

# INVARIANTS OF SIMPLE ALGEBRAS

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Let  $F$  be a field and let  $A$  be an “algebraic structure” over field extensions of  $F$ . More precisely,  $A$  is a functor from the category  $\mathbf{Fields}/F$  of field extensions over  $F$  to the category  $\mathbf{Sets}$  of sets. For example, the values of  $A$  can be the sets of isomorphism classes of central simple algebras of given degree  $n$ , quadratic forms of dimension  $n$ , étale algebras of rank  $n$ , etc. As defined in [7], an *invariant* of a functor  $A$  with values in a cohomology theory  $H$  (also viewed as a functor from  $\mathbf{Fields}/F$  to  $\mathbf{Sets}$ ) is a morphism of functors  $A \rightarrow H$ . All the invariants of  $A$  with values in  $H$  form a group  $\text{Inv}(A, H)$ .

An interesting functor  $\mathbf{Tors}_G$  can be associated to an algebraic group  $G$  defined over  $F$  as follows. For a field extension  $L/F$ ,  $\mathbf{Tors}_G(L)$  is the set of isomorphism classes of  $G$ -torsors over  $\text{Spec } L$ . All examples of the functors  $A$  listed above are isomorphic to the functors  $\mathbf{Tors}_G$  for certain groups  $G$  (cf. [7, §3]). For example,  $\mathbf{Tors}_G(L)$  for the projective linear group  $G = \mathbf{PGL}_n$  is naturally bijective to the set of isomorphism classes of central simple  $L$ -algebras of degree  $n$ .

The structure of the group  $\text{Inv}(A, H)$  was determined for various functors  $A$  in [7]. The case  $A = \mathbf{Tors}_G$  for  $G = \mathbf{PGL}_n$ , i.e., the problem of classification of invariants of central simple algebras of degree  $n$ , is still wide open. In the present paper we determine the group of invariants with values in Galois cohomology with coefficients  $\mathbb{Z}/2\mathbb{Z}$  of central simple algebras of degree at most 8 and exponent dividing 2, i.e., determine invariants of  $\mathbf{Tors}_G$  for  $G = \mathbf{GL}_n/\mu_2$  with  $n$  dividing 8.

In the present paper, the word “variety” over a field  $F$  means a separated integral scheme of finite type over  $F$ .

## 1. INVARIANTS

**1.1. Cohomology theories, residues and values.** Let  $F$  be a field and let  $C$  be a Galois module for  $F$  such that  $nC = 0$  for some  $n$  not divisible by  $\text{char } F$ . We define a graded *cohomology theory*  $H$  over  $F$  as follows. For any field extension  $L/F$ , we write

$$H(L) := \prod_{r \geq 0} H^r(L, C(r)),$$

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where  $C(r)$  is the Tate twist of  $C$  [7, 7.8]. Note that  $H(L)$  is a (left) module over the cohomology ring

$$\prod_{r \geq 0} H^r(L, (\mathbb{Z}/n\mathbb{Z})(r))$$

with respect to the cup-product. We shall write  $(x)$  for the element of

$$H^1(L, (\mathbb{Z}/n\mathbb{Z})(1)) = H^1(L, \mu_n) \simeq L^\times / L^{\times n}$$

corresponding to the coset  $xL^{\times n}$ .

Let  $L$  be a field extension of  $F$  with a discrete valuation  $v$  trivial on  $F$  and residue field  $F(v)$ . There is the *residue map* of degree  $-1$  [7, §7.13]:

$$\partial_v : H^r(L) \rightarrow H^{r-1}(F(v)).$$

An element  $h \in H^r(L)$  is called *unramified at  $v$*  if  $\partial_v(h) = 0$ .

Let  $\pi \in L$  be a prime element. The graded map

$$s_\pi : H^r(L) \rightarrow H^r(F(v)), \quad s_\pi(h) = \partial_v((-\pi) \cup h)$$

is called a *specialization map* [15, §1]. If  $h \in H^r(L)$  is unramified at  $v$ , then the element  $s_\pi(h)$  does not depend on the choice of  $\pi$  and is called the *value of  $h$  at  $v$* , denoted  $h(v)$ .

**1.2. The group  $A^0(X, H^r)$ .** Let  $X$  be a variety over  $F$  and let  $H$  be a cohomology theory over  $F$ . Recall that for any point  $x \in X$  of codimension 1 we have the *residue map*

$$\partial_x : H^r(F(X)) \rightarrow H^{r-1}(F(x))$$

defined as follows [15, §2]:

$$\partial_x = \sum \text{cor}_{F(v)/F(x)} \circ \partial_v,$$

where the sum is taken over all (finitely many) discrete valuations of  $F(X)$  over  $F$  dominating  $x$ , and  $\partial_v : H^r(F(X)) \rightarrow H^{r-1}(F(v))$  is the residue map for the discrete valuation  $v$ . We write

$$A^0(X, H^r) := \bigcap \text{Ker}(\partial_x) \subset H^r(F(X)),$$

where the intersection is taken over all points  $x \in X$  of codimension 1.

Let  $K/F$  be a field extension,  $p \in X(K)$  a point and  $\alpha \in A^0(X, H^r)$  an arbitrary element. We say that  $p$  is *nonsingular* if the image of  $p : \text{Spec } K \rightarrow X$  is a nonsingular point of  $X$ . If  $p$  is nonsingular, the *value  $\alpha(p)$  of  $\alpha$  at  $p$*  is the image of  $\alpha$  under the pull-back map [15, §12]:

$$A^0(X, H^r) \rightarrow A^0(\text{Spec } K, H^r) = H^r(K).$$

**1.3. Values of invariants.** We view the homogeneous components  $H^r$  of the cohomology theory  $H$  as functors from the category  $\mathbf{Fields}/F$  of field extensions over  $F$  and field homomorphisms over  $F$  to the category  $\mathbf{Sets}$  of sets. Let  $S : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be another functor. An  $H$ -invariant of  $S$  of degree  $r$  is a morphism of functors  $q : S \rightarrow H^r$  [7, Def. 1.1]. We write  $\text{Inv}(S, H^r)$  for the group of  $H$ -invariant of  $S$  of degree  $r$  and  $\text{Inv}(S, H)$  for the graded group  $\coprod_{r \geq 0} \text{Inv}(S, H^r)$ .

