

## The subdirect representation theorem

### 1. Direct products

Here is an attempt at a decomposition theorem using direct products:

Define an algebra  $\mathcal{A}$  to be *directly indecomposable* if  $|A| > 1$  and there are no  $\mathcal{B}, \mathcal{C}$  with  $\mathcal{A} \equiv \mathcal{B} \times \mathcal{C}$  except with  $|B| = 1$  or  $|C| = 1$ .

Here is the statement you might hope for: “Every algebra is the direct product of directly indecomposable algebras (possibly infinitely many).” This is certainly true for finite algebras, but is false in general. In fact, let  $\mathcal{A}$  be a vector space of countable dimension over the two-element field; observe that any directly indecomposable vector space has dimension 1 by a basis argument, but  $\mathcal{A}$  has the wrong cardinality to be a direct product of either finitely many or infinitely many two-element vector spaces<sup>1</sup>.

A modified concept, that of “subdirect products of subdirectly irreducible algebras”, works much better.

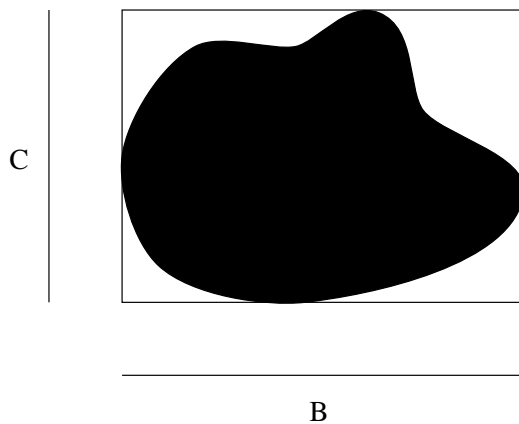


Figure 1: A subdirect product, heuristically

### 2. Subdirect products

**2.1 Definition.** A *subdirect product* of  $\mathcal{B}$  and  $\mathcal{C}$  is a subalgebra  $\mathcal{A}_0$  of  $\mathcal{B} \times \mathcal{C}$  such that the two coordinate projection maps carry  $\mathcal{A}_0$  onto  $\mathcal{B}$  and  $\mathcal{C}$  respectively. In other words, every element of  $B$  is used as a coordinate in  $\mathcal{A}_0$  and so is every element of  $C$ . A heuristic picture is given in Figure 1.

<sup>1</sup>Such a basis argument requires the Axiom of Choice, but there are similar examples that do not. See Problem AA-8 and Problem AA-9.

More generally, the same definition applies for a subalgebra of a direct product over any index set:  $\mathcal{A} \subseteq \prod_{\gamma \in \Gamma} \mathcal{B}_\gamma$ , projection onto each factor.

You can see one virtue of subdirect products:  $\mathcal{A}$  is obtained from  $\mathcal{B}$  and  $\mathcal{C}$ , but also you can get from  $\mathcal{A}$  back to  $\mathcal{B}$  and  $\mathcal{C}$  by taking homomorphic images.

Often we say that  $\mathcal{A}$  “is” a subdirect product of some other algebras when we really mean that  $\mathcal{A}$  is isomorphic to such a subdirect product.

### 3. Subdirect representations

Usually we want to use subdirect products “up to isomorphism”.

3.1 *Definition.* A *subdirect representation* of an algebra  $\mathcal{A}$  is an embedding  $\mathcal{A} \hookrightarrow \prod_{\gamma \in \Gamma} \mathcal{B}_\gamma$  whose image is a subdirect product.

For example, a three-element chain (as a distributive lattice) has a subdirect representation as a subdirect product of two two-element chains, as in Figure 2.

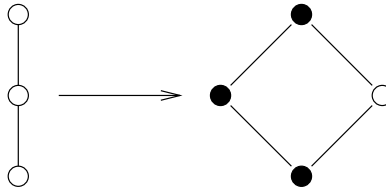


Figure 2: Subdirect representation of a 3-element chain

### 4. Subdirectly irreducible algebras

A subdirect product is said to be *trivial* if one of the coordinate projections is one-to-one, so that it is an isomorphism from  $\mathcal{A}_0$  onto one of the factors.

