLE 3.3. Let  $G$  be an algebraic group scheme over a field  $F$ . The *classifying space*  $BG$  of the group  $G$  is the category with objects (right)  $G$ -torsors  $q : E \rightarrow Y$  with  $Y \in \mathbf{Var}/F$  and morphisms between  $G$ -torsors  $q : E \rightarrow Y$  and  $q' : E' \rightarrow Y'$  given by commutative diagrams

$$\begin{array}{ccc} E & \longrightarrow & E' \\ \downarrow & & \downarrow \\ Y & \longrightarrow & Y' \end{array}$$

with the top arrow a  $G$ -equivariant morphism. For every  $Y \in \mathbf{Var}/F$ , the fiber category  $BG(Y)$  is the category of  $G$ -torsors over  $Y$ . We write  $\mathrm{ed}_p(G)$  for  $\mathrm{ed}_p(BG)$  and call this integer the *essential  $p$ -dimension of  $G$* . Equivalently, by Proposition 2.6,  $\mathrm{ed}_p(G)$  is the essential  $p$ -dimension of the functor  $\mathbf{Fields}/F \rightarrow \mathbf{Sets}$  taking a field  $L$  to the set of isomorphism classes of  $G$ -torsors over  $L$ .

EXAMPLE 3.4. We can generalize the previous example as follows. Let an algebraic group scheme  $G$  act on a scheme  $X$  over  $F$ . We define the fibered category  $X/G$  as follows. An object in  $X/G$  over a variety  $Y$  is a diagram

$$\begin{array}{ccc} E & \xrightarrow{f} & X \\ q \downarrow & & \\ & & Y \end{array}$$

where  $q$  is a  $G$ -torsor and  $f$  is a  $G$ -equivariant morphism. Morphisms of diagrams in  $X/G$  are defined in the obvious way. The functor  $X/G \rightarrow \mathbf{Var}/F$  takes the diagram to the scheme  $Y$ . The set  $S_{X/G}(Y)$  consists of all isomorphism classes of

the diagrams above. For any field  $L \in \mathbf{Fields}/F$ , an element of the set  $\widetilde{S}_{X/G}(L)$  is given by the diagram

$$\begin{array}{ccc} E' & \xrightarrow{f'} & X \\ q' \downarrow & & \\ \text{Spec } L & & \end{array}$$

where  $q'$  is a  $G$ -torsor and  $f'$  is a  $G$ -equivariant morphism.

Note that if  $X$  is a  $G$ -torsor over a scheme  $Y$ , then  $X/G \simeq Y$ , and if  $X = \text{Spec } F$ , then  $X/G = \text{BG}$ .

**3.1. Gerbes.** Let  $C$  be a commutative algebraic group scheme over  $F$ . There is the notion of a *gerbe banded by  $C$*  (cf. [19, p. 144], [13, IV.3.1.1], see also examples below). There exists a bijection between the flat cohomology group  $H^2(F, C) := H_{\text{fppf}}^2(\text{Spec } F, C)$  and the set of isomorphism classes of gerbes banded by  $C$ . The trivial element in  $H^2(F, C)$  corresponds to the classifying space  $BC$ , so  $BC$  is a *trivial (split) gerbe banded by  $C$* . In general, a gerbe banded by  $C$  can be viewed as a “twisted form” of  $BC$ .

EXAMPLE 3.5. Let

$$1 \rightarrow C \rightarrow G \rightarrow H \rightarrow 1$$

be an exact sequence of algebraic group schemes with  $C$  a commutative group and  $E \rightarrow \text{Spec } F$  an  $H$ -torsor. The group  $G$  acts on  $E$  via the map  $G \rightarrow H$ . The category  $E/G$  is a gerbe banded by  $C$ . The corresponding element in  $H^2(F, C)$  is the image of the class of  $E$  under the connecting map

$$H^1(F, H) \rightarrow H^2(F, C).$$

EXAMPLE 3.6. (Gerbes banded by  $\mu_n$ ) Let  $A$  be a central simple  $F$ -algebra and  $n$  an integer with  $[A] \in \text{Br}_n(F) = H^2(F, \mu_n)$ . Let  $X$  be the Severi-Brauer variety of  $A$ . Denote by  $\mathcal{X}_A$  the gerbe banded by  $\mu_n$  corresponding to  $[A]$ . It is shown in [3] that if  $n$  is a power of a prime integer  $p$ , then

$$\text{ed}_p(\mathcal{X}_A) = \text{ed}(\mathcal{X}_A) = \text{cdim}_p(\mathcal{X}_A) + 1 = \text{cdim}(\mathcal{X}_A) + 1 = \text{ind}(A).$$

EXAMPLE 3.7. One can generalize the previous example as follows. Let  $p$  be a prime integer and  $C$  a diagonalizable algebraic group scheme of rank  $s$  and exponent  $p$  over  $F$ . In other words,  $C$  is isomorphic to the product of  $s$  copies of  $\mu_p$ . An element  $\theta \in H^2(F, C)$  determines a gerbe  $\mathcal{X}$  banded by  $C$ . Consider the homomorphism  $\beta : C^* \rightarrow \text{Br}(F)$  taking a character  $\chi \in C^*$  to the image of  $\theta$  under the map  $H^2(F, C) \rightarrow H^2(F, \mathbf{G}_m) = \text{Br}(F)$  induced by  $\chi$ . It follows from [14, 3.1] that

$$(2) \quad \text{ed}_p(\mathcal{X}) = \text{ed}(\mathcal{X}) = \text{cdim}_p(\mathcal{X}) + s = \text{cdim}(\mathcal{X}) + s.$$

For a generating set  $\chi_1, \chi_2, \dots, \chi_s$  of  $C^*$ , let  $A_1, A_2, \dots, A_s$  be central division  $F$ -algebras such that  $[A_i] = \beta(\chi_i)$ . Set  $X_i = \text{SB}(A_i)$  and  $X = X_1 \times \dots \times X_s$ . Clearly, the gerbe  $\mathcal{X}$  is split over a field extension  $L$  of  $F$  if and only if all the algebras  $A_i$  are split over  $L$  if and only if  $X$  has a point over  $L$ . It follows that  $\text{cdim}_p(\mathcal{X}) = \text{cdim}_p(X)$ .

By Example 1.12, any basis of  $\text{Ker}(\beta)$  over  $\mathbb{Z}/p\mathbb{Z}$  can be completed to a basis  $\chi_1, \chi_2, \dots, \chi_s$  of  $C^*$  such that  $X$  is  $p$ -minimal, i.e.,

$$\text{cdim}_p(X) = \dim(X) = \sum_{i=1}^s (\text{ind}(A_i) - 1) = \sum_{i=1}^s (\text{ind } \beta(\chi_i) - 1).$$

It follows from (2) that

$$\text{ed}_p(\mathcal{X}) = \sum_{i=1}^s \text{ind } \beta(\chi_i).$$

#### 4. Essential $p$ -dimension of algebraic group schemes

Let  $G$  be an algebraic group scheme over a field  $F$ . A  $G$ -space is a finite dimensional vector space  $V$  with a (right) linear  $G$ -action. (Equivalently, the natural map  $G \rightarrow \mathbf{GL}(V)$  is a finite dimensional representation of  $G$ .) We say that  $G$  acts on  $V$  generically freely (or  $V$  is generically free) if there is a nonempty open  $G$ -invariant subset  $V' \subset V$  and a  $G$ -torsor  $V' \rightarrow X$  for some scheme  $X$  over  $F$  (cf. [2, Def. 4.8 and 4.10]).