Let  $G$  be an algebraic group defined over a field  $F$ . Let  $\text{Tors}_G : \mathbf{Fields}/F \rightarrow \mathbf{Sets}$  be the functor taking a field extension  $K/F$  to the set of isomorphism classes of  $G$ -torsors over  $\text{Spec } K$ . We have  $\text{Tors}_G(K) \simeq H^1(K, G)$  [11, Ch. VII]. We simply write  $\text{Inv}(G, H^r)$  for the group  $\text{Inv}(\text{Tors}_G, H^r)$ .

**Example 1.1.** Let  $n > 0$  be an integer and  $k > 0$  a divisor of  $n$ . We view the group  $\mu_k$  of  $k$ th roots of unity as a subgroup of  $\mathbf{GL}_n$  via the embeddings  $\mu_k \subset \mathbf{G}_m \subset \mathbf{GL}_n$  and set  $G = \mathbf{GL}_n / \mu_k$ . By [11, Cor. 28.6], the exact sequence

$$1 \rightarrow \mathbf{G}_m \xrightarrow{\alpha} G \xrightarrow{\beta} \mathbf{PGL}_n \rightarrow 1,$$

where  $\alpha$  is the composition

$$\mathbf{G}_m \xrightarrow{\sim} \mathbf{G}_m / \mu_k \rightarrow \mathbf{GL}_n / \mu_k = G$$

and  $\beta$  is the natural epimorphism, and Hilbert Theorem 90 yield a bijection between  $H^1(F, G)$  and the kernel of the connecting map

$$\delta : H^1(F, \mathbf{PGL}_n) \rightarrow H^2(F, \mathbf{G}_m) = \text{Br}(F).$$

The set  $H^1(F, \mathbf{PGL}_n)$  is bijective to the set of isomorphism classes of central simple  $F$ -algebras  $A$  of degree  $n$  and the map  $\delta$  takes the class of  $A$  to  $k[A]$ . Therefore, there is a natural bijection between  $\text{Tors}_G(F) = H^1(F, G)$  and the set of isomorphism classes of central simple  $F$ -algebras of degree  $n$  and exponent dividing  $k$ .

We shall need the following statement:

**Proposition 1.2.** [7, Th. 11.7] Let  $G$  be an algebraic group over  $F$  and  $q \in \text{Inv}(G, H^r)$ . Let  $R$  be a discrete valuation ring containing  $F$  with quotient field  $L$  and residue field  $K$ . Then for any  $G$ -torsor  $E$  over  $\text{Spec } R$ , we have:

- (1) The residue of  $q(E_L)$  at  $v$  is zero, i.e.,  $q(E_L)$  is unramified at  $v$ .
- (2) The value  $q(E_L)(v)$  of  $q(E_L)$  at  $v$  is  $q(E_K)$ .

Let  $X$  be a variety over  $F$  and  $E \rightarrow X$  a  $G$ -torsor. For a field extension  $K/F$  and a point  $p \in X(K)$ , we write  $E_p \rightarrow \text{Spec } K$  for the pull-back of the torsor  $E$  with respect to  $p : \text{Spec}(K) \rightarrow X$ . Thus, we have a morphism of functors  $X \rightarrow \text{Tors}_G$  taking a point  $p$  to  $E_p$ . We also write  $E_{gen}$  for the generic fiber of  $E \rightarrow X$ . It is a  $G$ -torsor over  $\text{Spec } F(X)$ .

**Theorem 1.3.** Let  $G$  be an algebraic group over  $F$ ,  $X$  a variety over  $F$ . Let  $E \rightarrow X$  be a  $G$ -torsor and  $q \in \text{Inv}(G, H^r)$ . Then

- (1)  $q(E_{gen}) \in A^0(X, H^r)$ .

- (2) Let  $K/F$  be a field extension and let  $p \in X(K)$  be a nonsingular point. Then  $q(E_p)$  is equal to the value of  $q(E_{gen})$  at  $p$ .
- (3) Let  $X$  be smooth and let  $f : Y \rightarrow X$  be a morphism of varieties over  $F$ . Then

$$f^*(q(E_{gen})) = q(f^*(E)_{gen})$$

in  $A^0(Y, H^r)$ , where  $f^* : A^0(X, H^r) \rightarrow A^0(Y, H^r)$  is the pull-back homomorphism.

*Proof.* (1) and (2) follow from Proposition 1.2 and [15, Cor. 12.4].

(3): By (2), the pull-back homomorphism for the composition  $\text{Spec } F(Y) \rightarrow Y \rightarrow X$  is equal to  $q(f^*(E)_{gen})$ . The pull-back homomorphism for the first morphism  $\text{Spec } F(Y) \rightarrow Y$  is the inclusion of  $A^0(Y, H^r)$  into  $H^r(F(Y))$ .  $\square$

It follows from Theorem 1.3(1) that a  $G$ -torsor  $E \rightarrow X$  gives rise to a group homomorphism

$$\varphi_E : \text{Inv}(G, H^r) \rightarrow A^0(X, H^r), \quad q \mapsto q(E_{gen}).$$

**1.4. Classifying torsors.** A  $G$ -torsor  $E \rightarrow X$  over  $F$  is called *classifying* if  $X$  is smooth and the corresponding morphism of functors  $X \rightarrow \text{Tors}_G$  is surjective, i.e., for any field extension  $K/F$  and any  $G$ -torsor  $E' \rightarrow \text{Spec } K$ , there is a point  $p \in X(K)$  such that  $E' \simeq E_p$ .

