# Some open problems on countable Borel equivalence relations

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The Borel equivalence relation *E* on the standard Borel space *X* is said to be countable iff every *E*-class is countable.

#### Standard Example

Let G be a countable (discrete) group and let X be a standard Borel G-space. Then the corresponding orbit equivalence relation  $E_G^X$  is a countable Borel equivalence relation.

The Borel equivalence relation *E* on the standard Borel space *X* is said to be countable iff every *E*-class is countable.

#### Standard Example

Let G be a countable (discrete) group and let X be a standard Borel G-space. Then the corresponding orbit equivalence relation  $E_G^X$  is a countable Borel equivalence relation.

#### Theorem (Feldman-Moore)

If E is a countable Borel equivalence relation on the standard Borel space X, then there exists a countable group G and a Borel action of G on X such that  $E = E_G^X$ .

# The space of torsion-free abelian groups of rank n

#### Definition

The standard Borel space of torsion-free abelian groups of rank n is defined to be

$$R(\mathbb{Q}^n) = \{A \leqslant \mathbb{Q}^n \mid A \text{ contains a basis } \}.$$

#### Remark

Notice that if  $A, B \in R(\mathbb{Q}^n)$ , then

 $A \cong B$  iff there exists  $\varphi \in GL_n(\mathbb{Q})$  such that  $\varphi[A] = B$ .

Thus the isomorphism relation  $\cong_n$  on  $R(\mathbb{Q}^n)$  is a countable Borel equivalence relation.

# The Polish space of f.g. groups

Let  $\mathbb{F}_m$  be the free group on  $\{x_1, \dots, x_m\}$  and let  $\mathcal{G}_m$  be the compact space of normal subgroups of  $\mathbb{F}_m$ . Since each *m*-generator group can be realised as a quotient  $\mathbb{F}_m/N$  for some  $N \in \mathcal{G}_m$ , we can regard  $\mathcal{G}_m$  as the space of *m*-generator groups. There are natural embeddings

$$\mathcal{G}_1 \hookrightarrow \mathcal{G}_2 \hookrightarrow \cdots \hookrightarrow \mathcal{G}_m \hookrightarrow \cdots$$

and we can regard

$$\mathcal{G} = \bigcup_{m \geq 1} \mathcal{G}_m$$

as the space of f.g. groups.

#### Theorem (Champetier)

The isomorphism relation  $\cong$  on the space G of f.g. groups is a countable Borel equivalence relation.

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Let E, F be Borel equivalence relations on the standard Borel spaces X, Y respectively.

•  $E \leq_B F$  iff there exists a Borel map  $f : X \to Y$  such that

$$x E y \iff f(x) F f(y).$$

In this case, f is called a Borel reduction from E to F.

- $E \sim_B F$  iff both  $E \leq_B F$  and  $F \leq_B E$ .
- $E <_B F$  iff both  $E \leq_B F$  and  $E \nsim_B F$ .

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#### Definition

More generally,  $f: X \rightarrow Y$  is a Borel homomorphism from E to F iff

$$x E y \Longrightarrow f(x) F f(y).$$

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#### Theorem

If E, F are countable Borel equivalence relations on the standard Borel spaces X, Y, then the following are equivalent:

- $E \sim_B F$ .
- There exist complete Borel sections  $A \subseteq X$  and  $B \subseteq Y$  such that

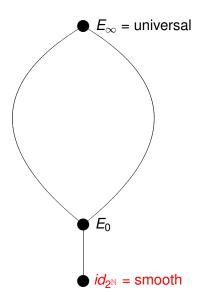
$$(A, E \upharpoonright A) \cong (B, F \upharpoonright B)$$

via a Borel isomorphism.

#### Definition

A Borel subset  $A \subseteq X$  is a complete section iff A intersects every *E*-class.

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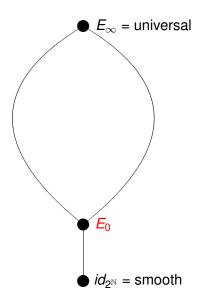
#### Definition

The Borel equivalence relation E is smooth iff  $E \leq_B id_{2^N}$ , where  $2^N$  is the space of infinite binary sequences.



