

## Boolean Lattices, Algebras, and Rings

### 1. Definitions

*Definition.* In a lattice  $L$  with 0 and 1,  $y$  is a *complement* of  $x$  if  $x \wedge y = 0$ ,  $x \vee y = 1$ .

*Proposition.* In a *distributive* lattice, complements are unique.

*Definition.* A *Boolean* lattice is a distributive lattice with 0 and 1 in which every element has a complement and  $0 \neq 1$ .

The complement of  $x$  is denoted  $x'$ .

*Definition.* A *Boolean algebra* is a Boolean lattice in which complementation is regarded as an operation. It is best to regard 0 and 1 as constant operations. Thus the algebra has the form  $\langle B; \vee, \wedge, 0, 1, ' \rangle$ .

Thinking of complementation as an operation makes a difference when subalgebras or homomorphisms are considered. Thus a *Boolean subalgebra* is a sublattice that is also closed under complementation and contains 0 and 1. (In particular, a Boolean subalgebra cannot be empty, unlike a sublattice.)

*Proposition.* In a Boolean lattice  $B$ , the complementation map  $x \mapsto x'$  is a “dual isomorphism”, meaning an isomorphism of  $B$  with its dual (i.e.,  $B$  upside-down). In other words, the complementation map is one-to-one and obeys de Morgan’s laws  $(x \vee y)' = x' \wedge y'$  and  $(x \wedge y)' = x' \vee y'$ .

### 2. Examples

1.  $\mathbf{2}^n$  ( $n \geq 1$ ), the most general finite example up to isomorphism. In particular,  $\mathbf{2}$  is an example.
2.  $\text{Pow}(X)$  for any set  $X$ .
3. Any Boolean subalgebra of a Boolean algebra.
4. For any infinite set  $X$ , the lattice  $\text{Pow}_{\text{fin}}(X)$  of all finite and cofinite subsets of  $X$ .

5. Any interval  $[a, b]$  of a Boolean algebra, with relativized operations.
6. Any direct product of Boolean lattices or Boolean algebras.
7. For any  $n \geq 0$ , the free Boolean algebra  $\text{FBA}(n)$ , which is isomorphic to  $\mathbf{2}^{2^n}$ , with the exponent  $2^n$  being just an integer.  
(In contrast, the free distributive lattice is  $\mathbf{2}^{2^n}$  (lattice exponent  $2^n$ ) with 0 and 1 deleted.)
8. For any Boolean algebra  $B$  and lattice ideal  $I$ , the lattice  $B/I$  of equivalence classes, where  $x \equiv y$  means  $x + y \in I$ . (Recall that a nonempty subset  $I$  of a lattice  $L$  is an *ideal* if  $I$  is a downset closed under joins.)
9. For any infinite set  $X$ , the lattice  $\text{Pow}(X)/\mathbf{F}$  of all subsets of  $X$  modulo finite subsets. This means the lattice of all equivalence classes of subsets of  $X$ , where two subsets are considered equivalent if their symmetric difference [defined below] is finite.
10. The lattice of measurable subsets of the reals modulo sets of measure 0.
11. The lattice of equivalence classes of a first-order language, where equivalence means logical equivalence and the operations are “and”, “or”, and “not”.
12.  $\text{Clopen}(X)$ , where  $X$  is a topological space.
13. Any Boolean ring with 1, made into a Boolean algebra as below.

### 3. Boolean rings

*Definition.* A *Boolean ring* is a ring in which every element is idempotent.

*Examples.*

1.  $\mathbf{Z}_2$  as a ring.
2.  $\mathbf{Z}_2^n$  as a ring ( $n \geq 1$ ).

3.  $\text{Pow}(X)$ , made into a ring by letting multiplication be  $\cap$ , addition be the *symmetric difference*  $A\Delta B = A\setminus B \cup B\setminus A$ , and 0 be the empty set.
4. For an infinite set  $X$ , the subring of  $\text{Pow}(X)$  consisting of the finite subsets of  $X$ .
5. For any Boolean algebra  $B = \langle B, \vee, \wedge, ', 0, 1 \rangle$ , the ring  $\langle B, +, \cdot, 0 \rangle$  obtained by defining  $xy$  to be  $x \wedge y$  and  $x + y$  to be  $(x \wedge y') \vee (y \wedge x')$ , the Boolean-algebra analogue of the symmetric difference.

