

## ON THE CHOW GROUP OF CYCLES OF CODIMENSION 2

Let  $X$  be an algebraic variety over  $F$ . We write  $A^i(X, K_n)$  for the homology group of the complex

$$\coprod_{x \in X^{(i-1)}} K_{n-i+1}(F(x)) \xrightarrow{\partial} \coprod_{x \in X^{(i)}} K_{n-i}(F(x)) \xrightarrow{\partial} \coprod_{x \in X^{(i+1)}} K_{n-i-1}(F(x)),$$

where  $K_j$  are the Milnor  $K$ -groups and  $X^{(i)}$  is the set of points in  $X$  of codimension  $i$  (see [7, §5]). In particular,  $A^i(X, K_i) = \text{CH}^i(X)$  is the Chow group of classes of codimension  $i$  algebraic cycles on  $X$ .

Let  $X$  and  $Y$  be smooth complete geometrically irreducible varieties over  $F$ .

**Proposition 0.1.** *Suppose that for every field extension  $K/F$  we have:*

- (1) *The natural map  $\text{CH}^1(X) \rightarrow \text{CH}^1(X_K)$  is an isomorphism of torsion free groups,*
- (2) *The product map  $\text{CH}^1(X_K) \otimes K^\times \rightarrow A^1(X_K, K_2)$  is an isomorphism.*

*Then the natural sequence*

$$0 \rightarrow (\text{CH}^1(X) \otimes \text{CH}^1(Y)) \oplus \text{CH}^2(Y) \rightarrow \text{CH}^2(X \times Y) \rightarrow \text{CH}^2(X_{F(Y)})$$

*is exact.*

*Proof.* Consider the spectral sequence

$$E_1^{p,q} = \coprod_{y \in Y^{(p)}} A^q(X_{F(y)}, K_{2-p}) \implies A^{p+q}(X \times Y, K_2)$$

for the projection  $X \times Y \rightarrow Y$  (see [7, Cor. 8.2]). The nonzero terms of the first page are the following:

$$\text{CH}^2(X_{F(Y)})$$

$$A^1(X_{F(Y)}, K_2) \longrightarrow \coprod_{y \in Y^{(1)}} \text{CH}^1(X_{F(y)})$$

$$A^0(X_{F(Y)}, K_2) \longrightarrow \coprod_{y \in Y^{(1)}} A^0(X_{F(y)}, K_1) \longrightarrow \coprod_{y \in Y^{(2)}} \text{CH}^0(X_{F(y)}).$$

Then  $E_1^{2,0} = \coprod_{y \in Y^{(2)}} \mathbb{Z}$  is the group of cycles on  $X$  of codimension 2 and  $E_1^{1,0} = \coprod_{y \in Y^{(1)}} F(y)^\times$  as  $X$  is complete. It follows that  $E_2^{2,0} = \text{CH}^2(Y)$ .

By assumption, the differential  $E_1^{0,1} \rightarrow E_1^{1,1}$  is identified with the map

$$\text{CH}^1(X) \otimes (F(Y)^\times \rightarrow \coprod_{y \in Y^{(1)}} \mathbb{Z}).$$

Since  $Y$  is complete and  $\mathrm{CH}^1(X)$  is torsion free, we have  $E_2^{0,1} = \mathrm{CH}^1(X) \otimes F^\times$  and  $E_\infty^{1,1} = E_2^{1,1} = \mathrm{CH}^1(X) \otimes \mathrm{CH}^1(Y)$ .

The edge map

$$A^1(X \times Y, K_2) \longrightarrow E_2^{0,1} = \mathrm{CH}^1(X) \otimes F^\times$$

is split by the product map

$$\mathrm{CH}^1(X) \otimes F^\times = A^1(X, K_1) \otimes A^0(Y, K_1) \longrightarrow A^1(X \times Y, K_2),$$

hence the edge map is surjective. Therefore, the differential  $E_2^{0,1} \longrightarrow E_2^{2,0}$  is trivial and hence  $E_\infty^{2,0} = E_2^{2,0} = \mathrm{CH}^2(Y)$ . Thus, the natural homomorphism

$$\mathrm{CH}^2(Y) \longrightarrow \mathrm{Ker}(\mathrm{CH}^2(X \times Y) \longrightarrow \mathrm{CH}^2(X_{F(Y)}))$$

is injective and its cokernel is isomorphic to  $\mathrm{CH}^1(X) \otimes \mathrm{CH}^1(Y)$ . The statement follows.  $\square$

