Mathematics 220C

Spring 2014

## Appendix 1

We correct the course text's definition of *ordinal number*, define a few additional notions, reorder the text's treatment of  $\omega$ , and give alternative proofs of a few facts. Nothing except the definition of ordinal number is inconsistent with the course text.

A strict wellordering is a strict linear ordering r such that every nonempty subset x of Field(r) has an r-least element: a  $y \in x$  such that  $\forall z \in x \langle z, y \rangle \notin r$ .

A wellordering is a (reflexive) linear ordering r such that the difference  $r \setminus \{ \langle y, y \rangle \mid \langle y, y \rangle \in r \}$  is a strict wellordering.

If r is a (set or class) relation and A is a class, the  $r \upharpoonright A = \{ \langle x, y \rangle \in r \mid x \in A \land y \in A \}.$ 

An ordinal number is a transitive set  $\alpha$  such that  $\in \uparrow \alpha$  is a strict wellordering. Here  $\in$  is the class  $\{\langle x, y \rangle \mid x \in y\}$ .

ON is the class of all ordinal numbers.

A set z is *inductive* if  $0 \in z$  and  $\forall y \in z \ y' \in z$ . Here  $0 = \emptyset$  and  $y' = y \cup \{y\}$ . Let Induct be the class of all inductive sets.

Define  $\omega = \bigcap$  Induct =  $\{x \mid \forall z(z \text{ inductive} \rightarrow x \in z)\}.$ 

The Axiom of Infinity says that Induct  $\neq \emptyset$ . Let  $z^* \in$  Induct. Then

$$\omega = \bigcap \text{Induct} = z^* \cap \bigcap \text{Induct}.$$

By Comprehension,  $\omega$  is a set.

**Proposition 6C.3 (Induction Principle).** If z is an inductive subset of  $\omega$ , then  $z = \omega$ .

**Proposition 6C.4.** (1) If  $x \in \omega$  then x = 0 or x = k' for some  $k \in \omega$ . (2)  $\omega$  is transitive.

**Proof.** For (1) Apply the Induction Principle with  $\{x \in \omega \mid x = 0 \lor (\exists k \in \omega) x = k'\}$  as z.

For (2), we apply the Induction Principle with  $\{n \in \omega \mid n \subseteq \omega\}$  as z. Since  $0 = \emptyset$ ,  $0 \in z$ . Assume that  $n \in \omega$  and  $n \in z$ . If  $m \in n'$  then  $m \in n$  or m = n. If  $m \in n$ , then  $m \in \omega$  because  $n \subseteq \omega$ . If m = n then  $m \in \omega$  since  $n \in \omega$ . **Proposition 6C.4** $\frac{1}{2}$ . Every member of  $\omega$  is an ordinal number.

**Proof.** Let  $z = \{k \in \omega \mid k \text{ is an ordinal number}\}.$ 

Clearly 0 is transitive and  $\in [0]$  is a strict wellordering. Hence  $0 \in z$ .

Assume that  $n \in z$ . Since  $n' = n \cup \{n\}, \bigcup n' = \bigcup n \cup n = n \subseteq n'$ . Thus n' is transitive. To see that  $\in \upharpoonright n'$  is a strict wellordering, note the following two facts.

- (i) For all  $m \in n$ ,  $\langle m, n \rangle$  belongs to  $\in \upharpoonright n'$
- (ii) For all  $m \in n' \langle n, m \rangle$  does not belong to  $\in [n']$ .

(ii) cannot fail for m = n because  $n \in n$  contradicts the irreflexiveness of  $\in \upharpoonright n$ . If (ii) fails for  $m \in n$ , then  $n \in m \in n$  and the transitivity of n implies that  $n \in n$ . Together (i) and (ii) show that  $\in \upharpoonright n'$  is just the wellordering  $\in \upharpoonright n$  with one element added at the end. One can easily check that this implies that  $\in \upharpoonright n'$  is a wellordering.

**Proposition 6C.4** $\frac{3}{4}$ . Let  $\alpha$  and  $\beta$  be ordinal numbers. Then

$$\alpha \in \beta \lor \alpha = \beta \lor \beta \in \alpha.$$

**Proof.** We will show the following:

- (a) If  $\alpha \not\subseteq \beta$  then  $\alpha \cap \beta$  is the  $\in \uparrow \alpha$ -least member of  $\alpha \setminus \beta$ .
- (b) If  $\beta \not\subseteq \alpha$  then  $\alpha \cap \beta$  is the  $\in \beta$ -least member of  $\beta \setminus \alpha$ .

Assume (a) and (b). Then  $\alpha \subseteq \beta$  or  $\beta \subseteq \alpha$ , and

$$\begin{array}{rcl} \alpha \subsetneq \beta & \Rightarrow & \alpha = \alpha \cap \beta \in \beta; \\ \beta \subsetneq \alpha & \Rightarrow & \beta = \alpha \cap \beta \in \alpha; \\ \alpha \subseteq \beta \land \beta \subseteq \alpha & \Rightarrow & \alpha = \beta. \end{array}$$

We prove (a). The proof of (b) is similar. Let u be the  $\in \uparrow \alpha$ -least member of  $\alpha \setminus \beta$ . We show that  $u \subseteq \alpha \cap \beta$  and  $\alpha \cap \beta \subseteq u$ .

Assume  $v \in u$ . By the transitivity of  $\alpha$ ,  $v \in \alpha$ . If  $v \notin \beta$ , then v contradicts the  $\in \upharpoonright \alpha$ -minimality of u, so  $v \in \alpha \cap \beta$ .

Assume  $v \in \alpha \cap \beta$ . Since  $\in \uparrow \alpha$  is a linear ordering,  $u \in v$  or u = v or  $v \in u$ . Both  $u \in v$  and u = v imply the contradiction that  $u \in \beta$ . Hence  $v \in u$ .

**Theorem 6C.5**  $\omega$  is an ordinal number.

**Proof.** By part (2) of Proposition 6.4, we need only show that  $\in \omega$  is a strict wellordering.

If  $n \in n \in \omega$ , then  $\in \upharpoonright n$  is not irreflexive. This shows that  $\in \upharpoonright \omega$  is irreflexive.

If  $l \in m \in n \in \omega$ , then the transitivity of n implies that  $l \in n$ . Hence  $\in \upharpoonright \omega$  is transitive.

By Propositions  $6C.4\frac{1}{2}$  and  $6C.4\frac{3}{4}$ ,  $\in \uparrow \omega$  is connected. Let x be a non-empty subset of  $\omega$ . Let  $k \in x$ . If  $k \cap x = \emptyset$ , then k is the  $\in \upharpoonright \omega$ -least member of x. If  $k \cap x \neq \emptyset$ , then the  $\in \upharpoonright k$ -least member of x is the  $\in \upharpoonright \omega$ -least member of x, for if  $i \in j \cap x$  then  $i \in k$  by the transitivity of k.  $\Box$