All these examples except 4. are Boolean rings with 1.

*Proposition 1.* Any Boolean ring is of characteristic two (i.e., obeys  $x + x = 0$  for all  $x$ ).

*Proposition 2.* Any Boolean ring is commutative.

*Proposition 3.* Any Boolean ring with 1 can be made into a Boolean algebra by defining  $x \wedge y = xy$ ,  $x \vee y = x + y + xy$ , and  $x' = 1 - x$ .

*Proposition 4.* For a Boolean algebra  $B$ , a subset is a lattice ideal if and only if it is a ring ideal with respect to the resulting ring structure.

## 4. Reduction of expressions to normal form.

A typical example:

$$\begin{aligned}
 (x \vee (y' \vee z)')' &= x' \wedge (y' \vee z)'' = x' \wedge (y' \vee z) && \text{(compl's inside)} \\
 &= (x' \wedge y') \vee (x' \wedge z) && \text{(distribute)} \\
 &= [(x' \wedge y') \wedge (z \vee z')] \vee [(x' \wedge z) \wedge (y \vee y')] && \text{(break into atoms)} \\
 &= (x' \wedge y' \wedge z) \vee (x' \wedge y' \wedge z') \vee (x' \wedge y \wedge z) \vee (x' \wedge y' \wedge z)
 \end{aligned}$$

Any repeated meet-terms should be deleted. The final result is a join of distinct meets, with each meet involving all variables, possibly complemented. These meets correspond to the atoms in a free Boolean algebra, or equivalently, to the “puzzle pieces” in its Venn diagram.

*Note.* Determining whether an arbitrary Boolean expression reduces to 0 is the prototypical NP-complete problem. Many hard problems, such as the “traveling salesman problem”, are equivalent to it in difficulty.

## 5. Complete Boolean lattices; atomic Boolean lattices

*Definitions.* In any lattice, the “sup” of a subset is its least upper bound, if it exists. Thus a sup is the same thing as a possibly infinite join. The sup of the empty subset is 0. Correspondingly, the “inf” of a subset is its greatest lower bound, if it exists, and the inf of the empty subset is 1. A lattice is *complete* if every subset has a sup and inf.

It is easy to show that if every subset in a lattice has a sup, then the lattice is already complete.

*Definition.* In a lattice with 0, an *atom* is an element that covers 0. A lattice is *atomic* if every element is the sup of some set of atoms.

## 6. A hard problem solved

A few decades ago, people were looking at alternate algebraic descriptions of Boolean algebras. H. Robbins looked at these axioms, which use join and complementation alone:

- (1)  $x \vee y = y \vee x$  (commutativity)
- (2)  $(x \vee y) \vee z = x \vee (y \vee z)$  (associativity)
- (3)  $((x \vee y)' \vee (x \vee y)')' = x$  (a variant of  $x = (x \wedge y') \vee (x \wedge y)$ ).

These conditions are obviously true in Boolean algebras. Robbins conjectured that they *define* Boolean algebras. This fact was finally proved in 1996 by a computer theorem-proving program, the first long-standing conjecture proved that way.

See <http://www.mcs.anl.gov/home/mccune/ar/robbins/index.html> .

## 7. Free Boolean algebras

FBA(3)  $\cong 2^{2^3}$ : 3 generators; 8 atoms; 256 elements.

FBA(4)  $\cong 2^{2^4}$ : 4 generators; 16 atoms; 65,536 elements.

FBA(5)  $\cong 2^{2^5}$ : 5 generators, 32 atoms, 4,294,967,296 elements.

FBA(6)  $\cong 2^{2^6}$ : 6 generators; 64 atoms; 18,446,744,073,709,551,616 elements.

FBA(7)  $\cong 2^{2^7}$ : 7 generators; 128 atoms; 340,282,366,920,938,463,463,374,607,431,768,211,456 elements.

FBA(8)  $\cong 2^{2^8}$ : 8 generators; 256 atoms; 115,792,089,237,316,195,423,570,985,008,687,907,853,269,984,665,640,564,039,457,584,007,913,129,639,936 elements.