One can construct  $G$ -spaces  $V$  with generically free action as follows. Embed  $G$  into  $\mathbf{GL}(W)$  as a subgroup for some vector space  $W$  of finite dimension and set  $V = \text{End}(W)$ . We view  $V$  as a  $G$ -space via right multiplications. Then  $\mathbf{GL}(W)$  is an open  $G$ -invariant subset in  $V$  and the natural morphism  $\mathbf{GL}(W) \rightarrow \mathbf{GL}(W)/G$  is a  $G$ -torsor.

**THEOREM 4.1.** (cf. [22, Lemma 6.6], [12, Example 5.4]) *Let  $G$  be an algebraic group scheme over a field  $F$  and  $V$  a  $G$ -space. Suppose that  $G$  acts on  $V$  generically freely, i.e., there is a nonempty open subset  $V' \subset V$  and a  $G$ -torsor  $a : V' \rightarrow X$  for some scheme  $X$ . Then the torsor  $a$  is  $p$ -generic for all  $p$ .*

**PROOF.** Let  $b : E \rightarrow Y$  be a  $G$ -torsor with the infinite field  $F(Y)$ . Let  $U \subset X$  be an open subvariety. We need to show that  $b >_p (a|_U)$ . Replacing  $X$  by  $U$  and  $V'$  by  $a^{-1}(U)$  we may assume that  $U = X$ . We shall show that  $b >_p a$ .

The morphism  $a \times b : V' \times E \rightarrow X \times Y$  is a  $(G \times G)$ -torsor. Considering  $G$  as a diagonal subgroup of  $G \times G$  we have a  $G$ -torsor  $c : V' \times E \rightarrow Z$  and a commutative diagram

$$\begin{array}{ccccc} V' & \longleftarrow & V' \times E & \longrightarrow & E \\ a \downarrow & & c \downarrow & & b \downarrow \\ X & \xleftarrow{g} & Z & \xrightarrow{f} & Y \end{array}$$

with the projections in the top row. The scheme  $V' \times E$  is an open subset of the (trivial) vector bundle  $V \times E$  over  $E$ . By descent,  $Z$  is an open subset of a vector bundle over  $Y$ . Therefore, the generic fiber of  $f$  is an open set of a vector space over the infinite field  $F(Y)$  and hence it has a point over  $F(Y)$ , i.e., the generic fiber of  $f$  has a splitting. It follows that there is an open subvariety  $W \subset Y$  such that  $f$  has a splitting  $h : W \rightarrow Z$  over  $W$ .

Set  $E' := W \times_Z (V' \times E)$ . In the commutative diagram with fiber product squares

$$\begin{array}{ccccc} E' & \longrightarrow & V' \times E & \longrightarrow & E \\ \downarrow & & c \downarrow & & b \downarrow \\ W & \xrightarrow{h} & Z & \xrightarrow{f} & Y \end{array}$$

the composition in the bottom row is the inclusion morphism. Hence  $E' = E|_W$  and the left vertical arrow coincides with  $b|_W$ . The commutative diagram

$$\begin{array}{ccccc} E & \longleftarrow & E|_W & \longrightarrow & V' \\ b \downarrow & & b|_W \downarrow & & a \downarrow \\ Y & \longleftarrow & W & \xrightarrow{gh} & X \end{array}$$

then yields  $b >_p a$  for all  $p$ .  $\square$

**COROLLARY 4.2.** (cf. [2, Prop. 4.11]) *Let  $G$  be an algebraic group scheme over a field  $F$ . Then  $\text{ed}_p(G) \leq \dim(V) - \dim(G)$  for every generically free  $G$ -space  $V$ .*

**COROLLARY 4.3.** *Let  $G$  be an algebraic group scheme over a field  $F$  and  $H$  a subgroup of  $G$ . Then  $\text{ed}_p(G) + \dim(G) \geq \text{ed}_p(H) + \dim(H)$ .*

**PROOF.** Let  $a : V' \rightarrow X$  be the  $p$ -generic  $G$ -torsor as in Theorem 4.1. Since  $H$  acts on  $V$  generically freely, there is a  $p$ -generic  $H$ -torsor  $b : V' \rightarrow Y$ . Let  $a >_p c$  for a  $G$ -torsor  $c : E \rightarrow Z$  with  $\dim(Z) = \text{ed}_p(G)$ . Let  $d : E \rightarrow S$  be the  $H$ -torsor associated to  $c$ . As  $a >_p c$ , we have  $b >_p d$  and hence

$$\begin{aligned} \text{ed}_p(H) &\leq \dim(S) = \dim(E) - \dim(H) \\ &= \dim(Z) + \dim(G) - \dim(H) = \text{ed}_p(G) + \dim(G) - \dim(H). \quad \square \end{aligned}$$

**4.1. Torsion primes and special groups.** For a scheme  $X$  over  $F$  we let  $n_X$  denote the gcd  $\deg(x)$  over all closed points  $x \in X$ .

Let  $G$  be an algebraic group scheme over  $F$ . A prime integer  $p$  is called a *torsion prime* for  $G$  if  $p$  divides  $n_E$  for a  $G$ -torsor  $E \rightarrow \text{Spec } L$  over a field extension  $L/F$  (cf. [24, Sec. 2.3]).

An algebraic group scheme  $G$  over  $F$  is called *special* if for any field extension  $L/F$ , every  $G$ -torsor over  $\text{Spec } L$  is trivial. Clearly, special group schemes have no torsion primes.

The last statement of the following proposition was proven in [21, Prop. 5.3] in the case of algebraically closed field  $F$ .

**PROPOSITION 4.4.** *Let  $G$  be an algebraic group scheme over  $F$ . Then a prime integer  $p$  is a torsion prime for  $G$  if and only if  $\text{ed}_p(G) \neq 0$ . An algebraic group scheme  $G$  is special if and only if  $\text{ed}(G) = 0$ .*

**PROOF.** Let  $p \geq 0$ . Suppose that  $p$  is not a torsion prime for  $G$  if  $p > 0$  or  $G$  is special if  $p = 0$ . Let  $E \rightarrow \text{Spec } L$  be a  $G$ -torsor over  $L \in \text{Fields}/F$ . As  $p$  is relatively prime to  $n_E$ , there is a finite field extension  $E'/E$  such that the  $G$ -torsor  $E_{L'}$  is split and hence comes from a trivial  $G$ -torsor over  $F$ . It follows that  $\text{ed}_p(E) = 0$  and hence  $\text{ed}_p(G) = 0$ .

