

Determinacy Proofs for Long games

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- 1.(d) Example: Σ_2^1 determinacy.
2. Games of length $\omega \cdot \omega$ with Σ_2^1 payoff.
3. Continuously coded games with Σ_2^1 payoff.

Recall: A is the set of all reals which satisfy a given Σ_2^1 statement ϕ . $\dot{A} \in M$ names the set of reals of $M^{\text{col}(\omega, \delta)}$ which satisfy ϕ in $M^{\text{col}(\omega, \delta)}$.

G is the game in which I and II play $x = \langle x_0, x_1, \dots \rangle \in \mathbb{R}$ and in addition play moves in the auxiliary game $\mathcal{A}[x]$.

I	x_0	a_{0-I}	a_{1-I}	x_2	\dots
II		a_{0-II}	x_1	a_{1-II}	

The game is played in M . Infinite runs of G are won by II.

Using σ_{piv} to ascribe auxiliary moves for II we showed that

Case 1. If I wins G in M , then (in V) I has a winning strategy in $G_\omega(A)$.

Let \dot{B} in M name the set of reals which do **not** satisfy ϕ in $M^{\text{col}(\omega, \delta)}$.

Define $x \mapsto \mathcal{B}[x]$ and $x \mapsto \mathcal{B}^*[x]$ as before, but changing \dot{A} to \dot{B} and interchanging I and II.

We have $\tau_{\text{gen}}[x, g]$ and $\tau_{\text{piv}}[x, g]$ as before, but with the roles of I and II switched.

Let H be the following game, defined and played inside M :

I	x_0	b_{0-I}	b_{1-I}	x_2	\dots
II		b_{0-II}	x_1	b_{1-II}	

I and II alternate playing natural numbers, producing $x = \langle x_0, x_1, \dots \rangle \in \mathbb{R}$. In addition they play moves b_{0-I}, b_{0-II}, \dots in $\mathcal{B}[x]$.

This time I is the closed player; she wins if she can last all ω moves. Otherwise II wins.

Case 2: II wins H . Then an argument similar to that of Case 1 shows that (in V) II has a strategy to get into $B = \mathbb{R} - A$. In other words, II wins $G_\omega(A)$ in V . \square (Case 2.)

We showed:

- If I wins G in M , then (in V) I wins $G_\omega(A)$.
- If II wins H in M , then (in V) II wins $G_\omega(A)$.

It is now enough to check that one of these cases must occur.

Suppose not. I.e., assume that, in M , II wins G and I wins H . Fix strategies $\Sigma^{\text{II}} \in M$ and $\Sigma^{\text{I}} \in M$ witnessing this. We wish to derive a contradiction.

Recall the progress of the games G and H :

$G :$	I	x_0	a_{0-I}	a_{1-I}	\dots
	II		a_{0-II}	x_1	a_{1-II}
$H :$	I	x_0	b_{0-I}	b_{1-I}	\dots
	II		b_{0-II}	x_1	b_{1-II}

Working in $M[g]$, construct $x = \langle x_0, x_1, \dots \rangle$, $\vec{a} = \langle a_{0-I}, a_{0-II}, \dots \rangle$, and $\vec{b} = \langle b_{0-I}, b_{0-II}, \dots \rangle$ as follows:

- Σ^{II} (playing for II in G) produces x_n for odd n , and a_{n-II} for all n .
- $\sigma_{\text{gen}}[x, g]$ produces a_{n-I} for all n .
- Σ^{I} (playing for I in H) produces x_n for even n and b_{n-I} for all n .
- $\tau_{\text{gen}}[x, g]$ produces b_{n-II} for all n .

We get $x \notin \dot{A}[g]$ by Lemma 1. Similarly we get $x \notin \dot{B}[g]$ through our use of τ_{gen} .