## 8. Problems

**Problem N-1.** (a) On a sketch of  $2^4$ , indicate two elements that generate  $2^4$  as a Boolean algebra. (b) Choose a third element at the same level as your two generators, and express it in Boolean normal form in terms of the generators.

**Problem N-2.** In the examples of §2, which are necessarily complete? Which are necessarily atomic?

**Problem N-3.** Prove Propositions 1 through 4 of §3 regarding Boolean rings.

**Problem N-4.** Decide which of the examples in §2 are atomic, which are atomless, and which (if any) are neither.

**Problem N-5.** Let  $X$  be a countably infinite set.

- (a) Show that  $\text{Pow}(X)$  contains a chain isomorphic to the chain  $\mathbf{R}$  of reals.
- (b) Show that  $\text{Pow}(X)$  contains an uncountable antichain of elements whose pairwise meets are finite subsets of  $X$ .

**Problem N-6.** (a) Show that if  $p$  is an atom of a Boolean lattice  $B$  and  $x \in B$ , then either  $p \leq x$  or  $x \leq p'$ , but not both.

(b) Show that in a complete Boolean lattice  $B$ , any atom  $p$  is *completely join-prime* (or sup-prime); in other words,  $p \leq \sup S$  implies  $p \leq s$  for some  $s \in S$ . (Suggestion: Somehow use  $p'$ .)

**Problem N-7.** Prove this representation theorem: Any atomic complete Boolean lattice is isomorphic to  $\text{Pow}(X)$  for some set  $X$ . (A lattice is said to be *atomic* if every element is the sup of a set of atoms.)

(Notice that most of our representation theorems have used *some* subsets of a set; this representation theorem uses *all* subsets. Alternatively, this theorem can be regarded as a characterization of the lattices  $\text{Pow}(X)$ —they are the atomic complete Boolean lattices.)

**Problem N-8.** Let  $L$  be a Boolean lattice with prime ideal space  $\Pi(L)$ . Each lattice property of  $L$  should be reflected in some topological property of  $\Pi(L)$ . Here is one example: Show that  $L$  is atomic if and only if the isolated points of  $\Pi(L)$  form a topologically dense subset.

(An *isolated point* in a topological space is a point that is open, as a singleton. A subset is *dense* if its closure is the whole space.)

**Problem N-9.** Let  $B$  be a Boolean lattice. Show that  $\text{Open}(\Pi(B)) \cong \text{Ideals}(B)$ , where  $\text{Open}()$  denotes the lattice of open sets of a topological space.

**Problem N-10.** As discussed in class, if  $f : B \rightarrow C$  is a homomorphism of Boolean algebras, then there is a corresponding continuous map  $\hat{f} : \Pi(C) \rightarrow \Pi(B)$ , and if  $h : X \rightarrow Y$  is a continuous map between Boolean spaces, then there is a corresponding homomorphism  $\bar{h} : \text{Clopen}(Y) \rightarrow \text{Clopen}(X)$  of Boolean algebras.

Invent and state definitions for  $\hat{f}$  and  $\bar{h}$  (without writing the proof that they make sense) and then prove one of the two assertions in the following Proposition:

*Proposition.*  $\overline{\hat{f}} = f$  and  $\widehat{\bar{h}} = h$ , up to the identifications of Boolean algebras or Boolean spaces with their “double duals<sup>1</sup>.”

**Problem N-11.** Show that any two countable, atomless Boolean algebras are isomorphic.

(A Boolean algebra is *atomless* if (surprise!) it has no atoms. An example of a countable, atomless Boolean algebra is  $\text{FBA}(\aleph_0)$ , the free Boolean algebra on countably many generators, which can be constructed by first making  $\text{FBA}(1) \subseteq \text{FBA}(2) \subseteq \text{FBA}(3) \subseteq \dots$  using Venn diagrams and then taking their union—all the subsets you get at all stages. Another example is  $\text{Clopen}(2 \times 2 \times 2 \times \dots)$ , where  $2$  means  $\{0, 1\}$  as a discrete topological space; this is the same as the lattice of all subsets of  $2 \times 2 \times \dots$  that are describable by referring only to finitely many coordinates, for example, “the subset consisting of all sequences whose second and fourth entries are either 1 and 0 or 0 and 1”.)

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<sup>1</sup>“Dual” here is in the sense of categories, not order.