Similarly, a subdirect representation of  $\mathcal{A}$  is said to be *trivial* if the image is a trivial subdirect product of the factors. In that case, the factor is isomorphic to  $\mathcal{A}$ .

4.1 *Definition.* An algebra  $\mathcal{A}$  is *subdirectly irreducible* (SI) if  $|A| > 1$  and all subdirect representations of  $\mathcal{A}$  are trivial.

4.2 *Theorem (Subdirect Representation Theorem)* Every algebra is isomorphic to a subdirect product of subdirectly irreducible algebras.

For example, every distributive lattice is a subdirect product of two-element chains. (See Application 7.1 below.)

## 5. The internal point of view

5.1 *Observation.* If  $\mathcal{A}$  has two congruence relations  $\theta_1$  and  $\theta_2$  with  $\theta_1 \cap \theta_2 = 0$ , then  $\mathcal{A}$  has a subdirect representation  $\mathcal{A} \hookrightarrow \mathcal{A}/\theta_1 \times \mathcal{A}/\theta_2$ .

The reason is that the two natural homomorphisms of  $\mathcal{A}$  onto  $\mathcal{A}/\theta_i$  ( $i = 1, 2$ ) give a homomorphism of  $\mathcal{A}$  into the direct product with kernel  $\theta_1 \cap \theta_2 = 0$ , so the homomorphism is an embedding. Composing with the projections gives back the natural homomorphisms, so this is a subdirect product.

More generally, if  $\mathcal{A}$  has congruence relations  $\theta_\gamma, \gamma \in \Gamma$  with  $\bigcap_\gamma \theta_\gamma = 0$ , then  $\mathcal{A}/\bigcap_{\gamma \in \Gamma} \theta_\gamma \hookrightarrow \prod_{\gamma \in \Gamma} \mathcal{A}/\theta_\gamma$ .

5.2 *Observation.* Up to isomorphism, *any* subdirect representation of  $\mathcal{A}$  is the same as an appropriate subdirect representation of the form given in Observation 5.1.

The reason: Given a subdirect representation  $\phi : \mathcal{A} \hookrightarrow \prod_{\gamma \in \Gamma} \mathcal{B}_\gamma$ , let  $\mathcal{A}' = \phi(\mathcal{A})$ , the image of  $\phi$ . Then for each  $\gamma \in \Gamma$ , the coordinate projection  $\pi_\gamma$  takes  $\mathcal{A}'$  onto  $\mathcal{B}_\gamma$  with some kernel  $\theta_\gamma$ . The intersection of these kernels is the 0 congruence relation, since in any product two elements are equal when their projections on all factors are the same. Moreover, by the first isomorphism theorem,  $\mathcal{B}_\gamma \cong \mathcal{A}'/\theta_\gamma$ . The mappings

$$\mathcal{A} \hookrightarrow \prod_{\gamma \in \Gamma} \mathcal{B}_\gamma \xrightarrow{\pi_\gamma} \mathcal{B}_\gamma$$

become

$$\mathcal{A}' \hookrightarrow \prod_{\gamma \in \Gamma} \mathcal{A}'/\theta_\gamma \xrightarrow{\pi_\gamma} \mathcal{A}'/\theta_\gamma, \text{ up to isomorphism.}$$

5.3 *Proposition.* The following conditions are equivalent:

- (1)  $\mathcal{A}$  is subdirectly irreducible;
- (2)  $\bigcap_{\gamma \in \Gamma} \theta_\gamma = 0$  implies  $\theta_\gamma = 0$  for some  $\gamma \in \Gamma$ ;
- (3)  $0 \in \text{Con}(\mathcal{A})$  is completely meet irreducible;
- (4)  $\text{Con}(\mathcal{A})$  has a least element  $> 0$  (the *monolith* of  $\mathcal{A}$ ).

