

**269C, Vese**  
**Useful results**

Notations:

For  $u \in H^m(\Omega)$ , let

$$\|u\|_{H^m(\Omega)} = \left( \sum_{|\alpha| \leq m} \int_{\Omega} |D^\alpha u(x)|^2 dx \right)^{1/2} = \left( \sum_{|\alpha| \leq m} \|D^\alpha u\|_{L^2(\Omega)}^2 \right)^{1/2},$$

$$|u|_{H^m(\Omega)} = \left( \sum_{|\alpha|=m} \int_{\Omega} |D^\alpha u(x)|^2 dx \right)^{1/2} = \left( \sum_{|\alpha|=m} \|D^\alpha u\|_{L^2(\Omega)}^2 \right)^{1/2}.$$

**Thm.** (Poincaré's Inequality for  $H_0^1(\Omega)$ )

Let  $\Omega$  be an open and bounded set in  $R^n$ . Then there is a positive constant  $C = C(\Omega)$  such that, for all  $u \in H_0^1(\Omega)$ , we have Poincaré inequality:

$$\|u\|_{L^2(\Omega)}^2 \leq C \|\nabla u\|_{L^2(\Omega)}^2.$$

**Corollary:** Let  $m > 0$  be a positive integer, and let  $\Omega$  be an open and bounded set in  $R^n$ . Then for  $u \in H_0^m(\Omega)$ , we have

$$\|u\|_{L^2(\Omega)}^2 \leq C_m C^m \sum_{|\alpha|=m} \|D^\alpha u\|_{L^2(\Omega)}^2,$$

$C_m = \text{constant}$ , and  $C$  is the constant from the previous theorem.

**Corollary:** (same assumptions on  $\Omega$ ).  $|u|_{H^m(\Omega)}$  is a norm on  $H_0^m(\Omega)$ , equivalent to the norm  $\|u\|_{H^m(\Omega)}$ .

**Thm.** Let  $\Omega$  be a bounded connected open set in  $R^n$ , with sufficiently regular boundary. Then we have for  $u \in H^1(\Omega)$ , such that  $\int_{\Omega} u(x) dx = 0$ ,

$$\|u\|_{L^2(\Omega)}^2 \leq P(\Omega) \|\nabla u\|_{L^2(\Omega)}^2.$$

More generally, we have for  $u \in H^1(\Omega)$

$$\|u\|_{L^2(\Omega)}^2 \leq P(\Omega) \|\nabla u\|_{L^2(\Omega)}^2 + \frac{1}{|\Omega|} \left| \int_{\Omega} u(x) dx \right|^2.$$

**Corollary:**  $|u|_{H^1(\Omega)} = \|\nabla u\|_{L^2(\Omega)}$  is a norm equivalent with the norm  $\|u\|_{H^1(\Omega)}$  on the sub-space  $V_0$  (closed in  $H^1(\Omega)$ ) defined by:

$$V_0 = \{u \in H^1(\Omega) : \int_{\Omega} u(x) dx = 0\}.$$

**Corollary:** Let  $\Omega$  be a bounded connected open set in  $R^n$ , with sufficiently regular boundary  $\Gamma$ . Suppose  $\Gamma = \Gamma_1 \cup \Gamma_2$  with length (area) of  $\Gamma_2 > 0$ . Let

$$V_{\Gamma_2} = \{u \in H^1(\Omega) : u|_{\Gamma_2} = 0\}.$$

Then  $V_{\Gamma_2}$  is a closed sub-space of  $H^1(\Omega)$  and  $|u|_{H^1(\Omega)} = \|\nabla u\|_{L^2(\Omega)}$  is a norm equivalent with the norm  $\|u\|_{H^1(\Omega)}$  on the sub-space  $V_{\Gamma_2}$ .