Conversely, suppose that  $\text{ed}_p(G) = 0$  for  $p \geq 0$ . Assume that  $F$  is infinite. Choose a  $p$ -minimal  $p$ -generic  $G$ -torsor  $E \rightarrow X$ . We claim that  $n_E$  is relatively prime to  $p$ . Since  $\dim(X) = \text{ed}_p(G) = 0$ , we have  $X = \text{Spec } L$  for a finite field extension  $L/F$ . Let  $E'$  be a trivial  $G$ -torsor over  $F$ . As  $E$  is generic and the field  $F$  is infinite, we have  $E' >_p E$ , i.e., there is a finite field extension  $L'/L$  of degree prime to  $p$  such that  $E_{L'} \simeq E'_{L'}$ . Thus  $E_{L'}$  is trivial and hence  $n_E$  is relatively prime to  $p$  as  $n_E$  divides  $[L' : L]$ .

Let  $\gamma : I \rightarrow \text{Spec } K$  be a  $G$ -torsor over a field extension  $K/F$ . We need to show that  $n_I$  is relatively prime to  $p$ . We may assume that  $K \in \text{Fields}/F$ . Choose



a model  $c : J \rightarrow Z$  of  $\gamma$ , i.e., a  $G$ -torsor  $c$  with  $Z$  a model of  $K$  and  $\gamma$  the generic fiber of  $c$ . As  $a$  is generic, we have  $c >_p a$ , i.e., a fiber product diagram

$$\begin{array}{ccccc} J & \longleftarrow & J' & \longrightarrow & E \\ c \downarrow & & c' \downarrow & & a \downarrow \\ Z & \xleftarrow{f} & Z' & \longrightarrow & X. \end{array}$$

with  $f$  a dominant morphism of degree prime to  $p$  and a  $G$ -torsor  $c'$ . Let  $I' \rightarrow \text{Spec } K'$  be the generic fiber of  $c'$ . Since  $n_{I'}$  divides  $n_E$  and  $n_E$  is relatively prime to  $p$ , the integer  $n_{I'}$  is also relatively prime to  $p$ . It follows that  $n_I$  is relatively prime to  $p$  since  $n_I$  divides  $[K' : K]n_{I'}$ .

Now let  $F$  be a finite field and  $\text{ed}_p(G) = 0$ . If  $G$  is smooth and connected, then  $G$  is special (cf. [25]). In general, if  $G^\circ$  is the connected component of the identity and  $G' = G/G^\circ$ , then the categories  $BG$  and  $BG'$  are equivalent, in particular,  $\text{ed}_p(G) = \text{ed}_p(G')$  and  $G$  and  $G'$  have the same torsion primes. Thus, we may assume that  $G = G'$  is an étale group scheme. Let  $K/F$  be a finite splitting field of  $G$ , i.e.,  $G_K$  is a finite constant group. Every torsion prime of  $G_K$  is a torsion prime of  $G$  and  $\text{ed}_p(G_K) = 0$  by Proposition 1.5(1), so we may assume that  $G$  is a constant group.

We claim that the order of  $G$  is relatively prime to  $p$ . If not, let  $H$  be a finite subgroup of  $G$  of order  $p$  if  $p > 0$  and of any prime order if  $p = 0$ . We have  $\text{ed}_p(G) \geq \text{ed}_p(H) > 0$  by Corollary 4.3, a contradiction. Thus,  $|G|$  is relatively prime to  $p$ . Then every  $G$ -torsor  $E$  (a Galois  $G$ -algebra) is split by a finite field extension of degree prime to  $p$ , i.e.,  $n_E$  is relatively prime to  $p$  and  $p$  is not a torsion prime of  $G$ .  $\square$

**THEOREM 4.5.** *Let  $G$  be an algebraic group scheme. Assume that either  $G$  is not special or  $F$  is infinite. Let  $a : E \rightarrow X$  be a generic  $G$ -torsor and let  $d$  be the smallest dimension of the image of a rational  $G$ -equivariant morphism  $E \dashrightarrow E$ . Then  $\text{ed}(G) = d - \dim(G)$ .*

**PROOF.** Let  $f : E \dashrightarrow E$  be a rational  $G$ -equivariant morphism. Denote by  $f' : X \dashrightarrow X$  the corresponding rational morphism. Let  $Z$  be the closure of the image of  $f'$ , so dimension of the image of  $f$  is equal to  $\dim(Z) + \dim(G)$ . There are morphisms  $g : X' \rightarrow X$  and  $h : X' \rightarrow Z$  with  $g$  a birational isomorphism such that  $g^*(E) \simeq h^*(E|_Z)$ , i.e.,  $a > (a|_Z)$ . The statement of the theorem follows now from Proposition 2.11.  $\square$

**COROLLARY 4.6.** *Let  $G$  be an algebraic group scheme. Assume that either  $G$  is not special or  $F$  is infinite. Let  $a : E \rightarrow X$  be a generic  $G$ -torsor. Then  $a$  is minimal if and only if every rational  $G$ -equivariant morphism  $E \dashrightarrow E$  is dominant.*

**REMARK 4.7.** Corollary 4.6 fails for special groups over a finite field. Indeed, let  $G$  be the trivial group over a finite field and let  $X$  be the affine line with all rational points removed. Since  $X$  has no rational points, every rational morphism  $X \dashrightarrow X$  is dominant. But the identity morphism of  $X$ , which is obviously a generic  $G$ -torsor, is not a minimal  $G$ -torsor as  $\text{ed}(G) = 0$ .

**4.2. A lower bound.** The following statement was proven in [3].

**THEOREM 4.8.** *Let  $f : G \rightarrow H$  be a homomorphism of algebraic group schemes. Then for any  $H$ -torsor  $E$  over  $F$ , we have  $\text{ed}_p(G) \geq \text{ed}_p(E/G) - \dim(H)$ .*

PROOF. Let  $L/F$  be a field extension and let  $x = (J, q, \alpha)$  be an object of  $E/G$  over  $\text{Spec}(L)$ . Let  $\beta : f_*(J) \rightarrow E$  be the isomorphism of  $H$ -torsors induced by  $\alpha$ . Choose a field extension  $L'/L$  of degree prime to  $p$  and a subfield  $K \subset L'$  over  $F$  such that  $\text{tr. deg}_F(K) = \text{ed}_p(J)$  and there is a  $G$ -torsor  $I$  over  $K$  with  $I_{L'} \simeq J_{L'}$ .