This gives an internal description of subdirect irreducibility.

## 6. The proof of the subdirect representation theorem

6.1 *Lemma.* Given  $a \neq b$  in  $\mathcal{A}$ , there exists a congruence relation  $\theta$  maximal with respect to the property  $a \not\equiv b (\theta)$ .

*Proof.* Let  $\mathcal{S} = \{\theta \in \text{Con}(\mathcal{A}) : \langle a, b \rangle \notin \theta\}$ . Then  $\mathcal{S}$  is not empty, since  $0 \in \mathcal{S}$ . Suppose  $\mathcal{C}$  is a chain of members of  $\mathcal{S}$ , where each relation is regarded as a subset of  $\mathcal{A} \times \mathcal{A}$ . Then  $\bigcup_{\theta \in \mathcal{C}} \theta \in \mathcal{S}$ , since all aspects of being in  $\mathcal{S}$  (specifically, being an equivalence relation, being compatible with the operations of  $\mathcal{A}$ , and

not containing  $\langle a, b \rangle$ ) can be checked using finitely many elements at a time and so can be checked inside just one member of  $\mathcal{C}$  at a time. Then by Zorn's Lemma,  $\mathcal{S}$  has a maximal member.  $\square$

Let  $\theta_{ab}$  be one such congruence relation maximal with respect to not identifying  $a$  and  $b$ . Here  $\theta_{ab}$  is in contrast to  $\text{con}(a, b)$ , the smallest congruence relation that identifies  $a$  and  $b$ . In fact,  $\theta_{ab}$  can be described as a  $\theta$  maximal with respect to the property  $\theta \not\geq \text{con}(a, b)$ .

6.2 *Observation.* For  $a \neq b$  in  $\mathcal{A}$ , in  $\text{Con}(\mathcal{A})$  there is a least element  $> \theta_{ab}$ , namely  $\theta_{ab} \vee \text{con}(a, b)$ .

6.3 *Observation.*  $\mathcal{A}/\theta_{ab}$  is subdirectly irreducible. Indeed, by Observation 1 and the Correspondence Theorem,  $\text{Con}(\mathcal{A}/\theta_{ab})$  has a least element  $> 0$  and so is subdirectly irreducible.

6.4 *Observation.*  $\bigcap_{a \neq b} \theta_{ab} = 0$  in  $\text{Con}(\mathcal{A})$ , where  $a, b$  range over  $\mathcal{A}$ .

*Proof of the Representation Theorem.* By Observation 6.4 we have  $\mathcal{A} \hookrightarrow \prod_{a \neq b} \mathcal{A}/\theta_{ab}$ , and by Observation 6.3 each  $\mathcal{A}/\theta_{ab}$  is subdirectly irreducible.

## 7. An application

7.1 **Application.** It is easy to show that the only subdirectly irreducible distributive lattice is **2**. Consequences:

- (i) Every distributive lattice is a subdirect product of copies of **2**.
- (ii) The variety of distributive lattices is the same as  $\text{Var}(\mathbf{2})$ .
- (iii) Every distributive lattice  $L$  can be represented as a lattice of subsets of some set (perhaps not all subsets), with operations  $\cup, \cap$ .

## 8. Problems

**Problem AA-1.** Prove Proposition 5.3.

**Problem AA-2.** Represent the 1-ary algebra  $\langle \mathcal{A}; f \rangle$  explicitly as a subdirect product of SI algebras, where  $\mathcal{A}$  has the diagram of Figure 3.

**Problem AA-3.** Let  $L$  be a distributive lattice and let  $a \in L$ . Define  $\phi_{\wedge a} : L \rightarrow L$  by  $\phi_{\wedge a}(x) = x \wedge a$  and likewise  $\phi_{\vee a}$  by  $\phi_{\vee a}(x) = x \vee a$ . As you know, these are lattice homomorphisms.