**Remark 1.4.** We don't require the density condition as in [7, Def. 5.1].

**Theorem 1.5.** Let  $E \rightarrow X$  be a classifying  $G$ -torsor over  $F$ . Then the map  $\varphi_E : \text{Inv}(G, H^r) \rightarrow A^0(X, H^r)$  is injective.

*Proof.* Let  $q \in \text{Ker}(\varphi_E)$ , i.e.,  $q(E_{gen}) = 0$ . Let  $K/F$  be a field extension and let  $E' \rightarrow \text{Spec } K$  be a  $G$ -torsor. Choose a point  $p \in X(K)$  such that  $E' \simeq E_p$ . By Theorem 1.3(2),  $q(E_p)$  is the value of  $q(E_{gen})$  at  $p$ . Hence  $q(E') = 0$ .  $\square$

## 2. INVARIANTS OF ALGEBRAS OF DEGREE 8

In this section we assume that  $\text{char}(F) \neq 2$ .

**2.1. The functors  $\text{Alg}_n$  and  $\text{Dec}_n$ .** For a commutative  $F$ -algebra  $R$  and  $a, b \in R^\times$  we write  $(a, b) = (a, b)_R$  for the quaternion algebra  $R \oplus Ri \oplus Rj \oplus Rk$  with the multiplication table  $i^2 = a, j^2 = b, k = ij = -ji$ . The class of  $(a, b)_R$  in the Brauer group  $\text{Br}(R)$  will be denoted by  $[a, b] = [a, b]_R$ . We write  $\text{Quat}(R)$  for the set of isomorphism classes of quaternion algebras over  $R$ .

Let  $a \in R^\times$  and  $S = R[\sqrt{a}] := R[t]/(t^2 - a)$  the quadratic extension of  $R$ . We write  $N_R(a)$  for the subgroup of  $R^\times$  of all element of the form  $x^2 - ay^2$  with  $x, y \in R$ , i.e.,  $N_R(a)$  is the image of the norm homomorphism  $N_{S/R} : S^\times \rightarrow R^\times$ . If  $b \in N_R(a)$ , then the quaternion algebra  $(a, b)_R$  is isomorphic to the matrix algebra  $M_2(R)$  by [10, Th. 6].

For every  $n \geq 1$ ,  $\text{Alg}_n(F)$  denotes the set of isomorphism classes of central simple  $F$ -algebras of degree  $2^n$  and exponent dividing 2. We can identify

$\mathbf{Alg}_n(F)$  with the subset of  $\mathrm{Br}(F)$  of classes of algebras of degree dividing  $2^n$ . In particular, we have that

$$\mathbf{Alg}_1(F) \subset \mathbf{Alg}_2(F) \subset \mathbf{Alg}_3(F) \subset \cdots \subset \mathrm{Br}_2(F).$$

The isomorphism class of an algebra  $A$  in  $\mathbf{Alg}_n(F)$  is called *decomposable* if  $A$  is isomorphic to the tensor product of  $n$  quaternion algebras over  $F$ . The subset of all decomposable classes in  $\mathbf{Alg}_n(F)$  is denoted by  $\mathbf{Dec}_n(F)$ . The union of all  $\mathbf{Dec}_n(F)$  coincides with  $\mathrm{Br}_2(F)$ .

We view  $\mathbf{Alg}_n$  and  $\mathbf{Dec}_n$  as functors  $\mathbf{Fields}/F \rightarrow \mathbf{Sets}$ . By Example 1.1, the functor  $\mathbf{Alg}_n$  is isomorphic to the functor  $\mathrm{Tors}_G$  for  $G = \mathbf{GL}_{2^n}/\mu_2$ .

Obviously,  $\mathbf{Alg}_1(F) = \mathbf{Dec}_1(F) = \mathbf{Quat}(F)$ . By Albert's theorem [12, Prop. 5.2],  $\mathbf{Alg}_2(F) = \mathbf{Dec}_2(F)$ .

The case  $n = 3$  is more complicated. It is shown in [1] that  $\mathbf{Alg}_3(F) \neq \mathbf{Dec}_3(F)$  in general. On the other hand, Tignol proved in [18] that  $\mathbf{Alg}_3(F) \subset \mathbf{Dec}_4(F)$  as the subsets of  $\mathrm{Br}_2(F)$ .

**2.2. Tignol's construction.** We recall Tignol's argument given in [18]. Let  $A$  be a central simple  $F$ -algebra in  $\mathbf{Alg}_3(F)$ . By [16], there is a triquadratic splitting extension  $F(\sqrt{a}, \sqrt{b}, \sqrt{c})/F$  of  $A$  with  $a, b, c \in F^\times$ . Let  $L = F(\sqrt{a})$ . By Albert's Theorem, we have

$$(1) \quad [A]_L = [b, s] + [c, t]$$

in  $\mathrm{Br}(L)$  for some  $s, t \in L^\times$ .

Taking the corestriction for the extension  $L/F$  in (1), we get

$$0 = 2[A] = [b, N_{L/F}(s)] + [c, N_{L/F}(t)]$$

in  $\mathrm{Br}(F)$ , hence  $[b, N_{L/F}(s)] = [c, N_{L/F}(t)]$ . By the Common Slot Lemma [2, Lemma 1.7], we have

$$[b, N_{L/F}(s)] = [d, N_{L/F}(s)] = [d, N_{L/F}(t)] = [c, N_{L/F}(t)]$$

in  $\mathrm{Br}(F)$  for some  $d \in F^\times$ . It follows that the classes  $[bd, N_{L/F}(s)]$ ,  $[cd, N_{L/F}(t)]$  and  $[d, N_{L/F}(st)]$  are trivial. By [4, Lemma 2.3] (see also Lemma 2.2 below),

$$\begin{aligned} [bd, s] &= [bd, k], \\ [cd, t] &= [cd, l], \\ [d, st] &= [d, m]. \end{aligned}$$

in  $\mathrm{Br}(L)$  for some  $k, l, m \in F^\times$ . It follows from (1) that

$$[A]_L = [bd, k]_L + [cd, l]_L + [d, m]_L$$

in  $\mathrm{Br}(L)$ . Hence

$$(2) \quad [A] = [a, e] + [bd, k] + [cd, l] + [d, m] = [a, e] + [b, k] + [c, l] + [d, klm]$$

in  $\mathrm{Br}(F)$  for some  $e \in F^\times$ .