We shall write  $Z$  for the scheme of isomorphisms  $\mathbf{Iso}_K(f_*(J), E_K)$  of  $H$ -torsors over  $K$ . Clearly,  $Z$  is a torsor over  $K$  for the twisted form  $\mathbf{Aut}_K(f_*(J))$  of  $H$ , so  $\dim_K(Z) = \dim(H)$ . The image of the morphism  $\text{Spec } L' \rightarrow Z$  over  $K$  representing the isomorphism  $\beta_{L'}$  is a one-point set  $\{z\}$  of  $Z$ . Therefore,  $\beta_{L'}$  and hence  $x_{L'}$  are defined over  $K(z)$ . It follows that

$$\text{ed}_p(J) + \dim(H) = \text{tr. deg}_F(K) + \dim_K(Z) \geq \text{tr. deg}_F(K(z)) \geq \text{ed}_p(x).$$

Hence

$$\text{ed}_p(G) \geq \text{ed}_p(J) \geq \text{ed}_p(x) - \dim(H),$$

and  $\text{ed}_p(G) \geq \text{ed}_p(E/G) - \dim(H)$ .  $\square$

**4.3. Essential dimension of spinor groups.** Let  $\mathbf{Spin}_n$ ,  $n \geq 3$ , be the split spinor group over a field of characteristic 2. The following inequalities are proved in [5, Th. 3.3] if  $n \geq 15$ :

$$\begin{aligned} \text{ed}_2(\mathbf{Spin}_n) &\geq 2^{(n-1)/2} - n(n-1)/2 && \text{if } n \text{ is odd} \\ \text{ed}_2(\mathbf{Spin}_n) &\geq 2^{(n-2)/2} - n(n-1)/2 && \text{if } n \equiv 2 \pmod{4} \\ \text{ed}_2(\mathbf{Spin}_n) &\geq 2^{(n-2)/2} + 1 - n(n-1)/2 && \text{if } n \equiv 0 \pmod{4} \end{aligned}$$

Moreover, if  $\text{char}(F) = 0$ , then

$$\begin{aligned} \text{ed}_2(\mathbf{Spin}_n) = \text{ed}(\mathbf{Spin}_n) &= 2^{(n-1)/2} - n(n-1)/2 && \text{if } n \text{ is odd} \\ \text{ed}_2(\mathbf{Spin}_n) = \text{ed}(\mathbf{Spin}_n) &= 2^{(n-2)/2} - n(n-1)/2 && \text{if } n \equiv 2 \pmod{4} \\ \text{ed}_2(\mathbf{Spin}_n) \leq \text{ed}(\mathbf{Spin}_n) &\leq 2^{(n-2)/2} + n - n(n-1)/2 && \text{if } n \equiv 0 \pmod{4} \end{aligned}$$

We improve the lower bound for  $\text{ed}_2(\mathbf{Spin}_n)$  in the case  $n \equiv 0 \pmod{4}$ .

**THEOREM 4.9.** *Let  $n$  be a positive integer divisible by 4 and  $\mathbf{Spin}_n$  the split spinor group over a field  $F$  of characteristic different from 2. Let  $2^k$  be the largest power of 2 dividing  $n$ . Then*

$$\text{ed}_2(\mathbf{Spin}_n) \geq 2^{(n-2)/2} + 2^k - n(n-1)/2.$$

PROOF. The center  $C$  of the group  $G = \mathbf{Spin}_n$  is isomorphic to  $\mu_2 \times \mu_2$ . The factor group  $H = G/C$  is the special projective orthogonal group (cf. [17]). An  $H$ -torsor over a field extension  $L/F$  determines a central simple algebra  $A$  with an orthogonal involution  $\sigma$  of trivial discriminant. The image of the map  $C^* \rightarrow \text{Br}(L)$  is equal to  $\{0, [A], [C^+], [C^-]\}$ , where  $C^+$  and  $C^-$  are simple components of the Clifford algebra  $C(A, \sigma)$ . By [18], there is a field extension  $L'/F$  and an  $H$ -torsor  $E$  over  $L'$  such that  $\text{ind}(C^+) = \text{ind}(C^-) = 2^{(n-2)/2}$  and  $\text{ind}(A) = 2^k$ , the largest power of 2 dividing  $n$ . By Example 3.7,

$$\text{ed}_2(E/G) = \text{ind}(A) + \text{ind}(C^+) = 2^{(n-2)/2} + 2^k.$$

It follows from Theorem 4.8 that

$$\text{ed}_2(\mathbf{Spin}_n) \geq \text{ed}_2(E/G) - \dim(H) = 2^{(n-2)/2} + 2^k - n(n-1)/2. \quad \square$$

COROLLARY 4.10. *If  $n$  is a power of 2 and  $\text{char}(F) = 0$  then*

$$\text{ed}_2(\mathbf{Spin}_n) = \text{ed}(\mathbf{Spin}_n) = 2^{(n-2)/2} + n - n(n-1)/2.$$

Below is the table of values  $d_n := \text{ed}_2(\mathbf{Spin}_n) = \text{ed}(\mathbf{Spin}_n)$  over a field of characteristic zero (cf. [5]):

$n$	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
$d_n$	0	0	0	0	4	5	5	4	5	6	6	7	23	24	120	103	341

The torsors for  $\mathbf{Spin}_n$  are essentially the isomorphism classes of quadratic forms in  $I^3$ , where  $I$  is the fundamental ideal in the Witt ring of  $F$ . A jump of the value of  $\text{ed}(\mathbf{Spin}_n)$  when  $n > 14$  is probably related to the fact that there is no simple classification of quadratic forms in  $I^3$  of dimension greater than 14.

### 5. Essential $p$ -dimension of finite groups

Let  $G$  be a finite group. We consider  $G$  as a constant algebraic group over a field  $F$ . A  $G$ -torsor  $E$  over  $\text{Spec}(L)$  for a field extension  $L/F$  is of the form  $E = \text{Spec}(A)$ , where  $A$  is a Galois  $G$ -algebra over  $L$ . Thus, the fibered category  $BG$  is equivalent to the category of Galois  $G$ -algebras over field extensions of  $F$ .

A generically free  $G$ -space is the same as a faithful  $G$ -space, i.e., a  $G$ -space  $V$  such that the group homomorphism  $G \rightarrow \mathbf{GL}(V)$  is injective. By Corollary 4.2,  $\text{ed}(G) \leq \dim(V)$  for any faithful  $G$ -space  $V$ . The essential dimension  $\text{ed}(G)$  can be smaller than dimension of every any faithful  $G$ -space  $V$ . For example, for the symmetric group  $S_n$  one has  $\text{ed}(S_n) \leq n - 2$  if  $n \geq 3$  (cf. [6, Th. 6.5]), whereas the least dimension of a faithful  $S_n$ -space is equal to  $n - 1$ . Note that the value of  $\text{ed}(S_n)$  is unknown for  $n \geq 7$ .

Computation of the essential  $p$ -dimension of a finite group  $G$  for  $p > 0$  is somewhat simpler. The following proposition shows that  $G$  can be replaced by a Sylow  $p$ -subgroup.