**Remark:**

(i) Suppose that  $\Omega$  is a bounded connected open set in  $R^n$  which is “very regular” ( $\Gamma = \partial\Omega$  is a  $n - 1$  dimensional manifold of class  $C^\infty$  and  $\Omega$  locally on one side of  $\Gamma$ ). For  $u \in H^1(\Omega)$ , let

$$\|u\|_{H^1(\Omega),\Gamma}^2 = \|\nabla u\|_{L^2(\Omega)}^2 + \int_{\Gamma} |u|_{\Gamma}^2 d\Gamma,$$

where  $u|_{\Gamma}$  is the trace of  $u$  on  $\Gamma$ . Then there is a constant  $C > 0$  such that

$$\|u\|_{H^1(\Omega)} \leq C \|u\|_{H^1(\Omega),\Gamma},$$

for all  $u \in H^1(\Omega)$ . Therefore,  $\|u\|_{H^1(\Omega),\Gamma}$  is a norm equivalent to  $\|u\|_{H^1(\Omega)}$  on  $H^1(\Omega)$ .

(ii) Let  $V_{\Gamma} = \left\{ u \in H^1(\Omega), \int_{\Gamma} u d\Gamma = 0 \right\}$ . Then  $V_{\Gamma}$  is a closed subspace of  $H^1(\Omega)$ , and  $|u|_{H^1(\Omega)}$  is a norm equivalent to  $\|u\|_{H^1(\Omega)}$  on  $V_{\Gamma}$ .

**Corollary:** Let  $\Omega$  an open and bounded domain, with Lipschitz-continuous boundary  $\Gamma = \partial\Omega$ . Then there is a positive constant  $C$  such that

$$\|u|_{\Gamma}\|_{L^2(\Gamma)} \leq C \|u\|_{H^1(\Omega)}.$$

**Corollary:** Over the space  $H_0^2(\Omega)$ ,  $\|\Delta u\|_{L^2(\Omega)}$  is a norm, equivalent to  $\|u\|_{H^2(\Omega)}$ .

• For  $s$  a real number, then  $u \in H^s(R^n)$  if

$$(1 + |\xi|^2)^{s/2} \hat{u} \in L^2(R^n), \quad \xi \in R^n$$

(with  $\hat{u}$  the Fourier transform of  $u$ ).

We furnish  $H^s(R^n)$  with the norm

$$\|u\|_s = \left( \int_{R^n} (1 + |\xi|^2)^s |\hat{u}(\xi)|^2 d\xi \right)^{1/2}.$$

For  $s = m$  a non-negative integer, the space  $H^s(R^n)$  coincides with the usual space  $H^m(R^n)$ .

• **Thm:** For  $u \in H^1(\Omega)$ , with  $\Gamma = \partial\Omega$  of dimension  $n - 1$  and piecewise of class  $C^1$ , we can define  $u|_{\Gamma}$  (the trace of  $u$  on  $\Gamma$ ) as an element of  $H^{1/2}(\Gamma)$ .

**Thm:** For every  $u_0 \in H^{1/2}(\Gamma)$ , there is a  $u \in H^1(\Omega)$  such that  $u|_{\Gamma} = u_0$ .

**Note:** For such set  $\Gamma$ , we can give a definition of  $H^{1/2}(\Gamma)$  (with the aid of local maps defining  $\Gamma$ , see Lions-Magenes, Necas, Dautray-Lions, etc).

We also have another version of the Trace theorem:

**Thm:** Assume  $\Omega$  is bounded and  $\Gamma = \partial\Omega$  of class  $C^1$ . Then there exists a bounded linear operator

$$T : H^1(\Omega) \rightarrow L^2(\Omega)$$

such that

(i)  $Tu = u|_{\Gamma}$  if  $u \in H^1(\Omega) \cap C(\overline{\Omega})$

(ii)

$$\|Tu\|_{L^2(\Gamma)} \leq C \|u\|_{H^1(\Omega)},$$

for each  $u \in H^1(\Omega)$ , with constant  $C$  depending only on  $\Omega$ .