We shall also need the following well known statements:

**Lemma 2.1.** *Let  $K$  be a field and let  $A$  be a central simple  $K$ -algebra such that  $[A] \in \text{Br}_2(K)$  and let  $L/K$  be a quadratic field extension such that  $[A]_L = [b, s] + [c, t]$  for some  $b, c \in K^\times$  and  $s, t \in L^\times$ . Suppose that one of the classes  $[b, N_{L/K}(s)]$  and  $[c, N_{L/K}(t)]$  is zero in  $\text{Br}(K)$ . Then  $A \in \text{Dec}_3(K)$ .*

*Proof.* Suppose that  $[b, N_{L/K}(s)] = 0$ . Taking the corestriction we get

$$0 = 2[A] = [b, N_{L/K}(s)] + [c, N_{L/K}(t)] = [c, N_{L/K}(t)].$$

By [4, Lemma 2.3], there are  $u, v \in K^\times$  such that  $[b, s] = [b, u]_L$  and  $[c, t] = [c, v]_L$ . It follows that the class  $[A] - [b, u] - [c, v]$  is split by  $L$ , hence is the class of a quaternion algebra. Thus,  $A \in \text{Dec}_3(K)$ .  $\square$

**Lemma 2.2.** *Let  $R$  be a commutative  $F$ -algebra,  $a, b \in R^\times$ ,  $T = R[\sqrt{a}]$  and  $x + y\sqrt{a} \in T^\times$  such that  $x^2 - ay^2 = u^2 - bv^2$  for some  $u, v \in R$ . If  $x + u \in R^\times$ , then  $2(x + u)(x + y\sqrt{a}) \in N_T(b)$ . In particular,*

$$[b, x + y\sqrt{a}]_T = [b, 2(x + u)]_T.$$

*Proof.* We have the equality

$$\begin{aligned} (x + y\sqrt{a} + u)^2 - bv^2 &= (x + y\sqrt{a})(x + y\sqrt{a} + 2u) + (u^2 - bv^2) \\ &= (x + y\sqrt{a})(x + y\sqrt{a} + 2u) + (x + y\sqrt{a})(x - y\sqrt{a}) \\ &= (x + y\sqrt{a})(2x + 2u). \end{aligned} \quad \square$$

**2.3. The Azumaya algebra  $\mathcal{A}$ .** Consider the affine space  $\mathbf{A}_F^8$  with coordinates  $\mathbf{a}, \mathbf{e}, \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}$  and define the rational functions:

$$\begin{aligned} \mathbf{f} &= \mathbf{x}\mathbf{y} + \mathbf{a}\mathbf{z}, \\ \mathbf{g} &= \mathbf{y} + \mathbf{x}\mathbf{z}, \\ \mathbf{d} &= \mathbf{w}^2 - \mathbf{f}^2 + \mathbf{a}\mathbf{g}^2, \\ \mathbf{b} &= (\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a})\mathbf{d}^{-1}, \\ \mathbf{c} &= (\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{a}\mathbf{z}^2)\mathbf{d}^{-1}, \\ \mathbf{p} &= (\mathbf{u} + \mathbf{x})(\mathbf{v} + \mathbf{y})(\mathbf{w} + \mathbf{f}). \end{aligned}$$

Let  $X$  be the open subscheme of  $\mathbf{A}_F^8$  given by

$$\mathbf{q} := \mathbf{a}\mathbf{e}\mathbf{p}(\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a})(\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{a}\mathbf{z}^2)(\mathbf{x}^2 - \mathbf{a})(\mathbf{y}^2 - \mathbf{a}\mathbf{z}^2)(\mathbf{f}^2 - \mathbf{a}\mathbf{g}^2) \neq 0,$$

i.e.,  $X = \text{Spec}(R)$  with  $R = F[\mathbf{a}, \mathbf{e}, \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{q}^{-1}]$ . Let  $S = R[\sqrt{\mathbf{a}}, \sqrt{\mathbf{b}}, \sqrt{\mathbf{c}}]$ . Consider the Azumaya  $R$ -algebra

$$(3) \quad \mathcal{A}' = (\mathbf{a}, \mathbf{e})_R \otimes (\mathbf{b}, 2(\mathbf{u} + \mathbf{x}))_R \otimes (\mathbf{c}, 2(\mathbf{v} + \mathbf{y}))_R \otimes (\mathbf{d}, 2\mathbf{p})_R.$$

We view  $S$  as a subring of  $\mathcal{A}'$ . Moreover,  $(\mathbf{d}, 2\mathbf{p})_S := (\mathbf{d}, 2\mathbf{p}) \otimes_R S \subset \mathcal{A}'$ .

Let  $T = R[\sqrt{\mathbf{a}}]$ . It follows from Lemma 2.2 that

$$\begin{aligned} 2(\mathbf{u} + \mathbf{x})(\mathbf{x} + \sqrt{\mathbf{a}}) &\in N_T(\mathbf{bd}) \subset N_S(\mathbf{d}), \\ 2(\mathbf{v} + \mathbf{y})(\mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}) &\in N_T(\mathbf{cd}) \subset N_S(\mathbf{d}), \\ 2(\mathbf{w} + \mathbf{f})(\mathbf{x} + \sqrt{\mathbf{a}})(\mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}) &\in N_T(\mathbf{d}) \subset N_S(\mathbf{d}). \end{aligned}$$

It follows from (3) that

$$(4) \quad [\mathcal{A}']_T = [\mathbf{b}, \mathbf{x} + \sqrt{\mathbf{a}}] + [\mathbf{c}, \mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}]$$

in  $\text{Br}(T)$ .