PROPOSITION 5.1. *Let  $G$  be a finite group and  $H \subset G$  a Sylow  $p$ -subgroup. Then  $\text{ed}_p(G) = \text{ed}_p(H)$ .*

PROOF. By Corollary 4.3,  $\text{ed}_p(G) \geq \text{ed}_p(H)$ . Let  $A$  be a Galois  $G$ -algebra over a field  $L \in \mathbf{Fields}/F$ . Then the subalgebra  $A^H$  of  $H$ -invariant elements is an étale  $L$ -algebra of rank prime to  $p$ . Let  $e \in A^H$  be an idempotent such that  $K = A^H e$  is a field extension of  $L$  of degree prime to  $p$ . Then  $Ae$  is a Galois  $H$ -algebra over  $K$ . Choose a field extension  $K'/K$  of degree prime to  $p$  and a subfield  $M \subset K$  over  $F$  such that there is a Galois  $H$ -algebra  $B$  over  $M$  with  $B \otimes_M K' \simeq Ae \otimes_K K'$  and  $\text{ed}_p(Ae) = \text{tr. deg}_F(M) \leq \text{ed}_p(H)$ .

For any Galois  $H$ -algebra  $C$  we write  $\overline{C}$  for the algebra  $\text{Map}_H(G, C)$  of  $H$ -equivariant maps  $G \rightarrow C$ . Clearly,  $\overline{C}$  has structure of a Galois  $G$ -algebra. Considering  $A$  as a Galois  $H$ -algebra over  $A^H$ , we have an isomorphism of Galois  $G$ -algebras

$$A \otimes_L (A^H) \rightarrow \overline{A}$$

taking  $a \otimes a'$  to the map  $f : G \rightarrow A$  defined by  $f(g) = g(a)a'$ . It follows that

$$\overline{B} \otimes_M K \simeq \overline{Ae} \otimes_K K' \simeq \overline{Ae} \otimes_K K' \simeq A \otimes_L (A^H e) \otimes_K K' = A \otimes_L K'.$$

Hence,  $A$  is  $p$ -defined over  $M$  and the essential  $p$ -dimension of the Galois  $G$ -algebra  $A$  is at most  $\text{tr. deg}_F(M) \leq \text{ed}_p(H)$ . It follows that  $\text{ed}_p(G) \leq \text{ed}_p(H)$ .  $\square$

By Proposition 1.5(2), the integer  $\text{ed}_p(G)$  does not change under field extensions of  $F$  of degree prime to  $p$ . It follows then from Proposition 5.1 that  $\text{ed}_p(G) \leq \dim(V)$  for any faithful  $H$ -space  $V$  for a Sylow  $p$ -subgroup  $H$  of  $G$  over the field  $F(\xi_p)$ , where  $\xi_p$  is a primitive  $p$ -th root of unity.

The following statement was proven in [14, Th. 4.1, Rem. 4.8].

**THEOREM 5.2.** *Let  $p$  be a prime integer and let  $F$  be a field of characteristic different from  $p$ . Then the essential  $p$ -dimension  $\text{ed}_p(G)$  over  $F$  of a finite group  $G$  is equal to the least dimension of a faithful  $H$ -space of a Sylow  $p$ -subgroup  $H$  of  $G$  over the field  $F(\xi_p)$ .*

**PROOF.** By Propositions 1.5 and 5.1, we may assume that  $G$  is a  $p$ -group and  $F$  contains a primitive  $p$ -th root of unity.

By Corollary 4.2, it suffices to find a faithful  $G$ -space  $V$  with  $\text{ed}_p(G) \geq \dim(V)$ .

Denote by  $C$  the subgroup of all central elements of  $G$  of exponent  $p$  and set  $H = G/C$ , so we have an exact sequence

$$(3) \quad 1 \rightarrow C \rightarrow G \rightarrow H \rightarrow 1.$$

Let  $E \rightarrow \text{Spec } F$  be an  $H$ -torsor over  $F$  and let  $C^*$  denote the character group  $\text{Hom}(C, \mathbf{G}_m)$  of  $C$ . The  $H$ -torsor  $E$  over  $F$  yields the homomorphism

$$(4) \quad \beta^E : C^* \rightarrow \text{Br}(F)$$

taking a character  $\chi : C \rightarrow \mathbf{G}_m$  to the image of the class of  $E$  under the composition

$$H^1(F, H) \xrightarrow{\partial} H^2(F, C) \xrightarrow{\chi^*} H^2(F, \mathbf{G}_m) = \text{Br}(F),$$

where  $\partial$  is the connecting map for the exact sequence (3). Note that as  $\mu_p \subset F^\times$ , we can identify  $C$  with  $(\mu_p)^s$ , i.e.,  $C$  is a diagonalizable group of exponent  $p$ .

Consider the gerbe  $E/G$  banded by  $C$ . The class of  $E/G$  in  $H^2(F, C)$  coincides with the image of the class of  $E$  under  $\partial$ .

By Example 3.7, there is a basis  $\chi_1, \chi_2, \dots, \chi_s$  of  $C^*$  such that

$$(5) \quad \text{ed}_p(E/G) = \sum_{i=1}^s \text{ind } \beta^E(\chi_i).$$

Now we choose a specific  $E$ , namely a generic  $H$ -torsor over a field extension  $L$  of  $F$ . Let  $\chi : C \rightarrow \mathbf{G}_m$  be a character and  $\text{Rep}^{(\chi)}(G)$  the category of all  $G$ -spaces such that  $v^c = \chi(c)v$  any  $c \in C$  and  $v \in V$ . By Theorem 6.7,

$$(6) \quad \text{ind } \beta^E(\chi) = \text{gcd } \dim(V)$$

over all  $G$ -spaces  $V$  in  $\text{Rep}^{(\chi)}(G)$ . Note that dimension of every irreducible  $G$ -space is a power of  $p$ . Indeed, let  $q$  be the order of  $G$ . By [23, Th. 24], every irreducible  $G$ -space is defined over the field  $K = F(\mu_q)$ . Since  $F$  contains  $p$ -th roots of unity, the degree  $[K : F]$  is a power of  $p$ . Let  $V$  be an irreducible  $G$ -space over  $F$ . Write  $V_K$  as a direct sum of irreducible  $G$ -spaces  $V_j$  over  $K$ . As each  $V_j$  is absolutely irreducible,  $\dim(V_j)$  divides  $q$  and hence  $\dim(V_j)$  is a power of  $p$ . The group  $\Gamma = \text{Gal}(K/F)$  permutes transitively the  $V_j$ . As  $|\Gamma|$  is a power of  $p$ , the number of the  $V_i$ 's is also a power of  $p$ .