But \dot{A} and \dot{B} name complementary sets. Since $x \in M[g]$ this is a contradiction. \square

To sum: Defined in M the game

$$G : \begin{array}{c|cccc} \text{I} & x_0 & a_{0-\text{I}} & a_{1-\text{I}} & \dots \\ \hline \text{II} & & a_{0-\text{II}} & x_1 & a_{1-\text{II}} \end{array}$$

where I, II collaborate to produce $x \in \mathbb{R}$, and in addition play auxiliary moves: I trying to witness $x \in \dot{A}[h]$ for some h , II trying to witness the opposite. G is a closed game.

If in M I wins G , showed (using σ_{piv}) that in V I wins to get into some $j_b(\dot{A})[h]$, and hence by absoluteness into A .

Defined in M the game

$$H : \begin{array}{c|cccc} \text{I} & x_0 & b_{0-\text{I}} & b_{1-\text{I}} & \dots \\ \hline \text{II} & & b_{0-\text{II}} & x_1 & b_{1-\text{II}} \end{array}$$

This time II is trying to witness $x \in \dot{B}[h]$ for some h , and I is trying to witness the opposite.

If in M II wins H , showed (using τ_{piv}) that in V II wins to get into some $j_b(\dot{B})[h]$, and hence by absoluteness into $B = \mathbb{R} - A$.

Finally, if both cases fail, we worked in $M[g]$ (using σ_{gen} and τ_{gen}) to construct $x \in M[g]$ which belongs to neither $\dot{A}[g]$ nor $\dot{B}[g]$, a contradiction.

Fix $C \subset \mathbb{R}^\omega$ a Σ_2^1 set, say the set of all sequences $\langle y_0, y_1, \dots \rangle \in \mathbb{R}^\omega$ which satisfy the Σ_2^1 statement ϕ .

We wish to prove that $G_{\omega \cdot \omega}(C)$ is determined.

Fix M and an increasing sequence $\delta_1, \delta_2, \dots, \delta_\omega$ so that

- M is a class model.
- Each δ_ξ is a Woodin cardinal in M .
- In V there is g which is $\text{col}(\omega, \delta_\omega)$ -gen/ M .
- M is iterable.

The existence of such M is our large cardinal assumption (needed to prove determinacy). We use δ_∞ and g_∞ to refer to δ_ω and g .

Let $\dot{A}_\infty \in M$ name the set of elements of $\mathbb{R}^\omega \cap M[g_\infty]$ which satisfy ϕ in $M[g_\infty]$.

For $\langle y_n \mid n < \omega \rangle \in \mathbb{R}^\omega$ we have the associated game $\mathcal{A}_\infty[y_n \mid n < \omega]$. (Formally we should think of $\langle y_n \mid n < \omega \rangle$ as coded by some real x .)

The association is continuous, and we may talk about $\mathcal{A}_\infty[y_0, \dots, y_{k-1}]$, a game of $k+1$ rounds.

We use a_{0-I}^∞ , a_{0-II}^∞ , a_{1-I}^∞ , etc. to refer to moves in \mathcal{A}_∞ .

We use a_n^∞ to denote $\langle a_{n-I}^\infty, a_{n-II}^\infty \rangle$ and refer to runs of \mathcal{A}_∞ as \vec{a}^∞ .

(Recall that moves in $\mathcal{A}_\infty[y_n \mid n < \omega]$ are arranged so that I tries to witness $\langle y_n \mid n < \omega \rangle \in \dot{A}_\infty[h]$ for some h , and II tries to witness the opposite.)

A **k-sequences** is a sequence

$$\langle y_0, \dots, y_{k-1}, a_0^\infty, \dots, a_{k-1}^\infty, \gamma \rangle$$

so that

- Each y_i is a real;
- $a_0^\infty, \dots, a_{k-1}^\infty$ is a position in the auxiliary game $\mathcal{A}_\infty[y_0, \dots, y_{k-1}]$; and
- γ is an ordinal.

We use S to denote k -sequences.