Moreover, we have  $2\mathbf{p} = 2(\mathbf{u} + \mathbf{x})(\mathbf{v} + \mathbf{y})(\mathbf{w} + \mathbf{f}) \in N_S(\mathbf{d})$ , therefore,  $(\mathbf{d}, 2\mathbf{p})_S$  is isomorphic to the matrix algebra  $M_2(S)$ . In particular,

$$M_2(R) \subset M_2(S) \simeq (\mathbf{d}, 2\mathbf{p})_S \subset \mathcal{A}'$$

and hence  $\mathcal{A}' \simeq M_2(\mathcal{A})$  for the centralizer  $\mathcal{A}$  of  $M_2(R)$  in  $\mathcal{A}'$  by the proof of [8, Th. 4.4.2]. Then  $\mathcal{A}$  is an Azumaya  $R$ -algebra of degree 8 that is Brauer equivalent to  $\mathcal{A}'$  by [17, Th. 3.10].

**Proposition 2.3.** *The Azumaya algebra  $\mathcal{A}$  is classifying for  $\text{Alg}_3$ , i.e., the corresponding  $\mathbf{GL}_8/\mu_2$ -torsor over  $X$  is classifying.*

*Proof.* Let  $A \in \text{Alg}_3(K)$ , where  $K$  is a field extension of  $F$ . We shall find a point  $p \in X(K)$  such that  $A \simeq \mathcal{A}(p)$ .

We follow Tignol's construction. There is a triquadratic splitting extension  $K(\sqrt{a}, \sqrt{b}, \sqrt{c})/K$  of  $A$  with  $a, b, c \in K^\times$ . Let  $L = K(\sqrt{a})$ , so

$$[A]_L = [b, s] + [c, t]$$

in  $\text{Br}(L)$  for some  $s = x + x'\sqrt{a}$ , and  $t = y + z\sqrt{a} \in L^\times$ . Modifying  $s$  by a norm for the extension  $L(\sqrt{b})/L$ , we may assume that  $x' \neq 0$ . Similarly, we may assume that  $z \neq 0$ . Moreover, replacing  $a$  by  $ax'^2$ , we may assume that  $x' = 1$ .

We have

$$[b, x^2 - a] = [d, x^2 - a] = [d, y^2 - az^2] = [c, y^2 - az^2]$$

in  $\text{Br}(K)$  for some  $d \in K^\times$ , so the classes  $[bd, x^2 - a]$ ,  $[cd, y^2 - az^2]$  and  $[d, (x^2 - a)(y^2 - az^2)]$  are trivial. Hence

$$\begin{aligned} bd &= u^2 - (x^2 - a)u'^2, \\ cd &= v^2 - (y^2 - az^2)v'^2, \\ d &= w^2 - (x^2 - a)(y^2 - az^2)w'^2 \end{aligned}$$

for some  $u, u', v, v', w, w'$  in  $K$ . Moreover, we may assume that  $u' \neq 0$ . Replacing  $b$  and  $u$  by  $bu'^2$  and  $uu'$  respectively, we may assume that  $u' = 1$ . Similarly, we may assume  $v' = w' = 1$ .

Replacing  $u$  by  $-u$  if necessary, we may assume that  $u + x \neq 0$  and similarly  $v + y \neq 0$  and  $w + s \neq 0$ , where  $s = xy + az$ . It follows from Lemma 2.2 that

$$\begin{aligned} [b, x + \sqrt{a}] &= [b, 2(u + x)]_L, \\ [c, y + z\sqrt{a}] &= [c, 2(v + y)]_L, \\ [d, (x + \sqrt{a})(y + z\sqrt{a})] &= [d, 2(w + s)]_L \end{aligned}$$

in  $\text{Br}(L)$ . Hence

$$[A] = [a, e] + [b, 2(u + x)] + [c, 2(v + y)] + [d, 2(u + x)(v + y)(w + s)]$$

in  $\text{Br}(K)$  for some  $e \in K^\times$ .

Let  $p$  be the point  $(a, e, u, v, w, x, y, z)$  in  $X(K)$ . We have  $[\mathcal{A}(p)] = [A]$  and hence  $\mathcal{A}(p) \simeq A$  as  $\mathcal{A}(p)$  and  $A$  have the same dimension.  $\square$

**Proposition 2.4.** *Let  $K$  be the quotient field of the ring  $R = F[X]$ . Let  $\widehat{K}$  be the completion of  $K$  with respect to the discrete valuation associated with one of the irreducible polynomials  $\mathbf{a}, \mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a}, \mathbf{v}^2 - \mathbf{y}^2 + \mathbf{az}^2, \mathbf{d}, \mathbf{x}^2 - \mathbf{a}, \mathbf{y}^2 - \mathbf{az}^2, \mathbf{f}^2 - \mathbf{ag}^2, \mathbf{u} + \mathbf{x}, \mathbf{v} + \mathbf{y}$  and  $\mathbf{w} + \mathbf{f}$ . Then  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ .*

*Proof.* First assume that the valuation  $v = v_{\mathbf{a}}$  is associated with  $\mathbf{a}$ . By Hensel's Lemma,  $\mathbf{x}^2 - \mathbf{a} \in \widehat{K}^{\times 2}$ . It follows that  $[\mathbf{b}, \mathbf{x}^2 - \mathbf{a}]_{\widehat{K}} = 0$ . By Lemma 2.1, applied to (4),  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ .

Let  $v = v_{\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a}}$ . In the residue field,  $\bar{\mathbf{u}}^2 - \bar{\mathbf{x}}^2 + \bar{\mathbf{a}} = \bar{0}$ , hence  $\bar{\mathbf{x}}^2 - \bar{\mathbf{a}}$  is a square. By Hensel's Lemma,  $\mathbf{x}^2 - \mathbf{a} \in \widehat{K}^{\times 2}$ . Therefore,  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$  as in the previous case.