Hence, the gcd in (6) can be replaced by min. Therefore, for any character  $\chi \in C^*$ , there is a  $G$ -space  $V_\chi \in \text{Rep}^{(\chi)}(G)$  such that  $\text{ind } \beta^E(\chi) = \dim(V_\chi)$ . Let  $V$  be the direct sum of the  $V_{\chi_i}$  for  $i = 1, \dots, s$ . It follows from (5) that

$$\text{ed}_p(E/G) = \dim(V).$$

Applying Proposition 1.5(1) and Theorem 4.8 for the gerbe  $E/G$  over the field  $L$ , we get the inequality

$$\mathrm{ed}_p(G) \geq \mathrm{ed}_p(G_L) \geq \mathrm{ed}_p(E/G) = \dim(V).$$

It suffices to show that  $V$  is a faithful  $G$ -space. Since the  $\chi_i$  form a basis of  $C^*$ , the  $C$ -space  $V$  is faithful. Let  $N$  be the kernel of  $V$ . We have  $N \cap C = \{1\}$ . As every nontrivial normal subgroup of  $G$  intersects  $C$  nontrivially, it follows that  $N = \{1\}$ , i.e., the  $G$ -space  $V$  is faithful.  $\square$

**COROLLARY 5.3.** *Let  $G$  be a  $p$ -group and let  $F$  be a field containing  $p$ -th roots of unity. Then  $\mathrm{ed}(G)$  coincides with  $\mathrm{ed}_p(G)$  and is equal to the least dimension of a faithful  $G$ -space over  $F$ .*

**PROOF.** Let  $V$  be a faithful  $G$ -space of the least dimension. Then by Theorem 5.2 and Corollary 4.2,

$$\dim(V) = \mathrm{ed}_p(G) \leq \mathrm{ed}(G) \leq \dim(V). \quad \square$$

The case of a cyclic group was considered in [10]:

**COROLLARY 5.4.** *Let  $G$  be a cyclic group of a primary order  $p^n$  and let  $F$  be a field containing  $p$ -th roots of unity. Then  $\mathrm{ed}(G) = \mathrm{ed}_p(G) = [F(\xi_{p^n}) : F]$ .*

**PROOF.** The  $G$ -space  $F(\xi_{p^n})$  with a generator of  $G$  acting by multiplication by  $\xi_{p^n}$  is a faithful irreducible  $G$ -space of the least dimension.  $\square$

## 6. Appendix

**6.1. Models.** For any  $X \in \mathrm{Var}/F$ , the field  $F(X)$  lies in  $\mathrm{Fields}/F$ . Conversely, let  $L \in \mathrm{Fields}/F$ . A *model* of  $L$  is a variety  $X \in \mathrm{Var}/F$  together with an isomorphism  $F(X) \simeq L$  over  $F$ . A morphism of two models  $X$  and  $X'$  of  $L$  is a (unique) birational isomorphism between  $X$  and  $X'$  preserving the identifications of the field  $F(X)$  and  $F(X')$  with  $L$ .

Let  $K \subset L$  be a subfield and  $Y$  a model of  $K$ , so we have a morphism  $\mathrm{Spec} L \rightarrow Y$ . Then there is a model  $X$  of  $L$  and a dominant morphism  $f : X \rightarrow Y$  inducing the field embedding  $K \hookrightarrow L$ . Indeed, we can start with any model  $X$  of  $L$  and then replace it by the graph of the corresponding rational morphism  $X \dashrightarrow Y$ . The morphism  $f$  is called a *model of the morphism*  $\mathrm{Spec} L \rightarrow Y$ .

Let  $p$  be a prime integer.

**LEMMA 6.1** (cf. [14, Lemma 3.3]). *Let  $K$  be an arbitrary field,  $K'/K$  a finite field extension of degree prime to  $p$ , and  $K \rightarrow L$  a field homomorphism. Then there exists a field extension  $L'/L$  of degree prime to  $p$  and a field homomorphism  $K' \rightarrow L'$  extending  $K \rightarrow L$ .*

**PROOF.** We may assume that  $K'$  is generated over  $K$  by one element. Let  $f(t) \in F[t]$  be its minimal polynomial. Since the degree of  $f$  is prime to  $p$ , there exists an irreducible divisor  $g \in L[t]$  of  $f$  over  $L$  such that  $\deg(g)$  is prime to  $p$ . We set  $L' = L[t]/(g)$ .  $\square$

**LEMMA 6.2.** *Let  $f : X' \rightarrow X$  be a morphism of varieties over  $F$  of degree prime to  $p$ . Then there is an open subvariety  $U \subset X$  such that for every  $x \in U$  there exists a point  $x' \in X'$  with  $f(x') = x$  and the degree  $[F(x') : F(x)]$  prime to  $p$ .*

PROOF. Let  $U \subset X$  be an open subvariety such that the restriction  $f^{-1}(U) \rightarrow U$  of  $f$  is flat of degree  $d$  (prime to  $p$ ). Then for every  $x \in U$ , the fiber  $f^{-1}(x)$  is a finite scheme over  $F(x)$  of degree  $d$ , i.e.,  $f^{-1}(x) = \text{Spec } A$  for an  $F(x)$ -algebra  $A$  of dimension  $d$ . The artinian ring  $A$  is a product of local rings  $A_i$  with maximal ideals  $P_i$ . We have

$$d = \sum \dim(A_i) = \sum \dim(A_i/P_i) \cdot l(A_i),$$

where  $l(A_i)$  is the length of the  $A$ -module  $A_i$  and dimension is taken over  $F(x)$ . As  $d$  is prime to  $p$ , there is an  $i$  such that  $\dim(A_i/P_i)$  is prime to  $p$ . The corresponding point  $x' \in f^{-1}(x)$  satisfies the required conditions.  $\square$

LEMMA 6.3. *Let  $g : X \rightarrow Y$  and  $h : Y' \rightarrow Y$  be morphisms of varieties over  $F$ . Let  $y \in Y$  be the image of the generic point of  $X$ . Suppose that there is a point  $y' \in Y'$  such that  $h(y') = y$  and  $[F(y') : F(y)]$  is prime to  $p$ . Then there exists a commutative square of morphisms of varieties*

$$\begin{array}{ccc} X' & \xrightarrow{m} & X \\ l \downarrow & & g \downarrow \\ Y' & \xrightarrow{h} & Y \end{array}$$

with  $m$  dominant of degree prime to  $p$ .

PROOF. We view the residue field  $F(y)$  as a subfield of the fields  $F(X)$  and  $F(Y')$ . By Lemma 6.1, there is a field extension  $L$  of  $F(X)$  and  $F(Y')$  such that  $[L : F(X)]$  is prime to  $p$ . The natural morphisms  $\text{Spec } L \rightarrow X$  and  $\text{Spec } L \rightarrow Y'$  yield a morphism  $\text{Spec } L \rightarrow X \times_Y Y'$ . Clearly, a model  $X' \rightarrow X \times_Y Y'$  of this morphism together with the projections  $m : X' \rightarrow X$  and  $l : X' \rightarrow Y'$  fit in the required diagram.  $\square$

**6.2. Valuations and places.** A *geometric valuation* of a field  $L \in \mathbf{Fields}/F$  is a valuation  $v$  of  $L$  over  $F$  with residue field  $F(v)$  such that  $\text{rank}(v) = \text{tr. deg}_F(L) - \text{tr. deg}_F F(v)$ . The residue field of a geometric valuation is necessarily finitely generated over  $F$  (cf. [27]).

Let  $L$  and  $K$  be field extensions of  $F$ . An  $F$ -*place*  $\pi : K \rightarrow L$  is a local ring homomorphism  $R \rightarrow K$  of a valuation ring  $R$  in  $L$  containing  $F$ . The ring  $R$  is called the *valuation ring* of  $\pi$ . We say that  $\pi$  is *geometric* if the valuation of  $R$  is geometric.