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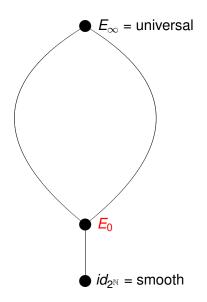
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 $E_0$  is the equivalence relation of eventual equality on the space  $2^{\mathbb{N}}$  of infinite binary sequences.



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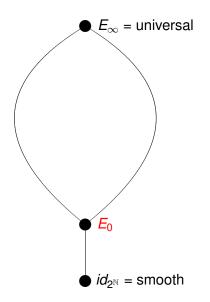
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#### Question

Does there exist a nonsmooth countable Borel E with an immediate  $<_B$ -successor?

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#### Definition

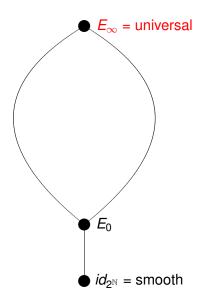
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#### Question

Does there exist a nonsmooth countable Borel E with no immediate <<sub>B</sub>-successor?



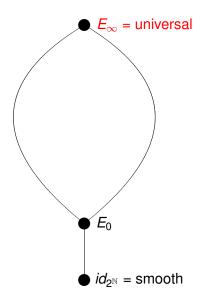
#### Definition

A countable Borel equivalence relation E is universal iff  $F \leq_B E$ for every countable Borel equivalence relation F.



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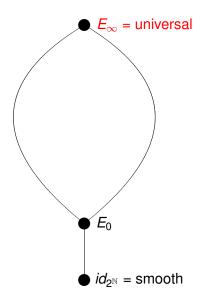


#### Theorem (JKL)

The orbit equivalence relation  $E_{\infty}$ of the action of the free group  $\mathbb{F}_2$ on its powerset  $\mathcal{P}(\mathbb{F}_2) = 2^{\mathbb{F}_2}$  is countable universal.



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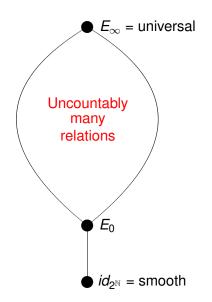
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#### Theorem (TV)

The isomorphism relation on the space of f.g. groups is countable universal.

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### Theorem (Adams-Kechris 2000)

There exist  $2^{\aleph_0}$  many countable Borel equivalence relations up to Borel bireducibility.

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Let G be a countable group and let X be a standard Borel G-space.

### The Fundamental Question in the Borel setting

To what extent does the data (  $X, E_G^X$  ) "remember" G and its action on X?



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#### The Fundamental Question in the Borel setting

To what extent does the data (  $X, E_G^X$  ) "remember" G and its action on X?

#### Fact

We cannot possibly recover the group G from the data (  $X, E_G^X$  ) unless we add the hypotheses that:

• G acts freely on X.

• there exists a G-invariant probability measure  $\mu$  on X.

#### Question

Let E be a nonsmooth countable Borel equivalence relation. Does there necessarily exist a countable group G with a free measure-preserving Borel action on a standard probability space (X,  $\mu$ ) such that E  $\sim_B E_G^X$ ?



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#### Definition

The countable Borel equivalence relation E on X is free iff there exists a countable group G with a free Borel action on X such that E<sup>X</sup><sub>G</sub> = E.

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#### Definition

- The countable Borel equivalence relation E on X is free iff there exists a countable group G with a free Borel action on X such that  $E_G^X = E$ .
- The countable Borel equivalence relation E is essentially free iff there exists a free countable Borel equivalence relation F such that E ∼<sub>B</sub> F.

Let E, F be countable Borel equivalence relations on the standard Borel spaces X, Y respectively.



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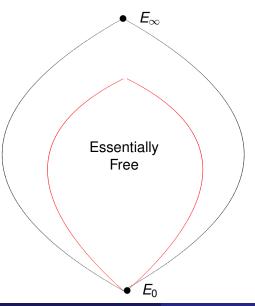
- If  $E \leq_B F$  and F is essentially free, then so is E.
- If  $E \subseteq F$  and F is essentially free, then so is E.

#### Corollary

The following statements are equivalent:

- Every countable Borel equivalence relation is essentially free.
- $E_{\infty}$  is essentially free.