The case  $v = v_{\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{az}^2}$  is similar.

Let  $v = v_{\mathbf{d}}$ . In the residue field,  $\bar{\mathbf{w}}^2 - \bar{\mathbf{f}}^2 + \bar{\mathbf{a}}\bar{\mathbf{g}}^2 = \bar{0}$ , hence  $\bar{\mathbf{f}}^2 - \bar{\mathbf{a}}\bar{\mathbf{g}}^2$  is a square. By Hensel's Lemma,  $\mathbf{f}^2 - \mathbf{ag}^2 \in \widehat{K}^{\times 2}$ , hence  $[\mathbf{b}, \mathbf{f}^2 - \mathbf{ag}^2]_{\widehat{K}} = 0$ . It follows from (4) that

$$[\mathcal{A}]_T = [\mathbf{b}, \mathbf{x} + \sqrt{\mathbf{a}}] + [\mathbf{c}, \mathbf{y} + z\sqrt{\mathbf{a}}] = [\mathbf{b}, \mathbf{f} + \mathbf{g}\sqrt{\mathbf{a}}] + [\mathbf{bc}, \mathbf{y} + z\sqrt{\mathbf{a}}].$$

By Lemma 2.1,  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ .

Let  $v = v_{\mathbf{x}^2 - \mathbf{a}}$ . In the residue field,  $\bar{\mathbf{b}}\bar{\mathbf{d}} = \bar{\mathbf{u}}^2$  is a square. By Hensel's Lemma,  $\mathbf{bd} \in \widehat{K}^{\times 2}$ . It follows from (3) that  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ .

The cases  $v = v_{\mathbf{y}^2 - \mathbf{az}^2}$  and  $v = v_{\mathbf{f}^2 - \mathbf{ag}^2}$  are similar.

Let  $v = v_{\mathbf{u} + \mathbf{x}}$ . In the residue field,  $\bar{\mathbf{b}}\bar{\mathbf{d}} = \bar{\mathbf{a}}$ . By Hensel's Lemma,  $\mathbf{abd} \in \widehat{K}^{\times 2}$ . It follows again from (3) that  $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ .

The cases  $v = v_{\mathbf{v} + \mathbf{y}}$  and  $v = v_{\mathbf{w} + \mathbf{f}}$  are similar.  $\square$

From now on we consider the cohomology theory with values in the Galois module  $\mathbb{Z}/2\mathbb{Z}$ , i.e.,  $H(L) = H(L, \mathbb{Z}/2\mathbb{Z})$  for any field extension of  $F$ . Note that  $H(L)$  has structure of a commutative ring.

**Proposition 2.5.** *The restriction homomorphism*

$$\text{Inv}(\text{Alg}_3, H^r) \rightarrow \text{Inv}(\text{Dec}_3, H^r)$$

*is injective.*



*Proof.* Let  $q$  be an invariant of  $\mathbf{Alg}_3$  of degree  $r$  and let  $K$  be the quotient field of the ring  $R$ , i.e.,  $K = F(X)$ . By Theorem 1.3, we have  $q(\mathcal{A}_K) \in A^0(X, H^r)$ . Let  $X'$  be the open subscheme of  $\mathbf{A}_F^8$  given by  $\mathbf{e} \neq 0$ , so  $X \subset X' \subset \mathbf{A}_F^8$  and  $X' \simeq \mathbf{A}_F^7 \times \mathbf{G}_m$ . Note that

$$A^0(X', H^r) = A^0(\mathbf{G}_m, H^r) = H^r(F) \oplus (\mathbf{e}) \cup H^{r-1}(F)$$

by [15, Prop. 2.2 and Prop. 8.6].

Suppose that the restriction of  $q$  on  $\mathbf{Dec}_3$  is zero. By Proposition 2.4,  $\mathcal{A}_{\widehat{K}} \in \mathbf{Dec}_3(\widehat{K})$ , where  $\widehat{K}$  is the completion of  $K$  with respect to every divisor  $x$  of  $X'$  in  $X' \setminus X$ . Hence  $q(\mathcal{A}_{\widehat{K}}) = 0$  for all such  $\widehat{K}$ . The residue homomorphism  $\partial_x : H^r(K) \rightarrow H^{r-1}(F(x))$  factors through the group  $H^r(\widehat{K})$ . It follows that  $\partial_x(q(\mathcal{A}_K)) = 0$  and therefore,

$$q(\mathcal{A}_K) \in A^0(X', H^r) = H^r(F) \oplus (\mathbf{e}) \cup H^{r-1}(F),$$

i.e.,  $q(\mathcal{A}_K) = h_K + (\mathbf{e}) \cup h'_K$  for some  $h \in H^r(F)$  and  $h' \in H^{r-1}(F)$ . Consider a point  $p \in X(E)$  with  $E = F(\mathbf{e})$  such that  $\mathbf{e}(p) = \mathbf{e}$  and  $\mathbf{b}(p) = 1$ . It follows from (3) that  $\mathcal{A}(p) \in \mathbf{Dec}_3(E)$ . Hence by Theorem 1.3(2),

$$0 = q(\mathcal{A}(p)) = h_E + (\mathbf{e}) \cup h'_E,$$

therefore,  $h = h' = 0$  and  $q(\mathcal{A}_K) = 0$ . By Proposition 2.3 and Theorem 1.5,  $q = 0$ .  $\square$

**2.4. Invariants of  $\mathbf{Dec}_n$ .** From now on we assume that  $-1 \in F^{\times 2}$ .

Let  $K_*(F)$  denote the Milnor ring of a field  $F$  and set  $k_*(F) = K_*(F)/2K_*(F)$ . For every  $n \geq 0$ , let  $\gamma_n$  denote the *divided power operation* [9], [19]:

$$k_2(F) \rightarrow k_{2m}(F)$$

defined by

$$\gamma_n \left( \sum_{i=1}^r \alpha_i \right) = \sum_{1 \leq i_1 \leq \dots \leq i_m \leq n} \alpha_{i_1} \cdots \alpha_{i_m},$$

where the  $\alpha_i$  are symbols. In particular,  $\gamma_0 = 1 \in k_0(F) = \mathbb{Z}/2\mathbb{Z}$  and  $\gamma_1$  is the identity.

