

# \* Hecke fields and $\mathcal{L}$ -invariant

Haruzo Hida

Department of Mathematics, UCLA,  
Los Angeles, CA 90095-1555, U.S.A.

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Each rational elliptic curve  $E/\mathbb{Q}$  is associated to a Hecke eigenform  $f_E$  of level  $\Gamma_0(N)$  for a suitable positive integer  $N$  in the following way (Shimura/Taniyama conjecture):

- Look at  $T_l E = \varprojlim_n E[l^n](\overline{\mathbb{Q}})$  (Tate module for a prime  $l$ ), where  $E[l^n](\overline{\mathbb{Q}}) = \{x \in E(\overline{\mathbb{Q}}) \mid l^n x = 0\}$ ;
- The absolute Galois group  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  acts on it, and for the inertia group at another prime  $p \neq l$ ,

$$T_l E^{I_p} = \{x \in T_l E \mid \sigma x = x \forall \sigma \in I_p\} \cong \begin{cases} 0 \\ \mathbb{Z}_l \\ \mathbb{Z}_l^2 \end{cases}$$

depending on  $p$  with  $\text{rank}_{\mathbb{Z}_l} T_l E^{I_p} = 2$  for almost all  $p$ .

- The Frobenius element  $\text{Frob}_p$  has its characteristic polynomial independent of  $l$

$$\Phi_p(X) = \det(1 - \text{Frob}_p|_{T_l E^{I_p}} X) \in \mathbb{Z}[X].$$

- Make the  $H^1$ -part of Hasse–Weil  $L$ -function  $L(s, E)$  by

$$L(s, E) = \prod_p \Phi_p(p^{-s})^{-1} = \sum_{n=1}^{\infty} a_n n^{-s}.$$

- Make a Fourier series convergent on  $\mathfrak{H} = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$ :

$$f(z) = \sum_{n=1}^{\infty} a_n \exp(2\pi i n z) \quad (\text{Im}(z) > 0).$$

- Then  $f\left(\frac{az+b}{cz+d}\right) = f(z)(cz+d)^2$  for all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$  with  $N|c$  for a positive integer  $N$  called the level (weight 2 modular form).

This can be generalized to any system  $\{\rho_{\mathfrak{l}} \circ V(\rho_{\mathfrak{l}}) \cong F_{\mathfrak{l}}^2\}_{\mathfrak{l}}$  of  $\mathfrak{l}$ -adic odd Galois representations, where  $\mathfrak{l}$  runs over prime ideals of a number field  $F$ . Then

$$\prod_p \det(1 - \rho_{\mathfrak{l}}(\text{Frob}_p)|_{V(\rho_{\mathfrak{l}})_{I_p}} p^{-s})^{-1} = \sum_{n=1}^{\infty} a_n(\rho) n^{-s}$$

gives rise to a modular form

$$f_{\rho} = \sum_{n=1}^{\infty} a_n(\rho) \exp(2\pi i n z)$$

which satisfies  $f\left(\frac{az+b}{cz+d}\right) = \psi(d) f(z) (cz+d)^k$  as before for an integer  $k > 0$  and a Dirichlet character  $\psi$  modulo the level  $N$ .

For a number field  $K \subset \overline{\mathbb{Q}}$ , the field

$$K(a_{\rho}(n) | n = 1, 2, \dots)$$

is called the Hecke field, which is one of the most **mysterious** series of number fields. One can simplify the question, fixing one prime  $p$ , and consider a simple extension  $K(a_{\rho}(p))$  or for a family of systems of  $\mathfrak{l}$ -adic Galois representations  $\mathcal{F} = \{\rho\}$ , we could think of  $K(a_{\rho}(p))_{\rho \in \mathcal{F}}$ , and ask

**how big this field is?**

## §1. Notation

To define the family  $\mathcal{F}$  we study, we introduce some notation. Fix

- An odd prime  $p > 2$ ;
- a positive integer  $N$  ( $p \nmid N$ );
- two field embeddings  $\mathbb{C} \hookrightarrow \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$ .