If  $\pi : L \rightarrow K$  and  $\rho : M \rightarrow L$  are two places, then the *composition of places*  $\pi \circ \rho : M \rightarrow K$  is defined. If  $\pi$  and  $\rho$  are geometric, then so is  $\pi \circ \rho$ .

A geometric place is a composition of places with discrete geometric valuation rings.

LEMMA 6.4. *Let  $L \in \mathbf{Fields}/F$ , let  $v$  be a geometric valuation of  $L$  over  $F$  and let  $L'/L$  be a finite field extension of degree prime to  $p$ . Then there exists a geometric valuation  $v'$  of  $L'$  extending  $v$  such that the degree of the residue field extension  $F(v')/F(v)$  is prime to  $p$ .*

PROOF. If  $L'/L$  is separable and  $v_1, \dots, v_k$  are all the extensions of  $v$  on  $L'$ , then  $[L' : L] = \sum e_i [F(v_i) : F(v)]$  where  $e_i$  is the ramification index (cf. [27, Ch. VI, Th. 20 and p. 63]). It follows that the integer  $[F(v_i) : F(v)]$  is prime to  $p$  for some  $i$ .

If  $L'/L$  is purely inseparable of degree  $q$ , then the valuation  $v'$  of  $L'$  defined by  $v'(x) = v(x^q)$  satisfies the desired properties. The general case follows.  $\square$

This lemma translates to the language of place as follows:

LEMMA 6.5. [16, Lemma 3.2] *Let  $L \in \text{Fields}/F$ , let  $\rho : L \rightarrow K$  be a geometric  $F$ -place and let  $L'/L$  be a field extension of degree prime to  $p$ . Then there exists a field extension  $K'/K$  of degree prime to  $p$  and an extension  $L' \rightarrow K'$  of the place  $\rho$ .*

LEMMA 6.6. *Let  $X$  be an algebraic variety over  $F$  and  $x \in X$  a nonsingular point. Then there is a geometric valuation of  $F(X)$  with center  $x$  and residue field  $F(x)$ .*

PROOF. Choose a regular system of parameters  $a_1, a_2, \dots, a_n$  in the regular local ring  $R = O_{X,x}$ . Let  $M_i$  be the ideal of  $R$  generated by  $a_1, \dots, a_i$ . Set  $R_i = R/M_i$  and  $P_i = M_{i+1}/M_i$ . Denote by  $F_i$  the quotient field of  $R_i$ , in particular,  $F_0 = F(X)$  and  $F_n = F(x)$ . The localization ring  $(R_i)_{P_i}$  is a discrete geometric valuation ring with quotient field  $F_i$  and residue field  $F_{i+1}$ , therefore it determines a geometric place  $F_i \rightarrow F_{i+1}$ . The valuation corresponding to the composition of places

$$F(X) = F_0 \rightarrow F_1 \rightarrow \dots \rightarrow F_n = F(x)$$

is a geometric valuation satisfying the required conditions.  $\square$

**6.3. Indices of algebras.** Let  $G$  be a finite group and  $C$  a central subgroup. We set  $H = G/C$ . Let  $W$  be a faithful  $H$ -space and  $W'$  an open subset of the affine space of  $W$  where  $H$  acts freely, so that there is an  $H$ -torsor  $\pi : W' \rightarrow Y$ . Let  $E$  be the generic fiber of the  $H$ -torsor  $\pi$ . It is a generic  $H$ -torsor over the function field  $L = F(Y)$ . Consider the homomorphism  $\beta^E : C^* \rightarrow \text{Br}(F)$  defined in (4).

Let  $\chi : C \rightarrow \mathbf{G}_m$  be a character and let  $\text{Rep}^{(\chi)}(G)$  be the category of all  $G$ -spaces such that  $v^c = \chi(c)v$  any  $c \in C$  and  $v \in V$ .

THEOREM 6.7. *Let  $G$  be a finite group and let  $C$  be a central subgroup of  $G$ . Assume that  $|C|$  is not divisible by  $\text{char } F$ . Set  $H = G/C$  and let  $E$  be a generic  $H$ -torsor. Then for any character  $\chi \in C^*$ , we have  $\text{ind } \beta^E(\chi) = \text{gcd dim}(V)$  over all  $G$ -spaces  $V$  in  $\text{Rep}^{(\chi)}(G)$ .*

In the rest of the section we give a proof of this theorem.

Let  $S$  be a commutative ring and  $H$  a finite group acting on  $S$  (on the right) by ring automorphisms. Set

$$R = S^H := \{s \in S \text{ such that } s^h = s \text{ for all } h \in H\}$$

and denote by  $S * H$  the crossed product with trivial factors. Precisely,  $S * H$  consists of formal sums  $\sum_{h \in H} h s_h$  with  $s_h \in S$ . The product is given by the rule  $(hs)(h's') = (hh')(s^h s')$ .

Let  $M$  be a (right)  $S$ -module. Suppose that  $H$  acts on  $M$  on the right such that  $(ms)^h = m^h s^h$ . Then  $M$  is a right  $S * H$ -module by  $m(hs) = m^h s$ . Conversely, a right  $S * H$ -module is a right  $S$ -module together with a right  $H$ -action as above. If  $M$  is a right  $S * H$ -module, then the subset  $M^H$  of  $H$ -invariant elements in  $M$  is an  $R$ -module. We have a natural  $S$ -module homomorphism  $M^H \otimes_R S \rightarrow M$ ,  $m \otimes s \mapsto ms$ .

We say that  $S$  is a *Galois  $H$ -algebra over  $R$*  if the morphism  $\text{Spec } S \rightarrow \text{Spec } R$  is an  $H$ -torsor.

PROPOSITION 6.8. (cf. [7]) *The following are equivalent:*

- (1)  $S$  is an Galois  $H$ -algebra over  $R$ .
- (2) The morphism  $\text{Spec } S \rightarrow \text{Spec } R$  is an  $H$ -torsor.
- (3) For any  $h \in H$ ,  $h \neq 1$ , the elements  $s^h - s$  with  $s \in S$  generate the unit ideal in  $S$ .
- (4) For every left  $S * H$ -module  $M$ , the natural map  $M^H \otimes_R S \rightarrow M$  is an isomorphism.

COROLLARY 6.9. *Let  $S$  be an Galois  $H$ -algebra over  $R$ . Then the functors between the categories of finitely generated right modules*

$$\begin{aligned} M(R) &\rightarrow M(S * H), & N &\mapsto N \otimes_R S \\ M(S * H) &\rightarrow M(R), & M &\mapsto M^H \end{aligned}$$

*are equivalences inverse to each other.*

PROOF OF THEOREM 6.7. Let  $W$  be a faithful  $H$ -space. Let  $S$  denote the symmetric algebra of the dual space  $W^*$ . The group  $H$  acts on  $S$ . Set  $R = S^H$ ,  $Y = \text{Spec}(R)$  and  $L = F(Y)$  the quotient field of  $R$ .