A **valid extension** for a k -sequence is a triplet $y_k, a_k^\infty, \gamma^*$ so that

- y_k is a real;
- $a_k^\infty = \langle a_{k-I}^\infty, a_{k-II}^\infty \rangle$ where a_{k-I}^∞ and a_{k-II}^∞ are legal moves for I and II respectively in the game $\mathcal{A}_\infty[y_0, \dots, y_{k-1}]$,^{*} following the position $a_0^\infty, \dots, a_{k-1}^\infty$; and
- γ^* is an ordinal **smaller** than γ .

We use $S—, y_k, a_k^\infty, \gamma^*$ to denote the $k + 1$ -sequence

$$\langle y_0, \dots, y_{k-1}, y_k, a_0^\infty, \dots, a_{k-1}^\infty, a_k^\infty, \gamma^* \rangle.$$

^{*}Observe that knowledge of y_k is not needed to determine the rules for round k of this game.

For expository simplicity, fix for each n some g_n which is $\text{col}(\omega, \delta_n)$ –generic/ M . Do this so that the sequence $\langle g_n \mid n < \omega \rangle$ belongs to $M[g_\infty]$ and each g_n belongs to $M[g_{n+1}]$.

Below we define sets in $M[g_n]$ where strictly speaking we should be defining names in $M^{\text{col}(\omega, \delta_n)}$.

We work to define sets A_k in $M[g_k]$ ($k \geq 1$). A_k will be a set of k -sequences.

Given $a_0^\infty, \dots, a_{k-1}^\infty, \gamma$ we let $A_k[a_0^\infty, \dots, a_{k-1}^\infty, \gamma]$ be the set of tuples $\langle y_0, \dots, y_{k-1} \rangle$ so that

$$\langle y_0, \dots, y_{k-1}, a_0^\infty, \dots, a_{k-1}^\infty, \gamma \rangle \in A_k.$$

$A_k[a_0^\infty, \dots, a_{k-1}^\infty, \gamma]$ then is a subset of \mathbb{R}^k in $M[g_k]$. Really we are defining names, not sets. So we have a name $\dot{A}_k[a_0^\infty, \dots, a_{k-1}^\infty, \gamma]$.

Let $\mathcal{A}_k[y_0, \dots, y_{k-1}, a_0^\infty, \dots, a_{k-1}^\infty, \gamma]$ be auxiliary game associated to $\langle y_0, \dots, y_{k-1} \rangle$ and the name $\dot{A}_k[a_0^\infty, \dots, a_{k-1}^\infty, \gamma]$.

We use $\mathcal{A}_k[S]$ to denote this game, and use a_{0-I}^k, a_{0-II}^k etc. to denote moves in the game.

(Recall that these moves are such that I tries to witness that S belongs to $\dot{A}_k[h]$ for some h . II tries to witness the opposite.)

Given $S = \langle y_0, \dots, y_{k-1}, a_0^\infty, \dots, a_{k-1}^\infty, \gamma \rangle$, a k -sequence, define a game $G_k(S)$ in which:

I and II play a valid extension $\gamma^*, a_k^\infty, y_k$. In addition I tries to witness that the extended sequence, $S \smallfrown y_k, a_k^\infty, \gamma^*$, belongs to \dot{A}_{k+1} . II tries to witness the opposite.

$G_k(S)$:

I	γ^*, a_{k-I}^∞	$y_k(0)$	a_{0-I}^{k+1}
II	a_{k-II}^∞		a_{0-II}^{k+1}
		a_{1-I}^{k+1}	$y_k(2) \dots$
	$y_k(1)$	a_{1-II}^{k+1}	

I and II play

- γ^* ,
- $a_k^\infty = \langle a_{k-I}^\infty, a_{k-II}^\infty \rangle$, and
- $y_k = \langle y_k(0), y_k(1), \dots \rangle$

which form a valid extension of S . (In particular γ^* is **smaller** than γ .)

In addition they play auxiliary moves in the game $\mathcal{A}_{k+1}[S\text{---}, y_k, a_k^\infty, \gamma^*]$.

II is the closed player; she wins if she can last ω moves. Otherwise I wins.

Define the sets A_k by:

$S \in A_k$ iff I has a winning strategy in $G_k(S)$

(for a k -sequence $S \in M[g_k]$).