Consider the space of cusp form

$$S_{k+1,\psi} = S_{k+1}(\Gamma_0(Np^{r+1}), \psi) \quad (r \geq 0)$$

of weight “ $k + 1$ ” with Nebentypus  $\psi$ .

Let the rings

$$\mathbb{Z}[\psi] \subset \mathbb{C} \quad \text{and} \quad \mathbb{Z}_p[\psi] \subset \overline{\mathbb{Q}}_p$$

be generated by  $\psi(n)$  ( $n = 1, 2, \dots$ ) over  $\mathbb{Z}$  and  $\mathbb{Z}_p$ .

The Hecke algebra over  $\mathbb{Z}$  is

$$h = \mathbb{Z}[\psi][T(n) | n = 1, 2, \dots] \subset \text{End}(S_{k+1,\psi}).$$

Put  $h_{k+1,\psi} = h \otimes_{\mathbb{Z}[\psi]} \mathbb{Z}_p[\psi]$ .

Sometimes our  $T(p)$  is written as  $U(p)$  as the level is divisible by  $p$ .

## §2. Big Hecke algebra

The ordinary part  $h_{k+1,\psi}^{ord} \subset h_{k+1,\psi}$  is the **maximal ring direct summand** on which  $U(p)$  is invertible; so,

$$h^{ord} = e \cdot h \quad \text{for } e = \lim_{n \rightarrow \infty} U(p)^{n!}.$$

Let  $\psi_1 = \psi_N \times$  the tame  $p$ -part of  $\psi$ . Then, we have a unique ‘big’ Hecke algebra  $\mathfrak{h} = \mathfrak{h}_{\psi_1}$  such that

- $\mathfrak{h}$  is free of finite rank over  $\mathbb{Z}_p[[T]]$  with  $T(n) \in \mathfrak{h}$  ( $n = 1, 2, \dots$ ;  $T(p) = U(p)$ )
- Let  $\gamma = 1 + p$ . If  $k \geq 1$  and  $\varepsilon : \mathbb{Z}_p^\times \rightarrow \mu_{p^\infty}$  is a character,

$$\mathfrak{h}/(1 + T - \psi(\gamma)\varepsilon(\gamma)\gamma^k)\mathfrak{h} \cong h_{k+1,\varepsilon\psi_k}^{ord}$$

for  $\psi_k := \psi_1\omega^{1-k}$ , sending  $T(n)$  to  $T(n)$ , where  $\omega$  is the Teichmüller character.

### §3. Galois representation

Each **irreducible component**

$$\text{Spec}(\mathbb{I}) \subset \text{Spec}(\mathfrak{h})$$

has a **Galois representation**

$$\rho_{\mathbb{I}} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL(2)$$

with **coefficients** in  $\mathbb{I}$  (or its quotient field) such that

$$\text{Tr}(\rho_{\mathbb{I}}(\text{Frob}_l)) = a(l)$$

(for the image  $a(l)$  in  $\mathbb{I}$  of  $T(l)$ ) for almost all primes  $l$ . Usually  $\rho_{\mathbb{I}}$  has values in  $GL_2(\mathbb{I})$ , and we suppose this for simplicity.

We regard  $P \in \text{Spec}(\mathbb{I})(\overline{\mathbb{Q}}_p)$  as an algebra homomorphism  $P : \mathbb{I} \rightarrow \overline{\mathbb{Q}}_p$ , and we put  $\rho_P = P \circ \rho_{\mathbb{I}} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_2(\overline{\mathbb{Q}}_p)$ .

## §4. Analytic family

A point  $P$  of  $\text{Spec}(\mathbb{I})(\overline{\mathbb{Q}}_p)$  is called

### arithmetic

if  $P(1 + T - \varepsilon\psi_k(\gamma)\gamma^k) = 0$  for  $k \geq 1$  and  $\varepsilon : \mathbb{Z}_p^\times \rightarrow \mu_{p^\infty}$ .