- (a) Show that  $\ker \phi_{\wedge a} \cap \ker \phi_{\vee a} = 0$ . (Make a one-line proof based on the absorption law for lattices.)

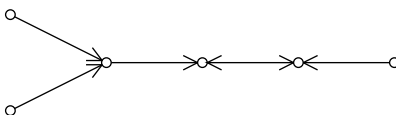


Figure 3: A 1-unary algebra

- (b) What embedding does (a) give?
- (c) Show that the only SI distributive lattice is  $\mathbf{2}$ . (Thus this fact is very elementary. The subdirect representation theorem then says that every distributive lattice is a subdirect product of copies of  $\mathbf{2}$ , a deeper fact that depends on the Axiom of Choice.)

**Problem AA-4.** Say how to represent the group  $F_{Q_8}(2)$  as a subdirect product of subdirectly irreducible groups, using as few factors as possible, by referring to the diagram of its normal subgroups.

**Problem AA-5.** (a) Which finite abelian groups are SI? (Use any facts you know about finite abelian groups and their subgroup diagrams. An SI abelian group has a smallest proper subgroup.)

- (b) Show that the group  $\mathbf{Z}$  of integers is not SI.
- (c) Show that every nontrivial subgroup of an SI abelian group, finite or infinite, is also SI.
- (d) Show that any infinite SI abelian group  $G$  is isomorphic for some prime  $p$  to  $\mathbf{Z}_{p^\infty}$ , which can be described up to isomorphism as the subgroup of the circle group (the group of complex numbers of absolute value 1) consisting of elements  $\{e^{2\pi r} : r = \frac{k}{p^n}\}$ .

**Problem AA-6.** (a) Show that an SI 1-unary algebra has no “fork”, i.e., distinct elements  $a, b, c$  with  $c = f(a) = f(b)$ .

(Method: Let  $\langle a \rangle$  denote the subalgebra generated by  $a$ , and similarly for  $b$ . For a subalgebra  $S$  of  $\mathcal{A}$  let  $\theta_S$  mean the congruence relation obtained by collapsing  $S$  to a point. Show that  $\theta_{\langle a \rangle} \cap \theta_{\langle b \rangle} \cap \text{con}(a, b) = 0$  if  $a, b$  give a fork. You may use the fact that  $\text{con}(a, b)$  is obtained by first identifying  $f^i(a)$  with  $f^i(b)$  for each  $i$  and then seeing what equivalence relation that generates.)

(b) Using (a), try to find all finite SI 1-unary algebras whose diagram is connected.

(A useful observation: In an  $n$ -cycle, you get exactly the same congruences as for the abelian group  $\mathbf{Z}_n$ , so the congruence lattice of an  $n$ -cycle is isomorphic to  $\text{Subgroup}(\mathbf{Z}_n)$ .)

**Problem AA-7.** Show that the finite SI 1-unary algebras are

- (i) The algebra consisting of two fixed points,
- (ii) the “cyclic” 1-unary algebras  $\mathcal{C}_{p^k}$  of prime power order (with  $k \geq 1$ ),
- (iii) the algebras  $\mathcal{D}_k, f$  where  $\mathcal{D}_k = \{0, \dots, k\}$  and  $f(0) = 0, f(i) = i - 1$  for  $i > 0$ .
- (iv) the two-component algebras where one component is a fixed point and the other is of kind (ii).

(In (ii), it is handy to make this observation, which you may justify very briefly: The congruence relations on an  $n$ -cycle regarded as a 1-unary algebra are exactly the same as those on the cycle regarded as the group or ring  $\mathbf{Z}_n$ . In all parts, you may justify briefly why these *are* SI; it is most important to explain why any finite SI must be of one of these forms.)