**Example 0.2.** Let  $X$  be a projective homogeneous variety of a semisimple algebraic group over  $F$ . There exist an étale  $F$ -algebra  $E$  and an Azumaya  $E$ -algebra  $A$  such that for  $i = 0$  and  $1$ , we have an exact sequence

$$0 \longrightarrow A^1(X, K_{i+1}) \longrightarrow K_i(E) \xrightarrow{\rho} H^{i+2}(F, \mathbb{Q}/\mathbb{Z}(i+1)),$$

where  $\rho(x) = N_{E/F}((x) \cup [A])$  (see [4] and [5]). If the algebras  $E$  and  $A$  are split, then  $\rho$  is trivial and for every field extension  $K/F$ ,

$$\mathrm{CH}^1(X) \simeq K_0(E) \simeq K_0(E \otimes K) \simeq \mathrm{CH}^1(X_K),$$

$$A^1(X_K, K_2) \simeq K_1(E \otimes K) \simeq K_0(E) \otimes K^\times \simeq \mathrm{CH}^1(X_K) \otimes K^\times.$$

Therefore, the condition (1) and (2) in Proposition 0.1 hold. For example, if  $X$  is a smooth projective quadric of dimension at least 3, then  $E = F$  and  $A$  is split.

Now consider the natural complex

$$(1) \quad \mathrm{CH}^2(X) \oplus (\mathrm{CH}^1(X) \otimes \mathrm{CH}^1(Y)) \longrightarrow \mathrm{CH}^2(X \times Y) \longrightarrow \mathrm{CH}^2(Y_{F(X)}).$$

**Proposition 0.3.** *Suppose that*

- (1) *The Grothendieck group  $K_0(Y)$  is torsion-free,*
- (2) *The product map  $K_0(X) \otimes K_0(Y) \longrightarrow K_0(X \times Y)$  is an isomorphism.*

*Then the sequence (1) is exact.*

*Proof.* It follows from the assumptions that the map  $K_0(Y) \longrightarrow K_0(Y_{F(X)})$  is injective and the kernel of the natural homomorphism  $K_0(X \times Y) \longrightarrow K_0(Y_{F(X)})$  coincides with

$$I_0(X) \otimes K_0(Y),$$

where  $I_0(X)$  is the kernel of the rank homomorphism  $K_0(X) \longrightarrow \mathbb{Z}$ .

The kernel of the second homomorphism in the sequence (1) is generated by the classes of closed integral subschemes  $Z \subset X \times Y$  that are not dominant

over  $X$ . By Riemann-Roch (see [2]), we have  $[Z] = -c_2([O_Z])$  in  $\mathrm{CH}^2(X \times Y)$ , where  $c_i : K_0(X \times Y) \rightarrow \mathrm{CH}^i(X \times Y)$  is the  $i$ -th Chern class map. As

$$[O_Z] \in \mathrm{Ker}(K_0(X \times Y) \rightarrow K_0(Y_{F(X)})) = I_0(X) \otimes K_0(Y),$$

it suffices to show that  $c_2(I_0(X) \otimes K_0(Y))$  is contained in the image  $M$  of the first map in the sequence (1).

The formula  $c_2(x + y) = c_2(x) + c_1(x)c_1(y) + c_2(y)$  shows that it suffices to prove that for all  $a, a' \in I_0(X)$  and  $b, b' \in K_0(Y)$ , the elements  $c_1(ab) \cdot c_1(a'b')$  and  $c_2(ab)$  are contained in  $M$ . This follows from the formulas (see [1, Remark 3.2.3 and Example 14.5.2]):  $c_1(ab) = mc_1(a) + nc_1(b)$  and

$$c_2(ab) = \frac{m^2 - m}{2}c_1(a)^2 + mc_2(a) + (nm - 1)c_1(a)c_1(b) + \frac{n^2 - n}{2}c_1(b)^2 + nc_2(b),$$

where  $n = \mathrm{rank}(a)$  and  $m = \mathrm{rank}(b)$ .  $\square$

**Example 0.4.** If  $Y$  is a projective homogeneous variety, then the condition (1) holds by [6]. If  $X$  is a projective homogeneous variety of a semisimple algebraic group  $G$  over  $F$  and the Tits algebras of  $G$  are split, then it follows from [6] that the condition (2) also holds for any  $Y$ . For example, if the even Clifford algebra of a nondegenerate quadratic form is split, then the corresponding projective quadric  $X$  satisfies (2) for any  $Y$ .

For any field extension  $K/F$ , let  $K^s$  denote the subfield of elements that are algebraic and separable over  $F$ .