If  $P$  is arithmetic, we have a Hecke eigenform  $f_P \in S_{k+1}(\Gamma_0(Np^{r(P)}), \varepsilon\psi_k)$  such that

$$f_P|T(n) = a_P(n)f_P \quad (n = 1, 2, \dots)$$

for  $a_P(n) := P(a(n)) = (a(n) \bmod P) \in \overline{\mathbb{Q}}_p$ .

We write  $\varepsilon_P = \varepsilon$  and  $k(P) = k$  for such a  $P$ .

Thus  $\mathbb{I}$  gives rise to an **analytic family**

$$\mathcal{F}_{\mathbb{I}} = \{f_P | \text{arithmetic } P \in \text{Spec}(\mathbb{I})\}.$$



## §5. CM component and CM family

We call a Galois representation  $\rho$  **CM** if there exists an open subgroup  $G \subset \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  such that the semi-simplification  $(\rho|_G)^{ss}$  has abelian image over  $G$ .

We call  $\mathbb{I}$  a *CM component* if  $\rho_{\mathbb{I}}$  is CM.

If  $\mathbb{I}$  is a CM component, it is known that for an imaginary quadratic field  $M$  in which  $p$  splits, there exists a Galois character  $\varphi : \text{Gal}(\overline{\mathbb{Q}}/M) \rightarrow \mathbb{I}^\times$  such that  $\rho_{\mathbb{I}} \cong \text{Ind}_M^{\mathbb{Q}} \varphi$ .

If  $\rho_P \cong \text{Ind}_M^{\mathbb{Q}} \varphi_P$  for some arithmetic point  $P$ ,  $\mathbb{I}$  is a CM component.

## §6. A theorem on Hecke fields

Pick an infinite set  $\mathcal{A}$  of arithmetic points  $P$  with fixed weight  $k(P) = k \geq 1$ . Write  $H_{\mathcal{A}}(\mathbb{I}) \subset \overline{\mathbb{Q}}$  for the field generated over  $\mathbb{Q}(\mu_{p^\infty})$  by  $\{a_P(p)\}_{P \in \mathcal{A}}$ . Here is what we can prove:

**Theorem 1** (H-theorem). *The field  $H_{\mathcal{A}}(\mathbb{I})$  is a finite extension of  $\mathbb{Q}(\mu_{p^\infty})$  if and only if  $\mathbb{I}$  is CM. Moreover*

$$\limsup_{P \in \mathcal{A}} [\mathbb{Q}(\mu_{p^\infty})(a_P(p)) : \mathbb{Q}(\mu_{p^\infty})] = \infty.$$

Assume that  $[H_{\mathcal{A}}(\mathbb{I}) : \mathbb{Q}(\mu_{p^\infty})] < \infty$ . We try to prove that  $\mathbb{I}$  has CM. The converse is an easy application of **Galois deformation theory**.

## §7. Number of eigenforms bounded

We start preparing to give a proof of the theorem. Put  $K(f_P) = K[a_P(n); n = 1, 2, \dots]$  inside  $\overline{\mathbb{Q}}$ .

**Lemma 1** (Bounded degree). *The degree*

$$[\mathbb{Q}(\mu_{p^\infty})(f_P) : \mathbb{Q}(\mu_{p^\infty})(a_P(p))]$$

*for arithmetic  $P$  with fixed  $k(P) \geq 1$  is **bounded** (basically by  $\text{rank}_{\mathbb{Z}_p[[T]]} \mathbf{h}$ ) independently of  $P$ .*