If S belongs to A_k , we expect to be able to extend to $S^* = S—, y_k, a_k^\infty, \gamma^*$ which belongs to a “shift” of A_{k+1} .

Our definition of A_k depends on some knowledge of A_{k+1} . (We need knowledge of G_k , which involves the auxiliary game \mathcal{A}_{k+1} .)

The definition is by induction, not on k , but on γ .

Figuring out the rules of $G_k(S)$, where $S = \langle *, \dots, *, \gamma \rangle$, requires knowledge of the sets $A_{k+1}[a_0^\infty, \dots, a_k^\infty, \gamma^*]$, but only for $\gamma^* < \gamma$.

Determining whether S belongs to A_k thus requires knowledge of A_{k+1} , but only for $k+1$ -sequences ending with $\gamma^* < \gamma$.

A 0-sequence is simply an ordinal γ . We have for each γ the game $G_0(\gamma)$. This game belongs to M .

Case 1: There exists some γ so that (in M) I has a winning strategy in $G_0(\gamma)$.

We will show that (in V) I has a winning strategy in $G_{\omega \cdot \omega}(C)$.

Fix $\Sigma_0 \in M$, a winning strategy for I (the open player) in $G_0(\gamma)$.

Fix an imaginary opponent, playing for II in $G_{\omega \cdot \omega}(C)$.

We will use Σ_0 , the strategies $\sigma_{\text{piv}-1}, \sigma_{\text{piv}-2}, \dots$, the strategy $\sigma_{\text{piv}-\infty}$, and an iteration strategy for M , to play against the imaginary opponent.

$G_0(\gamma)$:

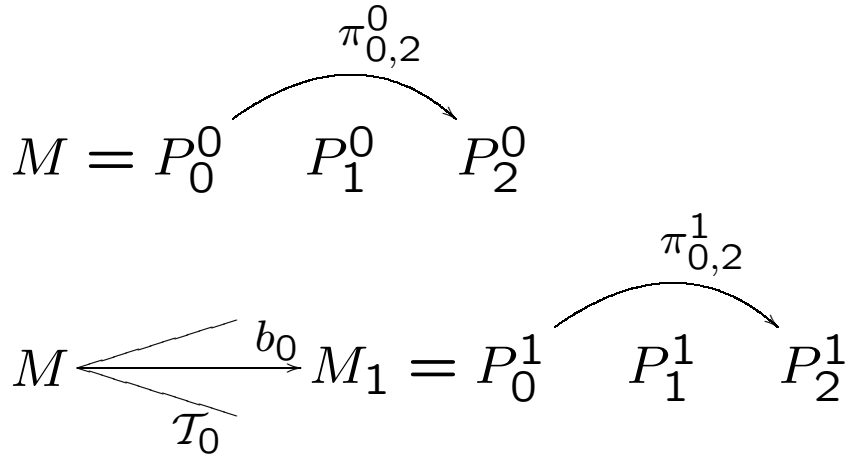
I	$\gamma_0^*, a_{0-I}^\infty$	$y_0(0)$	a_{0-I}^1
II	a_{0-II}^∞		a_{0-II}^1
	$y_0(1)$	a_{1-I}^1	$y_0(2) \dots$
		a_{1-II}^1	

Our opponent, Σ_0 , $\sigma_{\text{piv}-\infty}$ (for the first round), and $\sigma_{\text{piv}-1}$ (for the remaining rounds) cover all moves in the game.

We obtain an iteration tree \mathcal{U}^0 of length 3, played by $\sigma_{\text{piv}-\infty}$, with final model P_2^0 , embedding $\pi_{0,2}^0: M \rightarrow P_2^0$, and moves $\bar{\gamma}_0^*, \bar{a}_0^\infty$ in P_2^0 .

We obtain $y_0 \in \mathbb{R}$, and an iteration tree \mathcal{T}_0 (played by $\sigma_{\text{piv}-1}$) with illfounded even model.

The iteration strategy picks an odd branch, b_0 say. Let M_1 be the direct limit along b_0 and let $j_{0,1}$ be the direct limit embedding.



