

Lattice ideals

1. Ring ideals

Let R be a commutative ring with 1. As you know,

- (1) an *ideal* of R is a nonempty subset A of R such that $a_1 + a_2 \in A$ for all $a_1, a_2 \in A$, and $ra \in A$ for all $a \in A, r \in R$;
- (2) an ideal A is *principal* if $A = (a) = \{ra \mid r \in R\}$ for some $a \in A$;
- (3) an ideal A is *proper* if $A < R$.
- (4) a ideal A is *prime* if A is proper and $xy \in A$ implies $x \in A$ or $y \in A$;
- (5) an ideal A is *maximal* (meaning maximal proper) if A is proper and there is no ideal I with $A < I < R$.

2. Lattice ideals

The concepts are the same, with \vee for $+$ and \wedge for \cdot , but it's easier to use these equivalent definitions:

- (1) An *ideal* of L is a nonempty downset closed under joins.
- (2) An ideal I is *principal* if $I = (a]$, i.e., $\{x \in L \mid x \leq a\}$, for some $a \in I$.
- (3) An ideal I is *proper* if $I < L$.
- (4) An ideal I is *prime* if I is proper and $x \wedge y \in I$ implies $x \in I$ or $y \in I$.
- (5) An ideal I of L is *maximal* if I is proper and there is no ideal J with $I < J < L$.

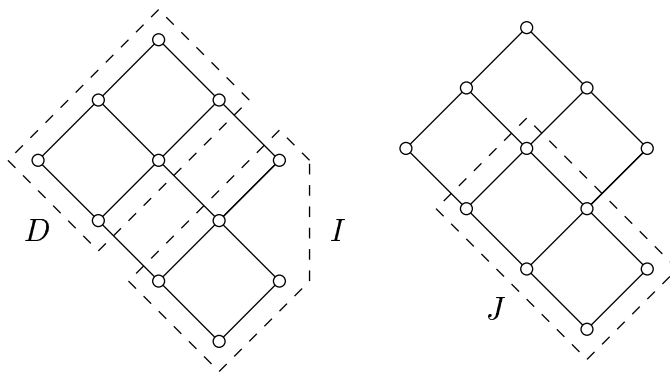


Figure 1: Ideals and a dual ideal

3. Dual ideals (filters) in a lattice L

- (1) A *dual ideal* or *filter* in L is a nonempty upset closed under meets.
- (2) A dual ideal D is *principal* if $D = [a]$ for some $a \in D$.
- (3) A dual ideal D is *proper* if $D < L$.
- (4) A dual ideal D is *prime* if D is proper and $x \vee y \in D$ implies $x \in D$ or $y \in D$.
- (5) A dual ideal D is *maximal* (an *ultrafilter*) if D is proper and there is no dual ideal E with $D < E < L$.

Note. Often the terms *filter* and *ultrafilter* are reserved for the case where L is a lattice of subsets, i.e., a sublattice of $\text{Pow}(X)$ for some X .

4. Facts valid in all lattices L

- (a) If L is *finite*, every ideal is principal.
- (b) The intersection of two ideals is an ideal, and the intersection of any family of ideals is either an ideal or the empty set. It follows that the ideals of L under inclusion form a lattice $\text{Ideals}(L)$, and that if L has a bottom element then $\text{Ideals}(L)$ is complete.
- (c) For ideals I and J of L , $I \wedge J = I \cap J$ and $I \vee J = \{x \in L : x \leq i \vee j \text{ for some } i \in I, j \in J\}$. (Contrast with §5(a) below.)
- (d) An ideal I is prime if and only if $L \setminus I$ is a dual ideal.
- (e) A *principal* ideal is prime if and only if its top element is a meet-prime element.
- (f) Prime ideals of L are the same thing as meet-prime elements of $\text{Ideals}(L)$.

5. Facts valid in distributive lattices

- (a) $I \vee J = \{i \vee j : i \in I, j \in J\}$. (Contrast with §4(c) above.)
- (b) $\text{Ideals}(L)$ is also a distributive lattice.
- (c) Any meet-irreducible element of $\text{Ideals}(L)$ is a prime ideal.
- (d) *Theorem.* Every ideal I_0 is the intersection of those prime ideals that contain I_0 .