For any  $h \in H$ ,  $h \neq 1$ , there is a linear form  $\varphi_h \in W^*$  satisfying  $(\varphi_h)^h \neq \varphi_h$ . Set

$$r = \prod_{h, h' \in H, h \neq 1} ((\varphi_h)^{hh'} - (\varphi_h)^{h'})$$

in  $S$ . We have  $r \in R$  and  $r \neq 0$ . For any  $h \neq 1$ , the element  $(\varphi_h)^h - \varphi_h$  is invertible in the localization ring  $S_r$ . By Proposition 6.8, the localization ring  $S_r$  is a Galois  $H$ -algebra over  $R_r$ .

Let  $\chi : C \rightarrow \mathbf{G}_m$  be a character of  $C$ . Note that  $G$  acts upon  $S$  via the group homomorphism  $G \rightarrow H$ , so we have the ring  $S * G$  defined. We write  $M^{(\chi)}(S * G)$  for the full subcategory of  $M(S * G)$  consisting of all modules  $M$  with  $m^c = \chi(c)m$  for all  $m \in M$  and  $c \in C$ . We also write  $K_0^{(\chi)}(S * G)$  for the Grothendieck group of  $M^{(\chi)}(S * G)$ . Note that  $K_0^{(\chi)}(S * G)$  is a natural direct summand of  $K_0(S * G)$ .

Fix a  $G$ -space  $U \in \text{Rep}^{(\chi)}(G)$  and set  $U_{S_r} = U \otimes_F S_r$ . We have

$$\text{End}(U) \otimes_F S_r \simeq \text{End}_{S_r}(U_{S_r}).$$

The conjugation  $G$ -action on  $\text{End}(U)$  factors through an  $H$ -action. Consider the algebra  $\mathcal{A} = \text{End}_{S_r}(U_{S_r})^H$  over  $R_r$ . By Proposition 6.8(4),

$$\mathcal{A} \otimes_{R_r} S_r \simeq \text{End}_{S_r}(U_{S_r}),$$

hence  $\mathcal{A}$  is an Azumaya  $R_r$ -algebra (by descent, as  $S_r$  is a faithfully flat  $R_r$ -algebra).

Recall that  $L = F(Y)$  is the quotient field of  $R$ . Set

$$A = \mathcal{A} \otimes_{R_r} L.$$

Clearly,  $A$  is a central simple algebra over  $L$  of degree  $\dim U$ . We also have

$$A = (\text{End}(U) \otimes_F L)^H,$$

where  $L'$  is the quotient field of  $S$ . Moreover,  $[A] = \beta^E(\chi)$  in  $\text{Br}(L)$ .

The localization in algebraic  $K$ -theory provides a surjective homomorphism

$$(7) \quad K_0(\mathcal{A}) \rightarrow K_0(A).$$



By Corollary 6.9, the category of right  $\mathcal{A}$ -modules and right  $\text{End}_{S_r}(U_{S_r}) * H$ -modules are equivalent. Thus the functor  $M \mapsto M^H$  induces an isomorphism

$$(8) \quad K_0(\text{End}_{S_r}(U_{S_r}) * H) \xrightarrow{\sim} K_0(\mathcal{A}).$$

The category of right  $\text{End}_{S_r}(U_{S_r}) * H$ -modules is equivalent to the subcategory of right  $\text{End}_{S_r}(U_{S_r}) * G$ -modules with the group  $C$  acting trivially. Hence we have an isomorphism

$$(9) \quad K_0^{(1)}(\text{End}_{S_r}(U_{S_r}) * G) \xrightarrow{\sim} K_0(\text{End}_{S_r}(U_{S_r}) * H).$$

By Morita equivalence, the functors

$$M(S_r * G) \rightarrow M(\text{End}_{S_r}(U_{S_r}) * G), \quad N \mapsto N \otimes_F U^*$$

$$M(\text{End}_{S_r}(U_{S_r}) * G) \rightarrow M(S_r * G), \quad M \mapsto M \otimes_{\text{End}(U)} U$$

are equivalences inverse to each other. Moreover, under these equivalences, the subcategory  $M^{(x)}(S_r * G)$  corresponds to  $M^{(1)}(\text{End}_{S_r}(U_{S_r}) * G)$ . Hence we get an isomorphism

$$(10) \quad K_0^{(x)}(S_r * G) \xrightarrow{\sim} K_0^{(1)}(\text{End}_{S_r}(U_{S_r}) * G).$$

By localization, we have a surjection

$$(11) \quad K_0^{(x)}(S * G) \rightarrow K_0^{(x)}(S_r * G).$$

The ring  $S$  is graded with  $S_0 = F$ . We view the ring  $B = S * G$  as a graded ring with  $B_0 = F * G = FG$  (the group algebra). Note that  $B$  is a free left  $B_0$ -module. As the global dimension of the polynomial ring  $S$  is finite, we can choose a finite projective resolution  $P^\bullet \rightarrow F$  of the  $S$ -module  $F = S_0$ . Since  $B$  is a free right  $S$ -module,  $B \otimes_S P^\bullet \rightarrow B \otimes_S F$  is a finite projective resolution of the left  $B$ -module  $B \otimes_S F = FG = B_0$ . Hence  $B_0$  has finite Tor-dimension as a left  $B$ -module.

Therefore,  $B$  satisfies the conditions of the following theorem:

**THEOREM 6.10.** [20, Th. 7] *Let  $B = \coprod_{i \geq 0} B_i$  be a graded Noetherian ring. Suppose:*

- (1)  $B$  is flat as a left  $B_0$ -module,
- (2)  $B_0$  is of finite Tor-dimension as a left  $B$ -module.

*Then the exact functor  $M(B_0) \rightarrow M(B)$  taking an  $M$  to  $M \otimes_{B_0} B$  yields an isomorphism*

$$K_0(B_0) \xrightarrow{\sim} K_0(B).$$

By Theorem 6.10, applied to the graded ring  $B = S * G$ , there is a canonical isomorphism

$$K_0(\text{Rep}(G)) = K_0(FG) = K_0(B_0) \xrightarrow{\sim} K_0(B) = K_0(S * G).$$

Moreover, this isomorphism takes  $K_0(\text{Rep}^{(x)}(G))$  onto  $K_0^{(x)}(S * G)$ , i.e., we have an isomorphism

$$(12) \quad K_0(\text{Rep}^{(x)}(G)) \xrightarrow{\sim} K_0^{(x)}(S * G).$$

The surjective composition  $K_0(\text{Rep}^{(x)}(G)) \rightarrow K_0(A)$  of the surjective maps (7)-(12) takes the class of a  $G$ -space  $V \in \text{Rep}^{(x)}(G)$  to the class of the right  $A$ -module

$$(V \otimes_F U^* \otimes_F L')^H$$

of dimension  $\dim(V) \cdot \dim(U)$  over the field  $L$ . On the other hand, the group  $K_0(A)$  is infinite cyclic group generated by the class of a simple module of dimension  $\text{ind}(A) \cdot \dim(U)$  over  $L$ . The result follows.  $\square$

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