We identify  $k_2(F)$  with  $\mathbf{Br}_2(F)$  via the norm residue isomorphism. Restricting  $\gamma_m$  to  $\mathbf{Dec}_n$  and composing with the norm residue homomorphism  $k_{2m}(F) \rightarrow H^{2m}(F)$ , we can view the divided power operations (still denoted by  $\gamma_m$ ) as invariants of  $\mathbf{Dec}_n$  with values in  $H$ , so  $\gamma_m \in \text{Inv}(\mathbf{Dec}_n, H^{2m})$  for all  $n$ . Clearly,  $\gamma_m = 0$  if  $m > n$ .

**Theorem 2.6.** *The  $H(F)$ -module  $\text{Inv}(\mathbf{Dec}_n, H)$  is free with basis  $\{1 = \gamma_0, \gamma_1, \dots, \gamma_n\}$ .*

*Proof.* The case  $n = 1$ , when  $\mathbf{Dec}_1 = \mathbf{Quat}$  is proven in [7, Th. 18.1]. By [7, Ex. 16.5], the natural map

$$\text{Inv}(\mathbf{Quat}, H)^{\otimes n} \rightarrow \text{Inv}(\mathbf{Quat}^{\times n}, H)$$

is an isomorphism. It follows that  $\text{Inv}(\text{Quat}^{\times n}, H)$  is a free  $H(F)$ -module with basis of all monomials  $\delta_1^{\varepsilon_1} \delta_2^{\varepsilon_2} \dots \delta_n^{\varepsilon_n}$ , where  $\varepsilon_i = 0$  or  $1$  and the invariant  $\delta_i$  is defined by  $\delta_i(\alpha_1, \dots, \alpha_n) = \alpha_i$ .

The natural morphism of functors

$$(5) \quad \text{Quat}^{\times n} \rightarrow \text{Dec}_n$$

given by the tensor product is surjective. It follows that the map

$$\text{Inv}(\text{Dec}_n, H) \rightarrow \text{Inv}(\text{Quat}^{\times n}, H)$$

is injective. The image of this map is element-wise invariant under the natural action of the symmetric group  $S_n$  and hence is contained in the free  $H(F)$ -submodule generated by the standard symmetric functions  $\gamma_m$  on the  $\delta_1, \dots, \delta_n$  that are precisely the divided powers.  $\square$

**Remark 2.7.** Vial has computed all invariants of  $k_n$  in [19].

Restricting the divided powers on the subfunctors  $\text{Alg}_n \subset \text{Br}_2$  we view the  $\gamma_m$  as invariants on  $\text{Alg}_n$ .

**Theorem 2.8.** *If  $n \leq 3$ , then the  $H(F)$ -module  $\text{Inv}(\text{Alg}_n, H)$  is free with basis  $\{1 = \gamma_0, \gamma_1, \dots, \gamma_n\}$ .*

*Proof.* If  $n \leq 2$ , then  $\text{Alg}_n = \text{Dec}_n$  and the statement follows from Theorem 2.6. The case  $n = 3$  is implied by Proposition 2.5 and Theorem 2.6.  $\square$

**2.5. Reduced trace form.** Let  $A$  be a central simple algebra over a field  $F$ . Denote by  $q_A$  the quadratic form on  $A$  defined by  $q_A(a) = \text{Trd}_A(a^2)$  for  $a \in A$ , where  $\text{Trd}_A$  is the reduced trace form for  $A$ . If  $A$  and  $A'$  are two central simple algebras over  $F$ , then

$$q_{A \otimes A'} \simeq q_A \otimes q_{A'}.$$

**Example 2.9.** Let  $A$  be a quaternion algebra over a field  $F$ . Then  $q_A$  is the 2-fold Pfister form  $\langle\langle a, b \rangle\rangle$ , where  $a, b \in F^\times$  such that  $[A] = [a, b]$  in  $\text{Br}(F)$ .

It follows from Example 2.9 that for any  $A \in \text{Dec}_n(F)$  the form  $q_A$  is a  $2n$ -fold Pfister form. Moreover, the invariant  $e_{2n}(q_A)$  in  $H^{2n}(F)$  (cf. [6, §16]) coincides with the divided power  $\gamma_n(A)$ .

**Theorem 2.10.** *If  $n \leq 3$ , then for any  $A \in \text{Alg}_n(F)$ , the form  $q_A$  is a  $2n$ -fold Pfister form such that  $e_{2n}(q_A) = \gamma_n(A)$ .*

*Proof.* If  $n \leq 2$ , then  $\text{Alg}_n = \text{Dec}_n$  and the statement follows.

Consider the case  $n = 3$ . Let  $A \in \text{Alg}_3(F)$ . Choose a splitting field  $F(\sqrt{a}, \sqrt{b}, \sqrt{c})$  and set  $L = F(\sqrt{a})$ . We write  $a \mapsto \bar{a}$  for the nontrivial automorphism of  $L$  over  $F$ . Let  $B$  be the centralizer of  $L$  in  $A$ . By Skolem-Noether Theorem [11, Th. 1.4], there is an  $s \in A$  such that  $sxs^{-1} = \bar{x}$  for all  $x$  in  $L$ . Note that  $s^2$  commutes with all elements in  $L$ , hence  $s^2 \in B$ .