# Essentially free countable Borel equivalence relations



#### Theorem (S.T.)

The class of essentially free countable Borel equivalence relations does not admit a universal element.

#### Corollary

 $E_{\infty}$  is not essentially free.

• Let *G* be a countably infinite group and let  $\mu$  be the usual product probability measure on  $\mathcal{P}(G) = 2^{G}$ .



- Let G be a countably infinite group and let μ be the usual product probability measure on P(G) = 2<sup>G</sup>.
- Then the free part of the action

$$\mathcal{P}^*(G) = (2)^G = \{x \in 2^G \mid g \cdot x 
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• Let  $E_G$  be the corresponding orbit equivalence relation on  $(2)^G$ .

#### Observation

If  $G \leq H$ , then  $E_G \leq_B E_H$ .

#### Proof.

The inclusion map  $\mathcal{P}^*(G) \hookrightarrow \mathcal{P}^*(H)$  is a Borel reduction from  $E_G$  to  $E_H$ .

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# Homomorphisms

#### Definition



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 Let E be a countable Borel equivalence relation on the standard Borel space X with invariant nonatomic probability measure μ.



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#### Definition

If G, H are countable groups, then the group homomorphism  $\pi: G \to H$  is a virtual embedding iff  $|\ker \pi| < \infty$ .

# An easy consequence of Popa superrigidity

#### Theorem



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### An easy consequence of Popa superrigidity

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#### • Let $G = SL_3(\mathbb{Z}) \times S$ , where S is any countable group.



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#### Corollary

If *S*, *T* are countable groups with no nontrivial finite normal subgroups, then the following are equivalent:

• 
$$E_{SL_3(\mathbb{Z})\times S} \leq_B E_{SL_3(\mathbb{Z})\times T}$$
.

•  $SL_3(\mathbb{Z}) \times S$  embeds into  $SL_3(\mathbb{Z}) \times T$ .

If *E* is an essentially free countable Borel equivalence relation, then there exists a countable group *G* such that  $E_G \not\leq_B E$ .



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If *E* is an essentially free countable Borel equivalence relation, then there exists a countable group *G* such that  $E_G \not\leq_B E$ .

#### Proof.

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• Hence 
$$E_G \not\leq_B E_H^X$$
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#### Definition

The countable groups G, H are isomorphic up to finite kernels iff there exist finite normal subgroups  $N \trianglelefteq G$ ,  $M \trianglelefteq H$  such that  $G/N \cong H/M$ .



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#### Lemma

There exists a Borel family  $\{S_x \mid x \in 2^{\mathbb{N}}\}\$  of f.g. groups such that if  $G_x = SL_3(\mathbb{Z}) \times S_x$ , then the following conditions hold:

- If  $x \neq y$ , then  $G_x$  and  $G_y$  are not isomorphic up to finite kernels.
- If  $x \neq y$ , then  $G_x$  doesn't virtually embed in  $G_y$ .

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- If  $x \neq y$ , then  $G_x$  doesn't virtually embed in  $G_y$ .

#### Definition

For each Borel subset  $A \subseteq 2^{\mathbb{N}}$ , let  $E_A = \bigsqcup_{x \in A} E_{G_x}$  on  $\bigsqcup_{x \in A} (2)^{G_x}$ .

#### Lemma

If the Borel subset  $A \subseteq 2^{\mathbb{N}}$  is uncountable, then  $E_A$  is not essentially free.



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#### Proof.

• Suppose that  $E_A \leq_B E_H^Y$ , where *H* is a countable group and *Y* is a free standard Borel *H*-space.

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- Then for each  $x \in A$ , we have that  $E_{G_x} \leq_B E_H^Y$  and so there exists a virtual embedding  $\pi_x : G_x \to H$ .

#### Lemma

If the Borel subset  $A \subseteq 2^{\mathbb{N}}$  is uncountable, then  $E_A$  is not essentially free.

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- Then for each  $x \in A$ , we have that  $E_{G_x} \leq_B E_H^Y$  and so there exists a virtual embedding  $\pi_x : G_x \to H$ .
- Since *A* is uncountable, there exist  $x \neq y \in A$  such that  $\pi_x[G_x] = \pi_y[G_y]$ .