For a prime  $l$  outside  $Np$ , let

$$A(l) = \text{a root of } \det(X - \rho_{\mathbb{I}}(\text{Frob}_l)) = 0.$$

Then  $\alpha_{l,P} := P(A(l)) \in \overline{\mathbb{Q}_p}$  is a **root** of

$$X^2 - a_P(l)X + \psi_k(l)l^{k(P)} = 0.$$

If  $l = p$ , we put  $A(l) = a(l)$ . Fix  $l$ . Extending  $\mathbb{I}$ , we assume that  $A(l) \in \mathbb{I}$ . By the lemma, if  $[\mathbb{Q}(\mu_{p^\infty})(a_P(p)) : \mathbb{Q}(\mu_{p^\infty})] < B$  for  $B$  independent of  $P \in \mathcal{A}$ ,  $K_P = \mathbb{Q}(\mu_{p^\infty})(\alpha_{l,P})$  has **bounded degree** over  $\mathbb{Q}(\mu_{p^\infty})$  independent of  $l$  and  $P$  for all  $P \in \mathcal{A}$ .

## §8. Weil numbers

For a prime  $l$ , a Weil  $l$ -number  $\alpha \in \mathbb{C}$  of integer weight  $k \geq 0$  satisfies

- (1)  $\alpha$  is an algebraic integer;
- (2)  $|\alpha^\sigma| = l^{k/2}$  for all  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ .

The number of Weil  $l$ -numbers of a given weight  $k$  in  $\mathbb{Q}(\mu_{p^\infty})$  is **finite** up to roots of unity, as we have only finitely many possibilities of its prime factorization. Indeed, if  $l$  is either inert or ramified fully in  $\mathbb{Q}(\mu_{p^\infty})/\mathbb{Q}$ , weight  $k$  Weil  $l$ -number is (at most)  $l^{k/2}$  up to roots of unity.

## §9. Finiteness proposition

Two nonzero numbers  $a$  and  $b$  **equivalent** if  $a/b$  is a root of unity. Let  $\mathcal{K}_d$  be the set of all extensions of  $\mathbb{Q}[\mu_{p^\infty}]$  of **degree**  $d < \infty$  inside  $\overline{\mathbb{Q}}$  whose ramification at  $l$  is **tame**. Here is a slight improvement:

**Proposition 1** (Finiteness Proposition). *We have only **finitely many** Weil  $l$ -numbers of a given weight in the set-theoretic union  $\bigcup_{K \in \mathcal{K}_d} K$  up to equivalence.*

The proof is an elementary but subtle analysis of prime decomposition of the Weil number. Tameness is assumed since in that case, there are only **finitely many** isomorphism classes of  $K \otimes_{\mathbb{Q}} \mathbb{Q}_l$  for  $K \in \mathcal{K}_d$ , and one can consider the prime factorization in a fixed algebra  $K \otimes_{\mathbb{Q}} \mathbb{Q}_l$  picking one isomorphism class.

## §10. A rigidity lemma

Let  $W$  be a  $p$ -adic valuation ring finite flat over  $\mathbb{Z}_p$  and  $\Phi(T) \in W[[T]]$ . Regard  $\Phi$  as a function of  $t = 1 + T$ ; so,  $\Phi(1) = \Phi|_{T=0}$ . We start with a lemma whose characteristic  $p$  version was studied by Chai:

**Lemma 2** (Rigidity). *Suppose that there is an infinite subset  $\Omega \subset \mu_{p^\infty}(\overline{K})$  such that  $\Phi(\Omega) \subset \mu_{p^\infty}$ . Then there exist  $\zeta_0 \in \mu_{p^\infty}$  and  $s \in \mathbb{Z}_p$  such that  $\zeta_0^{-1} \Phi(t) = t^s = \sum_{n=0}^{\infty} \binom{s}{n} T^n$ .*

Note here that if

$$Z \subset \widehat{\mathbb{G}}_m \times \widehat{\mathbb{G}}_m = \text{Spf}(W[t, t^{-1}, t', t'^{-1}])$$

is a formal subtorus, it is defined by the equation  $t = t'^s$  for  $s \in \mathbb{Q}_p$ . Thus we need to prove that the graph of the function  $t \mapsto \Phi(t)$  in  $\widehat{\mathbb{G}}_m \times \widehat{\mathbb{G}}_m$  is a **formal subtorus**. For simplicity, assume  $\Phi(1) = 1$  (so  $\zeta_0 = 1$ ) in the following proof.