Let $\mathcal{U}^1 = j_{0,1}(\mathcal{U}^0)$, and similarly with P_2^1 , $\pi_{0,2}^1$.
Let $\gamma_0^* = j_{0,1}(\bar{\gamma}_0^*)$ and similarly a_0^∞ .

Our use of $\sigma_{\text{piv}-1}$ guarantees that there exists some h_1 so that

1. h_1 is $\text{col}(\omega, \delta_1^s)$ -generic/ M_1 , and
2. $\langle y_0, a_0^\infty, \gamma_0^* \rangle \in \dot{A}_1^s[h_1]$.

($*^s$ denotes $j_{0,1}(\pi_{0,2}^0(*))$.)

Note that by 2, player I (the open player) has a winning strategy in $G_1^s(y_0, a_0^\infty, \gamma_0^*)$. Fix $\Sigma_1 \in M_1[h_1]$, a strategy for I witnessing this.

I	$\gamma_1^*, a_{1-I}^\infty$	$y_1(0)$	a_{0-I}^2
II	a_{1-II}^∞		a_{0-II}^2

	a_{1-I}^2	$y_1(2)$	\dots
$y_1(1)$	a_{1-II}^2		

Note, Σ_1 belongs to $M_1[h_1]$, a **small** generic extension of M . (Small with respect to δ_2 and δ_∞ .) This allows us to shift Σ_1 along the even branch of trees given by $\sigma_{\text{piv}-\infty}$ and $\sigma_{\text{piv}-2}$.

Using Σ_1 and $j_{0,1}(\sigma_{\text{piv}-\infty})$ we get

$$\begin{array}{ccccccc}
 & & & \pi_{0,2}^0 & & \pi_{2,4}^0 & \\
 & & & \text{---} & & \text{---} & \\
 M & \begin{array}{c} \nearrow \\ \xrightarrow{b_0} \\ \searrow \end{array} & M_1 = P_0^1 & P_1^1 & P_2^1 & P_3^1 & P_4^1 \\
 & \xrightarrow{\mathcal{T}_0} & & & & &
 \end{array}$$

Then using $j_{0,1}(\sigma_{\text{piv}-2})$ and **shifts** of Σ_1 get

$$\begin{array}{ccccccc}
 & & & & & \pi_{0,4}^2 & \\
 & & & & & \text{---} & \\
 M & \begin{array}{c} \nearrow \\ \xrightarrow{b_0} \\ \searrow \end{array} & M_1 & \begin{array}{c} \nearrow \\ \xrightarrow{b_1} \\ \searrow \end{array} & M_2 = P_0^2 & & P_4^2 \\
 & \xrightarrow{\mathcal{T}_0} & & \xrightarrow{\mathcal{T}_1} & & &
 \end{array}$$

(where $P_0^2 = j_{1,2}(P_0^1)$, etc.).

We get γ_1^* , a_1^∞ , and y_1 . Our use of $\sigma_{\text{piv}-2}$ guarantees that there exists h_2 so that

1. h_2 is $\text{col}(\omega, \delta_2^{ss})$ -generic/ M_2 , and
2. $\langle y_0, y_1, a_0^{\infty-s}, a_1^\infty, \gamma_1^* \rangle \in \dot{A}_2^{ss}[h_2]$.

(A second s stands for application of $j_{1,2} \circ \pi_{2,4}^1$.)