#### Lemma

If the Borel subset  $A \subseteq 2^{\mathbb{N}}$  is uncountable, then  $E_A$  is not essentially free.

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- Then for each  $x \in A$ , we have that  $E_{G_x} \leq_B E_H^Y$  and so there exists a virtual embedding  $\pi_x : G_x \to H$ .
- Since *A* is uncountable, there exist  $x \neq y \in A$  such that  $\pi_x[G_x] = \pi_y[G_y]$ .
- But then *G<sub>x</sub>*, *G<sub>y</sub>* are isomorphic up to finite kernels, which is a contradiction.

#### Lemma

 $E_A \leq_B E_B$  iff  $A \subseteq B$ .



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- Suppose that  $E_A \leq_B E_B$ .
- Suppose also that  $A \nsubseteq B$  and let  $x \in A \setminus B$ .

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- Suppose that  $E_A \leq_B E_B$ .
- Suppose also that  $A \nsubseteq B$  and let  $x \in A \setminus B$ .
- Then there exists a Borel reduction from  $E_{G_x}$  to  $E_B$

$$f:(2)^{G_x} \rightarrow \bigsqcup_{y \in B} (2)^{G_y}.$$

#### Lemma

 $E_A \leq_B E_B$  iff  $A \subseteq B$ .

#### Proof.

- Suppose that  $E_A \leq_B E_B$ .
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 By ergodicity, there exists μ<sub>x</sub>-measure 1 subset of (2)<sup>G<sub>x</sub></sup> which maps to a fixed (2)<sup>G<sub>y</sub></sup>.

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- This yields a μ<sub>x</sub>-nontrivial Borel homomorphism from E<sub>G<sub>x</sub></sub> to E<sub>G<sub>y</sub></sub> and so G<sub>x</sub> virtually embeds into G<sub>y</sub>, which is a contradiction.

## Smooth disjoint unions

#### Question

 Is every countable Borel equivalence relation is Borel bireducible with a smooth disjoint union of free countable Borel equivalence relations?



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- Equivalently, is E<sub>∞</sub> Borel a smooth disjoint union of essentially free countable Borel equivalence relations?



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#### Question

Suppose that  $E_{\infty} = \bigsqcup_{z \in A} E_z$  is expressed as a smooth disjoint union of countable Borel equivalence relations  $\{E_z \mid z \in A\}$ . Does there necessarily exist an element  $z \in A$  such that  $E_z$  is countable universal?

- Is every countable Borel equivalence relation is Borel bireducible with a smooth disjoint union of free countable Borel equivalence relations?
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#### Remark

The previous question remains open when  $A = \{1, 2\}$ .

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Recall that the isomorphism relation  $\cong$  on the standard Borel space  $\mathcal{G}$  of f.g. groups is countable universal.

#### Question

Suppose  $\mathcal{G}$  is partitioned into two  $\cong$ -invariant Borel subsets

 $\mathcal{G}=\boldsymbol{X}\sqcup\boldsymbol{Y}.$ 

Is it necessarily the case that either  $\cong \upharpoonright X$  or  $\cong \upharpoonright Y$  countable universal?

#### Definition

Suppose that *E* is a countable Borel equivalence relation on the standard Borel space *X* with invariant ergodic probability measure  $\mu$ . Then *E* is strongly universal iff  $E \upharpoonright A$  is universal for every Borel subset  $A \subseteq X$  with  $\mu(A) = 1$ .

#### Question

Does there exist a strongly universal countable Borel equivalence relation?

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#### Question

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#### Question

Suppose that *E* is a countable Borel equivalence relation on the standard Borel space *X* with invariant ergodic probability measure  $\mu$ . Does there always exist a Borel subset  $A \subseteq X$  with  $\mu(A) = 1$  such that  $E \upharpoonright A$  is essentially free?

Suppose that E is a countable Borel equivalence relation on the standard Borel space X with invariant ergodic probability measure  $\mu$ . Does there always exist a Borel subset  $A \subseteq X$ with  $\mu(A) > 0$  such that  $(E \upharpoonright A) \times I(\mathbb{N})$  is free?

#### Definition

Here  $I(\mathbb{N})$  is the equivalence relation on  $\mathbb{N}$  such that all points are equivalent.

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