## §11. Proof of the rigidity lemma.

**Step 1:** Regard  $\Phi$  as a morphism of formal schemes  $\widehat{\mathbb{G}}_m \rightarrow \widehat{\mathbb{G}}_m$ .

**Step 2:** For any  $\sigma$  in an open subgroup  $1 + p^m \mathbb{Z}_p \subset \text{Gal}(W[\mu_{p^\infty}]/W) \subset \mathbb{Z}_p^\times$ , we have  $\Phi(\zeta^z) = \Phi(\zeta^\sigma) = \sigma(\Phi(\zeta)) = \Phi(\zeta)^z$ ; so,

$$\Phi(t^z) = \Phi(t)^z$$

if  $\zeta^\sigma = \zeta^z$  for the value  $z \in 1 + p^m \mathbb{Z}_p$  of the **cyclotomic character** at  $\sigma$ .

**Step 3:** The graph  $Z$  of  $t \mapsto \Phi(t)$  in  $\widehat{\mathbb{G}}_m \times \widehat{\mathbb{G}}_m$  is therefore **stable** under  $(t, t') \mapsto (t^z, t'^z)$  for  $z \in 1 + p^m \mathbb{Z}_p$ .

**Step 4:** Pick a point  $(t_0, t'_0 = \Phi(t_0))$  of infinite order in  $Z$ , then

$$(t_0^{1+p^m z}, t'_0^{1+p^m z}) = (t_0, t'_0)(t_0, t'_0)^{p^m z} \in Z$$

for all  $z \in \mathbb{Z}_p$ . Thus  $Z$  has to be a coset of a **formal subgroup** generated by  $(t_0, t'_0)^{p^m}$ . Since  $(1, 1) \in Z$ ,  $Z$  is a formal torus, and we find  $s \in \mathbb{Z}_p$  with  $\Phi(t) = t^s$ .  $\square$

## §12. Frobenius eigenvalues

Suppose  $[H_{\mathcal{A}}(\mathbb{I}) : \mathbb{Q}(\mu_{p^\infty})] < \infty$ . This implies  $K_P = \mathbb{Q}(\mu_{p^\infty})(\alpha_{l,P})$  has bounded degree over  $\mathbb{Q}(\mu_{p^\infty})$ ; so, for primes  $l \gg 0$ ,  $l$  is **tamely** ramified in  $K_P$  (the tameness assumption in Finiteness Proposition).

**Proposition 2** (Eigenvalue formula). *There exists a Weil  $l$ -number  $\alpha_1$  of weight 1 and a root of unity  $\zeta_0$  such that*

$$A(P) = \alpha_{l,P} = \zeta_0 \langle \alpha_1 \rangle^{k(P)-1}$$

*for all arithmetic  $P$ ; in other words,*

$$A(T) = \zeta_0 (1 + T)^s$$

*for  $s = \frac{\log_p(\alpha_1)}{\log_p(\gamma)}$ .*



### §13. Proof of eigenvalue formula

We give a sketch of a proof assuming  $\mathbb{I} = W[[T]]$ . By Finiteness proposition, we have only a **finite** number of Weil  $l$ -numbers of weight  $k$  in  $\cup_{P \in \mathcal{A}} K_P$  up to multiplication by roots of unity, and hence

**$A(P)$  for  $P \in \mathcal{A}$  hits one of such Weil  $l$ -number  $\alpha$  of weight  $k$  infinitely many times, up to roots of unity.**

After a suitable variable change  $T \mapsto Y = \gamma^{-k}(1 + T) - 1$  and division by a Weil number,  $A(Y)$  satisfies the assumption of the **rigidity lemma**. We have

$$A(Y) = \zeta \alpha (1 + Y)^{s_1}$$

for  $s_1 \in \mathbb{Z}_p$ , and  $A(T) = \zeta_0 (1 + T)^s$ . From this, it is not difficult to determine  $s$  as stated in the proposition.  $\square$

## §14. Abelian image lemma

Consider the endomorphism  $\sigma_s : (1 + T) \mapsto (1 + T)^s = \sum_{n=0}^{\infty} \binom{s}{n} T^n$  of a power series ring  $W[[T]]$  for  $s \in \mathbb{Z}_p$ . Let  $A$  be an integral domain over  $W[[T]]$  of characteristic different from 2. Assume that the endomorphism  $\sigma_2$  on  $W[[T]]$  extends to an endomorphism  $\sigma$  of  $A$ .