Let  $\psi : B \rightarrow B$  be an automorphism defined by  $y \mapsto sys^{-1}$ . Then  $A = B \oplus Bs$  with  $sy = \psi(y)s$  for all  $y \in B$ . Since  $\text{Trd}_A(yzs) = \text{Trd}_A(\sqrt{a}yzs(\sqrt{a})^{-1}) = -\text{Trd}_A(yzs)$ , we have  $\text{Trd}_A(yzs) = 0$  for any  $y$  and  $z$  in  $B$ . Moreover,

$\text{Trd}_A(y) = \text{Tr}_{L/F}(\text{Trd}_B(y))$  for any  $y \in B$  by [5, §22, Cor. 5]. Therefore, for the trace forms we have

$$q_A = \text{Tr}_{L/F}(q_B) \perp \text{Tr}_{L/F}(q'_B),$$

where  $q'_B(x) = \text{Trd}_B((xs)^2)$ .

Let  $t \in F^\times$  and  $A_t$  the  $F$ -algebra with presentation  $A_t = B \oplus By$  and  $yby^{-1} = sbs^{-1}$  for all  $b \in B$  and  $y^2 = ts^2$ . By Proposition [11, Th. 13.41],

$$[A_t] = [a, t] + [A].$$

Moreover,

$$q_{A_t} = \text{Tr}_{L/F}(q_B) \perp t \text{Tr}_{L/F}(q'_B),$$

hence, by Lemma 2.11 below, in the Witt ring of  $F$ , we have

$$q_A - tq_{A_t} = \langle\langle t \rangle\rangle \cdot \text{Tr}_{L/F}(q_B) \in I^6(F).$$

By (2), we can choose  $t$  such that  $A_t$  is decomposable, hence  $q_{A_t} \in I^6(F)$  and therefore,  $q_A \in I^6(F)$ . As  $\dim(q_A) = 64$ , the form  $q_A$  is a 6-fold Pfister form.

It follows that  $e_6(q_A)$  is a well defined invariant of  $\mathbf{Alg}_3$  that agrees with  $\gamma_3$  on  $\text{Dec}_3$ . By Proposition 2.5,  $e_6(q_A) = \gamma_3$  on  $\mathbf{Alg}_3$ .  $\square$

**Lemma 2.11.** *In the notation above,  $\text{Tr}_{L/F}(q_B) \in I^5(F)$ .*

*Proof.* In Tignol's construction (see (1) and (2)),

$$[A]_L = [b, s] + [c, t] = [a, e] + [b, k] + [c, l] + [d, klm]$$

in  $\text{Br}(L)$ . Let

$$(6) \quad p := \langle\langle a, e \rangle\rangle + \langle\langle b, k \rangle\rangle + \langle\langle c, l \rangle\rangle + \langle\langle d, klm \rangle\rangle \in I^2(F).$$

It follows that

$$p_L \equiv \langle\langle b, s \rangle\rangle + \langle\langle c, t \rangle\rangle \pmod{I^3(L)},$$

so  $B \simeq (b, s) \otimes_L (c, t)$ . We have in  $W(L)$ :

$$q_B = \langle\langle b, s \rangle\rangle \cdot \langle\langle c, t \rangle\rangle \equiv \langle\langle b, s \rangle\rangle \cdot (p - \langle\langle b, s \rangle\rangle) = \langle\langle b, s \rangle\rangle \cdot p \pmod{I^5(L)}$$

since  $\langle\langle b, b \rangle\rangle = 0$ . By the projection formula and [6, Cor.34.19],

$$(7) \quad \text{Tr}_{L/F}(q_B) \equiv \text{Tr}_{L/F}(\langle\langle b, s \rangle\rangle) \cdot p \equiv \langle\langle b, N_{L/F}(s) \rangle\rangle \cdot p \pmod{I^5(F)}.$$

We have  $\langle\langle b, N_{L/F}(s) \rangle\rangle \simeq \langle\langle c, N_{L/F}(t) \rangle\rangle \simeq \langle\langle d, N_{L/F}(t) \rangle\rangle$ . It follows that  $\langle\langle b, N_{L/F}(s) \rangle\rangle$  annihilates all four summands in the right hand side of (6), hence  $\langle\langle b, N_{L/F}(s) \rangle\rangle \cdot p = 0$ . By (7),  $\text{Tr}_{L/F}(q_B) \in I^5(F)$ .  $\square$

**2.6. Essential dimension of  $Dec_n$  and  $Alg_3$ .** Let  $S : Fields/F \rightarrow Sets$  be a functor,  $E \in Fields/F$  and  $K \subset E$  a subfield over  $F$ . An element  $\alpha \in S(E)$  is said to be *defined over  $K$*  (and  $K$  is called a *field of definition of  $\alpha$* ) if there exists an element  $\beta \in S(K)$  such that  $\alpha$  is the image of  $\beta$  under the map  $S(K) \rightarrow S(E)$ . The *essential dimension of  $\alpha$* , denoted  $ed(\alpha)$ , is the least transcendence degree  $\text{tr. deg}_F(K)$  over all fields of definition  $K$  of  $\alpha$ . The *essential dimension of the functor  $S$*  is

$$ed(S) = \sup\{ed(\alpha)\},$$

where the supremum is taken over fields  $E \in Fields/F$  and all  $\alpha \in S(E)$  (cf. [3, Def. 1.2]).

The highest invariant  $\gamma_n$  of  $Alg_n$  and  $Dec_n$  of degree  $2n$  is nontrivial, hence  $ed(Alg_n) \geq 2n$  and  $ed(Dec_n) \geq 2n$  by [3, Cor. 3.6]. On the other hand, using the surjection (5), we get

$$ed(Dec_n) \leq ed(Quat^{\times n}) \leq n \cdot ed(Quat) = 2n.$$

Thus,  $ed(Dec_n) = 2n$ .

It is proved in [13, Cor. 3.10] and [14, Th. 8.6] that  $ed(Alg_3) \leq 17$ .

**Theorem 2.12.**  $6 \leq ed(Alg_3) \leq 8$ .

*Proof.* By Proposition 2.3, there is a surjective morphism of functors  $X \rightarrow Alg_3$ , where  $X$  is a variety defined in Section 2. By [3, Cor. 1.19],  $ed(Alg_3) \leq \dim(X) = 8$ .  $\square$

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