**Problem AA-8.** Consider the ring  $\mathcal{A} = \mathbf{Z}_2 \oplus \mathbf{Z}_2 \oplus \dots$ , the “direct sum” of countably many copies of the ring  $\mathbf{Z}_2$ , or in other words, the subring of  $\mathbf{Z}_2 \times \mathbf{Z}_2 \times \dots$  consisting of the sequences that have only finitely many nonzero entries.

- (a) Index the direct sum using  $\omega = \{0, 1, 2, \dots\}$ . Show that the ideals of  $\mathcal{A}$  correspond to subsets of  $\omega$ .
- (b) Show that if  $\mathcal{A} \cong \mathcal{B} \times \mathcal{C}$ , then at least one of  $\mathcal{B}$  and  $\mathcal{C}$  is isomorphic to  $\mathcal{A}$ . (Method:  $\mathcal{A}$  would be the internal direct sum of corresponding ideals  $I, J$ , so that  $I \cap J = (0)$  and  $I + J = \mathcal{A}$ .)
- (c) Show that  $\mathcal{A}$  is not the direct product of directly indecomposable algebras. (Use a cardinality argument.)

**Problem AA-9.** (a) Show that direct-product decompositions of a commutative ring with 1 into two factors correspond to idempotents (elements  $e$  with  $e^2 = e$ ).

(b) Let  $R$  be the ring of all  $\omega$ -indexed sequences of zeros and ones that are “eventually constant”, with sequences added and multiplied using the operations of  $\mathbf{Z}_2$  as a ring. Find all direct-product decompositions of  $R$ .

(c) In (b), does  $R$  have a direct decomposition into directly indecomposable factors? (Why or why not?)

(d) What about the Boolean algebra  $\text{Pow}_{fin}(X)$  for countably infinite  $X$ ?

**Problem AA-10.** Suppose that  $\mathcal{A}$  is a finite algebra. An interesting question is whether  $\text{Var}(\mathcal{A})$  contains finite SI algebras larger than  $\mathcal{A}$ , or even contains an infinite SI algebra. If  $\mathcal{A}$  is a lattice, for example, there are no larger SI’s;

if  $\mathcal{A}$  is a nonabelian  $p$ -group, the answer is that there are arbitrarily large finite SI's and also infinite ones. An easy case:

(a) Show that Shallon's algebra is SI, and in fact is simple. (Method: Think about  $\text{con}(r, s)$  for different possible distinct elements  $r, s$ .)

More generally, Let  $\mathcal{A}_n$  be the graph algebra based on a graph like Shallon's but with  $n$  nodes, so that  $\mathcal{A}_n$  has  $n + 1$  elements and Shallon's algebra is  $\mathcal{A}_3$ . Show that  $\mathcal{A}_n$  is SI (and in fact, simple if  $n \neq 2$ ).

(b) Show that  $\mathcal{A}_n \in \text{Var}(\mathcal{A}_3)$ . (Suggestion: Write  $\mathcal{A}_3 = \{a_1, a_2, a_3, 0\}$ . Inside  $\mathcal{A}_3^n$ , let  $B$  be the subalgebra generated by elements whose entries are  $a_1$ 's (zero or more), then one  $a_2$ , and then the rest  $a_3$ 's. Let  $\theta$  on  $B$  be the equivalence relation obtained by identifying all elements of  $B$  that have an entry of 0 and letting other blocks be singletons. Show that  $\theta$  is a congruence relation on  $B$ . Then  $B/\theta \cong \dots$ )

(c) Can you find an infinite SI in  $\text{Var}(\mathcal{A}_3)$ ?

**Problem AA-11.** In the proof of Lemma 6.6.1,  $\theta_{ab}$  is maximal with respect to not identifying  $a$  and  $b$ . Does every completely meet-irreducible congruence have this form? In other words, if  $\theta$  is c.m.i. in  $\text{Con}(A)$ , do there exist  $a, b \in A$  with  $a \neq b$  such that  $\theta$  is maximal with respect to not identifying  $a$  and  $b$ ? (As usual, the top element is not considered c.m.i.)