**Lemma 3** (Abelian image). *Take a continuous representation  $\rho : \text{Gal}(\overline{\mathbb{Q}}/F) \rightarrow GL_2(A)$  for a field  $F \subset \overline{\mathbb{Q}}$ , and put  $\rho^\sigma := \sigma \circ \rho$ . If  $\text{Tr}(\rho^\sigma) = \text{Tr}(\rho^2)$ . Then  $\rho$  is absolutely reducible over the quotient field  $Q$  of  $A$ .*

Heuristically, the assumption implies that the **square map**:  $\sigma \mapsto \rho^2(\sigma)$  is still a representation  $\rho^\sigma$ ; so, it has to have an abelian image. Since any automorphism of the quotient field  $Q$  of  $\mathbb{Z}_p[[T]]$  extends to its algebraic closure  $\overline{Q} \supset \mathbb{I}$ , we can apply the above lemma to  $\rho_{\mathbb{I}}$ .

**§15. Proof of the theorem.** Suppose  $[H_{\mathcal{A}}(\mathbb{I}) : \mathbb{Q}(\mu_{p^\infty})] < \infty$ .

**Step 1:** We have  $[K_P : \mathbb{Q}(\mu_{p^\infty})]$  bounded independent of  $l$ ; so, if  $l \gg 0$ ,  $K_P$  is at most tamely ramified.

**Step 2:** By Eigenvalue formula, we have  $\text{Tr}(\rho(\text{Frob}_l)) = \zeta(1+T)^a + \zeta'(1+T)^{a'}$  for two roots of unity  $\zeta, \zeta'$  and  $a, a' \in \mathbb{Q}_p$ .

**Step 3:** Not too difficult to show that the order of  $\zeta, \zeta'$  is bounded independent of  $l$ .

**Step 4:** Let  $\mathfrak{m}_N = \mathfrak{m}_{\mathbb{I}}^N + (T)$  and  $\bar{\rho} = \rho_{\mathbb{I}} \bmod \mathfrak{m}_N$  for  $N \gg 0$  and  $F$  be the splitting field of  $\bar{\rho}$ ; so, taking  $N \gg 0$ , we may assume

$$\text{Tr}(\rho(\text{Frob}_l^f)) = (1+T)^{fa} + (1+T)^{fa'}$$

for all  $l \gg 0$  as long as  $\text{Frob}_l^f \in \text{Gal}(\overline{\mathbb{Q}}/F)$ .

**Step 5:** This shows

$$\text{Tr}(\sigma_s \circ \rho) = \text{Tr}(\rho^s)$$

over  $G = \text{Gal}(\overline{\mathbb{Q}}/F)$ . Then by the above lemma,  $\rho^{ss}|_G$  is abelian, and hence  $\mathbb{I}$  is CM.  $\square$

## §16. $p$ -Adic $L$ -function

Recall of adjoint  $L$ -functions and  $\mathcal{L}$ -invariant to state an application.

We have one variable  $L_p \in \mathbb{I}$  characterized by

$$L_p := L_p(1, Ad(\rho_{\mathbb{I}})) = L_p(1, \rho_{\mathbb{I}}^{sym \otimes 2} \otimes \det(\rho_{\mathbb{I}})^{-1})$$

and

$$L_p(P) := P(L_p) = \frac{L(1, Ad(f_P))}{\text{period}}$$

for all arithmetic  $P$ .