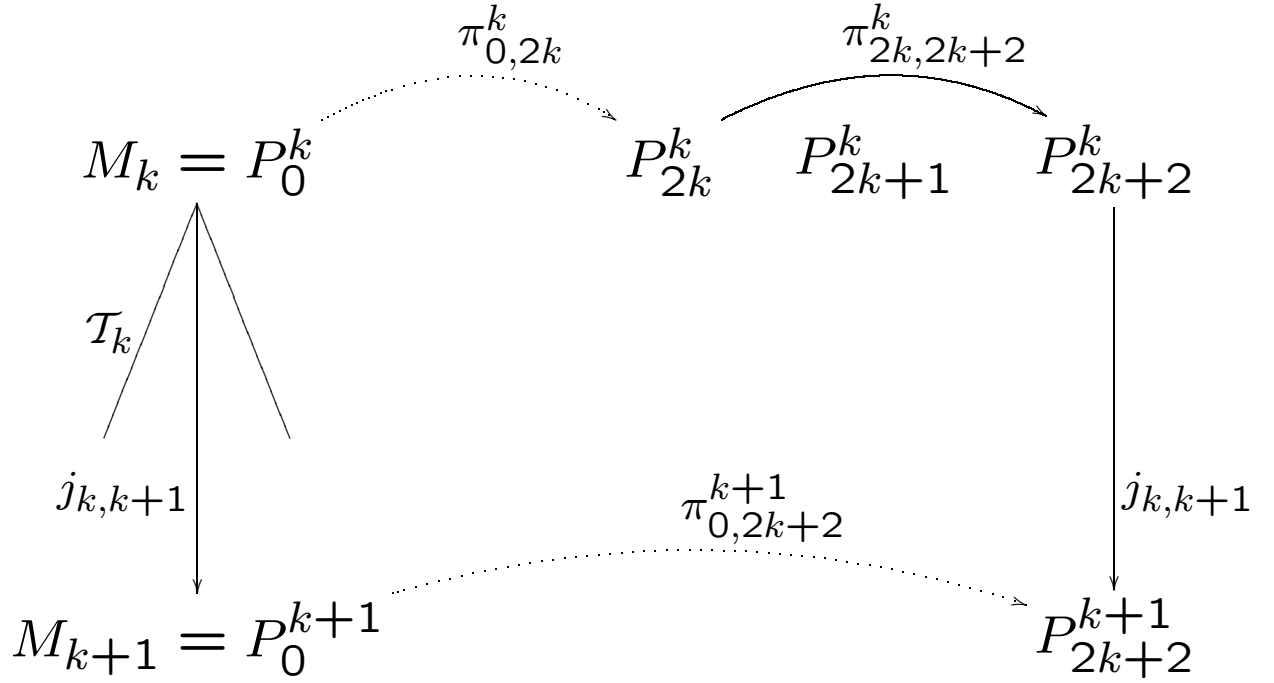
By 2, player I (the open player) wins

$$G_2^{ss}(y_0, y_1, a_0^{\infty-s}, a_1^\infty, \gamma_1^*).$$

This game belongs to $M[h_2]$. Fix $\Sigma_2 \in M[h_2]$, a strategy witnessing that I wins.

Continue as before.

In general we have:



In P_{2k}^k we have the k -sequence

$$S_k = \langle y_0, \dots, y_{k-1}, a_0^{\infty-s \dots s}, \dots, a_{k-1}^{\infty-\dots-}, \gamma_{k-1}^* \rangle.$$

S_{k+1} (in P_{2k+2}^{k+1}) is obtained as a valid extension of $j_{k,k+1}(\pi_{2k,2k+2}^k(S_k))$. In particular:

(†) γ_k^* is **smaller** than $j_{k,k+1}(\pi_{2k,2k+2}^k(\gamma_{k-1}^*))$.

We end with a sequence of reals $\langle y_n \mid n < \omega \rangle$,
a sequence of iteration trees

$$M_0 \begin{array}{c} \nearrow \\ \xrightarrow{b_0} \\ \searrow \end{array} M_1 \begin{array}{c} \nearrow \\ \xrightarrow{b_1} \\ \searrow \end{array} M_2 \quad \dots \quad \text{-----} \triangleright M_\infty$$

$\mathcal{T}_0 \qquad \qquad \mathcal{T}_1$

and an iteration tree \mathcal{U}_∞ on M_∞ as follows:

$$M_\infty = P_0^\infty \quad \overset{\pi_{0,2}^\infty}{\curvearrowright} \quad P_1^\infty \quad P_2^\infty \quad \overset{\pi_{2,4}^\infty}{\curvearrowright} \quad P_3^\infty \quad P_4^\infty \quad \cdots$$

By (\dagger) the even branch of \mathcal{U}_∞ is illfounded.