**Proposition 0.5.** *Suppose that for every field extension  $K/F$  we have:*

- (1) *The natural map  $\mathrm{CH}^1(X) \rightarrow \mathrm{CH}^1(X_K)$  is an isomorphism,*
- (2) *The natural map  $\mathrm{CH}^1(Y_{K^s}) \rightarrow \mathrm{CH}^1(Y_K)$  is an isomorphism.*

*Then the sequence (1) is exact.*

*Proof.* Consider the spectral sequence

$$(2) \quad E_1^{p,q}(F) = \coprod_{x \in X^{(p)}} A^q(Y_{F(x)}, K_{2-p}) \implies A^{p+q}(X \times Y, K_2)$$

for the projection  $X \times Y \rightarrow X$ . The nonzero terms of the first page are the following:

$$\mathrm{CH}^2(Y_{F(X)})$$

$$A^1(Y_{F(X)}, K_2) \longrightarrow \coprod_{x \in X^{(1)}} \mathrm{CH}^1(Y_{F(x)})$$

$$A^0(Y_{F(X)}, K_2) \longrightarrow \coprod_{x \in X^{(1)}} A^0(Y_{F(x)}, K_1) \longrightarrow \coprod_{x \in X^{(2)}} \mathrm{CH}^0(Y_{F(x)}).$$

As in the proof of Proposition 0.1, we have  $E_2^{2,0}(F) = \text{CH}^2(X)$ . For a field extension  $K/F$ , write  $C(K)$  for the factor group

$$\text{Ker}(\text{CH}^2(X_K \times Y_K) \longrightarrow \text{CH}^2(Y_{K(X)})) / \text{Im}(\text{CH}^2(X_K) \longrightarrow \text{CH}^2(X_K \times Y_K)).$$

The spectral sequence (2) for the varieties  $X_K$  and  $Y_K$  over  $K$  yields an isomorphism  $C(K) \simeq E_2^{1,1}(K)$ . We have a natural composition

$$\text{CH}^1(X_K) \otimes \text{CH}^1(Y_K) \longrightarrow E_1^{1,1}(K) \longrightarrow E_2^{1,1}(K) \simeq C(K).$$

We claim that the group  $C(F)$  is generated by images of the compositions

$$\text{CH}^1(X_K) \otimes \text{CH}^1(Y_K) \longrightarrow C(K) \xrightarrow{N_{K/F}} C(F)$$

over all finite separable field extensions  $K/F$  (here  $N_{K/F}$  is the norm map for the extension  $K/F$ ).

The group  $C(F)$  is generated by images of the maps

$$\varphi_x : \text{CH}^1(Y_{F(x)}) \longrightarrow E_2^{1,1}(F) \simeq C(F)$$

over all points  $x \in X^{(1)}$ . Pick such a point  $x$  and let  $K := F(x)^s$  be the subfield of elements that are separable over  $F$ . Then  $K/F$  is a finite separable field extension. Let  $x' \in X_K^{(1)}$  be a point over  $x$  such that  $K(x') \simeq F(x)$ . Then  $\varphi_x$  coincides with the composition

$$\text{CH}^1(Y_{K(x')}) \longrightarrow C(K) \xrightarrow{N_{K/F}} C(F).$$

By assumption, the map  $\text{CH}^1(Y_K) \longrightarrow \text{CH}^1(Y_{K(x')})$  is an isomorphism, hence the image of  $\varphi_x$  coincides with the image of

$$[x'] \otimes \text{CH}^1(Y_K) \longrightarrow C(K) \xrightarrow{N_{K/F}} C(F),$$

whence the claim.

As  $\text{CH}^1(X) \longrightarrow \text{CH}^1(X_K)$  is an isomorphism for every field extension  $K/F$ , the projection formula shows that the map  $\text{CH}^1(X) \otimes \text{CH}^1(Y) \longrightarrow C(F)$  is surjective. The statement follows.  $\square$

**Example 0.6.** Let  $Y$  be a projective homogeneous variety with the  $F$ -algebras  $E$  and  $A$  as in Example 0.2. If  $A$  is split, then  $\text{CH}^1(Y_K) = K_0(E \otimes K)$  for every field extension  $K/F$ . As  $K^s$  is separably closed in  $K$ , the natural map  $K_0(E \otimes K^s) \longrightarrow K_0(E \otimes K)$  is an isomorphism, therefore, the condition (2) holds.