## §17. Congruence criterion and $\mathcal{L}$ -invariant

If  $\text{Spec}(\mathfrak{h}) = \text{Spec}(\mathbb{I}) \cup \text{Spec}(\mathbb{X})$  for the complement  $\mathbb{X}$ , (under a mild assumption)

$$\text{Spec}(\mathbb{I}) \cap \text{Spec}(\mathbb{X}) = \text{Spec}(\mathbb{I} \otimes_{\mathfrak{h}} \mathbb{X}) \cong \text{Spec}\left(\frac{\mathbb{I}}{(L_p)}\right)$$

Adding the cyclotomic variable, because of the modifying Euler  $p$ -factor,

$L_p(s, \text{Ad}(\rho_{\mathbb{I}}))$  has **exceptional zero** at 1,

and for an analytic  $\mathcal{L}$ -invariant  $0 \neq \mathcal{L}^{an}(\text{Ad}(\rho_{\mathbb{I}}))$

in  $\mathbb{I}[\frac{1}{p}]$ , we expect to have

$$L'_p(s, \text{Ad}(\rho_{\mathbb{I}}))|_{s=1} \stackrel{?}{=} \mathcal{L}^{an}(\text{Ad}(\rho_{\mathbb{I}})) L_p.$$

## §18. An application

The adjoint  $p$ -adic  $L$ -function  $L_p(s, Ad(f_P))$  has an exceptional zero at  $s = 1$  coming from modifying Euler  $p$ -factor. Greenberg proposed Galois cohomological definition of an  $\mathcal{L}$ -invariant  $\mathcal{L}(Ad(f_P))$ , and we have the following formula in IMRN **59** (2004) 3177–3189: for  $c = -2 \log_p(\gamma)$  and  $a = a(p)$ ,

$$\mathcal{L}(Ad(f_P)) = c \cdot a^{-1} t \frac{da}{dt} \Big|_{t=\gamma^{k(P)} \varepsilon_P(\gamma)}.$$

Thus  $P \rightarrow \mathcal{L}(Ad(f_P))$  is interpolated over  $\text{Spec}(\mathbb{I})$  as an analytic function.

**Theorem 2** (Constancy).  $P \rightarrow \mathcal{L}(Ad(f_P))$  is **constant** if and only if  $\rho_{\mathbb{I}}$  has CM.

By this,  $P \mapsto \mathcal{L}(Ad(f_P))$  is non-constant for non CM component; so, **non-zero** except for finitely many  $P$ .

## §19. Proof of the constancy theorem

For simplicity, assume  $\mathbb{I} = W[[T]]$ . Fix weight  $k(P) \geq 1$ . Suppose  $\mathcal{L}(Ad(f_P))$  is constant.

**Step 1:** By assumption,  $a^{-1}t\frac{da}{dt} = s \in W$  for  $a(t) = a(p)(t)$ . Thus  $t\frac{da}{dt} = s \cdot a$ .

**Step 2:** Putting  $b(x) = \log_p \circ a(\exp_p(x))$  (for  $x = \log_p(t)$ ), as  $dx = \frac{dt}{t}$ , by chain rule,

$$\frac{db}{dx} = \frac{da}{dx} \frac{db}{da} = \frac{da}{dx} \frac{d \log_p(a)}{da} = s \cdot a \cdot \frac{1}{a} = s.$$

**Step 3:**  $b$  is a linear function of  $x$ :

$$\log_p(a) = sx + c \Leftrightarrow a = C \exp_p(s \cdot \log_p(t)) = Ct^s.$$

**Step 4:** Taking  $\Phi(t) := t^s$ , we find  $\Phi(t^z) = \Phi(t)^z$  for  $z \in \mathbb{Z}_p$ . By the rigidity lemma and its proof, we conclude  $s \in \mathbb{Z}_p$ .

**Step 5:** Thus  $[H_{\mathcal{A}}(\mathbb{I}) : \mathbb{Q}(\mu_{p^\infty})] < \infty$ . Then by the H-theorem, we conclude that  $\mathcal{F}$  is a CM family. The converse is easy.