Equivalently,

- (d') For an ideal I_0 and $a \notin I_0$, there is a prime ideal I with $I_0 \subseteq I$ but $a \notin I$.
- (e) *Corollary.* In a distributive lattice, prime ideals separate points. (In other words, given any two distinct elements, there is a prime ideal that contains one and not the other.)
- (f) Any maximal ideal is prime.

6. Facts valid in Boolean lattices

A lattice is *Boolean* if it has a top element 1 and bottom element 0 and every element x has a complement—an element y with $x \wedge y = 0$, $x \vee y = 1$.

Theorem. In a Boolean lattice B , for an ideal I the following are equivalent.

- (1) I is a prime ideal;
- (2) I separates elements from their complements; in other words, for each $b \in B$, either $b \in I$ or $b' \in I$ but not both;
- (3) I is a maximal ideal.

7. Spaces of prime and maximal ideals

For a lattice L , the *prime ideal space* $\Pi(L)$ is the set of all prime ideals of L , with a suitable topology. This is of special interest in the case where L is Boolean, in which case $\Pi(L)$ is a Hausdorff space. However, $\Pi(L)$ is also of interest if L is distributive. In both these cases the lattice can be recovered from the space.

8. Problems

Problem J-1. Let L be the lattice of all finite subsets of an infinite set X . Characterize Ideals(L) up to isomorphism, preferably as a more familiar lattice.

Problem J-2. Prove Fact (e) in §4. If the lattice is distributive, relate this to meet-irreducibility.

Problem J-3. Show that the following conditions are equivalent for an ideal π in a lattice L :

1. π is a prime ideal;
2. $L \setminus \pi$ is a dual ideal;
3. $L \setminus \pi$ is a prime dual ideal; i.e., if $D = L \setminus \pi$, D is a dual ideal such that $x \vee y \in D$ implies $x \in D$ or $y \in D$.

Problem J-4. (a) Explain how homomorphisms of a lattice L onto $\mathbf{2}$ correspond to prime ideals of L .

(b) For $L = \mathbf{2} \times \mathbf{3}$, there are several homomorphisms of L onto $\mathbf{2}$. For each, indicate its kernel by darkening coverings on a copy of L .

Problem J-5. Let X be a countably infinite set. In the Boolean algebra $\text{Pow}_{\text{fin}}(X)$ of all finite and cofinite subsets of X , find a prime ideal that is not principal.

Problem J-6. Let \mathcal{C} be the ring of all continuous functions $f : [0, 1] \rightarrow \mathbf{R}$, where \mathbf{R} is the field of reals and $[0, 1] \subseteq \mathbf{R}$. For $x \in [0, 1]$, let $M_x = \{f \in \mathcal{C} : f(x) = 0\}$.

- (a) Show that M_x is a maximal ideal, for each x .
- (b) Show that *every* maximal ideal of \mathcal{C} is of the form M_x .
- (c) The *support* of a function f is $\{x : f(x) \neq 0\}$, the set of points where the function does not vanish. Show that the supports of continuous functions on $[0, 1]$ form a base for the topology of $[0, 1]$. In other words, every open subset of $[0, 1]$ is a union of supports.

For a commutative ring R with 1, let \mathcal{M} be its set of maximal ideals. The *support* of an element $r \in R$ is $\{M \in \mathcal{M} : r \notin M\}$. The *maximal ideal space* of R is \mathcal{M} topologized by using the supports of elements as a base.

- (d) Show that the maximal ideal space of \mathcal{C} is homeomorphic to $[0, 1]$. Thus $[0, 1]$ can be reconstructed from \mathcal{C} , up to homeomorphism.
- (e) Outline how it could be proved that \mathcal{C} is *not* isomorphic to the ring \mathcal{D} of real-valued continuous functions on the unit circle. (It is not necessary to prove the steps.)

Problem J-7. Let L be a lattice and let $I_\gamma, \gamma \in \Gamma$ be a family of ideals of L , possibly infinitely many. Describe (with proof) the elements of the ideal generated by all I_γ , i.e., the smallest ideal containing all the ideals I_γ . Your description should generalize §4(c).