Write  $\widetilde{\text{CH}}^2(X \times Y)$  for the cokernel of the product map  $\text{CH}^1(X) \otimes \text{CH}^1(Y) \longrightarrow \text{CH}^2(X \times Y)$ . We have the following commutative diagram:

$$\begin{array}{ccccccc}
& & & & \mathrm{CH}^2(X) & & \\
& & & & \downarrow & \searrow & \\
0 & \longrightarrow & \mathrm{CH}^2(Y) & \longrightarrow & \widetilde{\mathrm{CH}}^2(X \times Y) & \longrightarrow & \mathrm{CH}^2(X_{F(Y)}) \\
& & \searrow & & \downarrow & & \\
& & & & \mathrm{CH}^2(Y_{F(X)}) & & 
\end{array}$$

Proposition 0.1 gives conditions for the exactness of the row in the diagram and Propositions 0.3 and 0.5 - for the exactness of the column in the diagram.

A diagram chase yields together with Propositions 0.1, 0.3 and 0.5 yields the following statements.

**Theorem 0.7.** *Let  $X$  and  $Y$  be smooth complete geometrically irreducible varieties such that for every field extension  $K/F$ :*

- (1) *The natural map  $\mathrm{CH}^1(X) \longrightarrow \mathrm{CH}^1(X_K)$  is an isomorphism of torsion free groups,*
- (2) *The natural map  $\mathrm{CH}^2(X) \longrightarrow \mathrm{CH}^2(X_K)$  is injective,*
- (3) *The product map  $\mathrm{CH}^1(X_K) \otimes K^\times \longrightarrow A^1(X_K, K_2)$  is an isomorphism,*
- (4) *The Grothendieck group  $K_0(Y)$  is torsion-free,*
- (5) *The product map  $K_0(X) \otimes K_0(Y) \longrightarrow K_0(X \times Y)$  is an isomorphism.*

*Then the natural map  $\mathrm{CH}^2(Y) \longrightarrow \mathrm{CH}^2(Y_{F(X)})$  is injective.*

**Remark 0.8.** The conditions (1) – (3) hold for a smooth projective quadric  $X$  of dimension at least 7 by [3, Theorem 6.1] and Example 0.2. By Example 0.4, the conditions (4) and (5) hold if the even Clifford algebra of  $X$  is split and  $Y$  is a projective homogeneous variety.

**Theorem 0.9.** *Let  $X$  and  $Y$  be smooth complete geometrically irreducible varieties such that for every field extension  $K/F$ :*

- (1) *The natural map  $\mathrm{CH}^1(X) \longrightarrow \mathrm{CH}^1(X_K)$  is an isomorphism of torsion free groups,*
- (2) *The natural map  $\mathrm{CH}^2(X) \longrightarrow \mathrm{CH}^2(X_K)$  is injective,*
- (3) *The product map  $\mathrm{CH}^1(X_K) \otimes K^\times \longrightarrow A^1(X_K, K_2)$  is an isomorphism,*
- (4) *The natural homomorphism  $\mathrm{CH}^1(Y_{K^s}) \rightarrow \mathrm{CH}^1(Y_K)$  is an isomorphism.*

*Then the natural map  $\mathrm{CH}^2(Y) \longrightarrow \mathrm{CH}^2(Y_{F(X)})$  is injective.*

**Remark 0.10.** The conditions (1) – (3) hold for a smooth projective quadric  $X$  of dimension at least 7 and a projective homogeneous variety  $Y$  with the split Azumaya algebra by Remark 0.8 and Example 0.6.

## REFERENCES

- [1] W. Fulton, *Intersection theory*, Springer-Verlag, Berlin, 1984.
- [2] A. Grothendieck, *La théorie des classes de Chern*, Bull. Soc. Math. France **86** (1958), 137–154.

- [3] N. A. Karpenko, *Algebraic invariants of quadratic forms*, Algebra i Analiz **2** (1990), no. 1, 141–162.
- [4] A. S. Merkurjev, *The group  $H^1(X, K_2)$  for projective homogeneous varieties*, Algebra i Analiz **7** (1995), no. 3, 136–164.
- [5] A. S. Merkurjev and J.-P. Tignol, *The multipliers of similitudes and the Brauer group of homogeneous varieties*, J. Reine Angew. Math. **461** (1995), 13–47.
- [6] I. A. Panin, *On the algebraic  $K$ -theory of twisted flag varieties*,  $K$ -Theory **8** (1994), no. 6, 541–585.
- [7] M. Rost, *Chow groups with coefficients*, Doc. Math. **1** (1996), No. 16, 319–393 (electronic).