The iteration strategy for M produces an odd branch c of \mathcal{U}_∞ . Let M_c be the direct limit, and let $\pi_c: M_\infty \rightarrow M_c$ be the direct limit embedding. Note M_c , played by an iteration strategy, is **wellfounded**.

Now \mathcal{U}_∞ is part of a play according to $j_{0,\infty}(\sigma_{\text{piv}-\infty})[y_n \mid n < \omega]$.

Our use of $j_{0,\infty}(\sigma_{\text{piv}-\infty})[y_n \mid n < \omega]$ guarantees that there exists some h_∞ so that

1. h_∞ is $\text{col}(\omega, \pi_c(j_{0,\infty}(\delta_\infty)))$ -generic/ M_c , and
2. $\langle y_n \mid n < \omega \rangle \in \pi_c(j_{0,\infty}(\dot{A}_\infty))[h_\infty]$.

From 2 we see that $\langle y_n \mid n < \omega \rangle$ satisfies the Σ_2^1 statement ϕ , inside $M_c[h_\infty]$.

By absoluteness ϕ is satisfied in V .

So $\langle y_n \mid n < \omega \rangle \in C$ and I won, as required.

□(Case 1.)

Assuming there is some γ so that (in M) I wins $G_0(\gamma)$, we showed that (in V) I wins $G_{\omega \cdot \omega}(C)$.

Fix $\gamma_L < \gamma_H$ indiscernibles for M , above δ_∞ .

Suppose $S = \langle y_0, \dots, y_{k-1}, a_0^\infty, \dots, a_{k-1}^\infty, \gamma_L \rangle$ is a k -sequence in $M[g_k]$ and does not belong A_k .

So II wins $G_k(S)$. By indiscernibility II also wins $G_k(S_H)$ where $S_H = \langle *, \dots, \gamma_H \rangle$. Fix a winning strategy Σ_{II-k} .

I	γ^*, a_{k-I}^∞	$y_k(0)$	a_{0-I}^{k+1}
II	a_{k-II}^∞		a_{0-II}^{k+1}
		a_{1-I}^{k+1}	$y_k(2) \dots$
	$y_k(1)$	a_{1-II}^{k+1}	

Play $\gamma^* = \gamma_L$. Use Σ_{II-k} , $\sigma_{\text{gen}-\infty}$, $\sigma_{\text{gen}-(k+1)}$ to obtain a_k^∞ , \vec{a}^{k+1} , and (half of) y_k in $M[g_{k+1}]$.

Our use of $\sigma_{\text{gen}-(k+1)}$ guarantees that $S' = \langle S_H -, y_k, a_k^\infty, \gamma_L \rangle \notin A_{k+1}[g_{k+1}]$.

If S' belongs to $M[g_{k+1}]$ this means that II wins $G_{k+1}(S')$.

Continue this way. Our use of $\sigma_{\text{gen}-\infty}$ guarantees that $\langle y_n \mid n < \omega \rangle$ does not belong to $\dot{A}[g_\infty]$.

If there is γ so that I wins the closed game $G_0(\gamma)$, then I has a winning strategy in $G_{\omega \cdot \omega}(C)$.

Mirroring this with sets B_k and games H_k we get:

If there is γ so that II wins the closed game $H_0(\gamma)$, then II has a winning strategy in $G_{\omega \cdot \omega}(C)$.

Finally, if II wins $G_0(\gamma_L)$ and I wins $H_0(\gamma_L)$, we can work in $M[g_n]$, $n < \omega$, and produce $\langle y_n \mid n < \omega \rangle \in M[g_\infty]$ * which belongs to neither $\dot{A}[g_\infty]$ nor $\dot{B}[g_\infty]$, a contradiction.

It follows that $G_{\omega \cdot \omega}(C)$ is determined.

*Note $\langle g_n \mid n < \omega \rangle \in M[g_\infty]$.